

**ITU Forum on Conformance and Interoperability
Testing in CIS and Europe Regions
(Moscow, Russia, 9-11 November 2011)**

**Validation of protocol specifications
- THE ETSI APPROACH -**

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Moscow, Russia, 9-11 November 2011



Presentation Outline

- About ETSI
- The ETSI Approach
 - ◆ Validation
 - ◆ Testing
- Use of TTCN-3 at ETSI
- Conclusions
- More on STFs
- More on the development of test specifications

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About ETSI

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ETSI – Shaping the Future

- European standards organisation setting globally-applicable standards in ICT (Information Communication Technology)
 - ◆ Including fixed, mobile, radio, converged, broadcast and Internet technologies
- Independent, not-for-profit, created in 1988
 - ◆ Based in the South of France
- More than 760 Member companies and organisations from 63 countries and 5 continents
- Founder member of  3GPP
A GLOBAL INITIATIVE
- Over 23,000 publications – available for free!
 - ◆ <http://www.etsi.org/WebSite/homepage.aspx>

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ETSI – World Class Standards

- **GSM™ – Developed by ETSI**
 - ◆ Over 3.5 billion users in over 200 countries
 - ◆ 1.3 million new users EVERY DAY!
- **ETSI's Lawful Interception standard**
 - ◆ Being deployed in Europe, USA and Australia, where laws are being introduced to comply with the ETSI Standard
- **DECT™ – Digital Enhanced Cordless Telecommunications**
 - ◆ Adopted in over 110 countries, with over 670 million devices sold and more than 100 million devices being added every year.

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ETSI – World Class Standards

- **TETRA (Terrestrial Trunked Radio)**
 - ◆ 2000 contracts in more than 100 countries
 - ◆ Emergency services (Fire, Police, Ambulance ...)
- **DVB/DAB (Digital Video/Audio Broadcasting)**
 - ◆ Services available on every continent
 - ◆ DVBH (mobile DVB)
- **TISPAN (Home for NGN)**
 - ◆ Next Generation Networks standardisation
- **3GPP LTE**
 - ◆ The Mobile Broadband Technology

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New Growth Areas

- Internet of Things
- M2M Communications (Machine2Machine)
- Reconfigurable Radio Systems
- Multimedia Content Distribution
- Grid Computing & Clouds
- RFID (Radio Frequency Identification)
- Intelligent Transport Systems
- Emergency alerting, e-call
- GSM on aircraft
- Quantum Key Distribution
- Self-managing Internet
- Energy Efficiency
- ...

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Creator of the TTCN-3 Standard



<http://www.ttcn-3.org>

- **TC MTS (Methods for Testing and Specification)**
 - ◆ Developed TTCN-3
 - ◆ ETSI Standard (ES)
- **Key TTCN-3 Standards**
 - ◆ ES 201 873-1: TTCN-3 Core Language
 - ◆ ES 201 873-5: TTCN-3 Runtime Interface (TRI)
 - ◆ ES 201 873-6: TTCN-3 Control Interfaces (TCI)
 - ◆ ES 201 873-7 etc: ASN.1, XML, IDL, Code Documentation
 - ◆ also all endorsed by ITU-T SG17 (Z.140 Series)
- **New Extension Packages (drafts)**
 - ◆ Configuration and Deployment Support
 - ◆ Performance Testing
 - ◆ Real-time testing
 - ◆ And others ...

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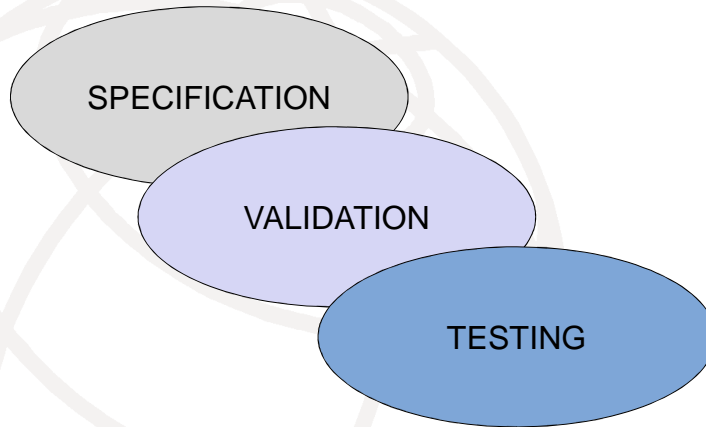
Why TTCN-3?

