



THALES



## *Dynamic spectrum management in relation with cognitive radio technology*

ITU-D Regional Development Forum for the Arab Region:  
“Access to spectrum, including broadcasting services –  
trends and technologies”

Tunis (Tunisia), 1 - 3 June 2009

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THALES



## URC Project

URC Simulator:  
towards a spectrum management tool

Disseminated Urban Sensing:  
towards a “regulation oriented” architecture

Technical challenges & perspectives



# International context for radio frequencies

## World summit on the information society:

« ... ensure rational, efficient and economic use of, and equitable access to, the radio-frequency spectrum by all countries, based on relevant international agreements.»



## Liberalization of the telecom market in Europe:

« We urgently need to look at how we can **use the spectrum more efficiently** in the EU. »  
Several initiatives: **WAPECS / CUS / FLEX bands.**

## 2012 Digital Plan in France:

BWA in Digital Dividend / White spaces / Mobile TV / Digital radio...



## US Initiative, a spectrum policy for the 21<sup>st</sup> century :

Develop communication technologies and services. Satisfy homeland security, public safety, scientific research, federal transportation infrastructure and law enforcement.

## International Telecommunications Union (ITU) :

WRC-07 (IMT...) and WRC-11 (Enhanced regulatory framework / CR/SDR / UAS ...)

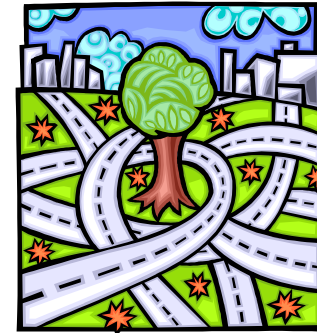
RRC06: Digital transition for broadcasting service

Cybersecurity / PPDR / New SM Handbook and ITU-R recommendations ...





# URC Project: Objectives



- Dynamic spectrum management with cognitive radio as an enabler
- Regulated context for optimization and security (monitoring, mitigation of interferences)
- Develop a Spectrum Management tool for regulation
- Urban constraints (density, daily migrations, events, landscape); Paris region is representative





# URC Project: at a glance

- Funding System@tic program framework
- French Spectrum Agency
- Telecom, transport, broadcasting operators
- Industries, Equipment
- Technological SMEs
- Academic



Supélec

**Started October 2006, duration 3 years**



# URC Project: technical Content

- Use of Cognitive Radio paradigm
- System level
  - Operational scenarios, evolution scenarios, DSA, xRRM, architecture, traffic modeling, ...
- Technological bricks
  - Propagation modeling, new radio access schemes, cooperative schemes, metrology/sensing, ...
- Simulation
  - Propagation simulation
  - High level simulator showing the benefit brought by a dynamic spectrum management based upon developed models



