WG1 Terminology Work of IEC SyC on Smart City Systems

Dr. Xiaomi An, Professor at Renmin University of China Convener of WG1, IEC SyC on Smart Cities

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Third ITU Workshop on Data Processing and Management for IoT and Smart Cities and Communities





Outline

- Members of the WG1
- The stages of work of WG1
- The work plan of WG1
- Joint work of WG1 with ISO and ITU
- An Integrated methodology frameworks for smart city system concept system building: common concerns of SDOs
- An integrated methodology framework for smart city system concept system building: applications to the two NWIPs

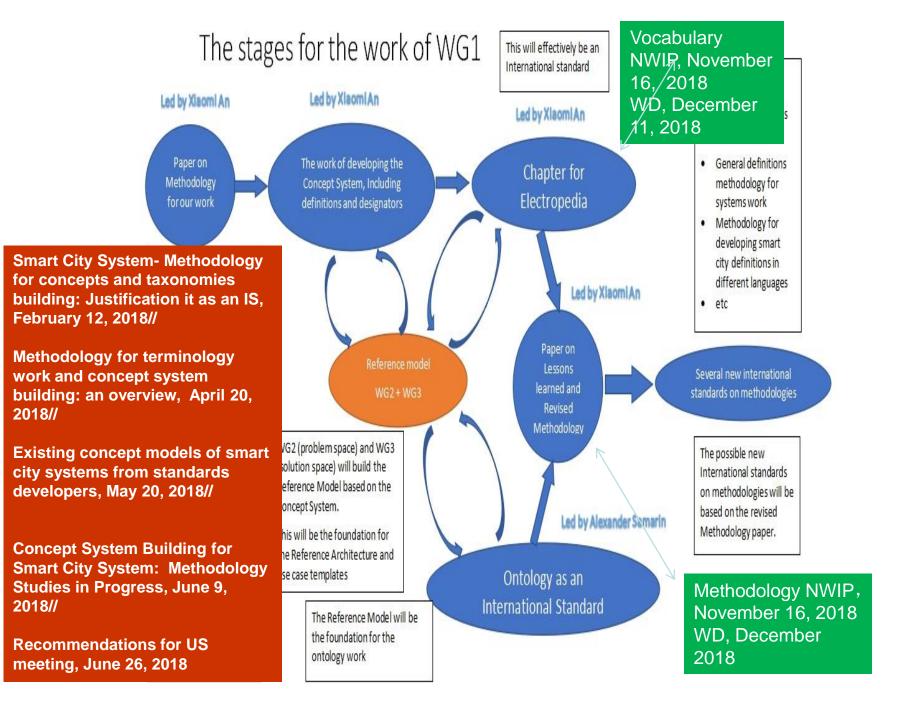


Members of the WG1

- 35 experts
- 11 countries
 - China 8
 - US 7
 - India 5
 - Korea 4
 - RU 4
 - GB 2
 - CH 1
 - DE 1

1

- JP – SE 1
- ZA 1



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S. N o.	FUNCTIONS	ACTO RS		201	17			20	018			20)19			2	020			202	21	
			01	02	03	04	01	02	03	04	01	02	03	04	01	02	03	04	01	02	03	04
	Methodology for concepts and taxonomies building	WG1		Consens us on work item			Submissio n of NWIP		Start work on NWIP					CD				DTS				TS (SRD)
	Vocabulary (chapter for electropedia)	WG1		Consens us on work item			Submissio n of NWIP		Start work on NWIP					CD				CDV				IS
	Smart City Ontology	WG1			Ideati	Consensu s on work item							Start work on NWIP									
	Develop "Smart City Context Setting Framework" jointly with WG1&WG2	WG1, WG2 & WG3				Initial Ideation/C onsensus on Work Item	Start joint work		Complete joint work													
		WG1 + relevant PT										С	ingoing									
	Develop glossary for whole committee										Or	ngoing										
	Liaison with the IEC/ISO/ITU-T smart city terminology WG	WG1		Initative s	on	agrement on core concepts			common defintion s				Ongoir	ng								
	Liaision with IEC/TC1 on terminology and the methodology	WH1								Start	OI	ngoing										

Joint work of WG1 with ISO and ITU

IEC/ISO/ITU Smart Cities Coordination Task Group Draft White Paper: Suggested Priority Terms in Need of Common Definitions to Support Standards Activities for Smart Cities

- Task Group Members: Dr. Xiaomi An (IEC/CN); Maria Cristina Buet (ITU); Etienne Cailleau (ISO/FR); John Devaney (ISO/UK); Meghan Housewright (ISO/US); Janna Lingenfelder (IEC/DE); Michael Mulquin (IEC/UK); Dave Welsh (ISO/US); and Dr. Ziqin Sang (ITU/CN).
- 2017
 - A list of 24 terms are identified as most in need of coordination and a commonly agreed to definition. The terms are listed alphabetically with the relevant definitions used in any of the IEC, ISO, or ITU international standards that use them.
- 2018
 - Half of the terms have discussed and agreed on unified single definition
- 2019
 - Continue work on unified single definition for the rest of terms

Integrated methodology frameworks for smart city system concept system building: Common concerns of SDOs

