

# Machine Learning for RAN: Delusion or Salvation?

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# Why ML for Communications (=MLC)?

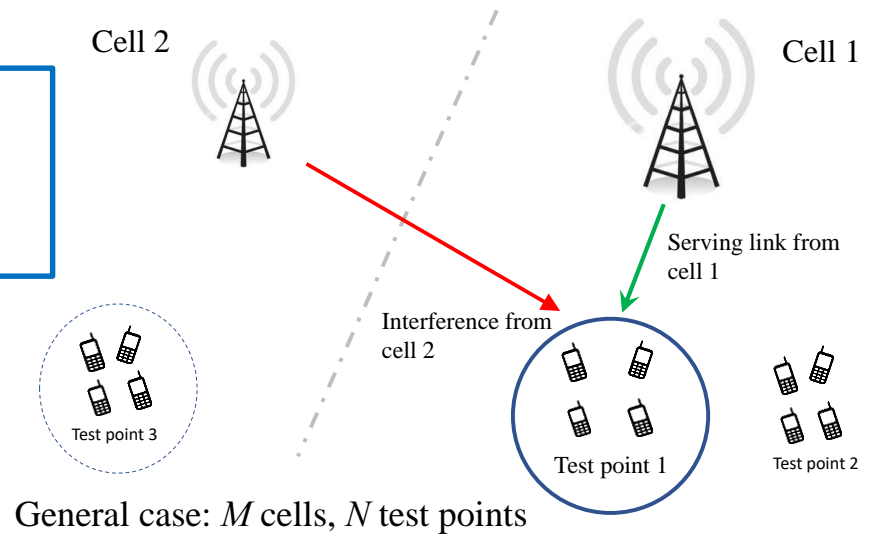
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- **Entry points for ML-based improvements**
  1. high complexity (bad models)
  2. inefficient computation (limited resources)
  3. slow convergence (low latency applications)
  
- **Potential benefits**
  1. enable to cope with increased complexity
  2. enhance efficiency
  3. facilitate cognitive network management
  4. **provide robust predictions**

# Load Learning

## Problem

What are users' rates as a function of the **load at each base station**?



e.g. coverage holes or **strong inter-cell interference** need to be predicted

Reliable **rate-load mapping estimates/predictions** are key to reliable QoS predictions

- R. L. G. Cavalcante, Y. Shen, S. Stańczak, "Elementary Properties of Positive Concave Mappings with Applications to Network Planning and Optimization," IEEE Trans. Signal Processing, vol. 64, no. 7, pp. 1774-1783, April 2016

# Performance Improvement due to Predicitons

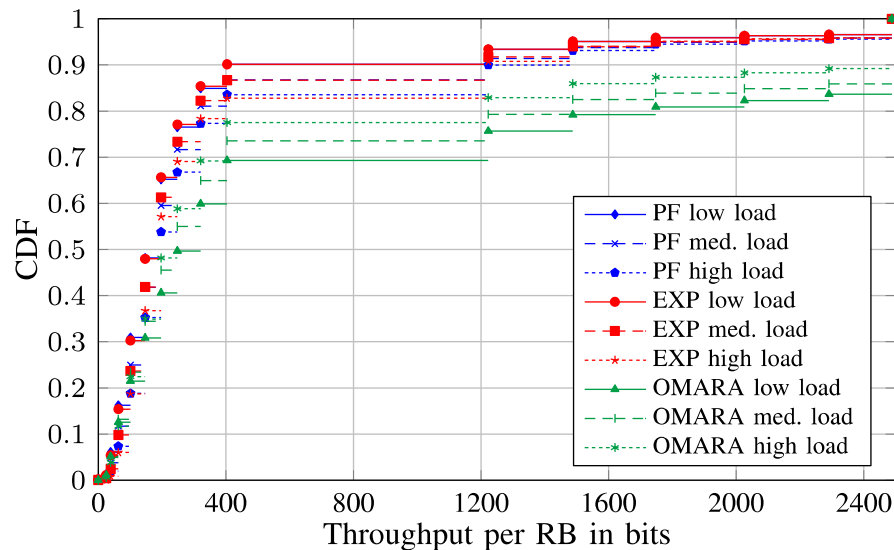


Fig. 3. CDF of the throughput per allocated RB

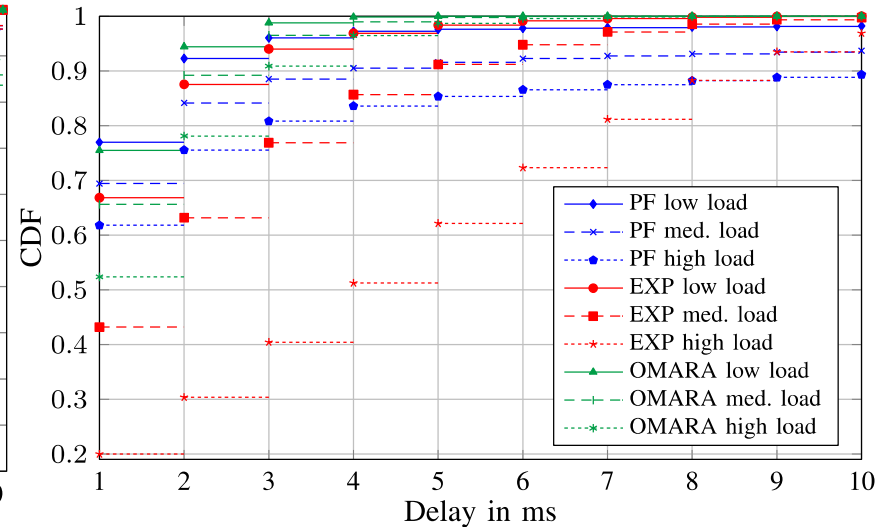


Fig. 4. DC packet delay CDF for successfully transmitted packets

- D. Külzer, S. Stańczak, M. Botsov, "Novel QoS Control Framework for Automotive Safety-Related and Infotainment Services," submitted, Nov. 2019