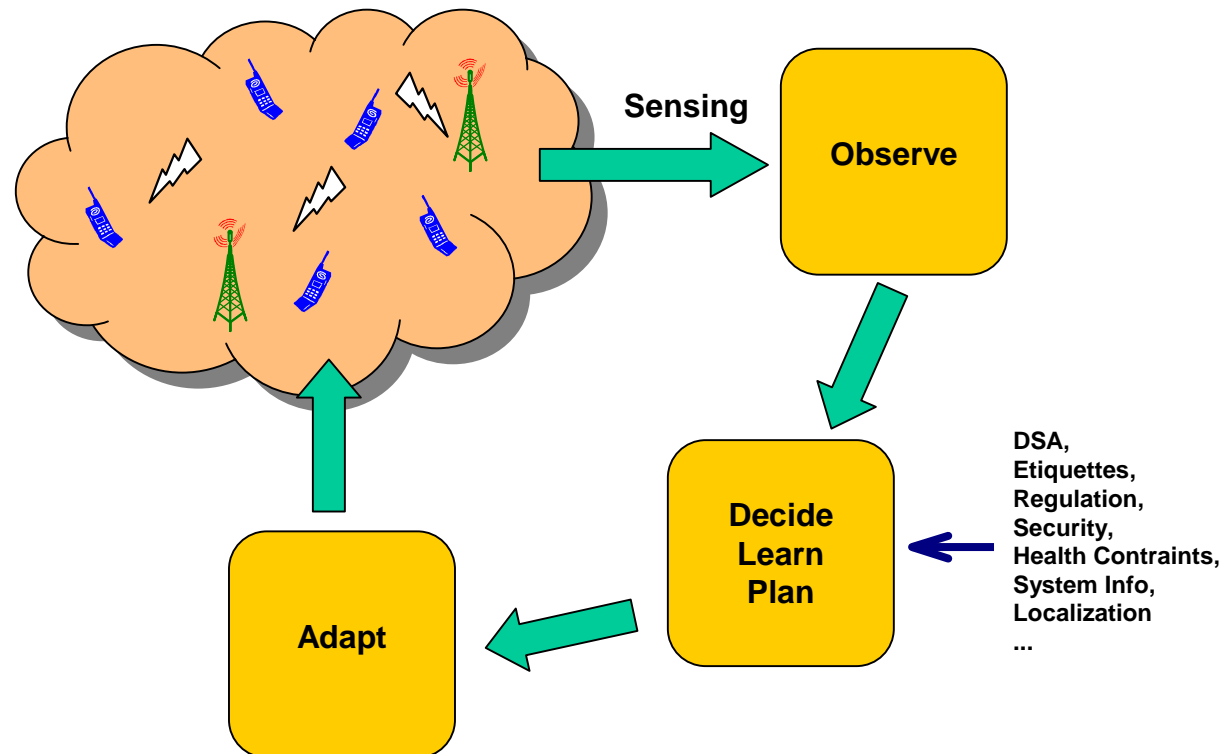
# Cognitive radio

## World Radiocommunications Conference 2007

**WRC 2011 AI 1.19** : to consider regulatory measures and their relevance, in order to enable the introduction of software-defined radio and cognitive radio systems, based on the results of ITU-R studies, in accordance with Resolution 956 (WRC-07).

**RESOLUTION 956 (WRC-07)** : Regulatory measures and their relevance to enable the introduction of software-defined radio and cognitive radio systems

Radio Environment





## URC Project

URC Simulator:  
towards a spectrum management tool

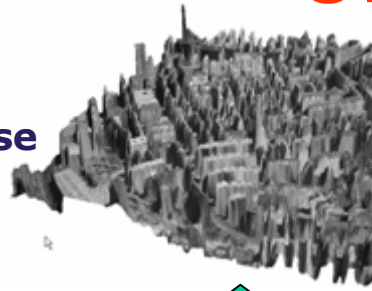
Disseminated Urban Sensing:  
towards a “regulation oriented” architecture

Technical challenges & perspectives





Cartographic data base



# URC simulator: overview

Assessment of performance enhancement

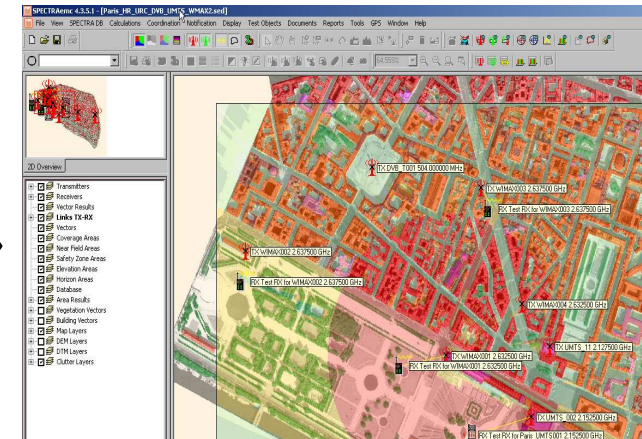
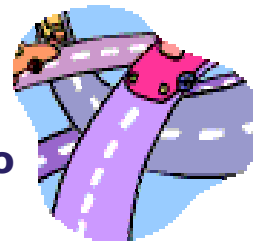
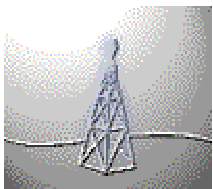
Large scale models  
(space-time traffic, propagation interference, ... )

Geographic area associated to the scenario

Small scale models  
(mobility, terminal, radio access, ... )

Objective performances  
(Qos, revenues ...)

