

# ITU-Korea project on Spectrum Management

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ITU - Caribbean

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# Introducing ITU

# Specialized Agencies of the United Nations



United Nations



UNESCO



WHO



ILO



UPU



ICAO



WMO



IMO



IAEA



THE WORLD BANK

WB



UNWTO

UNWTO



FAO

FAO



IFAD

IFAD



UNIDO

UNIDO



WIPO

WIPO



WFP

WFP



INTERNATIONAL  
MONETARY FUND

IMF



*A specialized agency of the UN with  
focus on **Telecommunication / ICTs***

*Founded in **1865** (151 Years)*

# ITU: A brief overview



**193** Member States  
**558** Sector Members  
**159** Associates  
**126** Academia

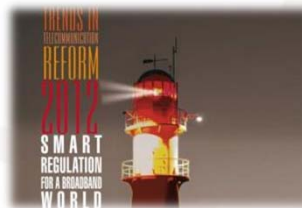


**ITU-R:** ITU's Radio-communication Sector globally manages radio-frequency spectrum and satellite orbits that ensure safety of life on land, at sea and in the skies.



**ITU-T:** ITU's Telecommunication Standardization Sector enables development of international standards defining elements in the interoperability of ICT global infrastructure.

Headquartered in Geneva  
**4** Regional Offices  
**7** Area Offices.



**ITU-D:** Vital role in policy, regulation, and legal frameworks development. Implementation of ICT projects and capacity building activities.



# ITU's GLOBAL PRESENCE



5 regional offices, 8 area offices  
HQ in Geneva, Switzerland

# ITU-D on SM and Broadcasting



## ➤ Spectrum Management

- SMS4DC (Spectrum Management System for Developing Countries)
  - ✓ To assist the administrations of developing countries on technical and regulatory procedures for managing spectrum
- Direct Assistance to Countries in cross-border frequency coordination and Spectrum Management Assessment
- Offering information: Radio-frequency usage fees, etc.
- Assisting development of training program (SMTP), EMF activities

## ➤ Broadcasting

- The Guidelines for Transition to Digital Broadcasting
  - ✓ To provide information on policy, technologies, network planning, and etc. for the smooth transition to Digital Broadcasting
- National roadmaps for the digital transition (helped 30 countries)
- Offering information: “Digital Dividend”, “Trends in Broadcasting”, etc.

## ➤ Other Activities

- ITU-D Study Group 1: Resolution 9 (Spectrum management), Question 8/1 (broadcasting), Question 7/2 (EMF)
- Cooperation with broadcasting organizations (WBU, EBU, ABU/AIBD etc.)

# Background of the Masterplan project

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## ➤ Increasing need of efficient spectrum management

- Many countries adopted new approaches: unified licensing, market based spectrum allocation, technical neutrality, cognitive radio systems
- Requires resources and skills to update national spectrum management frameworks

## ➤ ITU Global and Regional Objectives

- **WTDC-14 Dubai Action Plan Objective 2:** To foster an enabling environment for ICT development and foster the development of telecommunications/ICT networks, including enhancing awareness and capability of countries in the fields of spectrum planning and assignment, spectrum management and radio monitoring
- **AMERICA Regional Initiative 2:** To provide assistance in the transition to digital broadcasting and spectrum management, including support for the elaboration of spectrum-management plans at the national, regional and global levels

## ➤ Offer from Korea: SM Masterplan Project in ASP (3 countries)

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# SM Master Plan Project in ASP

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- **SM Master Plan Project in ASP (3 countries)**
- **ASP Regional Initiative 5: Policy and regulation**
- **Original Project**
  - Bangladesh
  - Fiji
  - Brunei
- **Project extension signing during PP-14**
  - Additional Funding and modified targets of the project
  - Three more countries evaluated to be the beneficiary of the technical assistance (**Pakistan, Thailand and Vietnam**)
  - 2 roadmaps prepared, commented, in editorial phase
- **Extension to the Caribbean region**



# Budget and Work plan

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- Budget: 150 million Korean Won (around 140,000 USD) funded by Ministry of Science, ICT, and Future Planning, Republic of Korea
- Implementing Agency: ITU (close cooperation with CTU)
- Start Date: March 2015

## Deadlines

- Selection of beneficiary Countries: May 2015 (CTU cooperation)
  - Assessment of Spectrum Management: November 2015 (taking into account also CTU SM Task Force results)
  - Developing Master Plans: May 2016 (CTU cooperation)
  - Seminars: September 2016 (CTU cooperation)
  - Assistance for implementation plan: November 2016 (CTU cooperation)
- Estimated End Date: February 2017
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# Objectives and Targets of the Caribbean SM MP project