- Specifically designed for testing
 - ◆ Concentrates on the test not the test system
 - ◆ Independent of the execution environment
- Wide range of applications
 - ◆ Mobile communications to Internet to software to ...
- Standardised
 - ◆ Commonly understood syntax and operational semantics
 - ◆ Constantly maintained and developed
 - ◆ Off-the-shelf tools and TTCN-based test systems
- Unifies different (all) testing activities
 - ◆ Education and training costs can be rationalized
 - ◆ Maintenance of test suites (and products) is easier
 - ◆ Facilitates a common methodology and style
 - ◆ Both on a corporate level and within standardization

ETSI - Home of Testing!

- Standardized Conformance and Interoperability Test Specifications enable an interconnected world
- Testing and Validation are the red thread running through the entire ETSI standards development process
- **ETSI philosophy!**
- Only interoperable and protocol conformant implementations ensure
 - ◆ Multiple manufacturer product choice for users
 - Business, Governmental, Private users
 - ◆ Implementations are easy to use (Plug&Play)
 - ◆ Increased market for manufacturers

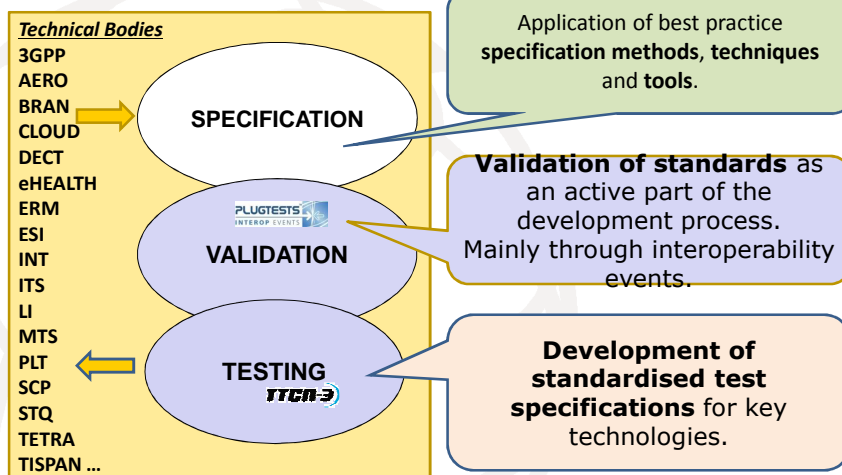
The ETSI Approach



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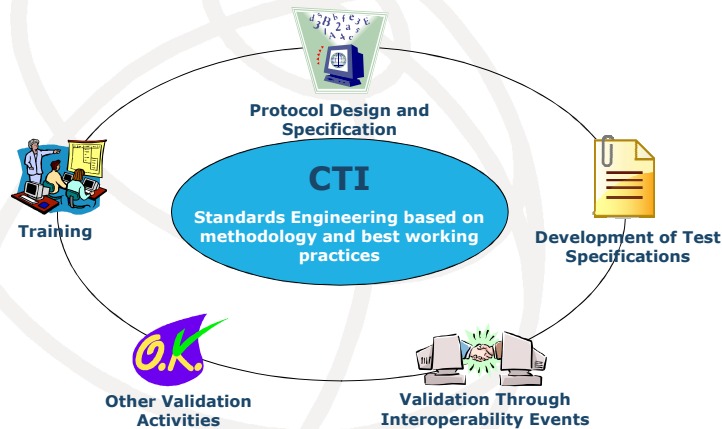
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ETSI Approach – Three Best Practices