Dynamic access and Cognitive Radio schemes



**Performance assessment:**  
✓ Radio (spectral efficiency, power, coverage, interference)  
✓ Network (blocking rate, delay, number of users ...)  
✓ Application (QoS)

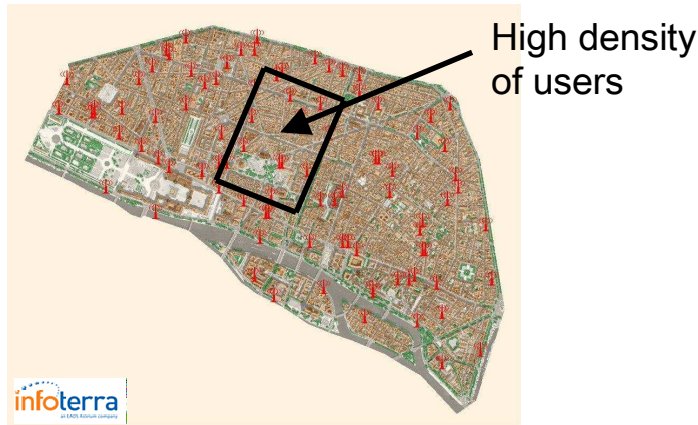


# URC simulator: modeling method

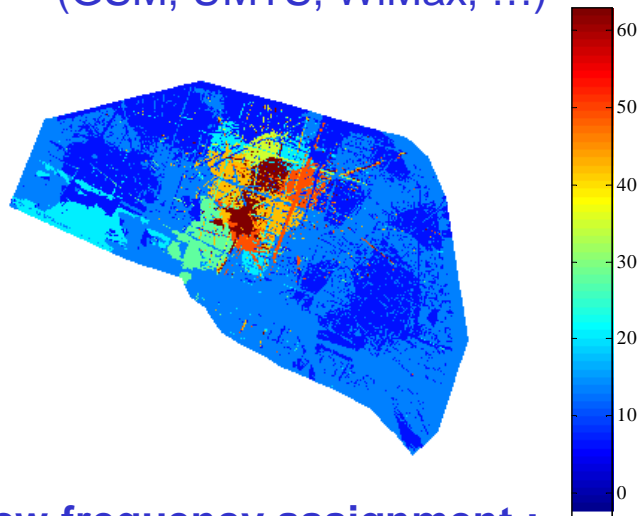
- Objective:
  - Provide representative data to the large scale simulator while reducing computing time => operational tool
  - Comparison of different DSA algorithms
- Method:
  - Run small scale simulations off-line
  - Extract meaningful characteristics from the statistical data set
  - Define a simplified parametric model exploiting these characteristics
  - Integrate into the large scale simulator
  - Models also derived from measurement campaigns
    - Need of validated space-time traffic models
    - Models must be based on measurements of real traffic for existing networks



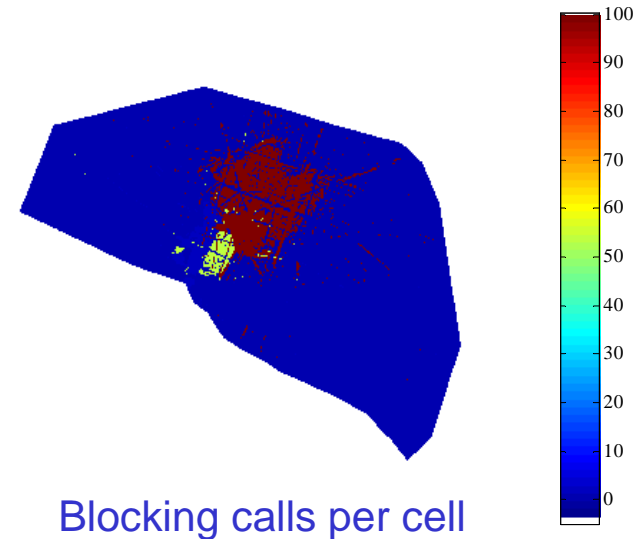
# Simulation of "exceptional event" scenario



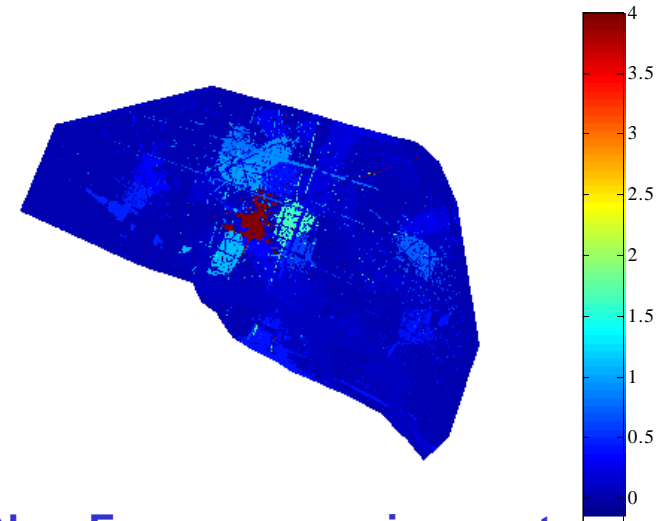
Base stations deployment  
(GSM, UMTS, WiMax, ...)



