

Document C21/INF/11-E 7 May 2021 English only

Report by the Secretary-General

OVERVIEW OF THE ITU COMPLIANCE DASHBOARD

Summary

The ITU Compliance Tracker and Dashboard have been implemented as the central repository for all recommendations by internal and external oversight bodies, i.e. Internal Audit, External Audit, Independent Management Advisory Committee (IMAC) and the Joint Inspection Unit of the UN system (JIU), aiming to track responses and follow-up on their implementation.

Action required

This report is transmitted to the Council for information.

Introduction to the ITU Compliance Dashboard





Enables ITU management monitoring and follow-up to the implementation of the recommendations of the internal and external oversight bodies:

- Internal Auditor
- External Auditor
- Independent Management Advisory Committee (IMAC)
- Joint Inspection Unit of the UN system (JIU)



Enables ITU management to have a clear view of the **recommendations in progress**, the risks related to these recommendations, information concerning the **follow-up actions** and **progress made to implement the recommendations** and allows triggering **notifications and reminders** where necessary.



The ITU Compliance Dashboard complements the **ITU Risk Register**, enabling the **assessment of all risks related to the recommendations** that are still under implementation, as well as assessment of the residual risks after measures have been implemented.



Through this system, managers can assign staff members **responsibility for follow-up**, **track actions taken** and **validate** when each issue is sufficiently **resolved**.

Overview of the tool



Compliance Tracker

The database for all the recommendations, stores the detailed information and status updates for each recommendation

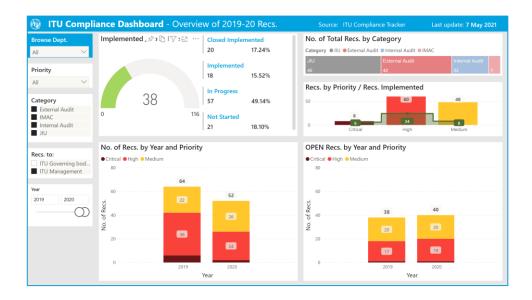
• Stores information on, *inter alia*, priority, date, oversight body, source report, status, actions taken, months a rec. is open, the dept. / unit / primary focal point responsible for the followup and implementation

	ITU Compliance Tracker												
	Reporting Status ~	Recommendation Theme \sim	Recommendati	Recommendation Summary ~	Rec. addressed to \sim	Priority $\uparrow \sim$	Internal Status ~	Months ~	Actions Taken	Source Report ~	Category ~	Date Recomme	ITU Division
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	A Contai Operation	IA Report 19-09 Management.	Reconnectation aigno 0004	It is also recommended that the Chell, Hild (in collaboration with the Director, RDT grouppely implement the recommendations as per the impaction report of the fram. 2010, including the interdiscions of compatible procedures the relations of consultants.	illų Masagoneri	Ottal	in ihogresi	24	Ref Docuvert CMS-FHI 1015 (Sept.2010) Point 16 in progress The interfaces of the Coordination Connectate of This law agrind in the pitched hydrate Cooler 2020 Under triplementation is renew eventuement system is under processes	iA Report 19-08 Managemetric Letter	internal Audit	4/1/2019	
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Internal Audit	O A COUNT Investigat	.3A Report 2 ∃ LB C3	Reconvendation 66/20 01/R080	A simply recommended to the Oriel, (ALC) during previous assignments to throughen the contrast over SIAs, and its relative the recommendation with orbital priority for considering the urgent and	R: Management	Onlast	in Progress	.11		iA Report 20-01 Inspection of RO-40 activities	Hteral Audit	5/5/2020	
External Audit	- Reached	lå Report 19-09 Managemen	Recommendation (01/15-09/04)	It is non-international that PNING/PRINC Devices in communication with the Dimeter EDT, answer the relevance and satisfy of an well as the nic containment by the Basic Rules has procurement of expansion [2]	ITI/ Management	Deteal	inglerants)	1	Net Docenter CRC-PHI 10:15 (Sept 2018): Note 1 https://www.net Press: Book Nets Nets Leen abrogetod by C-18 and replaced by the new Proceeveent Manual	lA Report 19-00 Mesupernet Letter	Internal Audit	4/3/2019	FEMD
IMAC	/ functional	iA Report 19-09 Managemen.	Recommendation 02/15/00/10	W recentrends the set of a single processing without ensuring the Union by Chief, ITAND Insuing the expected ITU Processment manual investigates.	ITV Management	Offical	inglemented	2	Net Document CMO-FNET 10/15 (Sept 20118): Fuen 7 Implemental. Section Order 15/12 Processment Mescal Isoact on 12 June 2018	ik faquet (1)-00 Marsgament Letter	Internal-Audit	4/1/2010	PRIAD
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Compliance Dashboard