12 Ensuring standardisation through testing - ATC Global - March 2011

Centre for Testing & Interoperability



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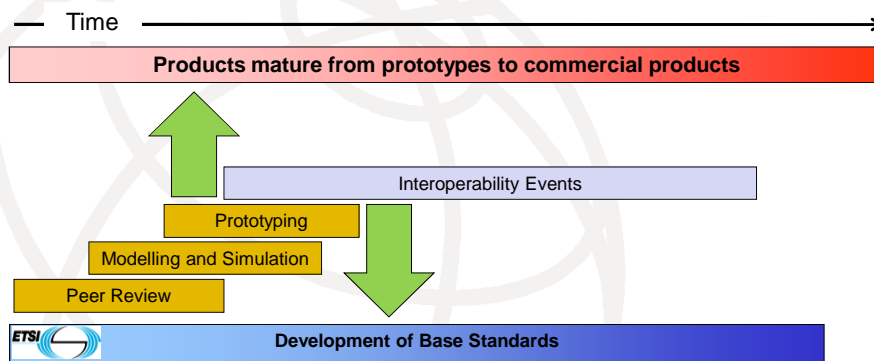
Why Validate Standards?

- Validation reveals problems/errors in
 - ◆ Standards and Products
- Validated standards give a higher chance of interoperable products
 - ◆ Assurance that they provide the right functionality
 - ◆ Gives manufacturers and operators confidence to implement and go to market
- Provides an opportunity to correct errors in a controlled manner
 - ◆ Decreases time to market
 - ◆ Late fixes in the product cycle are more expensive than early ones

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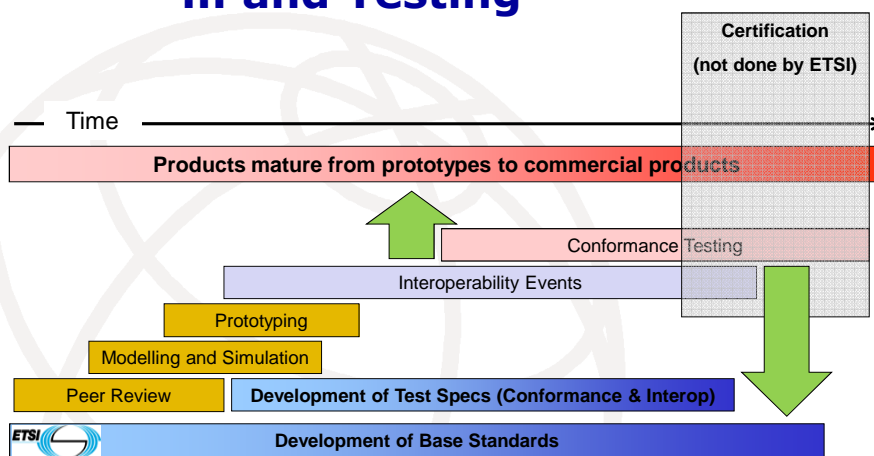
Validation of Standards ...



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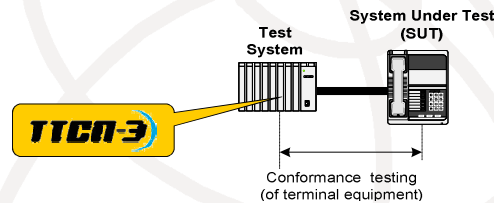
... and Testing



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Conformance Testing



Tests a specific (part of a) product for compliance to requirements in a Base Standard

Characteristics of Conformance Testing

- Gives a high-level of confidence that the standardised parts of a product are working as specified
- It is component (Black Box) testing
 - ◆ Usually *One requirement -> One test*
- Requires a test system (i.e., executable test cases)
 - ◆ Test execution is automated and repeatable
 - ◆ Tests in controlled conditions
- High degree of control and observation
 - ◆ Can provoke and test non-normal (but legitimate) scenarios
 - ◆ Can explicitly test error behaviour (robustness)
- Tests are thorough and accurate but limited in scope
 - ◆ At level of detailed protocol messages, service primitives, or procedure calls