New frequency assignment :  
Number of communication  
channels per cell



Blocking calls per cell



New Frequency assignment :  
Blocking calls per cell



## URC Project

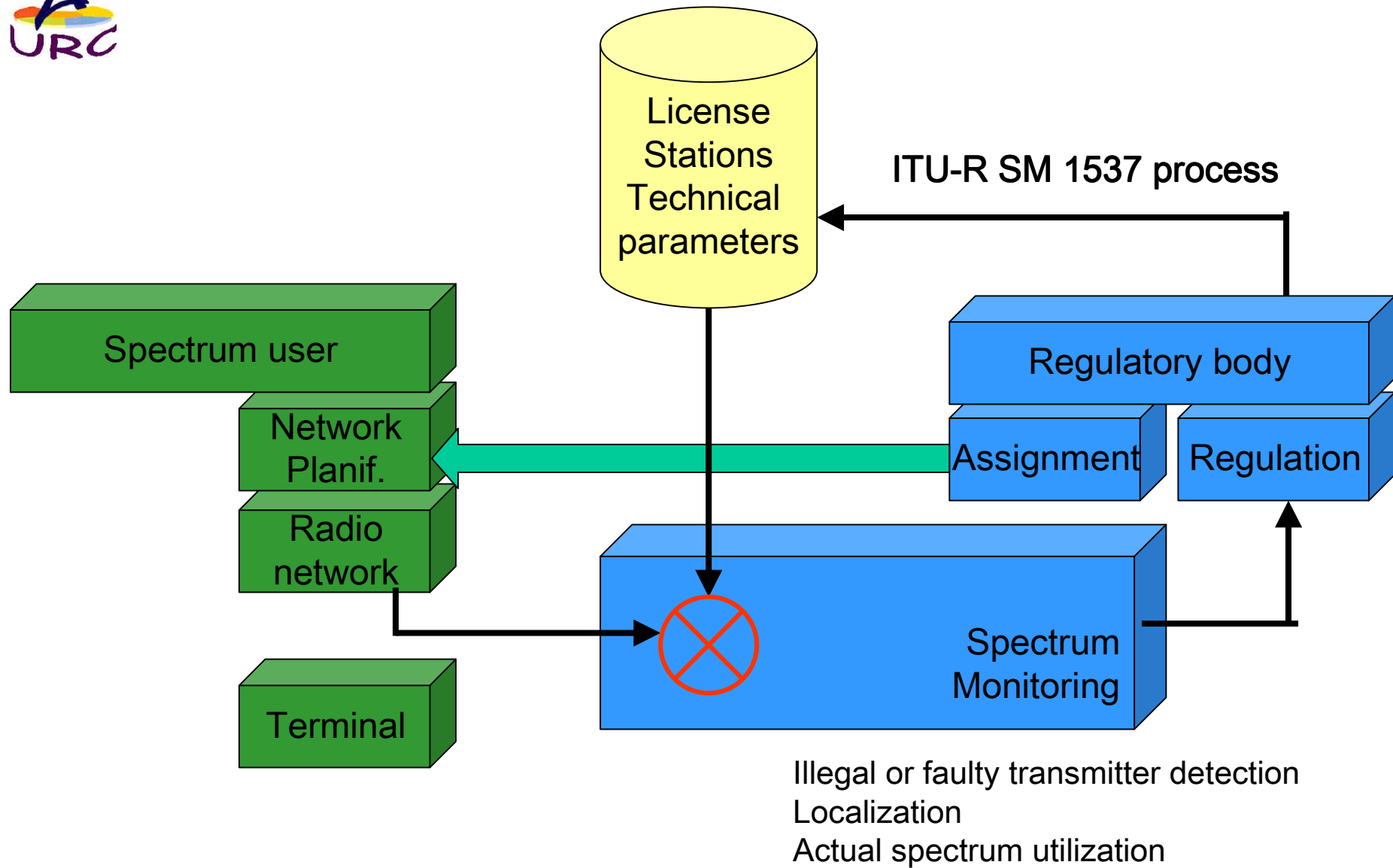
URC Simulator:  
towards a spectrum management tool