- Basic concepts
- System of systems view on smart city as a complex system
- Multi-dimension governance framework towards shared visions.
- Multi-level interaction framework towards shared goals.
- Multi-domain application framework towards shared solutions.
- Lifecycle technical framework towards shared problems
- Case studies



Definition of system of systems

- set of systems that integrate or interoperate to provide a unique capability that none of the constituent systems can accomplish on its own
- Note 1 to entry: Each constituent system is a useful system by itself, having its own management, goals, and resources, but coordinates within the SoS to provide the unique capability of the SoS.
- [SOURCE: ISO/IEC/IEEE 24748-1:2018, 3.56; ISO/IEC/IEEE 15288:2015]

Definitions of framework

- particular set of beliefs, ideas referred to in order to describe a scenario or solve a problem [ISO 15638-1:2012, 4.20; ISO 15638-8:2014, 4.28; ISO 15638-10:2017, 3.22; ISO 15638-12:2014, 4.23; ; ISO/TS 15638-13:2015, 4.28; ISO 15638-15:2014, 4.22; ISO 15638-17:2014, 4.24; ISO 15638-18:2017, 3.24; ISO 15638-21:2018, 3.21]
- documented set of guidelines to create a common understanding of the ways of working [ISO 37500:2014, 3.5]
- essential supporting or underlying structure[ISO/TR 17791:2013, 2.1]
 - a structural diagram that relates the component parts of a conceptual entity to each other[ISO 15704:2000, 3.9]
 - structure for supporting or enclosing something else, often acting to partition something complex into simple components[ISO/TR 17119:2005, 2.6;ISO/TS 18790-1:2015, 3.9]
 - structure of processes and specifications designed to support the accomplishment of a specific task[ISO/TS 12911:2012, 3.10]
 - logical structure for classifying and organizing complex information [ISO/TS 27790:2009, 3.27; ISO/TS 19150-1:2012,4.6]
 - structure composed of related parts that are designed to support something[ISO/IEC 20006-1:2014, 4.10]
 - structure expressed in diagrams, text, and formal rules which relates the components of a conceptual entity to each other[ISO 19439:2006, 3.31;ISO 17185-1:2014, 3.6; ISO/TR 17185-3:2015, 3.7]
 - a set of application programming interface (API) classes for developing applications and for providing system services to those applications.[ITU-T Q.1741 (11/2011); ITU-T Q.1743 (09/2016)]
 - A stereotyped package that contains model elements which specify a reusable architecture for all or part of a system.
 - Frameworks typically include classes, patterns or templates. When frameworks arespecialized for an application domain, they are sometimes referred to as application frameworks.[ISO/IEC 19501:2005, 0000_91; ISO 14813-5:2010(en), B.1.59]

Definitions of 'methodology'

A coherent, integrated set of methods from which a coherent sub-set can be selected for

particular applications. A methodology should contain at least four components:

- 1. a conceptual model of constructs essential to the problem,
- 2. a set of procedures suggesting the direction and order to proceed,
- 3. a series of guidelines identifying things to be avoided, and

4. a collection of evaluative criteria for assessing the quality of the product.

(ISO/IEC 16500-8:1999, 3.14)

- Note1: Methodology can refer to set of instructions (e.g. provided through text, computer programs, tools) that is a step-bystep aid to the user (ISO 15704:2000 3.14; ISO 19439:2006, 3.45)
- Note2: Methodology can also refer to specific set of means or procedures used in attaining an end (ISO 14813-5:2010, B.185)
- Note 3: Methodology can refer to collection of standards, procedures and supporting methods that define the complete approach to the development of a product or system (ISO/IEC 21827:2008, 3.22)





Definitions of methodology framework

 a way, or structure, that supports a number of methods and languages to be used together when developing a system.

[ISO/IEC 16500-8:1999, 3.15.]



Definitions of 'concept'

unit of knowledge created by a unique combination of characteristics.

(ISO 1087-1:2000, 3.2.4; ISO/IEC 11179-4:2004,3.2; ISO/IEC 11179-2:2005, 3.10 ISO 17115:2007, A.3.2.1; ISO 13606-2:2008, 4.6; ISO/IEC 15944-7:2009, 3.4; ISO/TS 22789:2010, 2.9; ISO 22745-2:2010, B.2.3; ISO 10241-1:2011, 3.2.1; ISO 18308:2011, 3.15; ISO 10241-2:2012, 2.4.1.1; ISO/IEC 15944-10:2013, 3.32; ISO 22274:2013, 3.7; ISO/IEC 11179-3:2013, 3.2.18; ISO/IEC TR 20943-6:2013, 3.1.2; ISO/TR 12300:2014, 2.2.6; ISO 18104:2014, 3.1.1; ISO/TS 17439:2014, 3.3; ISO 13940:2015, 3.2.1; ISO/TS 13972:2015, 2.12; ISO/TS 16843-2:2015, 3.1; ISO/TS 16277-1:2015, 3.1.1; ISO/TS 18790-1:2015, 3.2; ISO/IEC 11179-5:2015, 4.3; ISO/TS 19256:2016, 3.6; ISO 19104:2016, 4.3; ISO/IEC 30182:2017, 2.3; ISO 19465:2017, 3.1; ISO 5127:2017, 3.1.1.02; ISO 19146:2018, 3.1.4; ISO 17117-1:2018, 3.1.1)