Limitations of Conformance Testing

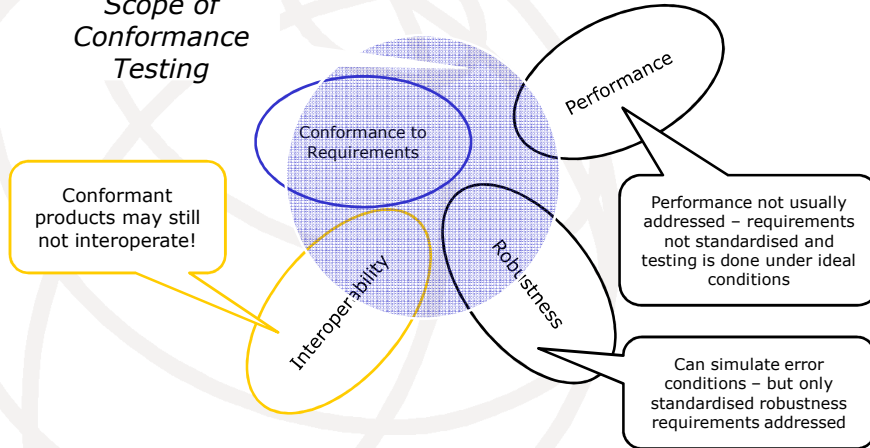
- **Does not necessarily prove interoperability with other products**
- Tests are focussed on part of a product
 - ◆ A system is often greater than the sum of its parts!
 - ◆ Does not test the user's 'perception' of the system
 - ◆ Standardised conformance tests do not include proprietary features
- Test systems may be expensive
 - ◆ But cost may be relative to size of the market

Case Study – 3GPP UE Testing

- 3GPP mobile protocol / signalling testing
- Project was started in 2000
- 40 companies involved
 - ◆ A large extent of test industry involved
- 16 experts led by ETSI CTI
 - ◆ Aotal budget > 90 person months / year (in 2009)
- 28 Test Suites
 - ◆ More than 1400 Test Cases
 - ◆ Running on 4 System Simulator platforms
- Delivery every 3 weeks
- Deployed by GCF / PTCRB for UE certification

Scope of Conformance Testing

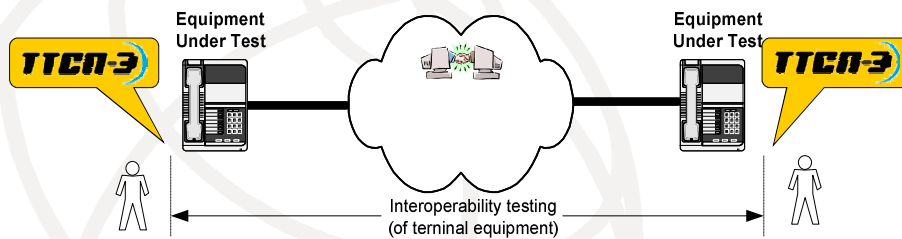
Scope of Conformance Testing



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Interoperability Testing



Tests end-to-end functionality between a collection of products

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Pros and Cons of IOP Testing

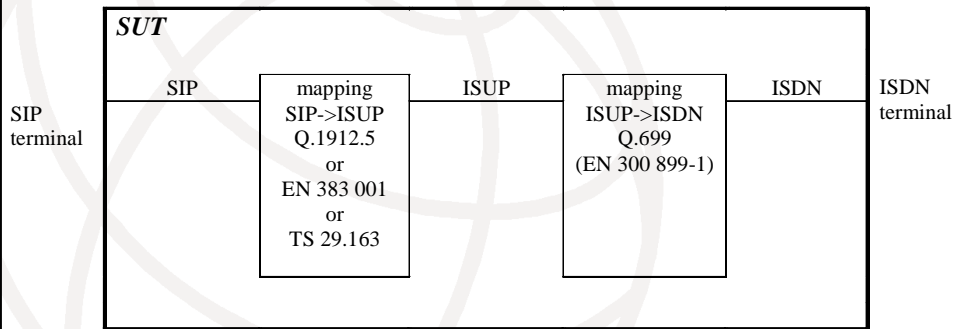
- Gives a high-level of confidence that one product will interoperate with another product
- Manual system (NOT interface!) testing, results based on subjective perception of test operators
 - ◆ System not necessarily complete!
- Does not prove interoperability with other products with which no testing has been done
- Does **NOT** prove that a products are conformant!

