





Spectrum and compatibility issues of IMT-Advanced and IMT-2020 networks

ITU Regional Workshop for 12 Member states 17-18 November 2016 Radiocommunication Bureau







- ITU and Radio Regulations
- International Mobile Telecommunication (IMT)
- Global Trend in IMT
- Spectrum for IMT
- Compatibility general
- Compatibility issues of IMT-Advanced
- Compatibility issues of IMT-2020
- Conclusion



Overview of the ITU



2016

1865 Committed to connecting the world!





A specialized UN agency

193 Member-States, 558 Sector-Members, 165 Associated-Members and 128 Academies

Radio Regulations

1906 Harmonized use of Spectrum and orbit!

- RR is a set of international regulations on use of spectrum/orbit
- RR is intergovernmental treaty ratified by governments
- Define the rights and obligations of Member States on the use of spectrum/orbit resources and on the procedures of obtaining and keeping the right
- Updated every 3-4 years by World Radiocommunication Conference (WRC)
- Main goals of the RR:
 - harmonization of spectrum usage
 - interference free operation of radio stations



2016









International Mobile Telecommunication (IMT) (1990)Analogue cellular (1980)phone for (2010) Ubiquitous domestic Dreaming (2000) 3 in 1 IMT Smart global public use phone/tablet Digital supporting mobile telewith global HDTV on mobile, cellular communication mobility Massive IoT phone with limited connectivity and A life partner Mission specific roaming capacity communication - while moving







IMT is the root name that encompasses all of IMT-2000, IMT-Advanced and IMT-2020 collectively. (ITU-R Res. 56-2)



(*) Deployment timing may vary across countries.





- Developing the <u>IMT Vision</u>
 - ➢ Rec. ITU-R <u>M.1645</u>, <u>M.2083</u>
- Ensuring the required spectrum
 - Regional preparation and harmonization activity
 - Summarizing the sharing studies and proposals on frequency usage (<u>CPM Report</u>)
 - WRC decisions on frequency usage (WRC Final Acts)
- Evaluating proposed standards and developing the global core standard (<u>GCS</u>)

➢ Rec. ITU-R <u>M.1457</u>, <u>M.2012</u>

- Developing supporting <u>Recommendations</u>, and <u>Reports</u> and <u>Handbooks</u>
- Disseminating information on IMT to support Member States for their sustainable development





IMT – 2000/Advanced

- high degree of commonality of design worldwide;
- compatibility of services within IMT-2000 and with the fixed networks;
- high quality mobile service;
- small terminal for worldwide use;
- worldwide roaming capability;
- capability for multimedia applications, and a wide range of services and terminals.
- 100 Mbps for high and 1 Gbps for low mobility







- 20 Gbit/s peak data rate
- 100 Mbit/s user experience data rate
- 10 Mbit/s/m² area traffic capacity
- 10⁶/km² connection density
- 3 x spectrum efficiency
- 1 ms over-the-air latency
- 500 km/h mobility
- 100 x network energy efficiency









- ITU-R <u>Handbook on Global Trend in IMT</u>
 - Provides integrated summary of many ITU-R documents by 2015 (before WRC-15) as follows:
 - Usage trends and service requirements
 - System characteristics, technologies and standards (Rec. ITU-R M.1457 and M.2012)
 - IMT spectrum (Rec. ITU-R M. 1036)
 - Regulatory issues (including licensing issue)
 - Deployment step of IMT systems (including some scenario for migration of GSM and 3G to 4G)
 - Criteria leading to technology decisions (policy)













Regions are based on the ITU BDT Regions, see: http://www.itu.int/ITU-D/ict/definitions/regions/index.html Note: * Estimate , ++ Commonwealth of Independent States and neighboring Member-States