- NOTE1:
- (1) unit of thought (ISO/TS 29002-4:2009, 3.2; ISO/TS 29002-5:2009, 3.1; ISO/TS 29002-10:2009, 3.3; ISO/TS 29002-31:2009, 3.3; ISO 25964-1:2011, 2.11)
- (2)units of thought constituted through abstraction on the basis of properties common to a set of objects (ISO 15143-1:2010, 3.3.9; ISO/TR 13054:2012, 2.1;
- (3)unit of thought constituted by a unique set of necessary characteristics (ISO 22745-2:2010, 4.1)



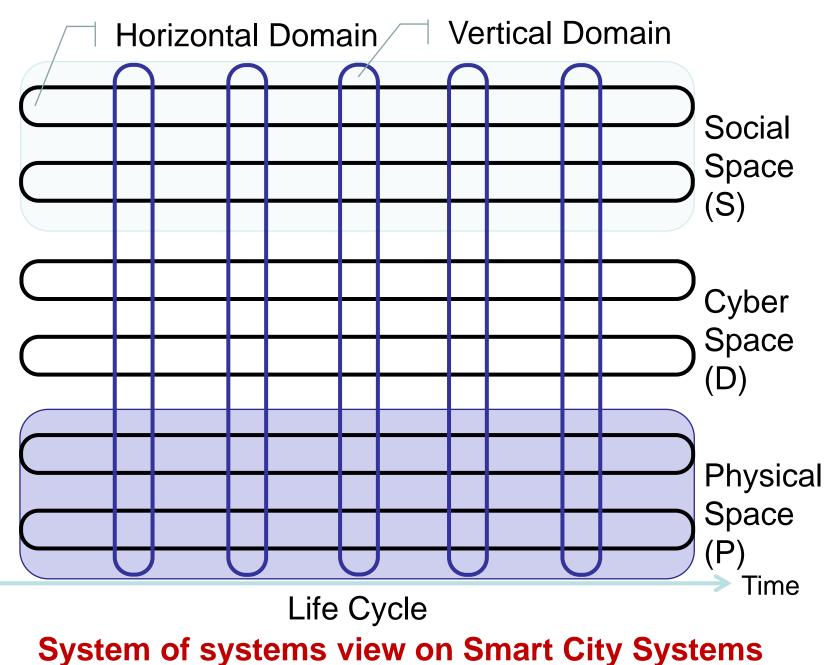
Definition of '<u>concept systems'</u>

 set of concepts structured according to the relations among them.

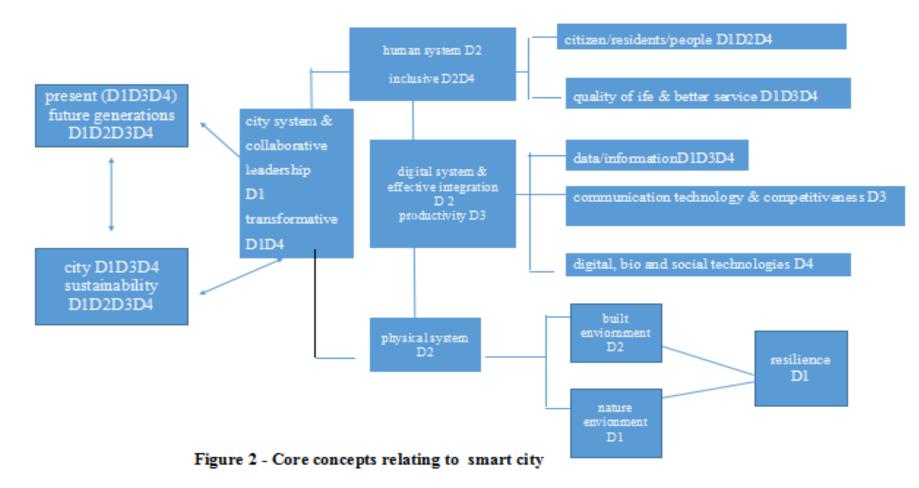
(Source: ISO 1087-1:2000 Terminology work -- Vocabulary -- Part 1: Theory and application, 3.2.11)

(ISO 17115:2007, A.3.2.11; ISO/TS 22789:2010, 2.10; ISO 22745-2:2010, B.2.5;ISO 10241-1:2011, 3.2.3;ISO 10241-2:2012, 2.4.2.1; ISO 22274:2013, 3.8; ISO/IEC 11179-3:2013, 3.2.19; ISO/IEC TR 20943-6:2013, 3.1.3; ISO 24156-1:2014, 3.4;ISO/IEC 11179-1:2015, 3.2.4; ISO 13940:2015, 3.2.2;ISO 19104:2016, 4.6;ISO 5127:2017, 3.1.2.04 ; ISO 19465:2017, 3.2; ISO 19146:2018, 3.1.5)