Other Testing Methods - NIT

- End-to-End NIT (Network Integration Testing) covers testing activities necessary to assess the correct behaviour of interconnected networks from the point of view of access interfaces
- End-to-End tests are based on the emulation of subscriber equipment behaviour on the UNI interfaces where subscriber equipment is connected to the network(s) under test

Example - NIT

- ETSI TS 186 001 series on “NIT between SIP/PSTN network signalling protocols”



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Other Testing Methods - IW

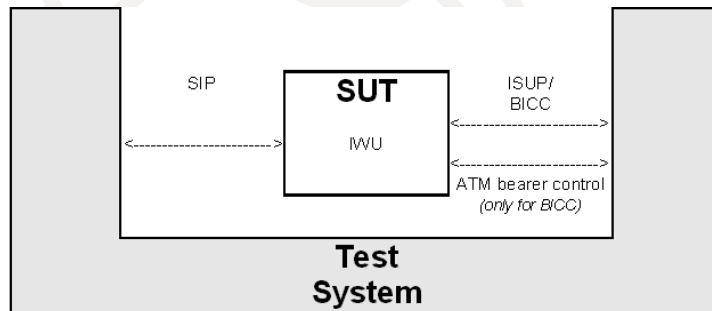
- Interworking Testing (IW) covers testing activities necessary to assess the correct conversion of protocol data between network components running different protocols
 - ◆ The IWU (Interworking Unit) is the implementation under test
- IW tests are based on the emulation of network equipment behaviour on the NNI interfaces where the different protocols are running

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Example - IW

- ETSI TS 186 009 series on "Interworking between Session Initiation Protocol (SIP) and Bearer Independent Call Control Protocol (BICC) or ISDN User Part (ISUP)"



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Use of TTCN-3 at ETSI ...

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Use of TTCN-3 at ETSI

- All test suite development done in TTCN-3
 - ◆ Some maintenance of legacy TTCN-2 test suites
- Test Suites developed by Specialist Task Forces (STF)
 - ◆ At the request of the ETSI Technical Committees
 - ◆ Experts recruited from the ETSI Membership
 - ◆ Mostly under the technical management of CTI
 - ◆ 15 to 20 testing STFs per year

TTCN-3: Testing and Test Control Notation

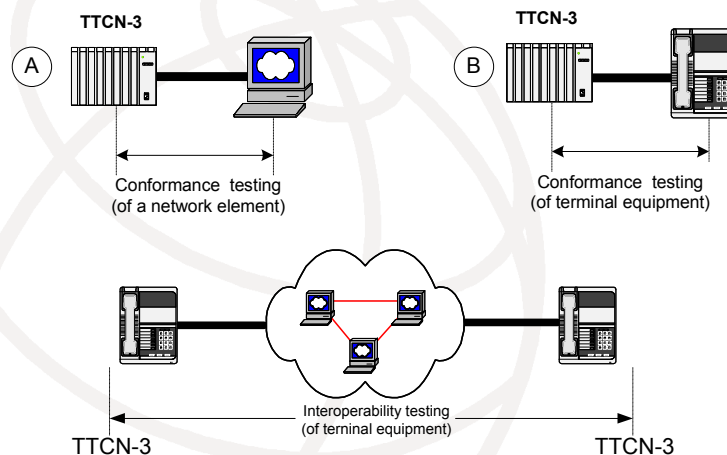
- The standardized alternative to proprietary test systems
- Developed by a large group of testing experts
 - ◆ Used by a growing community
 - ◆ Proven by tools
 - ◆ Maintained at ETSI
- TTCN-3 is a test specification and implementation language

Main capabilities of TTCN-3

- Dynamic concurrent test configurations
- Synchronous and asynchronous communication mechanisms
- Data templates with powerful matching mechanism
- Assignment and handling of test verdicts
- Test case selection mechanisms
- Test suite and test data parameterization

Application of TTCN-3

- May be used for all kinds of testing ...