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- To assist governments and regulators of developing countries in the Americas Region, in particular on Caribbean Countries in developing **national spectrum management master plans**
  - At least for **3 beneficiary countries**
    - **Assessment** of the spectrum management scheme: spectrum policy, spectrum use, authorization, spectrum sharing, spectrum monitoring...
    - **Provision of advices** concerning each beneficiary country's development of relevant policies, legislations and regulations based on request and interest of the countries
  - **Human capacity building** [3 seminars, 60 participants in total]
  - **Provision of guidance** during implementation of the master plans, where requested by beneficiary country and agreed by ITU
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# Selection of Beneficiary Countries

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## ➤ Selection Criteria

- Reply to ITU Questionnaire: Yes
- Willingness to work with ITU: Yes
- Capacity to devote relevant and qualified internal resources to develop and implement SM Master Plan: 1(most) - 5(least) SC1
- Legal framework readiness and spectrum usage: 1 – 5 (low usage, no legal framework: 5) SC2
- History of ITU assistance: 1(least) – 5(most) SC3

## ➤ Selection Procedure

- Sending Questionnaire: ITU-Caribbean (March 2015)
  - Recommendation of 3 beneficiary countries: ITU-Caribbean **in consultation with CTU** (April 2015)
  - Selection of beneficiary countries: ITU-HQ **based on ITU-CTU proposal** with consent from MSIP, republic of Korea (May 2015)
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## Slide 11

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**SC1**      switch value  
Sylvester Cadette, 11/03/2015

**SC2**      high -5;  
Sylvester Cadette, 11/03/2015

**SC3**      Remove; choose country by three groups;

For synergy and further harmonization, Country covered by CTU  
Sylvester Cadette, 11/03/2015

# Activities

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- **Job Description and Experts recruitment:** ITU in consultation with CTU and beneficiary countries
- **1<sup>st</sup> phase** focused on **assessment** of overall aspects: data gathering, 5 days mission (meeting with Government, interviews with stakeholders), preparation of a draft (**results of the CTU SM Task Force documents/reports will be taken into account in order to avoid duplication**)
- **2<sup>nd</sup> phase** focused on a **Master Plan** for specific recommendation reflecting request and interest of beneficiary countries: preparation of advices, 5 days mission (presentation and discussion), preparing final report
- **Comment and Approval:** review and comments by ITU and CTU, approval of governments for the release of final report
- **Seminars:** topics and materials will be decided in consultation with experts and beneficiary countries (**in cooperation with CTU**)
- **Assistance:** to beneficiary countries for the preparation of implementation plans for Master plans; on request

# Roles and Responsibilities

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## ➤ ITU

- Selection of beneficiary countries, recruitment of experts, provision of staff resources for overall project management, approval of the report
- Organizing the Seminars

## ➤ MSIP

- Cash contribution
- Collaborate with ITU in the selection of countries and experts

## ➤ CTU

- Recommend beneficiary countries and experts
- Comments on the scope of the reports, seminars and the contents of the reports

## ➤ Beneficiary Countries

- Designate a qualified counterpart work with ITU
  - Provide access to the relevant information and materials
  - Provide administrative support including staff, visa, premises for the interview and training, etc.
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# Report Framework (1)

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## Executive summary

### 1. Introduction

- 1.1 The context and scope for the study
- 1.2 Report contents

### 2. Global trends in radio spectrum Management

- 2.1 Administrative processes
- 2.2 Existing and new licensing policies (including DSA, LSA, white space)
- 2.3 Fees
- 2.4 Market mechanisms
- 2.5 Policy in respect of non-commercial use

### 3. Current Spectrum Management Framework

- 3.1 Legislative framework
  - 3.2 Process (including internal coordination with stake-holders)
  - 3.3 Licensing
  - 3.4 Spectrum fee
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# Report Framework (2)

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3.5 Monitoring, type approval and enforcement

3.6 Cross-border frequency coordination

3.7 Spectrum policy, management issues and strategy (if exists)

## **4. Current spectrum demand and issues - by sector/service**

4.1 Data sources used in analysis

4.2 NTFA (National Table of Frequency Allocation)

4.3 Analysis of current spectrum use

4.4 Issues identified by Stakeholders in relation to frequency use

4.5 Conclusions, issues to deal with during the assistance

## **5. Future demands for spectrum**

5.1 Spectrum Demand Trends by Sector/Service

## **6. Recommendations and Key issues**

6.1 Allocation Policy

6.1.1 Improving information on spectrum allocations and policy

6.1.2 Making allocation decisions

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# Report Framework (3)

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- 6.1.2.1 Role of ITU and other international and regional organizations
- 6.1.2.2 Role of local investors and spectrum users
- 6.1.2.3 Unique needs of the country
- 6.1.2.4 Cross-border frequency coordination agreements
- 6.1.3 Consultation arrangements
- 6.1.4 Balance between government and commercial allocations
- 6.2 Assignment , licensing, monitoring and enforcement
  - 6.2.1 Policy principles
  - 6.2.2 Licensing policy and fees
  - 6.2.3 Planning and licensing processes
  - 6.2.4 Monitoring, type approval and enforcement processes
- 6.3 Spectrum management strategy
- 6.4 Capacity building

**Annexes**

**Abbreviations**

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# THANK YOU !!

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