Disseminated Urban Sensing (DUS):  
towards a “regulation oriented” architecture

Technical challenges & perspectives

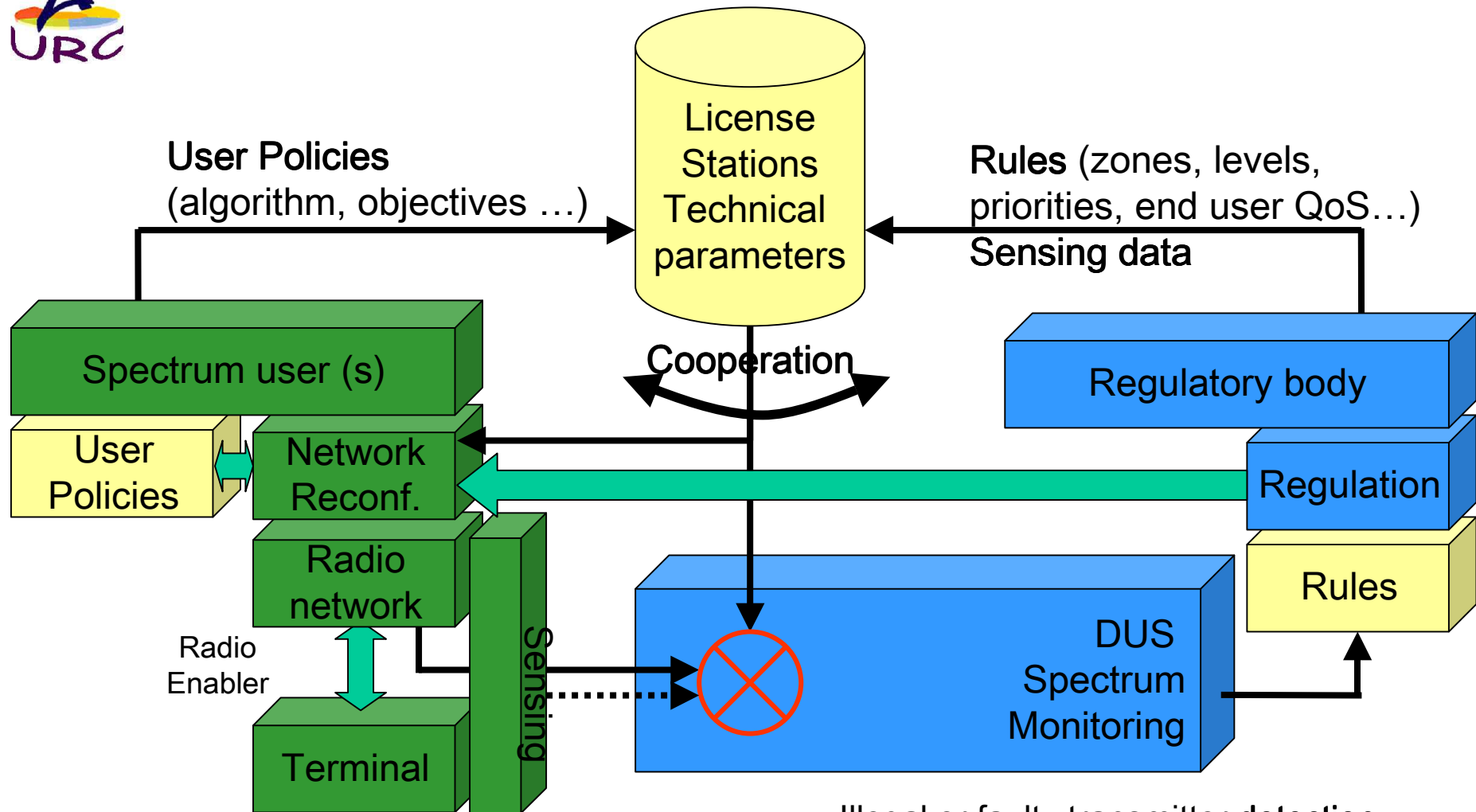