# Classic vs. ML Approach (inspired by David Wipf)

## Problem

Find a load vector  $x^*$  given users' rates  $\theta$  and network configuration

### Classic approach (model-driven)

- **Modeling**  $f_\theta(x)$
- **Simplification:**  $\hat{f}_\theta(x)$
- Human-designed algorithm  
input:  $\theta$   
while  $\langle$ some condition is met $\rangle$   
 $x^{(n+1)} = T(x^{(n)})$ ; end  
output:  $\hat{x} = x^*$

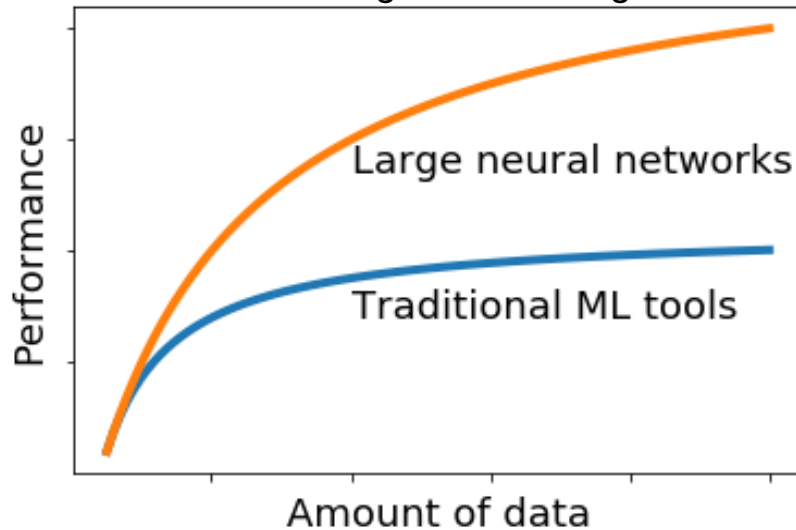
### ML approach (data-driven)

- Choose a function set  $\{g_\omega\}_{\omega \in \Omega}$
- Learn  $\omega$  offline (e.g. from data)
- $\hat{x} = g_\omega(\theta) \approx x^*$

➔ **ML is much more than neural networks!**

# Which Tools for MLC?

According to Andrew Ng



Key issues:

- Energy efficiency neglected
- Domain knowledge ignored  
➔ Function properties not preserved
- Choice of performance metrics
- Amount of training data

## Lower layers (PHY/MAC)

Collection of training data is limited

- Fast time-varying channels and interference
- **Short stationarity interval (V2X: 10-40ms)**
- Distributed data
- Limitations on computational power/energy

## Higher layers

Huge datasets are available but

- Incomplete data (missing measurements for long periods)
- Erroneous data (e.g. software bugs)
- Misaligned data (different times)
- Time series (i.i.d. unrealistic)

# Load Learning (cont.)

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**Challenge:** The rate-load mapping (RLM) is highly dynamic and nonlinear owing to interference