Validation of Tests

- Where possible ETSI Test Specifications are validated prior to publication
- Minimum requirement is that they compile on at least one tool
 - ◆ E.g., UMTS compiles on at least 4 platforms
- In many cases we execute the tests against live implementations
 - ◆ In co-operation with partners (Test Labs and Vendors)
 - ◆ E.g., UMTS tests executed against at least 2 different implementations

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Some ETSI TTCN-3 Test Suites

- IPv6 (TC MTS)
 - ◆ Core, Security, Mobility, Transitioning
- IMS (TC INT & TC TISPAN)
 - ◆ Interoperability, Network Integration, ISDN Interworking, Supplementary Services
- WiMAX (TC BRAN & WiMAX Forum)
 - ◆ Conformance (PCT, NCT), Interoperability
- Intelligent transport (TC ITS)
 - ◆ Direct Short Range Communication (DSRC)
- LTE (3GPP)
 - ◆ UE conformance
- DMR/DPMR (TC ERM)
 - ◆ Terminal conformance
- SIP (TC MTS)
 - ◆ RFC 3261 UA and Proxy conformance

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TTCN-3 Tools Used at ETSI

- 6 different TTCN-3 Development Environments and Compilers
- ETSI TTCN-3 Documentation Tool (T3D)
- ETSI TTCN-3 Code Quality Tool (T3Q)
- ETSI tools will be made available as Open source
 - ◆ More information on TTCN-3 tools available at
 - ◆ <http://www.ttcn-3.org/>

Reasons for Testing

- An interconnected world needs protocol conformant and interoperable products
- Standardized test specifications achieve this objective
- Validation and Testing are cornerstones in the development of ETSI standards
- Validation and testing must be part of the standard development process, NOT a late add-on!
 - ◆ Conformance and/or interoperability/NIT/IW
- Synchronise testing activities with the development of the standard
 - ◆ Ensure feedback to the base standards
- **Use formal and standardized test methodologies!**
For ETSI, TTCN-3 is: "The best choice"

More on the development of test specifications...

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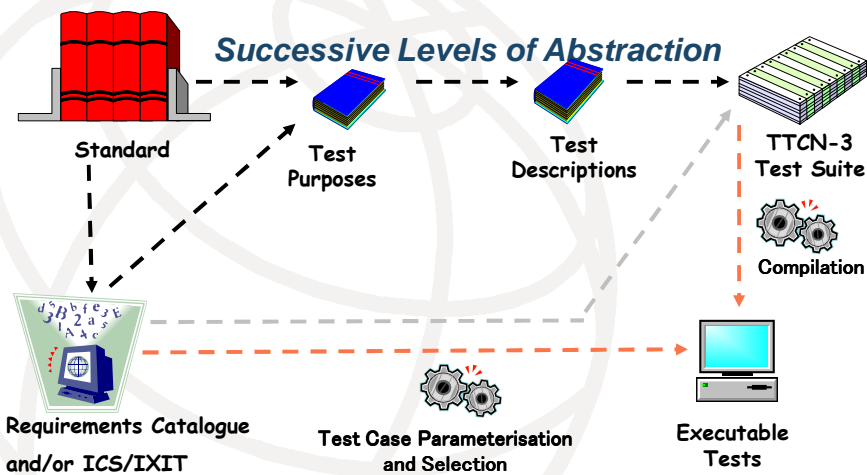
Test Suites Developed by ...

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 - ◆ At the request of the ETSI Technical Committees
 - ETSI Funded Work program budget
 - ◆ Mostly under the technical leadership of CTI and involving CTI experts and experts recruited from the ETSI Membership
 - ◆ Around 15 testing STFs per year
 - E.g., UMTS 18 experts (approx. 90mm/year)
 - ◆ May be funded by the EC if part of an interop event

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Test Specification Development



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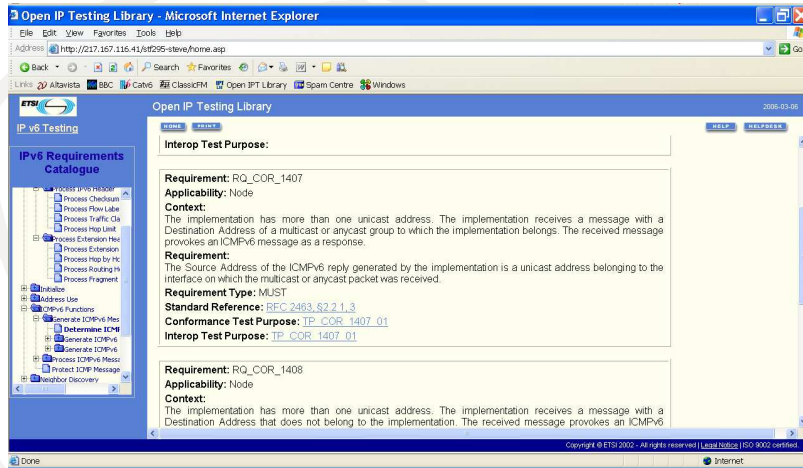
The Requirements Catalogue