# Static management





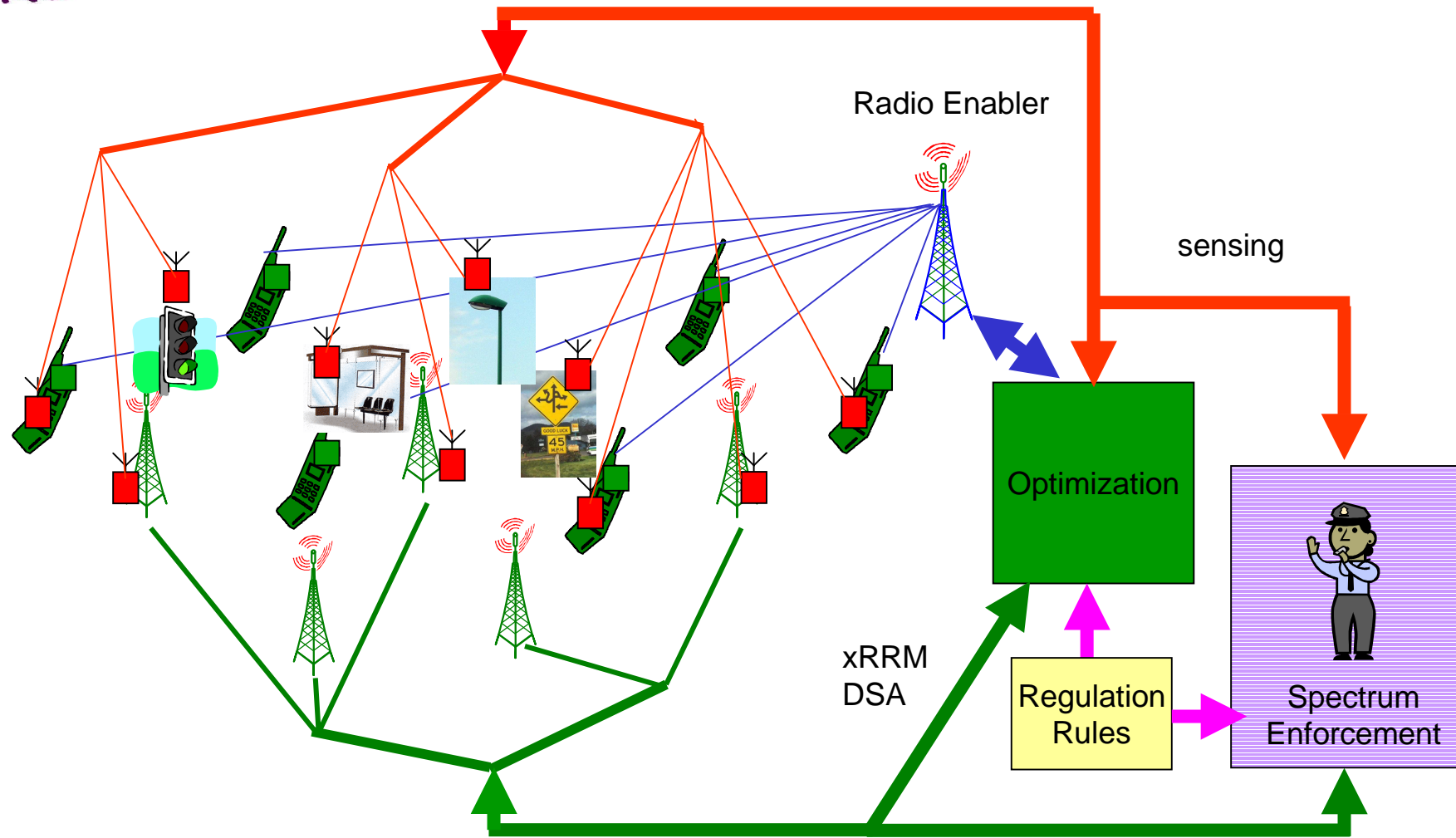
# Dynamic management




Illegal or faulty transmitter detection and localization  
Regulation & Rules violation  
Real time spectrum utilization