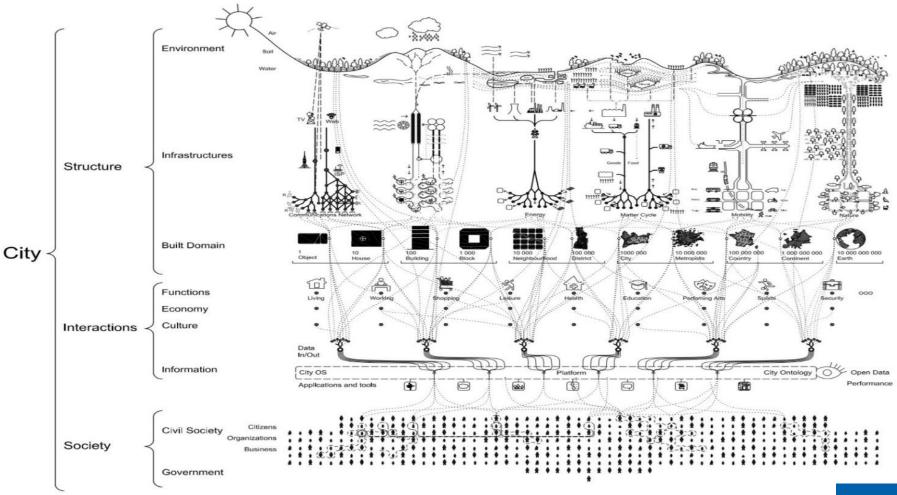
A multi-dimensional governance framework for smart city : from ISO, IEC, ITU smart city definitions (An, Mulquin, Song,2017)



Definitions1(D1):ISO/TMB SAG on Smart Cities (2015) Definition 2 (D2):ISO 37106 :2018;ISO/IEC 30182:2017 Definition3 (D3): ITU-T L. 1503(06/2016),ITU-T Y. 4900(06/2016),ITU-T TR SSC DEF(2014);ITU-T Y.SSC. Terms, TD125 R1 (2017) Definition4 (D4): IEC SyC WG1

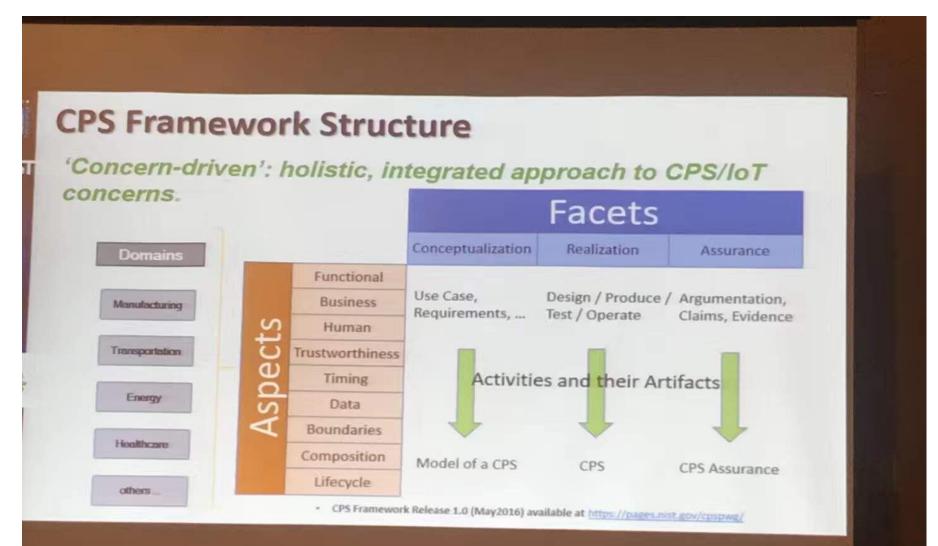


A multi-level interaction framework for smart city: from the ISO/CD 37105 Descriptive framework for cities and communities

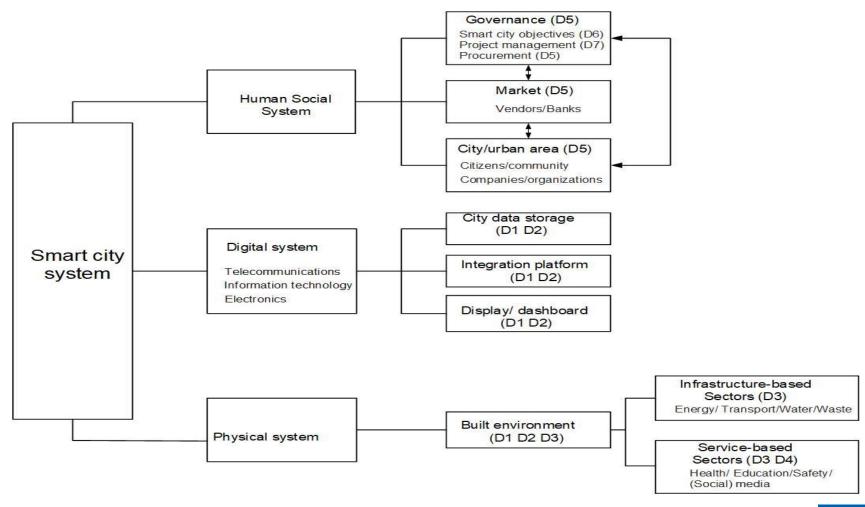




A multi-domain application framework for smart city: from the NIST CPS framework, 2018