-
- Database of all extracted requirements
 - ◆ REQ name
 - ◆ Reference to base standard
 - ◆ Context information
 - ◆ Status: Mandatory (M), Optional (O) etc.
 - ◆ Dependencies between optional requirements
 - Links to Test Purposes
 - Links to Test Cases
 - Search and grouping capabilities and dependencies

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The Requirements Catalogue



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Example TPLAN Test Purpose

```

TP id      : TP_COR_0047_01
Summary   : 'hop limit of one'
RQ Ref    : RQ_COR_0047
Config    : CF_02_C
TC Ref    : TC_COR_0047_01
ensure that {
  --Stimulus
  when { IUT receives 'Ipv6 packet' from 'Host'
         containing 'IPv6 Header'
         indicating 'Hop limit' set to '1' }
  --Expected response
  then { IUT sends 'ICMPv6 Time Exceeded' to 'Host'
         containing 'ICMP code' set to 'ZERO'
        }
}
    
```

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Test Descriptions

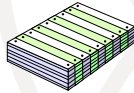


- More detailed than Test Purposes
 - But not directly executable
- Act as a design specification for test cases
- Or for manual execution
 - E.g. Interoperability testing
- Contains more information
 - Configuration details
 - Postamble and Preamble sequences
 - Detailed message sequences for test body
 - Parameter values etc.

Example Test Description

Test Description			
Identifier:	TD_COR_1100_01		
Summary	EUT reassembles a fragmented packet of an original length less than 1500 octets		
Test Purpose:	TP_COR_1100_01	Reference:	RQ_COR_1100 Configuration: CF_011_J
<pre>with { 'the MTU on Link1 set to 1400 octets' } ensure that { when { QE is requested to 'send data requiring a packet length greater than 1500 octets' } then { EUT indicates 'receipt of the same data without modification' } }</pre>			
Pre-Test Conditions:	<ul style="list-style-type: none"> • MTU set to 1400 octets on link1 		
Step	Step	Verdict	
		Pass	Fail
1	Cause QE to send an Echo Request to EUT with a packet size of 1450 octets and with each octet set to the hexadecimal value "FO"		
2	Check: Does protocol monitor show that the Echo Request was sent from QE to EUT?	Yes	No
3	Check: Does QE receive an Echo Reply from EUT with the packet length the same as the Echo Request and with each octet containing the hexadecimal value "FO"?	Yes	No
Observations			