# Disseminated Urban Sensing



# Relevant models for DUS



Management Models	Spectrum access	Regulators' role	Example
<b>Command &amp; Control</b>	<b>Exclusive</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Allocate spectrum and defines rules</li> <li><input type="checkbox"/> Monitor spectrum use (exclusive use)</li> <li><input type="checkbox"/> Enforce rules</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Public safety</li> <li><input type="checkbox"/> Aeronautical</li> <li><input type="checkbox"/> Broadcasting</li> </ul>
<b>Operator sharing</b>	<b>Long term sharing</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Deliver the license to the operator</li> <li><input type="checkbox"/> Exclusive use</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> UMTS</li> </ul>
	<b>Dynamic sharing</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Allocate and monitor the band (Inside band is managed by the licensee)</li> <li><input type="checkbox"/> Dynamic exclusive use</li> <li><input type="checkbox"/> Part of band may be pre-empted in case of force majeure, regulator controls priorities</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Technology neutral, BEM</li> <li><input type="checkbox"/> Spectrum pooling</li> <li><input type="checkbox"/> Secondary market</li> <li><input type="checkbox"/> Crisis / big event scenarios with temporary network deployment</li> </ul>
<b>Secondary use of a primary licensed spectrum</b>	<b>Underlay</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Regulator defines rules</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> UWB</li> </ul>
	<b>Overlay (opportunistic schemes)</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Regulator defines rules (primary/secondary)</li> <li><input type="checkbox"/> Monitor conformance of opportunistic uses with rules.</li> <li><input type="checkbox"/> Enforce rules</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> CR/SDR</li> <li><input type="checkbox"/> White spaces</li> <li><input type="checkbox"/> Space/time optimization</li> </ul>
<b>Commons</b>	<b>Open Spectrum</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Define general rules (Tx power limitation, MAC schemes)</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> ISM band</li> </ul>
	<b>Cooperative commons</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> No license, regulator defines rules</li> <li><input type="checkbox"/> Regulator monitors the use of the band</li> <li><input type="checkbox"/> Enforce rules</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> US Rural WISP at 3650 MHz (50 MHz unlicensed band)</li> </ul>
	<b>Private commons</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Deliver a license to a user</li> <li><input type="checkbox"/> Cooperation between other users</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> FCC block D @ 700 MHz</li> <li><input type="checkbox"/> Femto cells</li> </ul>





## URC Project

URC Simulator:  
towards a spectrum management tool

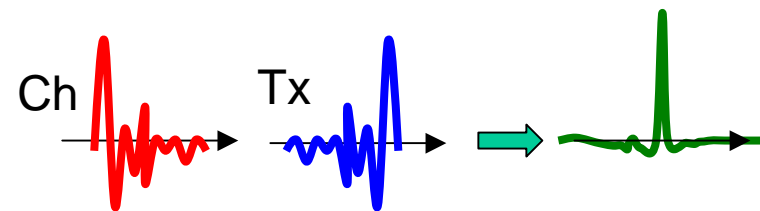
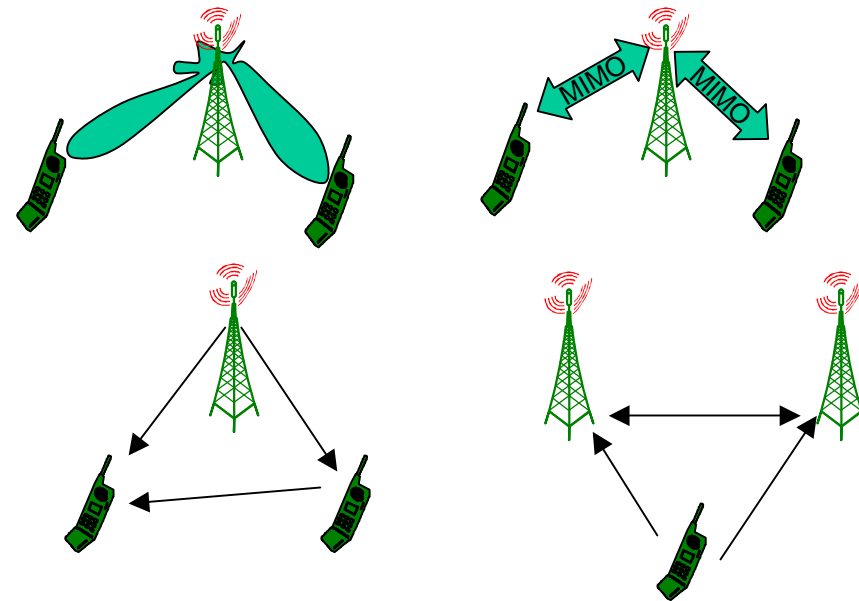
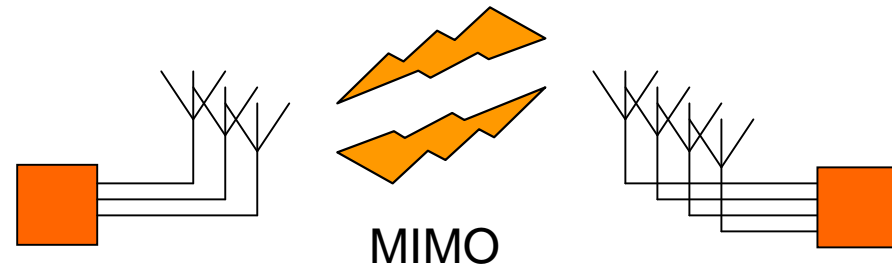
Disseminated Urban Sensing (DUS):  
towards a “regulation oriented” architecture