Source: ITU World Telecommunication / ICT Indicators database











Mobile traffic 2013-2019









Estimation of mobile traffic by different service types globally





















- IMT-2000 (Rec. ITU-R M.1457)
 - IMT-2000 CDMA Direct Spread
 - IMT-2000 CDMA Multi-Carrier
 - IMT-2000 CDMA TDD
 - IMT-2000 TDMA Single-Carrier
 - IMT-2000 FDMA/TDMA
 - IMT-2000 OFDMA TDD WMAN
- IMT-Advanced (Rec. ITU-R M.2012)
 - LTE-Advanced
 - Developed by 3GPP as LTE Release 10 and beyond.
 - WirelessMAN-Advanced
 - Incorporated in IEEE Std 802.16
 - MAN (Metropolitan Area Network)

Handbook on Global Trend in IMT





Migration stratege

Handbook on Global Trend in IMT

800 MHz		
900 MHz	GSM	
1 800 MHz	GSM	
2 100 MHz	HSPA	
2 600 MHz		

800 MHz	LTE
900 MHz	GSM/HSPA
1 800 MHz	GSM/LTE or GSM/HSPA
2 100 MHz	HSPA
2 600 MHz	LTE



Spectrum for IMT



Total amount of spectrum identified for IMT (MHz) 1886 2000 470-698 MHz 1427-1518 MHz 1800 806-960 MHz 450-470 MHz 3300-3400 MHz 1710-1885 MHz 698-806 MHz 3600-3700 MHz 1600 2500-2690 MHz 2300-2400 MHz 4800-4990 MHz 3400-3600 MHz (For HAPS) 1400 1885-1980 MHz 1177 2010-2025 MHz Region 1 1200 2110-2200 MHz 1000 Region 2 749 800 Region 3 1885-2025 MHz 600 2110-2200 MHz Worldwide 400 230 200 0 **WARC-92** WRC-2000 **WRC-07 WRC-15** /WRC-97



Spectrum for IMT



Frequency bands (bandwidth) in MHz	RR provisions identifying the band for IMT	Area	WRC
450-470 (20)	5.286AA	Worldwide	WRC-07
470-694/698 (224/228)	5.295, 5.296A, 5.308A	Some of Regions 2 and 3 countries	WRC-15
694/698-806 (112/108)	5.313A, 5.317A	Worldwide except some of Region 3 countries from 698-790 MHz	WRC-07 WRC-15
806-960 (154)	5.317A	Worldwide	WRC-2000
1 427-1 518 (91)	5.341A, 5.341B, 5.341C, 5.346, 5.346A	Worldwide except some of Region 1 countries from 1452-1492 MHz	WRC-15
1 710-1 885 (175)	5.384A	Worldwide	WRC-2000
1 885-2 025 (140)	5.388 (RR746A – Future Public Land Mobile Telecommuincation System)	Worldwide	WARC-92
2 110-2 200 (90)	5.388 (RR746A - FPLMTS)	Worldwide	WRC-92
2 300-2 400 (100)	5.384A	Worldwide	WRC-07
2 500-2 690 (190)	5.384A	Worldwide	WRC-2000
3 300-3 400 (100)	5.429B, 5.429D, 5.429F	45 countries	WRC-15
3 400-3 600 (200)	5.430A, 5.432A5.432B, 5.433A	Worldwide except some of Region 3 countries	WRC-07
3 600-3 700 (100)	5.434A	Some of Region 2 countries	WRC-15
4 800-4 990 (190)	5.441A, 5.441B	Some of Regions 2 and 3 countries	WRC-15