→ **training must be short**

→ **important properties must be preserved**

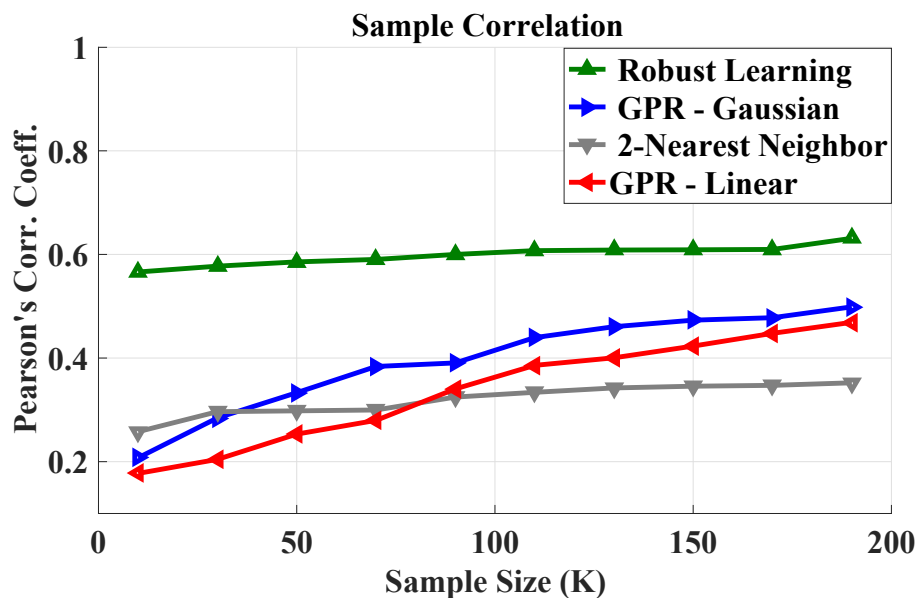
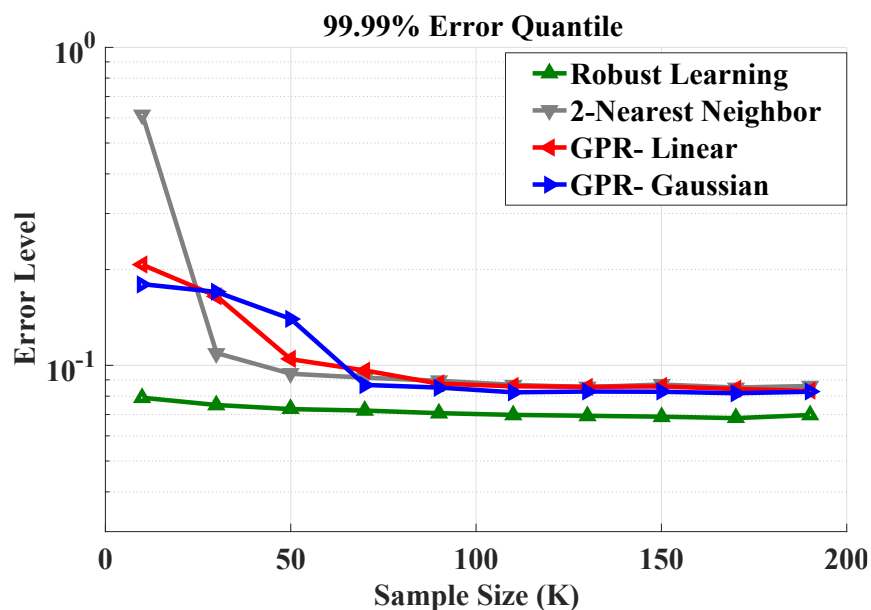
- Model-based approaches require too much a priori information

**But we should not ignore models**

- The RLM has a rich structure (e.g., **monotonicity and Lipschitz**)  
They are hard to exploit in typical machine learning tools

# Robust Online Load Learning

Hybrid-driven robust methods under uncertainty (e.g., few training samples)



- D. A. Awan, R. L. G. Cavalcante, and S. Stańczak, "A robust machine learning method for cell-load approximation in wireless networks," in Proc. IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), 2018



# Demands on MLC

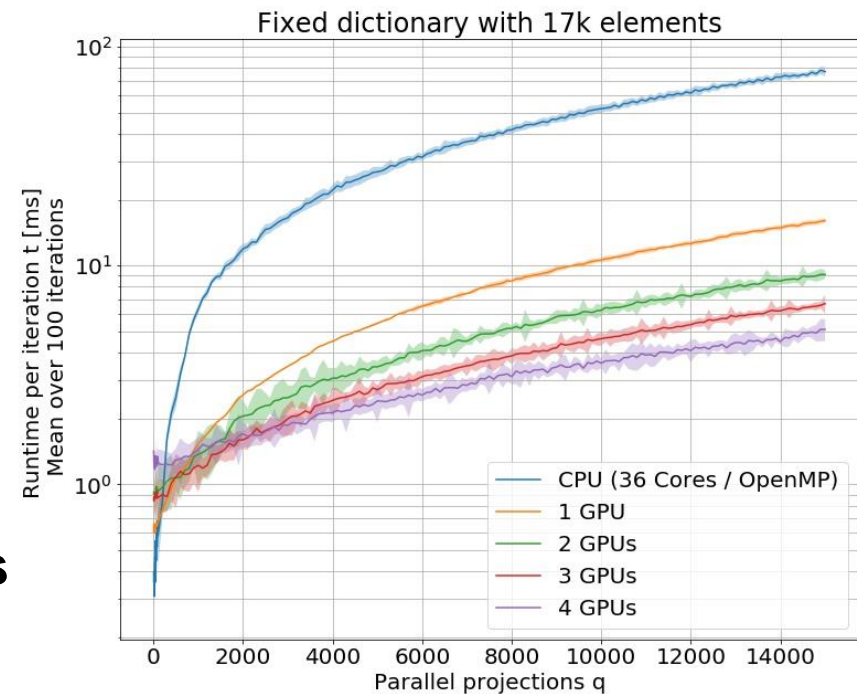
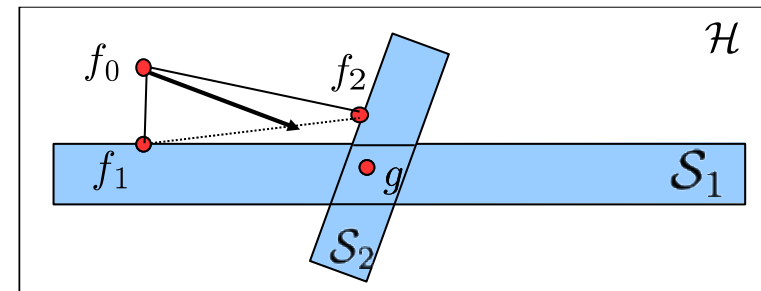
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- **Robust online** ML with good tracking capabilities
  - ML with small (uncertain) data sets
- Exploit **domain knowledge** (e.g. models, correlations, AoA)
  - Hybrid-driven ML approaches (e.g. use production data)
  - Learn features that change slowly over frequency, time...
  - Preserve important function properties
- **Distributed learning** under communication constraints
  - New functional architectures for Big Data analytics
- Low-complexity, **low-latency implementation**
  - New algorithms, massive parallelization