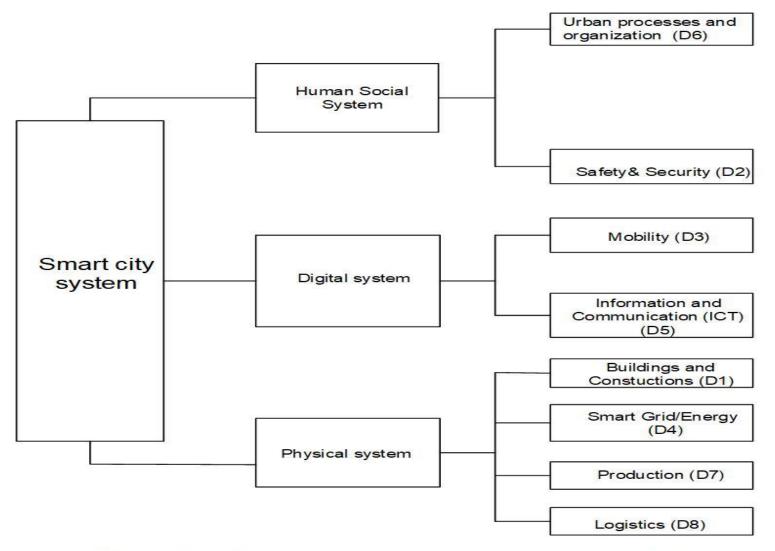


A S-D-P three dimensional setting framework for smart city system from BSI



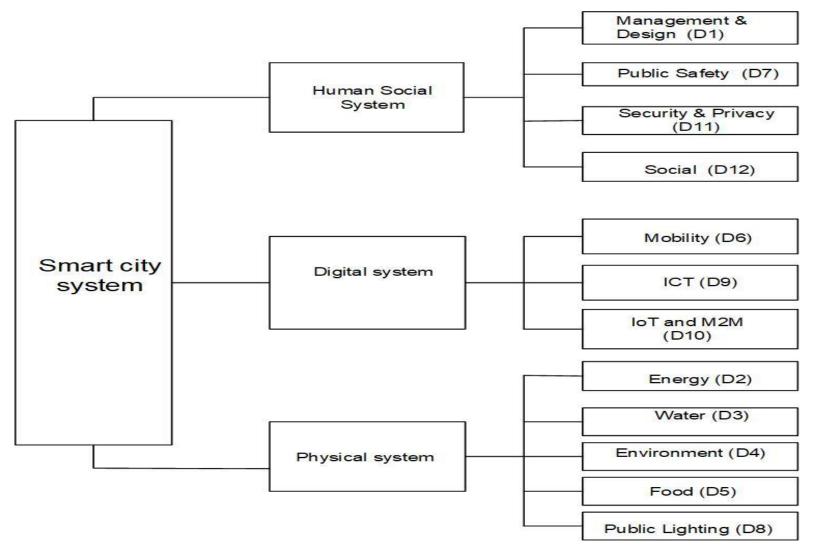


A S-D-P three dimensional setting framework for smart city system from Germany



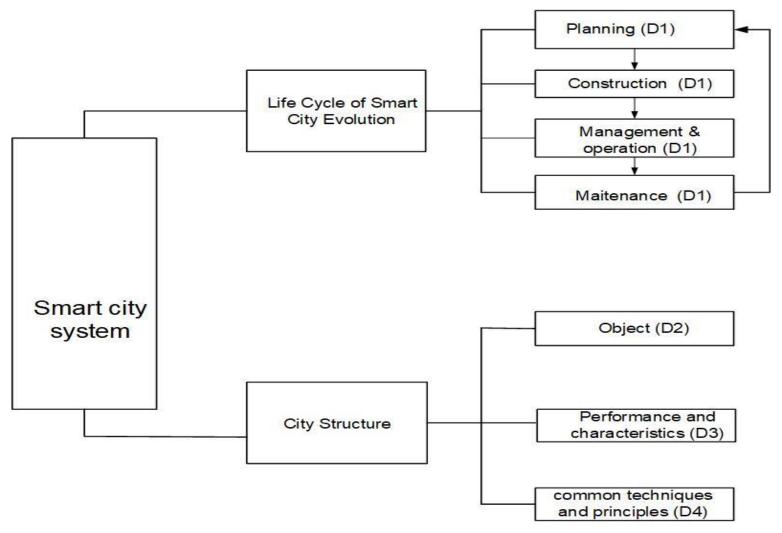
IEC.