Spectrum for IMT



Freq_fm	Freq_to	BW	Number of Member states				Num	per of Region	n1 Member S	States
(MHz)	(MHz)	(MHz)	Region 1	Region 2	Region 3	Worldwide	Africa	Arab	Europe	This Area
450	470	20	121	35	37	193	44	23	42	12
470	608	138	-	5	4	9	-	-	-	-
608	610	2	-	-	4	4	-	-	-	-
610	614	4	-	-	7	7	-	-	-	-
614	694	80	-	7	7	14	-	-	-	-
694	698	4	121	7	7	135	44	23	42	12
698	790	92	121	35	26	182	44	23	42	12
790	902	112	121	35	37	193	44	23	42	12
902	928	26	121	12	37	170	44	23	42	12
928	960	32	121	35	37	193	44	23	42	12
1427	1452	25	121	35	37	193	44	23	42	12
1452	1492	40	53	35	37	125	37	16	-	-
1492	1518	26	121	35	37	193	44	23	42	12
1710	1885	175	121	35	37	193	44	23	42	12
1885	2025	140	121	35	37	193	44	23	42	12
2110	2200	90	121	35	37	193	44	23	42	12
2300	2400	100	121	35	37	193	44	23	42	12
2500	2690	190	121	35	37	193	44	23	42	12
3300	3400	100	33	6	6	45	31	2	-	-
3400	3500	100	121	35	11	167	44	23	42	12
3500	3600	100	121	35	10	166	44	23	42	12
3600	3700	100	-	4	-	4	-	-	-	-
4800	4900	100	-	1	3	4	-	-	-	-
4900 _{Regi}	ona4990rks	hop 99r 12	Vember Sta	ites, 2016	3	3	-	-	-	-
Total BW	(MHz)	1886	1372	1796	1786	1886	1372	1372	1232	1232







Candidate frequency bands for IMT-2020

Freq.	(GHz)	BW	APT	ASMG	CEPT	CITEL	This	WRC-15 decision
From	То	(MHz)					Region	Res. 238
24.25	27.5	3,250	Yes	No	Yes	Yes	Yes	24.25 – 27.5
27.5	29.5	2,000	No	No	No	Yes	No	27.5-29.5
31.8	33.4	1,600	Yes	Yes	Yes	Yes	Yes	31.8 – 33.4
37	40.5	3,500	Yes	Yes	No	Yes	No	37 – 40.5
40.5	42.5	2,000	Yes	Yes	Yes	No	Yes	40.5 – 42.5
42.5	43.5	1,000	Yes	Yes	Yes	No	No	42.5 - 43.5
45.5	47	1,500	Yes	Yes	Yes	Yes	Yes	45.5 - 47
47	47.2	200	No	Yes	Yes	No	Yes	47 – 47.2
47.2	50.2	3,000	Yes	Yes	Yes	Yes	Yes	47.2 – 50.2
50.4	52.6	2,200	Yes	Yes	No	Yes	Yes	50.4 -52.6
59.3	66	6,700	No	Yes	No	Yes	No	59.3-66
66	76	10,000	Yes	Yes	Yes	Yes	Yes	66 - 76
81	86	5,000	Yes	Yes	Yes	No	Yes	81 - 86





- Frequency sharing! WHY?
 - Because the demand for spectrum rapidly grows and usable spectrum resources are limited
- Compatibility study! WHY?
 - Because the radio wave can't be confined within a specific area
 - To ensure coexistence of two or more services
 - To avoid a harmful interference between countries, services and stations





- Compatibility! HOW?
 - Technical aspect
 - Protection criteria
 - Interference <u>prediction</u> and measurement
 - Private sectors' effort (ex. 3GPP Network ID)
 - Regulatory/Administrative aspect
 - International Frequency allocation
 - International channel plans / coordination (administrations)
 - National frequency allocation
 - National channel plans / licensing (operators)





Compatibility in the technical aspect

Frequency separation	Spatial separation	Time separation	Signal separation
Frequency division multiple access (FDMA)	Space division multiple access (SDMA)	Time division multiple access (TDMA)	Code division multiple access (CDMA)
Channelling plans Band segmentation Frequency agile systems Dynamic frequency assignment	 Allotment plan Antenna system characteristics: -adaptive antenna (smart antenna) -antenna polarization discrimination Physical barriers and site shielding Dynamic power 	Dynamic frequency assignment Duty cycle control	 Othogonal frequency devision multiple access (OFDMA) Signal coding and processing Adaptive signal processing Spread spectrum: -direct sequence -frequency hopping -pulsed FM
See Rec. ITU-R SM.1131			Antenna polarization





- Compatibility in the technical aspect
 - Need to ensure Frequency, Space, Time, Signal separation
 - Protection criteria is given as
 - A hard limit (I/N criteria)
 - Sometimes it is expressed as a permissible interference signal level in a form of RF receiving power (dBW), RF power flux density (dBW/m²), electric field strength (dBuV/m) or IF domain channel power (dBW)
 - A coordination margin (C/I or W/U criteria)
 - > Application of propagation model
 - To determine service area : 50% time and 50% place
 - To determine interference : 1-20 % time and 50% place