# Learning in (Reproducing Kernel) Hilbert Spaces

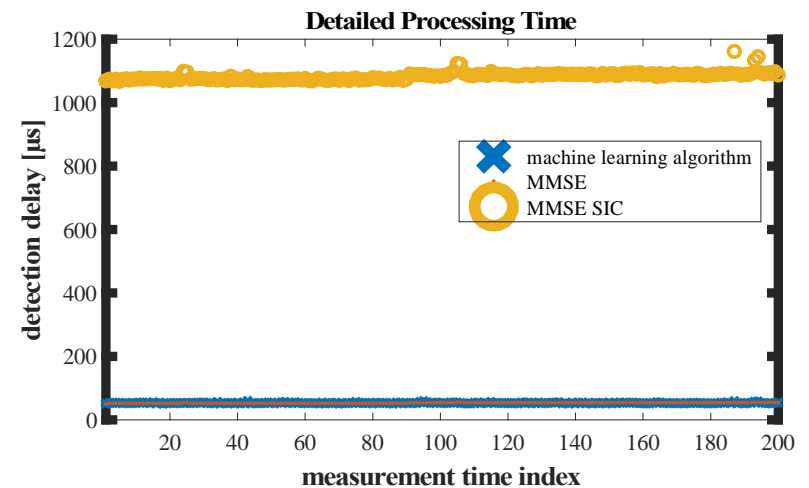
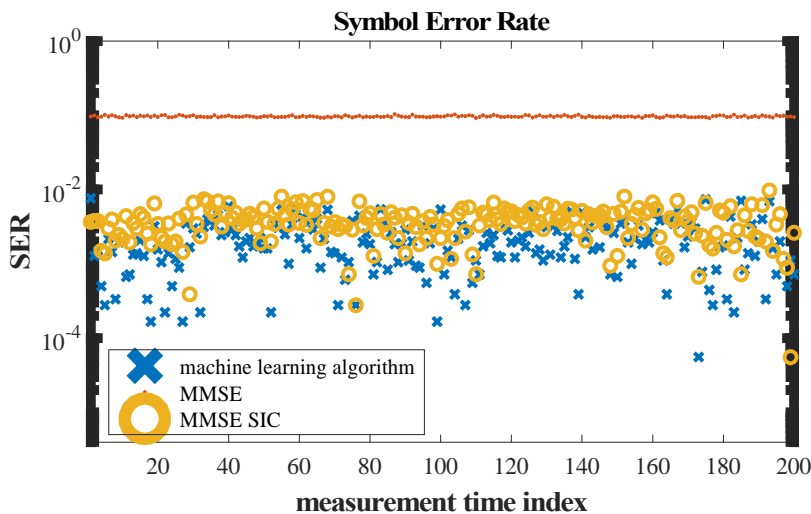
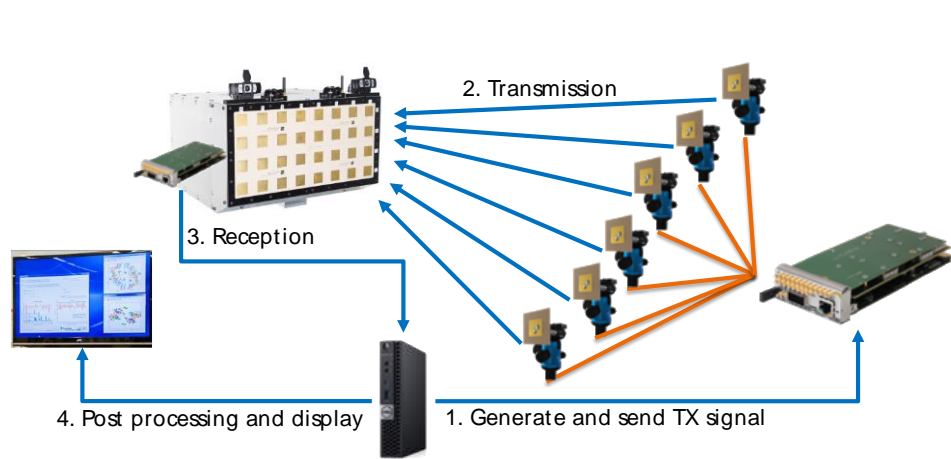
Use projection methods in RKHS:

- Easy to exploit side information
- Initial fast speed
- Low complexity
- Convergence guarantees
- Massive parallelization via APISM for **fast learning on GPUs**



- I. Yamada and N. Ogura, "Adaptive projected subgradient method for asymptotic minimization of sequence of nonnegative convex functions," Numerical Functional Analysis and Optimization, vol. 25, no. 7/8, pp. 593–617, 2004.