A S-D-P three dimensional setting framework for smart city system from SF-SSCC





A life-cycle technical framework for smart city system from SyC WG3







Review of BSI smart city framework: BSI Mapping smart city standards, 2013

Ways of working	Operational views	Underlying structure	Stereotype package
Multi- dimensional	By considering a smart city as a socio-technical system the interactions between the social and technical elements of the system can be taken into account as well, leading to a model applicable to the wide array of challenges as	 Technical: The model should be descriptive, not prescriptive. That means the model should, as best as possible, cover the breadth of existing and proposed smart city initiatives but not add any limitations as there is no one-size-fits-all smart city solution. The model should be useful to support a mapping exercise. It is not meant as a technical design for a smart city solution or smart city platform, but it should highlight relevant links between city domains and help suggest keywords for the overview study. Closely linked to the previous point; the model should enable identification of gaps in existing standard initiatives. The model should make clear how data and information flow through the city and between elements in the city. Social: Representatives of international standards bodies who are active in the smart city domain and involved in coordination activities at the European level1. Representatives of private and public sector organizations who are members of smart city standards advisory committees2.	combination of aspects and/or components
Governance framework	A smart city model – technical view; Smart city model – Social view	 Technical view: The city is divided into the built environment (including homes, offices and shops and the devices within them), infrastructure-based sectors (e.g. energy and waste) and service-based sectors (e.g. healthcare and education). There is possible interaction between elements within any of these subsystems as well as between subsystems. Smart city infrastructure sectors, such as telecommunications, information technology and electronics, enable and support this interaction. A common theme in the example smart city models is the use of sensors to collect data from the city which, through platforms, can be combined, stored, analyzed and displayed. This provides decision support for actors in the city who can then act and make changes, the effect of which can in turn be measured. Social view: Citizens and the community in neighborhoods within the city are represented and governed by city leaders (often in the form of a mayor) supported by local authorities or councils. Guided by smart city objectives such as creating a more transparent way to govern the city, increasing the number of jobs or improving sustainability, projects can be managed and funding for them sought, in close cooperation with the market. Through the market technology vendors, banks and other companies provide services and jobs for the city. 	combination of aspects and/or components

Review of SF-SSCC smart city framework: Overview of standards and specifications relevant to smart cities, 2018

Ways of working	Operational views	Underlying structure	Stereotype packagemulti- levels
Multi-level	An useful way to categorize these different types of standardization activities	 Level 1: Strategic. These are smart city standards that aim to provide guidance to city leadership and other bodies on the "process of developing a clear and effective overall smart city strategy." They include guidance in identifying priorities, how to develop a roadmap for implementation, and how to effectively monitor and evaluate progress along the roadmap. Level 2: Process. Standards in this category are focused on procuring and managing smart city projects–particularly those that cross both organizations and sectors. Essentially these offer best practices and associated guidelines. Level 3: Technical. This level covers the myriad technical specifications that are needed to actually implement smart city products and services so that they meet the overall objectives 	sets of relationships
Interaction framework	A single "best" way to present information is difficult. Of the options considered, this work uses mind maps, but the results can be exported into other tools	Advantages/Disadvantages: a) Mind map: a graphical hierarchical "tree" of topics where in theory no topic appears twice and the "trace" or "path" to reach a topic name provides progressively finer sub-categories. Advantages: simple top-down approach; people can focus on what they want and ignore the rest; static viewpoint can serve as common orientation for all kinds of users. Disadvantages: it is difficult to show a multi-dimensional approach, or prioritizing for different criteria; space to give a synopsis of topics is limited; keeping it updated needs collaborations. b) SQL Database: a matrix of topics (e.g. specifications, SDO groups, KPI areas) which are associated with a series of properties (e.g. title, creator, domain of application, etc.) and which can be searched, filtered, sorted or used to create dynamic web pages in any desired way. Advantages: very flexible so everyone can optimize their viewpoint. Disadvantages: each user re-arranges info so anyone else has great trouble to find/understand it. c) Word Document: a hierarchical list of topics, functionally equivalent to a fully expanded Mind map, with any notes desired including graphics. Advantages: familiar to all users; very flexible input; change-tracking easy. Disadvantages: hierarchy is static (like mind map); cannot easily "hide" material which is uninteresting to a particular user so it feels like finding a needle in a haystack.	Sets of relationships

Review of Germany smart city framework: The German Standardization roadmap smart city, 2014

Ways of working	Operational views	Underlying structure	Stereotyped package
Multi-domain	Based on perspectives of domain relative standard cases	joint steering body and joint working groups	an atomic unit of thought
Application framework	The smart city topic is subdivided into a total of eight thematic blocks. Already published on the topics of AA L, e-Mobility, e- Energy/Smart Grids, Smart Home + Building, Industry 4.0 and IT-Security.	The work on the German Standardization Roadmap is strategically controlled by the joint steering body. The other eight joint working groups take care of discussing and documenting all standardization-related information within the topic areas in close collaboration with the national bodies, especially the joint steering body. Buildings and Constructions, Security and protection, Mobility, Energy, Information and Communication (ICT), Urban processes and organization, Production, Logistics	an atomic unit of thought



Review of IEC Smart City Standards Inventory and Mapping, 2018

Ways of working	Operational view	Underlying structure	Stereotyped package
Life-cycle control	Considering the whole life of conceptual object as well as its every factors.	under High-level Reference Architecture under Some Key Viewpoints under capability map viewpoint under design viewpoint under System Viewpoint (Technical Components) under Engineering Framework under Security Framework Viewpoint under Platform-Based Implementation Framework Viewpoint under Implementation framework viewpoint Higher Security Framework Viewpoint	properties common to a set of objects
Technical framework	Life Cycle of Smart City Evolution City Structure	The round process of a smart city evolution covers the stages from planning, construction, management & operation to maintenance, and back to planning with governance above all these stages, sharing the common goals throughout the evolution process. Horizontally, the vertical domains are interrelated with one another, therefore the cooperative mechanism among these vertical domains, for instance, Integration, interoperability, interaction and security, are ought to be discussed. The above-mentioned commonalities include generic supporting technique and flows of digital, physical, living, social, political and legal aspects.	properties common to a set of objects