Administrative aspect – Frequency allocation

Region 1	Region 2	Region 3
3 300-3 400	3 300-3 400	3 300-3 400
RADIOLOCATION	RADIOLOCATION	RADIOLOCATION
	Amateur, Fixed, Mobile	Amateur
5.149 5.429 5.429A 5.429B 5.430	5.149 5.429C 5.429D	5.149 5.429 5.429E 5.429F
3 400-3 600	3 400-3 500	3 400-3 500
FIXED	FIXED	FIXED
FIXED-SATELLITE	FIXED-SATELLITE (space-to-Earth)	FIXED-SATELLITE (space-to-Earth)
(space-to-Earth)	MOBILE except aeronautical mobile	Amateur
MOBILE except	5.431A 5.431B	Mobile 5.432 5.432B
aeronautical mobile	Amateur	Radiolocation 5.433
5.430A	Radiolocation 5.433	5.282 5.432A
Radiolocation	5.282	
	3 500-3 600	3 500-3 600
	FIXED	FIXED
	FIXED-SATELLITE (space-to-Earth)	FIXED-SATELLITE (space-to-Earth)
	MOBILE except aeronautical mobile 5.431B	MOBILE except aeronautical mobile 5.433A
5.431	Radiolocation 5.433	Radiolocation 5.433







- Administrative aspect Footnotes to FAT
 - > Additional allocation: to add a certain service
 - > Alternative allocation: to except a certain table allocation
 - > Different category of service: to upgrade a secondary to a primary
 - Conditions of frequency use: to make an identification or to impose an operational criteria

Example Footnotes

5.430A The allocation of the frequency band 3 400-3 600 MHz to the mobile, except aeronautical mobile, service is subject to agreement obtained under No.
 9.21. This frequency band is identified for International Mobile Telecommunications (IMT). This identification does not preclude the use of this frequency band by any application of the services to which it is allocated and does not establish priority in the Radio Regulations. The provisions of Nos. 9.17 and 9.18 shall also apply in the coordination phase. Before an administration brings into use a (base or mobile) station of the mobile service in this frequency band, it shall ensure that the power flux-density (pfd) produced at 3 m above ground does not exceed -154.5 dB(W/(m² 4 kHz)) for more than 20% of time at the border of the territory of any other administration.





Example Footnotes

- 5.429 Additional allocation: in Saudi Arabia, Bahrain, Bangladesh, Benin, Brunei Darussalam, Cambodia, Cameroon, China, Congo (Rep. of the), Korea (Rep. of), Côte d'Ivoire, Egypt, the United Arab Emirates, India, Indonesia, Iran (Islamic Republic of), Iraq, Israel, Japan, Jordan, Kenya, Kuwait, Lebanon, Libya, Malaysia, Oman, Uganda, Pakistan, Qatar, the Syrian Arab Republic, the Dem. Rep. of the Congo, the Dem. People's Rep. of Korea, Sudan and Yemen, the band 3 300-3 400 MHz is also allocated to the fixed and mobile services on a primary basis. The countries bordering the Mediterranean shall not claim protection for their fixed and mobile services from the radiolocation service. (WRC-15)
- 5.432 Different category of service: in Korea (Rep. of), Japan and Pakistan, the allocation of the band 3 400-3 500 MHz to the mobile, except aeronautical mobile, service is on a primary basis. (WRC-2000)
- 5.412 Alternative allocation: in Kyrgyzstan and Turkmenistan, the band 2 500-2 690 MHz is allocated to the fixed and mobile, except aeronautical mobile, services on a primary basis. (WRC-12)
 - To except BSS from the table allocation

2 520-2 655 FIXED 5.410 MOBILE except aeronautical mobile 5.384A BROADCASTING-SATELLITE 5.413 5.416





- Goal of frequency coordination
 - To ensure sharing of frequencies especially in the border areas;
 - To aid long-term frequency planning;
 - To promote efficient spectrum utilisation, and
 - To help interference avoidance.