# Learning-based Reception for 5G NOMA



- D. A. Awan, R. L. G. Cavalcante, M. Yukawa, and S. Stańczak, "Detection for 5G-NOMA: An Online Adaptive Machine Learning Approach," in Proc. IEEE International Conference on Communications (ICC), May 2018
- D. A. Awan, R.L.G. Cavalcante, M. Yukawa, and S. Stanczak. Adaptive Learning for Symbol Detection: A Reproducing Kernel Hilbert Space Approach. Wiley, 2019. to appear.
- M. Mehlhose et.al., "Machine Learning-Based Adaptive Receive Filtering: Proof-of-Concept on an SDR Platform" submitted Oct. 2019

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**Can we design better neural networks?**

# Sparsity in Communication Systems

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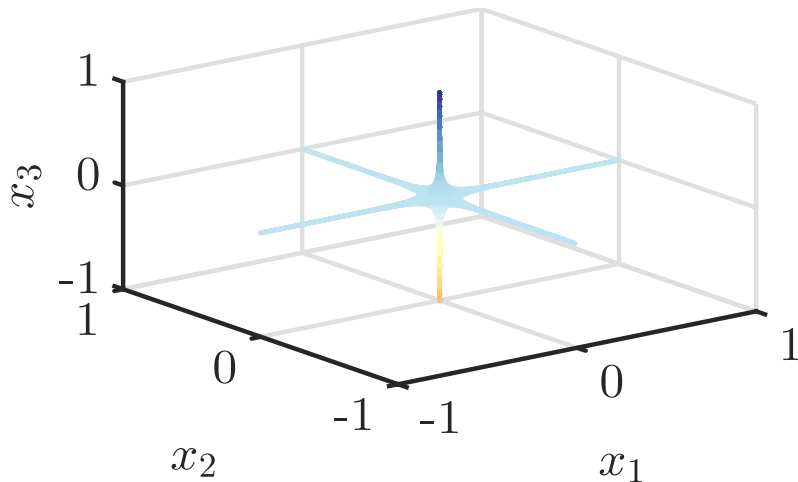
- Sparsity in the data (soft sparsity)
- Sparsity in the channel (soft sparsity)
- Sparsity in the user activity (hard sparsity)
- Sparsity in the network flow (hard sparsity)

*We aren't likely to get a 1000X improvement in compute with the traditional, pure hardware improvements, or even better software and communication to put more chips together. It will need co-design of algorithms and compute e.g. can we create a model with a 1000X more parameters, but using only 10X more compute? I believe sparse models that address this issue and systems that can take advantage of these constraints will make a big difference.*

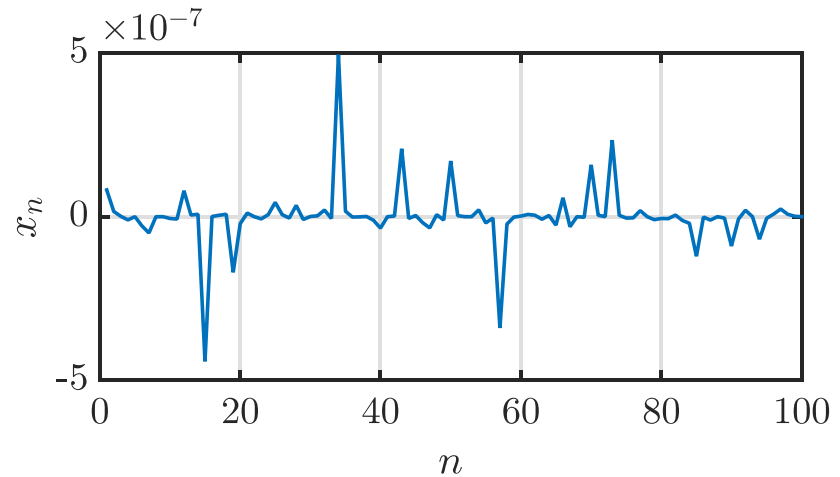
Rajat Monga, Google Brain, Lead Developer of TensorFlow

# Sparsity in Communication Systems

- We can use  $\mathcal{B}_p$ -balls to model sparse signals  $\mathcal{B}_p = \{\mathbf{x} : \sum_{i=1}^N |x_i|^{p_i} \leq 1\}$