- The compliance dashboard is a Business Intelligence tool based on PowerBI, used by ITU staff and managers to provide insights on the status and follow-up of implementation of the recommendations
- Presenting data on recommendations and status, inter alia, by year, type of rec., priority, Dept. responsible for implementation, time to implement

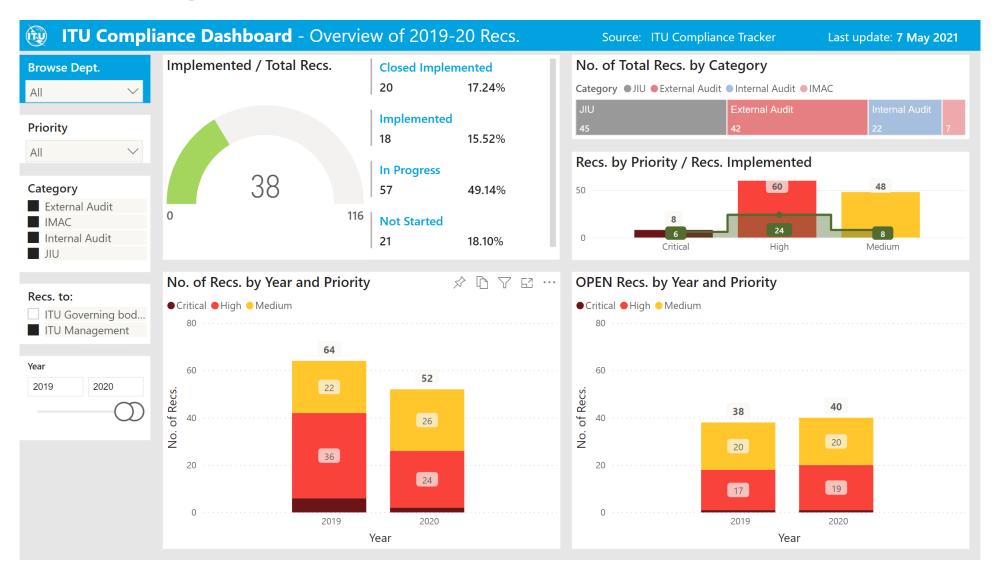




Annex: ITU Compliance Dashboard reports

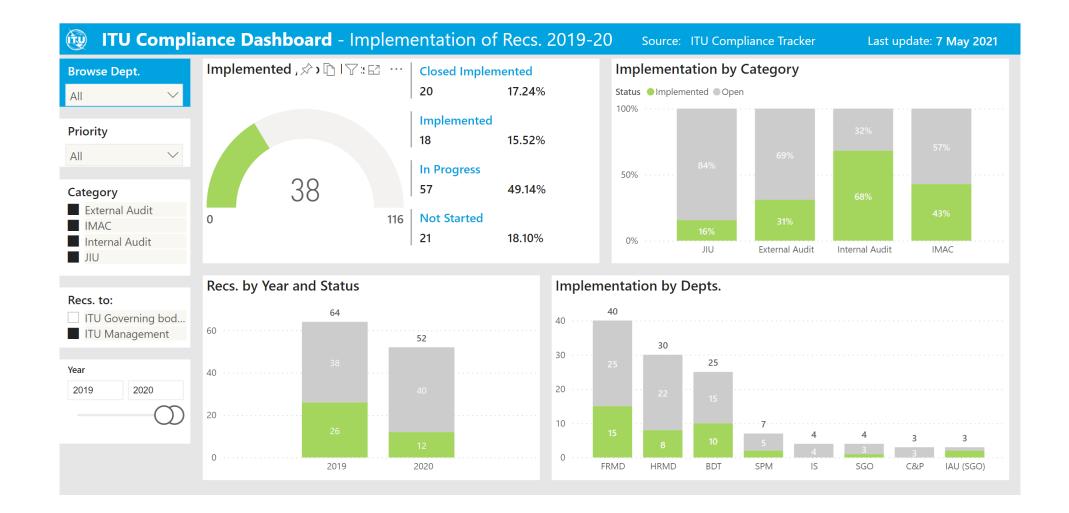
- The Compliance Dashboard is a tool recently being implemented and under development, aiming to assist internal management with the implementation of recommendations of the internal and external oversight bodies.