Frequency coordination! WHEN?

Interference signal level > Permissible/Accepted interference

- permissible interference: Observed or predicted interference which complies with quantitative interference and sharing criteria contained in these Regulations or in ITU-R Recommendations or in special agreements as provided for in these Regulations (RR 1.167).
- accepted interference: Interference at a higher level than that defined as permissible interference and which has been agreed upon between two or more administrations without prejudice to other administrations (RR 1.168).





Coordination mandated by RR

Provisions	Service	Frequency bands	Area
RR9.16 or RR9.18	Terrestrial service (Mostly fixed and mobile service)	Frequency bands above 100 MHz, allocated to a terrestrial service and to a space service on an equal right	Worldwide
RR9.19	Terrestrial service (Mostly fixed and mobile service)	Frequency bands above 100 MHz, allocated to a terrestrial service and to a space service on an equal right	Worldwide
RR9.21	Terrestrial service subject to the obtain agreement of concerning administrations under No. 9.21	Frequency bands having a footnote referring to No. 9.21	Worldwide
RR Article 6 (Plans)	Planned service	Planned frequency	Planned area



Compatibility issue for IMT-Advanced



- All compatibility issues have been addressed:
 - <u>CPM Report</u> to WRC-15
 - The JTG 4-5-6-7 Chairman's report
 - ➢ Many ITU-R <u>Recommendations</u> and <u>Reports</u>
- However many frequency bands are allocated to the land mobile service or identified for IMT
 - Subject to obtain agreement from concerning administrations under No. 9.21 of the RR
 - This means further coordination is required when IMT is to be brought into use.



Compatibility issue for IMT-Advanced in Region 1, subject to No. 9.21 of the RR



Freq_fm	Freq_to	Service	Area	Protected	Criteria	Reference
694	790	LMS, MMS	Region 1 countries within 450 km from countries listed in No. 5.312 (ARM, AUT, AZE, BIH, BLR, BUL, CZE, D, DNK, EST, FIN, GEO, GRC, HNG, HRV, IRQ, KAZ, KGZ, LTU, LVA, MDA, MNG, NOR, POL, ROU, RUS, S, SRB, SVK, SYR, TJK, TKM, TUR, UKR, UZB)	ARM, AZE, BLR, , GEO, KAZ, KGZ, RUS, TJK, TKM, UKR, UZB	Res. 760 (Rev. WRC-15)	5.312A (WRC-15)
726 766	758 790	LMS, MMS	Region 1 countries within 450 km from countries listed in No. 5.312 (ALB, I, MKD, MNE)	BUL		
790	862	LMS, MMS	Region 1 countries within 450 km from countries listed in No. 5.312 (ARM, AUT, AZE, BIH, BLR, BUL, CZE, D, DNK, EST, FIN, GEO, GRC, HNG, HRV, IRQ, KAZ, KGZ, LTU, LVA, MDA, MNG, NOR, POL, ROU, RUS, S, SRB, SVK, SYR, TJK, TKM, TUR, UKR, UZB)	ARM, AZE, BLR, GEO, KAZ, KGZ, RUS, TJK, TKM, UKR, UZB	Res. 749 (Rev. WRC-15)	5.316B (WRC-15)
790 822	814 862	LMS, MMS	Region 1 countries within 450 km from countries listed in No. 5.312 (ALB, I, MKD, MNE)	BUL		
860	862	LMS, MMS	Region 1 countries within 450 km from countries listed in No. 5.312 (SVN (until 31.12.2017))	POL		