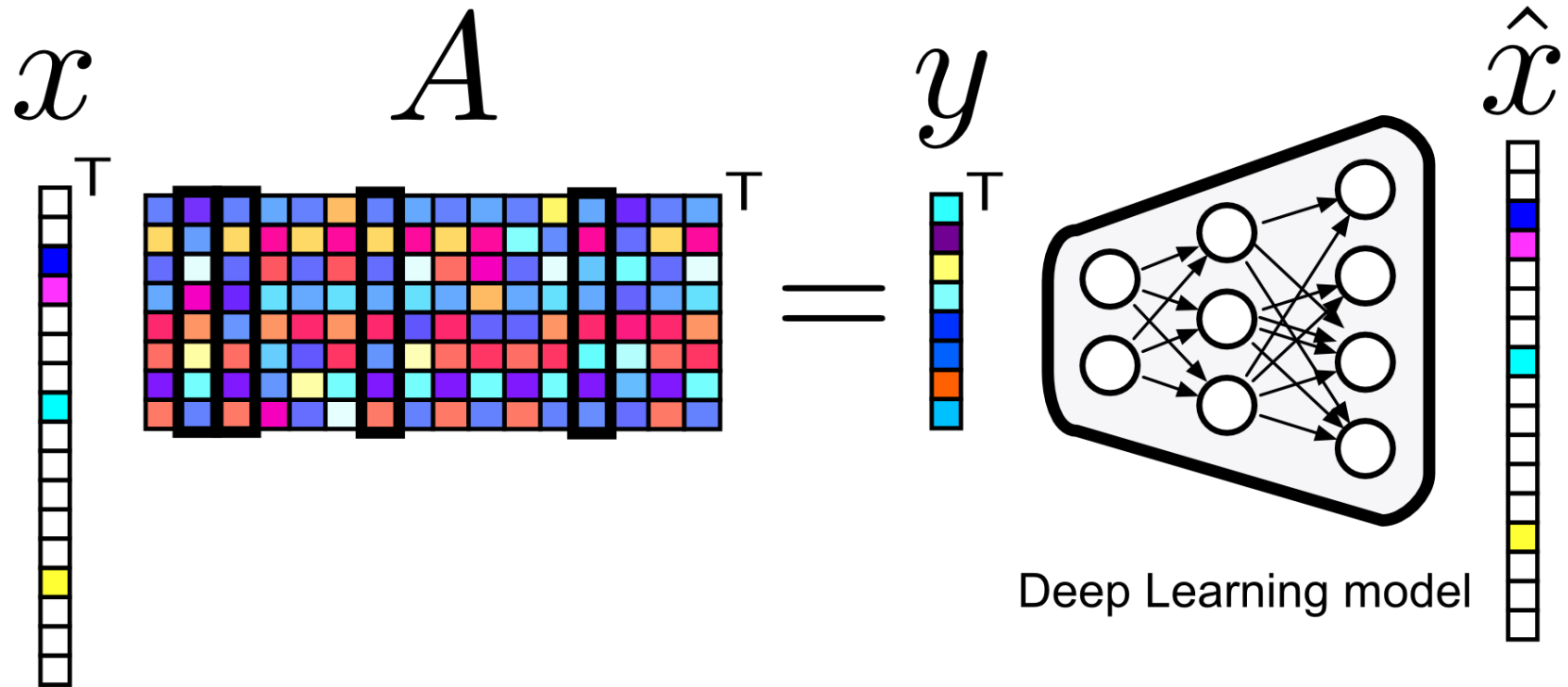
(a)  $\mathcal{B}_p$  for  $\mathbf{p} = 0.25 \cdot \mathbf{1}$ ,  $N = 3$



(b) realization of  $p_x$  for  $\mathbf{p} = 0.25 \cdot \mathbf{1}$ ,  $N = 100$

- S. Limmer and S. Stanczak, "Towards optimal nonlinearities for sparse recovery using higher-order statistics," 2016 IEEE 26th International Workshop on Machine Learning for Signal Processing (MLSP), Vietri sul Mare, 2016, pp. 1-6.

# Sparse Recovery via a Deep Neural Network

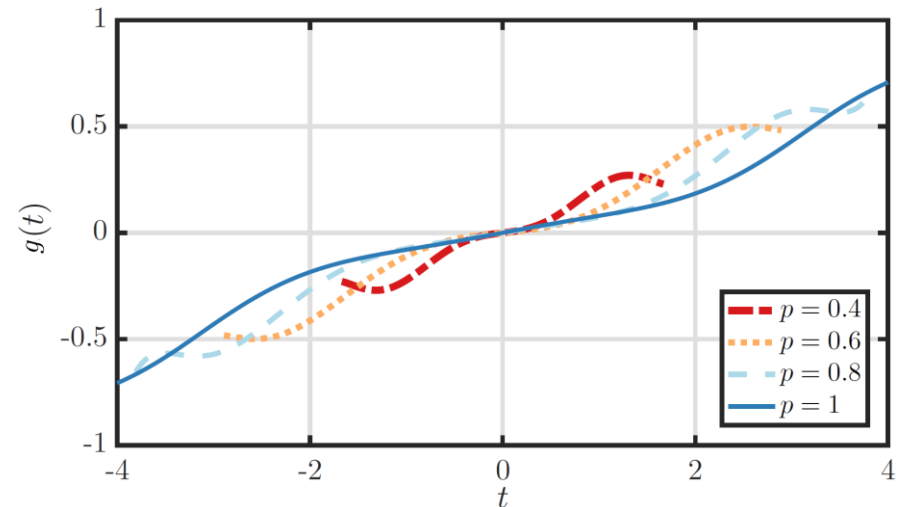
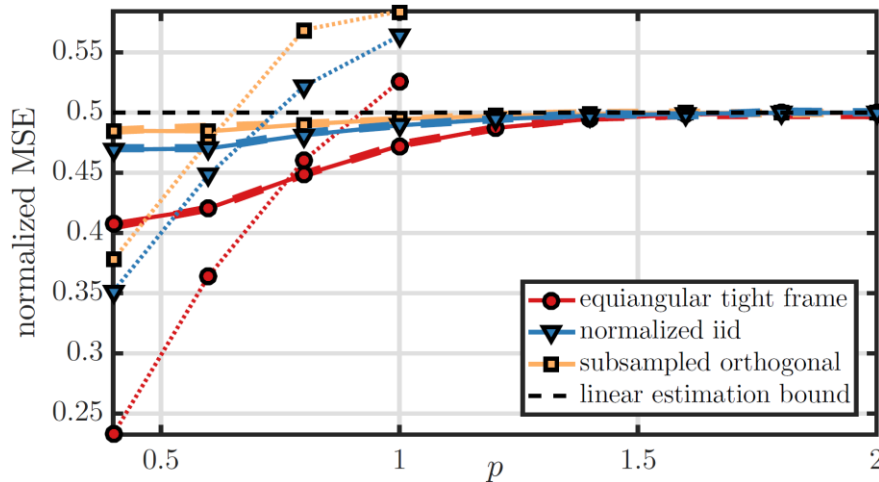
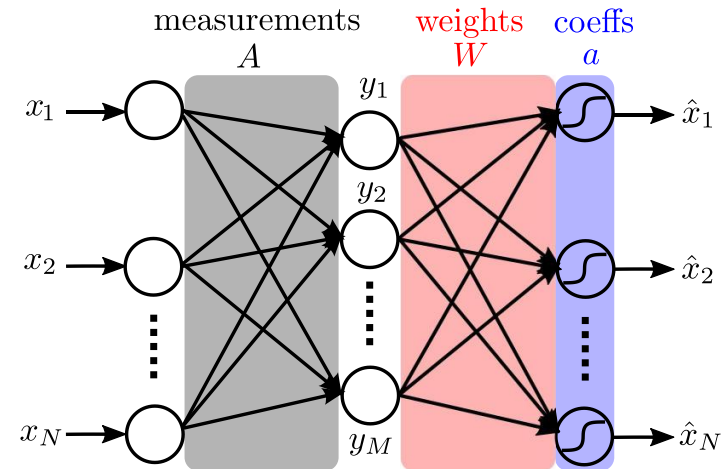