- The following slides present information and implementation statistics on recommendations issued in 2019 and 2020 (therefore implementation rates are normal at this period of time, as the recommendations take time to be fully implemented).
- Updated statistics will be presented to future Council sessions, extending the period of recommendations being analysed.

Overview of status of recommendations issued by internal and external oversight bodies in 2019 and 2020



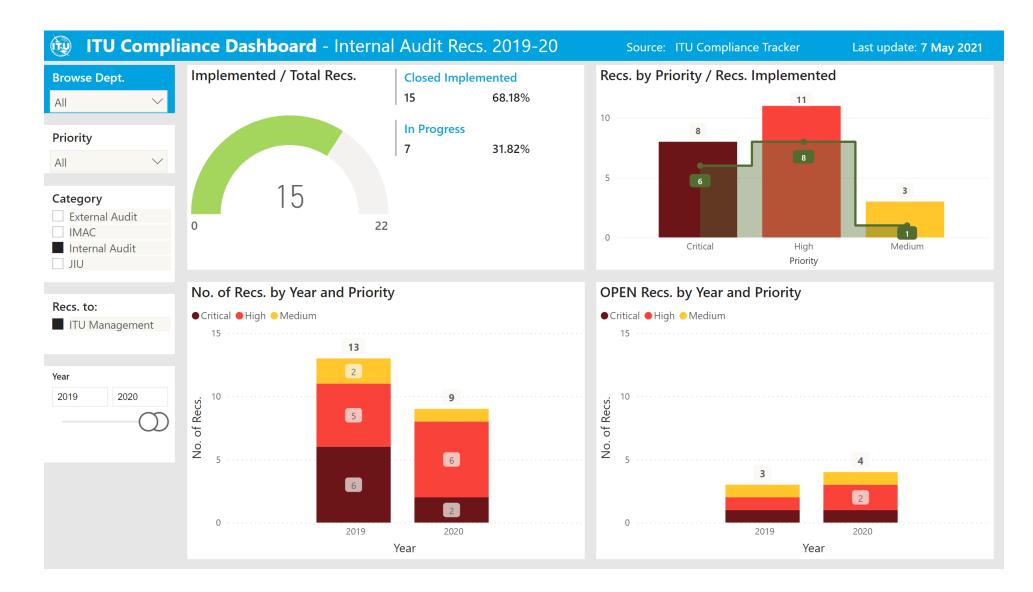
Implementation status of all Recs. issued in 2019 and 2020