An integrated methodology framework for smart city system concept system building: applications to the two NWIPs

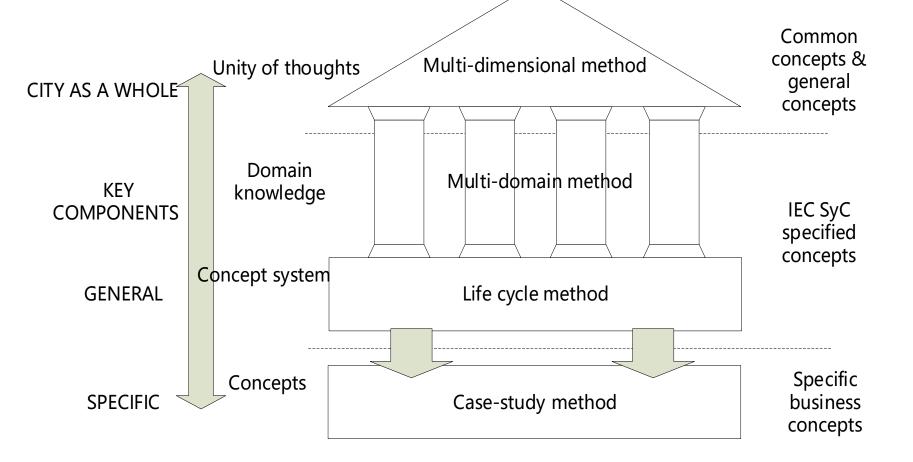


An integrated setting frameworks for smart city and smart city system concept system building

- City as a complex adaptive system- common understanding of the ways of working
 - social system, data system and physical system (S-D-P) as organic whole
 - synergy of cross-dimension and cross-domain concerns
 - » convergence of governance framework, application framework and technical frameworks for interaction framework
- IEC-ISO-ITU community capacity building (3C-3P-3A interaction framework)
 - collaboration-people-arrangement
 - social space-governance framework
 - coordination-process-activity
 - cyber space-application framework
 - connectivity-productivity- artifacts
 - physical space- technical framework



Recommendations for an integrated methodology framework



PNW TS SYCSMARTCITIES-51 Systems Reference Document (SRD)- Smart City System - Methodology for concepts and taxonomies building IEC TS 63235 ED1 "Smart City System – Methodology for concepts and taxonomies building



Principles for selecting terms

Criteria for not selecting terms are subjective and partial. If a definition of a concept is controversial, but is a core concept, should be included.

The whole terminological work must be discussed and approved by the IEC Terminology Coordinator and the IEC TC 1.

The terms included in the vocabulary are terms that are:

a) highly relevant **and pertinent** to smart city system domain;

b) highly relevant to **reaching clarity and consensus** in the smart city system domain;

c) highly relevant to electro technical, digital or system domain scoping and positioning, where there is a need for clarity and consensus on a coordinated lifecycle control crossdimensional and cross-domain continuity service for setting governance frameworks , applications frameworks and technical frameworks;

d) in frequent use and applicable throughout all ISO, IEC, ITU-T current and future products.

Process for selecting terms

- We use the principles given above to develop a list of terms for which clear definitions are needed by the Smart Cities SyC
- We review whether those terms have already been defined by IEC, ISO or ITU
- If they have already been defined, we consider whether those definitions are relevant and appropriate to the SyC
- If there are definitions that are more or less appropriate and simply need to be modified to meet the needs of the SyC then the preferred option would be to add extra notes to the existing definition. Should more fundamental changes be required, then we will either coordinate this process with the relevant committee, with the aim of developing a definition that is suitable for all, or develop a new definition, based on the generic one, but explicitly focused on smart cities, electrotechnology, or the systems approach.
- If there are no definitions that are relevant or appropriate, then we create our own definition
- Where there are terms that might be useful for other IEC Systems Committees or System Evaluation Groups, then we will work with the SRG to ensure that the way the terms are defined will allow them to be used across all the IEC systems work.

(Draft Scope of IEC Smart Cities SyC WG1 work on definitions, Version 3. May 26th 2017)

FROM Joanna Goodwin

"Technical terms appearing in a definition should be defined either in the IEV, or in another authoritative publication. If there is more than one term for a concept (see SK.3.1.3.4), the entry term shall be used in other definitions." [see the IEC Supplement to the ISO/IEC Directives, SK.3.1.4.3

ISO/IEC Directives, Annex SK (Normative) Rules for terminology work, 107-131 procedural rules (Clause SK.4). 2018,05)



Workshop testing and continual improvement in Washington D.C

- 40 terms were selected based on 33 terms identified in last year's IEC SyC meeting held in Shanghai and 25 terms identified in last year's IEC-ISO-ITU joint working white paper, based on these terms, we invite all experts to build concept system as follows:
- 1. concepts analysis with general & common levels, domain levels, and case levels by using IMS;
- 2. new concepts recognition and extraction from smart city use cases within different levels;
- 3. similar concepts (semantic similarity) clustering form bottom up to match with IMS; new concepts may come out during this stage (concept lattice tools);
- 4. drawing concept graphs mapping with IMS; graphs can be hierarchical structure (general or partitative relationships) or network structure (associative relationships) depending on different types of concept relations