- CS methods are *not* suitable for low-latency applications
- Training must be short
- **→ Design** a good DNN for sparse recovery

# Optimization for MMSE Recovery

$A \in \mathbb{R}^{3 \times 6}$ , activation  $\sigma$  with polynomial degree 9

- proposed (solid/dashed): linear estimator  $+\epsilon \rightarrow$  online feasible
- LASSO (dotted): many iterations  $\rightarrow$  online infeasible

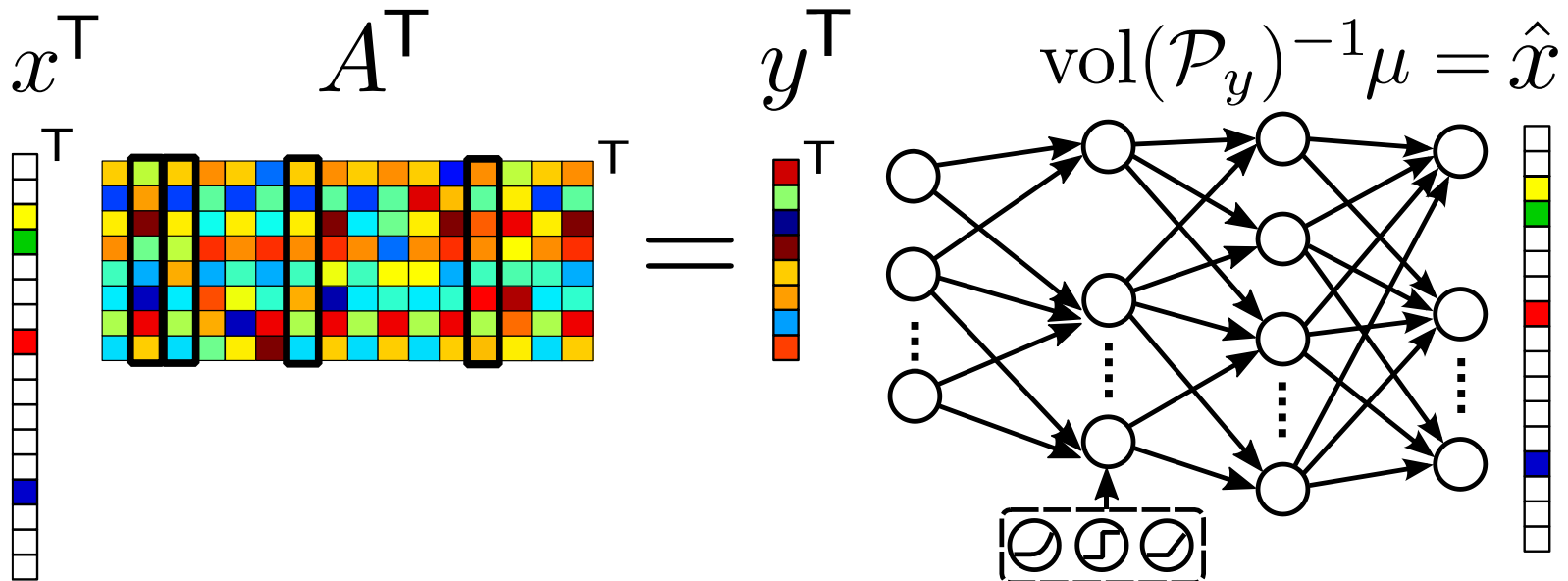
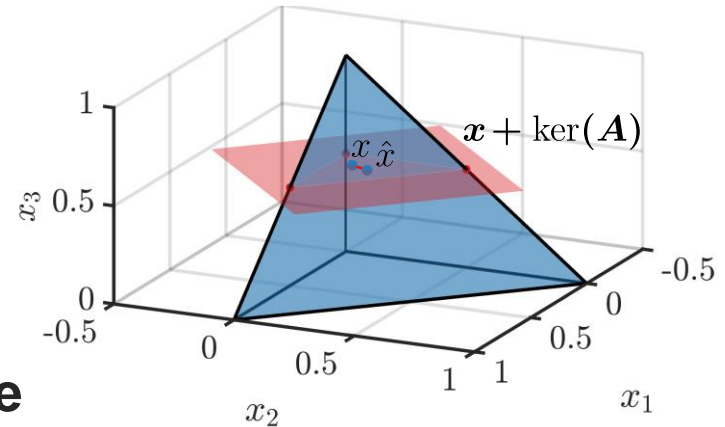