Technical challenges & perspectives



- Joint inter/intra system resource management
- Adaptive Modulation and Coding and Hybrid ARQ
- New advanced MIMO techniques for IEEE802.11n and IEEE802.16e: Multi-user and beamforming, perfect space-time coding
- Cooperative Relaying: virtual antennas and relays, meshed and ad hoc networks
- Time Reversal

## Innovative Access radio





# DSA and operated networks

- How to optimize dynamically frequency and resource allocation
  - Joint RRM (JRRM), multi-RAT context, perfect roaming
  - JRRM + for agnostics bands (e.g. GSM900, UMTS900)
  - DSA: Dynamic Spectrum Allocation
    - “Meta operator” or mono operator
    - IEEE P1900.4 Architecture
    - Dynamic Spectrum Access (common pool)
    - Hybrid (dedicated bandwidth + pool)
    - Distributed Radio Resource Usage Optimization (multi RAT, multi homing)
    - Bandwidth sharing between operators
      - CAB: Coordinated Access Band (pool)
      - Border games, trade-off range/capacity
      - Coordinated or uncoordinated Interference Management



# Simulator: Towards a Spectrum Management Tool

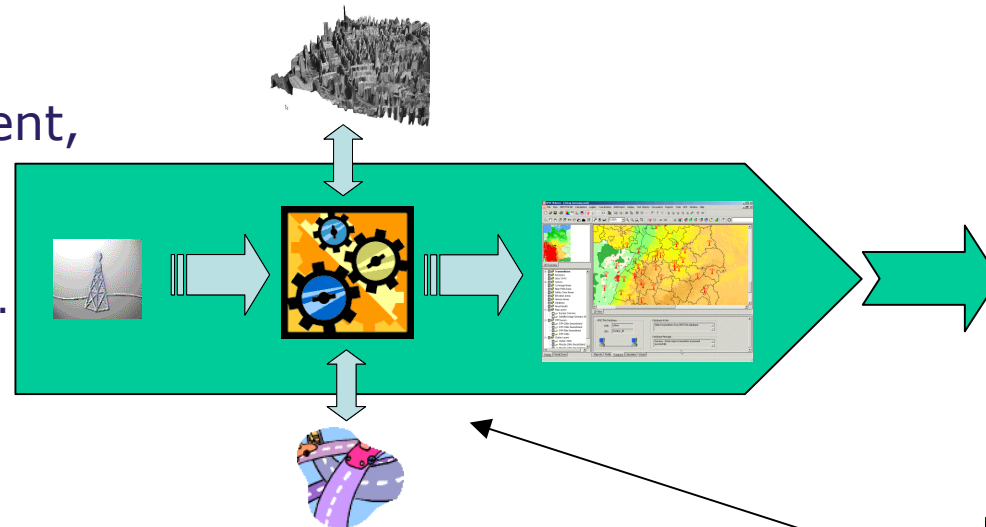
- Emerging dynamic spectrum management & cognitive radio systems
- States & Regions (like Paris region) involved:
  - Economical growth
  - Exceptional event or crisis management
  - White spaces
  - Landscape
- An issue for State/Regions regulations and new tools
  - Non exclusive use (sharing) vs. protection of investments (FCC block D)
  - Spectrum management and disseminated sensing for safety of usage



# Simulator: Towards a Spectrum Management Tool

Objectives Constraints

QoS,  
Security,  
Economic Development,  
Revenues,  
Regulation,  
Urban landscape...



Network setting  
parameters

Network measurements

Adjust the spectrum usage to needs