# Conformance assessment methods to combat counterfeit mobile phones, ITU-D Workshop

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# Conformity Test Landscape

Regulatory Requirements

House "Standards" (e.g. operator test plans)

Industry Requirements (e.g. Automotive)

Adjacent Channel Selectivity

(National) Safety Regulations

3GPP Test Specs

ITU

LBT

Signaling Procedures

Blocking

Receiver Saturation

Tx Signal Quality

Spurious Emissions

Radio Resource Management

**DFS** 

Transmitter Emission Mask

**Protocol Conformance** 

Out-of-Band Emissions

**Duty Cycle** 

**Transmit Power Control** 

Co-existence Testing

Intermodulation by Transmitter

Intermodulation by Receiver

.... and more

# Basic Conformity Areas for Mobile Phones

- EMC/EMI Conformance
- Radio Transmission and Reception Conformance
- Protocol Conformance
- Radio Resource Management
- OTA (Over The Air) Performance Testing
- RF Exposure Conformance
- Power consumption requirements
- Location Based Services / Emergency call
- Application Testing

# Conformity Assessment for Mobile Phones

- Regulatory Requirements / Market Introduction
  - RED (Radio Equipment Directive), EC Europe
  - MIC, Japan
  - FCC, USA
  - ...
- Industry Certification Groups
  - GCF (Global Certification Forum)
  - PTCRB
- Operator Test Plans and Network Approval

### Problems with Counterfeit Mobile Phones

- Low RF performance
- Unwanted Emissions
- Poor/Wrong Antenna Design
- Faulty or outdated protocol implementation
- Heating / Battery safety
- Missing RF Exposure measures
- Wrong Cell and Parameter Reporting
- Identity (IMEI Duplication)

### **EMC/EMI Conformance**

- Main topic is the conformance assessment for Unwanted Emission
  - Basic Requirements → ITU Recommendation SM.329
  - National Regulation
  - Radiated Requirement and Test
- Focus: Disturbance of other services
  - Not a metric for the service performance of a device
- Often the only coverage in tests for admission to a local market

# Conformance for radio transmission and reception / OTA performance

- Radio transmission and reception conformance defines the minimum RF characteristics and minimum performance requirements for mobile phones.
- Typical parameters are:
  - Maximum Transmission Power / Power dynamics
  - Unwanted Emissions
  - Modulation Quality
  - Receiver Sensitivity
  - Demodulation and Throughput Performance
- OTA performance includes the performance of the antenna of the device (Tx and Rx)
  - Total Radiated Power
  - Total Integrated Sensitivity
  - Overview in ITU-T contribution T17-SG11-C-0174



## Radio Resource Management Conformance

- Testing of Timing and Signaling Characteristics, Reporting Procedures and Accuracy, Mobility Control
- RRM Testing qualifies the ability of a mobile device to efficiently use the network configuration in terms of mobility and measurement reporting.
- Essential for the general performance in mobility scenarios like cell and technology handover
- Fundamental performance requirement if mobile phone reporting parameter are used in self organizing networks

### **Protocol Conformance**

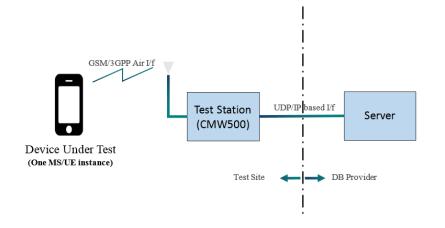
- Protocol and signaling conformance testing checks the conformant implementation of the radio protocol.
- Usually this is designed that the different test purposes per radio layer and the relevant procedures are tested.
- Essential for correct protocol function with the network
  - Transport Format Selection
  - Priority Handling
  - Data Transfer (ARQ Function)
  - Security
  - NAS (Non Access Stratum) procedures
  - ....
- Example of a LTE RRC (Radio Resource Control) procedure
  - TC 9.1.4.2 "Identification procedure, IMEI requested" \*

<sup>\*</sup> as per ETSI TS136.523-1



### IMEI verification

- IMEI procedure as per 3GPP air interface
  - GSM/WCDMA/TD-SCDMA/LTE
- Independent of network operator
  - Operation with Test-UICC
- Automation possible Connection to IMEI Database



#### Interaction Scenarios

#### Registration / Initial Attach / IMEI Request





Thank you.