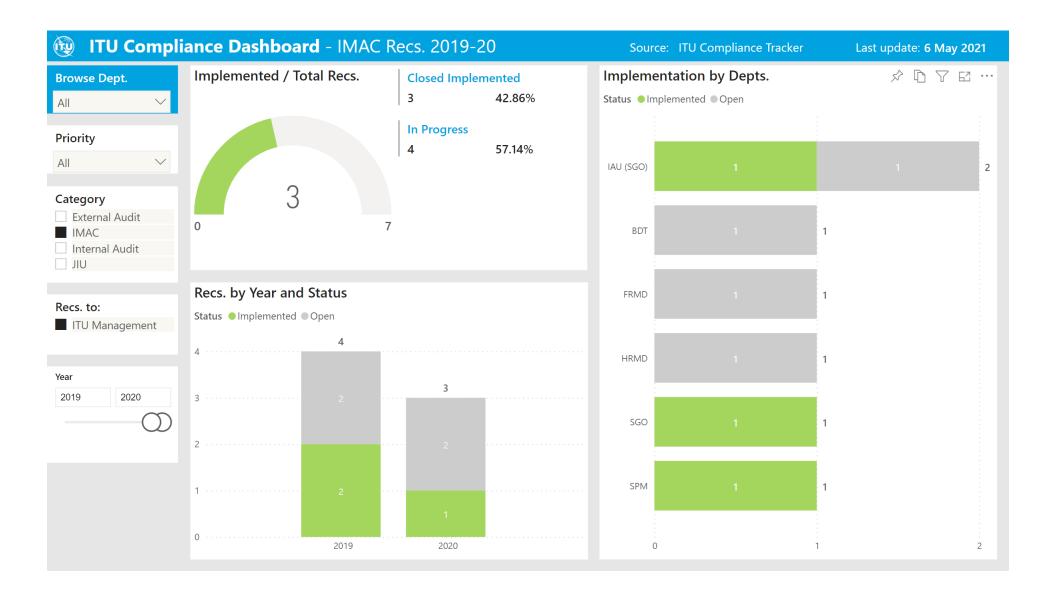
Internal Audit - status of recs. issued in 2019 and 2020





IMAC - status of recs. issued in 2019 and 2020

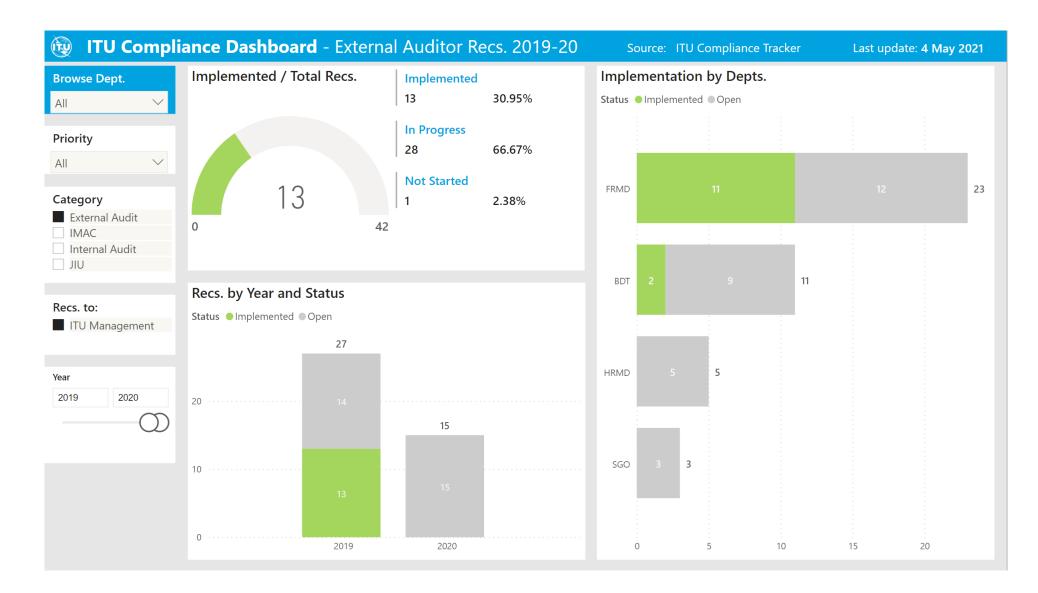




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External Auditor - status of recs. issued in 2019 and 2020





JIU – recommendations issued in 2019 and 2020