TTCN-3 Test Cases

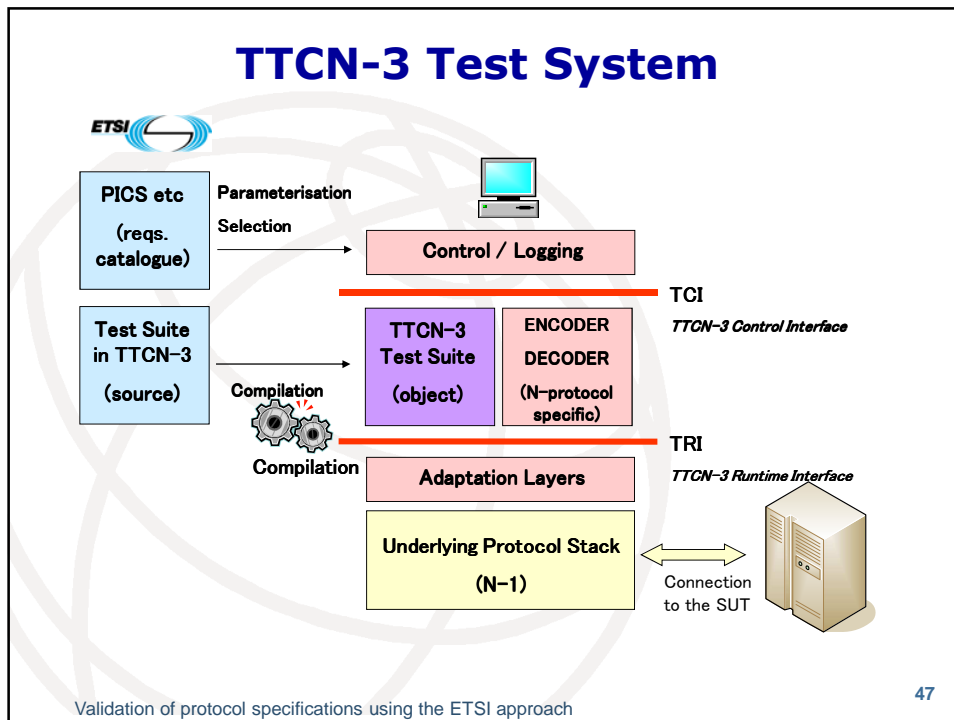


TTCN-3
Test Suite

- Detailed TTCN-3 test script that implements test purpose
 - ◆ Can be compiled and executed
- Specifies HOW to test not WHAT to test
 - ◆ Preamble
 - ◆ Test body (i.e., implementation of the Test Purpose)
 - ◆ Postamble
- Assigns test verdicts
- Handles unexpected behaviour as well as the behaviour in the test purpose
- Can be distributed over parallel test components
- Can be entirely automated
- Configurable at run-time

Example TTCN-3 Test Case

```
testcase TC_COR_0047_01() runs on Ipv6Node system EtherNetAdapter {
  f_cf02Up();           // Configure test system for HS->RT
                        // No preamble required in this case
  f_TP_HopsSetToOne(); // Perform test
                        // No postamble required in this case
  f_cf02Down();        // Return test system to initial state
}
function f_TP_HopsSetToOne() runs on Ipv6Node {
  var Ipv6Packet v_ipPkt;
  var FncRetCode v_ret := f_echoTimeExceeded( 1, v_ipPkt );
  if ( v_ret == e_success and v_ipPkt.icmpCode == 0 )
  { setverdict(pass);}
  else { setverdict(fail);}
}
function f_echoTimeExceeded(in UInt8 p_hops, out Ipv6Packet p_ipPkt )
runs on Ipv6Node return FncRetCode {
  var Ipv6Packet v_ipPacket; var FncRetCode v_ret;
  ipPort.send( m_echoReqWithHops(p_hops) );
  alt {
    [] ipPort.receive( mw_anyTimeExceeded ) -> value p_ipPkt
    { return e_success }
    [] ipPort.receive { return e_error } }
}
```



- ## ETSI Support for Testing
- **Technical Committee MTS**
 - ◆ Methods for Testing and Specification
 - ◆ Standardised frameworks, methodologies, languages
 - For protocol specification
 - For testing
 - ◆ "Making Better Standards" <http://portal.etsi.org/mbs>
 - **Centre for Testing and Interoperability (CTI)**
 - ◆ Direct support to ETSI Technical Bodies
 - ◆ Application of protocol engineering and best practices
 - ◆ Development of test specifications
 - ◆ Standards validation, including interoperability events (Plugtests™)
- Validation of protocol specifications using the ETSI approach
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More on STFs ...

ETSI specifications creation using STFs

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Test Suites Developed by ...

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ETSI specifications creation using STFs

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What is a Specialist Task Force (STF)?

- Team of highly-skilled experts working together over a pre-defined period to draft an ETSI standard under the technical guidance of an ETSI Technical Body and with the support of the ETSI Secretariat
- The task of the STFs is to accelerate the standardization process in areas of strategic importance and in response to urgent market needs
- STF work is normally done by the experts in common sessions in the ETSI premises at Sophia Antipolis in France

ETSI specifications creation using STFs

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Who can join, who pays the STF experts?

- Experts for STFs can be proposed by ETSI Members or supported by ETSI Members
- ETSI may provide a financial compensation to the Companies for the work of their experts in the STF
- STF funding is provided either by ETSI, EC/EFTA or by a group of interested Members
- STFs must be approved by the ETSI Board
- STF-like solutions can also be provided by ETSI for Special Projects, Partnership Projects, for study and investigation and/or laboratory activities

ETSI specifications creation using STFs

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THANK YOU!

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