Compatibility issue for IMT-Advanced in Region 1, subject to No. 9.21 of the RR



Freq_fm	Freq_to	Service	Area	Protected	Criteria	Reference
1429 1492	1452 1518	LMS (IMT)	Region 1 countries within 670 km from countries listed in No. 5.342 (ALB, ARM, AUT, AZE, BIH, BLR, BUL, CZE, D, DNK, EST, FIN, GEO, GRC, HNG, HRV, I, IRQ, KAZ, KGZ, LTU, LVA, MDA, MKD, MNE, MNG, NOR, POL, ROU, RUS, S, SRB, SVK, SVN, SYR, TJK, TKM, TUR, UKR, UZB)	ARM, AZE, BLR, KGZ, RUS, UKR, UZB	RoP B6	5.341A (WRC-15)
1452	1492	LMS (IMT)	Countries in No. 5.346 within 670 km from countries listed in No. 5.342 (IRQ)	ARM, AZE, BLR, KGZ, RUS, UKR, UZB	RoP B6	5.346 (WRC-15)
3400	3600	LMS, MMS	XR1	ХАА	RoP B6	5.430A (WRC-15)



Compatibility issue for IMT-Advanced in Region 1, subject to No. 9.21 of the RR for an example base station







An example base station



😥 Pending notice - UKR - GE06L - 116197049 (L06)



An example status of registered frequencies



Q TerRaQ 2016 [BETA] File View Tools Preferences Window Help Last Run Query Results Query Definition [Query_2] Summary 🕺 🗐 🔍 🔍 🖾 🔛 🍃 Query_2: 477 rows List view World map view Spectrum occupancy Plans **MIFR UKR** has GE06L 393 ARNS 632 20,510 assignments GE06D 2021 AMS 2073 recorded in the MIFR GE06A 1557 MMS 1873 6351 in the Plans. **AP25** 83 LMS 6353 **AP26** FS 6396 0 AP27. RLS 0 () **GF85M** 120 **RNS** 3 GE85N 10 BC 900 GE75 188 BT 2280 **GE84** 1979 6351 Total Total 20510

46°02'27" E - 53°32'51" N - RUS

The IDWM - Mercator map projection



Compatibility issue for IMT-2020



- All compatibility issues should be addressed:
 - <u>CPM Report</u> to WRC-19
 - The TG5/1 Chairman's report
 - ➢ Many ITU-R <u>Recommendations</u> and <u>Reports</u>
- Many frequency bands are allocated to the land mobile service or identified for IMT
 - Subject to obtain agreement from concerning administrations under No. 9.21 of the RR
 - This means further coordination is required when IMT is to be brought into use.





Compatibility issue for IMT-2020

Protected services and required action

Res. 238 (WRC-15)	Bandwidth	Protected service	Required action
(GHz)	(MHz)		
24.25 – 27.5	3,250	FS, MS, FSS(E-s), EESS(s-E), SRS (s-E), ISS	Allocation of 24.25-25.25 GHz to LMS
31.8 - 33.4	1,600	FS, RNS, SRS (s-E), ISS	Allocation to LMS
37 – 40.5	3,500	FS, MS, FSS (s-E), SRS (s-E), MSS (s-E), EESS (E-s)	
40.5 – 42.5	2,000	FS, BS, FSS (s-E), BSS	Upgrade of allocation to LMS
42.5 - 43.5	1,000	FS, MS, FSS (E-s), RAS	
45.5 - 47	1,500	FS, MS, FSS (E-s), RAS	
47 – 47.2	200	AS, ASS	Allocation to LMS
47.2 – 50.2	3,000	FS, MS, FSS (E-s/s-E)	
50.4 -52.6	2,200	FS, MS, FSS (E-s)	
66 - 76	10,000	FS, MS, BS, FSS (s-E), BSS, MSS (s-E)	
81 - 86	5,000 (shop for 12 M	FS, MS, FSS (E-s), MSS (E-s)RAS	40





Compatibility issue for IMT-2020

3 categories of IMT-2020 application

Deployment scenario affects the compatibility study







Concluding remarks

- ITU is with you for more than 150 years.
 ITU has a firm regulatory guideline for
 - communication including IMT.
- ITU supports Member countries
 For their sustainable development
 by IMT vision, IMT spectrum and core standards
- IMT Spectrum demand is growing
 Compatibility is not easy but to be resolved
- To ensure the right of spectrum use
 > get allocation and identification
 > register frequencies to the Plans and MIFR