|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Title: ITU logo | INTERNATIONAL TELECOMMUNICATION UNION  **TELECOMMUNICATION STANDARDIZATION SECTOR**  STUDY PERIOD 2022-2024 | | | TSAG-TD364 |
| TSAG |
| **Original: English** |
| **Question(s):** | | N/A | | Geneva, 22-26 January 2024 |
| **TD (Ref.:** [SG11-LS117](http://handle.itu.int/11.1002/ls/sp17-sg11-oLS-00117.docx)**)** | | | | |
| **Source:** | | ITU-T Study Group 11 | | |
| **Title:** | | LS/r on "Guidelines on the appointment and operations of registration authorities" (reply to TSAG-LS23) [from ITU-T SG11] | | |
| **LIAISON STATEMENT** | | | | |
| **For action to:** | | | TSAG | |
| **For information to:** | | | - | |
| **Approval:** | | | ITU-T Study Group 11 meeting (Geneva, 20 October 2023) | |
| **Deadline:** | | | 10 April 2024 | |
| **Contact:** | | | Ritu Ranjan Mittar Chairman SG11 India | Tel: +919868137776 E-mail: [rr.mittar@gov.in](mailto:rr.mittar@gov.in) |
| **Contact:** | | | Cheng Li Rapporteur of Q2/11 China | Tel: +86 10 62300026 Fax: +86 10 62305161 Email: [licheng@caict.ac.cn](mailto:licheng@caict.ac.cn) |
| **Contact:** | | | Assaf Klinger Vaulto Technologies Ltd. Israel | Tel: +972502138133 E-mail: [assaf.klinger@gmail.com](mailto:assaf.klinger@gmail.com) |

This liaison statement answers [TSAG-LS23](https://www.itu.int/ifa/t/2022/ls/tsag/sp17-tsag-oLS-00023.docx).

A new liaison statement has been received from SG11.

This liaison statement follows and the original file can be downloaded from the ITU ftp server at <http://handle.itu.int/11.1002/ls/sp17-sg11-oLS-00117.docx>.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | INTERNATIONAL TELECOMMUNICATION UNION  **TELECOMMUNICATION STANDARDIZATION SECTOR**  STUDY PERIOD 2022-2024 | | | **SG11-LS117** | |
| **STUDY GROUP 11** | |
| **Original: English** | |
| **Question(s):** | | 2/11 | | Geneva, 10-20 October 2023 | |
| **Ref.: SG11-TD734/GEN** | | | | | |
| **Source:** | | ITU-T Study Group 11 | | | |
| **Title:** | | LS/r on "Guidelines on the appointment and operations of registration authorities" (reply to TSAG-LS23) | | | |
| **LIAISON STATEMENT** | | | | | |
| **For action to:** | | | TSAG | | |
| **For information to:** | | | - | | |
| **Approval:** | | | ITU-T Study Group 11 meeting (Geneva, 20 October 2023) | | |
| **Deadline:** | | | 10 April 2024 | | |
| **Contact:** | | | Ritu Ranjan Mittar Chairman SG11 India | | Tel: +919868137776 E-mail: [rr.mittar@gov.in](mailto:rr.mittar@gov.in) |
| **Contact:** | | | Cheng Li Rapporteur of Q2/11 China | | Tel: +86 10 62300026 Fax: +86 10 62305161 Email: [licheng@caict.ac.cn](mailto:licheng@caict.ac.cn) |
| **Contact:** | | | Assaf Klinger Vaulto Technologies Ltd. Israel | | Tel: +972502138133 E-mail: [assaf.klinger@gmail.com](mailto:assaf.klinger@gmail.com) |

|  |  |
| --- | --- |
| **Abstract:** | This Liaison Statement contains ITU-T SG11’s response to TSAG RG-WM LS (TSAG-LS23) on "Guidelines on the appointment and operations of registration authorities". |

ITU-T Study Group 11 thanks TSAG RG-WM for the LS([TSAG-LS23](http://handle.itu.int/11.1002/ls/sp17-tsag-oLS-00023.docx)). These proposed guidelines are very relevant to SG11’s work items, especially to ITU-T Q.TSCA.