Alphabetical Index

Term	Properties or characteristics	
	: dimension, domain, aspect, facets, lifecycle (e.g. social-people-arrangement-collaboration	
		Mainte
	/digital process activity-communication/physical- productivity- artifacts-connectivity-integrated,	Metada
	interconnected and interdependence, inter-)	Open o
Beneficiary	3.1	Organiz
Built domain	3.2	Referen
Citizen	3.3	Referen
City	3.4	Resilien
City administration	3.5	Safety
City functions	3.6	Security
City sustainability	3.7	Smart
Community	3.8	Smart
Continuity	3.9	Smart
Culture	3.10	Smart
Cyber infrastructure	3.11	Society
		Stakeho
Economy	3.12	Sustaina
Electrotechnology	3.13	Systen
Environment	3.14	Systen
Identifier	3.15	Systen
Indicator	3.16	Systen
Information	3.17	
Information security	3.18	
Interoperability	3.19	
Life cycle	3.20	

Maintenance	3.21
Metadata	3.22
Open data	3.23
Organization	3.24
Reference architecture	3.25
Reference model	3.26
Resilience	3.27
Safety	3.28
Security	3.29
Smart city	3.30
Smart city infrastructure	3.31
Smart city platform	3.32
Smart city reference architecture	3.33
Society	3.34
Stakeholder	3.35
Sustainable development	3.36
System	3.37
System approach	3.38
System architecture	3.39
System of system	3.40



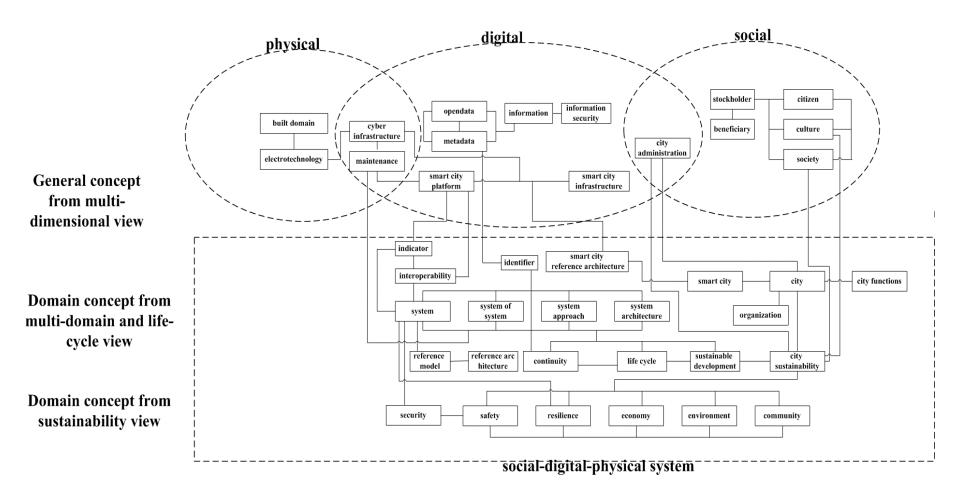
Concept systems built on the smart city system



The IEC SyC Smart Cities meeting, July 11, 2018, Washington D.C



A S-D-P interaction framework for smart city system concept system building



PNW SYCSMARTCITIES-50 Smart City System- Vocabulary (chapter for electropedia) SyCSmartCities/63/RVN: IEC63234 ED1 Smart Cities System –Vocabulary (chapter for electropedia) "International Electrotechnical Vocabulary (IEV) – Part 831: Smart city systems"

Core concepts of a smart city system and their taxonomy

(Characteristics and relationships	Number
Concept		
	social system	3.1
,	, ,	3.2
		3.3
	-	3.4
city administration s	social-digital system	3.5
city functions s	social-digital-physical system	3.6
city sustainability s	social-digital-physical system	3.7
community s	social-digital-physical system	3.8
continuity s	social-digital-physical system	3.9
culture s	social system	3.10
cyber infrastructure d	digital-physical system	3.11
economy s	social-digital-physical system	3.12
electrotechnology p	physical system	3.13
environment s	social-digital-physical system	3.14
identifier s	social-digital-physical system	3.15
indicator s	social-digital-physical system	3.16
information d	digital system	3.17
information security d	digital system	3.18
interoperability s	social-digital-physical system	3.19
life cycle s	social-digital-physical system	3.20
maintenance d	digital-physical system	3.21
metadata d	digital system	3.23
open data d	5 ,	3.24
organization s	social-digital-physical system	3.25
reference architecture s	social-digital-physical system	3.26
reference model s	social-digital-physical system	3.27
resilience s	social-digital-physical system	3.28
safety s	social-digital-physical system	3.29
security s	social-digital-physical system	3.29
smart city s	social-digital-physical system	3.30
smart city infrastructure p	physical system	3.31
		3.32
smart city reference architecture s	social-digital-physical system	3.33
society s	social system	3.34
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