After review of the text, SG11 would like to submit the following feedback to TSAG RG-WM:

1. In some cases, Registration Authorities (RA) need to verify applicant submitted information before registering the applicant’s request in the register.
2. For this, additional terms for external entities and information elements need to be defined, SG11 suggests TSAG RG-WM to consider defining the following terms, which are defined in [EV-Cert] in the proposed supplement:
   1. **business entity** [b-EV-Cert]: Any entity that is neither a Private Organization nor a Government Entity as defined herein. Examples include general partnerships, unincorporated associations, and sole proprietorships.
   2. **contract signer** [b-EV-Cert]: A contract signer is a natural person who is either the applicant, employed by the applicant, or an authorized agent who has express authority to represent the applicant, and who has authority on behalf of the applicant to sign subscriber agreements.
   3. **demand deposit account** [b-EV-Cert]: A deposit account held at a bank or other financial institution, the funds deposited in which are payable on demand. The primary purpose of demand accounts is to facilitate cashless payments by means of check, bank draft, direct debit, electronic funds transfer, etc. Usage varies among countries, but a demand deposit account is commonly known as a share draft account, a current account, or a checking account.
   4. **incorporating agency** [b-EV-Cert]: In the context of a Private Organization, the government agency in the Jurisdiction of Incorporation under whose authority the legal existence of Private Organizations is established (e.g., the government agency that issues certificates of incorporation). In the context of a Government Entity, the entity that enacts law, regulations, or decrees establishing the legal existence of Government Entities.
   5. **jurisdiction of incorporation** [b-EV-Cert]: In the context of a Private Organization, the country and (where applicable) the state or province or locality where the organization’s legal existence was established by a filing with (or an act of) an appropriate government agency or entity (e.g., where it was incorporated). In the context of a Government Entity, the country and (where applicable) the state or province where the Entity’s legal existence was created by law.
   6. **jurisdiction of registration** [b-EV-Cert]: In the case of a Business Entity, the state, province, or locality where the organization has registered its business presence by means of filings by a Principal Individual involved in the business.
   7. **government agency** [b-EV-Cert]: In the context of a Private Organization, the government agency in the Jurisdiction of Incorporation under whose authority the legal existence of Private Organizations is established (e.g., the government agency that issued the Certificate of Incorporation). In the context of Business Entities, the government agency in the jurisdiction of operation that registers business entities. In the case of a Government Entity, the entity that enacts law, regulations, or decrees establishing the legal existence of Government Entities.
   8. **government entity** [b-EV-Cert]: A government-operated legal entity, agency, department, ministry, or similar element of the government of a country, or political subdivision within such country (such as a state, province, city, county, etc.).
   9. **parent company** [b-EV-Cert]: A company that Controls a Subsidiary Company.
   10. **place of business** [b-EV-Cert]: The location of any facility (such as a factory, retail store, warehouse, etc) where the Applicant’s business is conducted.
   11. **private organization subjects** [b-EV-Cert]: A non-governmental legal entity (whether ownership interests are privately held or publicly traded) whose existence was created by a filing with (or an act of) the Incorporating Agency in its Jurisdiction of Incorporation.
   12. **qualified government information source (QGIS)** [b-EV-Cert]: A database maintained by a Government Entity (e.g. SEC filings) that meets the requirements of Appendix A.
   13. **qualified government tax information source (QGTI)** [b-EV-Cert]: A Qualified Governmental Information Source that specifically contains tax information relating to Private Organizations, Business Entities, or Individuals.
   14. **qualified independent information source (QIIS)** [b-EV-Cert]: A regularly-updated and current, publicly available, database designed for the purpose of accurately providing the information for which it is consulted, and which is generally recognized as a dependable source of such information.
   15. **registration agency** [b-EV-Cert]: A Governmental Agency that registers business information in connection with an entity’s business formation or authorization to conduct business under a license, charter or other certification.
   16. **registered agent** [b-EV-Cert]: An individual or entity that is: (i) authorized by the Applicant to receive service of process and business communications on behalf of the Applicant; and (ii) listed in the official records of the Applicant’s Jurisdiction of Incorporation as acting in the role specified in (i) above.
   17. **regulated financial institution** [b-EV-Cert]: A financial institution that is regulated, supervised, and examined by governmental, national, state or provincial, or local authorities.
   18. **registered office** [b-EV-Cert]: The official address of a company, as recorded with the Incorporating Agency, to which official documents are sent and at which legal notices are received.
   19. **registration number** [b-EV-Cert]: The unique number assigned to a Private Organization by the Incorporating Agency in such entity’s Jurisdiction of Incorporation.
   20. **Subsidiary company** [b-EV-Cert]: A company that is controlled by a Parent Company.
   21. **verified accountant letter** [b-EV-Cert]: A document meeting the verification requirements specified in related section.
   22. **verified legal opinion** [b-EV-Cert]: A document meeting the verification requirements specified in related section.

[b-EV-Cert] CA/Browser Forum (2007), Guidelines for the issuance and management of extended validation public-key certificates, version 1.8.0. Available at: <https://cabforum.org/wp-content/uploads/CA-Browser-Forum-EV-Guidelines-1.8.0.pdf>

ITU-T SG11 would like to keep close collaboration with TSAG RG-WM on this subject.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_