- S. Limmer and S. Stanczak, "Towards optimal nonlinearities for sparse recovery using higher-order statistics," 2016 IEEE 26th International Workshop on Machine Learning for Signal Processing (MLSP), Vietri sul Mare, 2016, pp. 1-6.



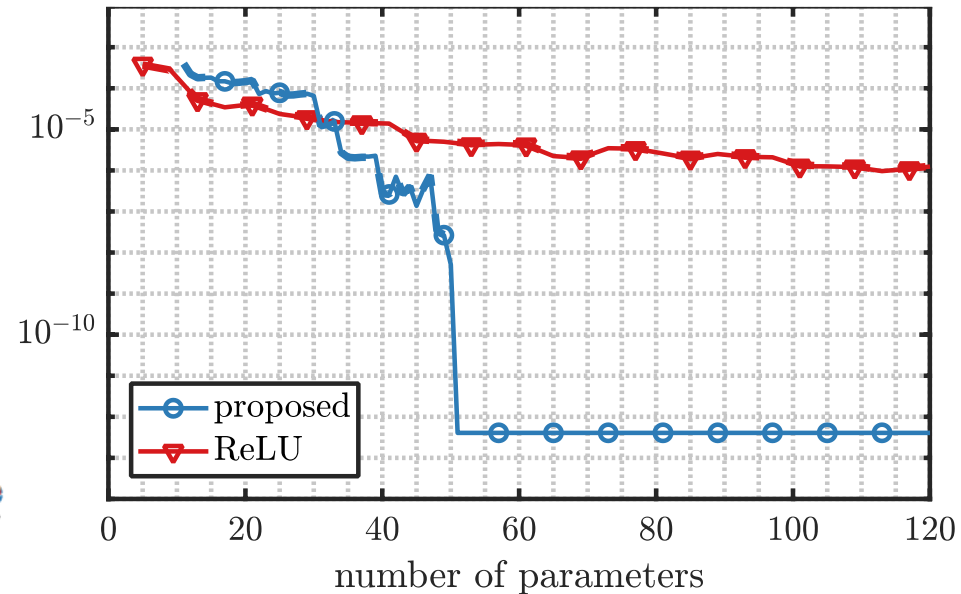
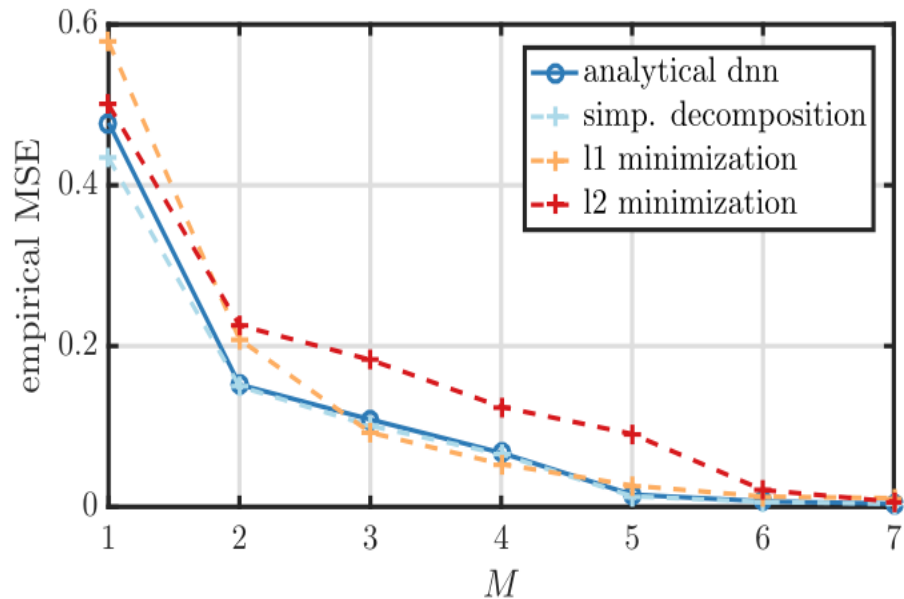
# Designing DNNs via Laplace Techniques

- Input uniformly distributed on
 
$$\mathcal{B}_1 = \{\mathbf{x} \geq 0 : \sum_{i=1}^N x_i \leq 1\}$$
- The conditional MMSE estimator is a polytope centroid under certain conditions.
  - ➔ Volume and moment computation
- **Implementable using the DL architecture**



# Numerical Experiments with Training

Real data



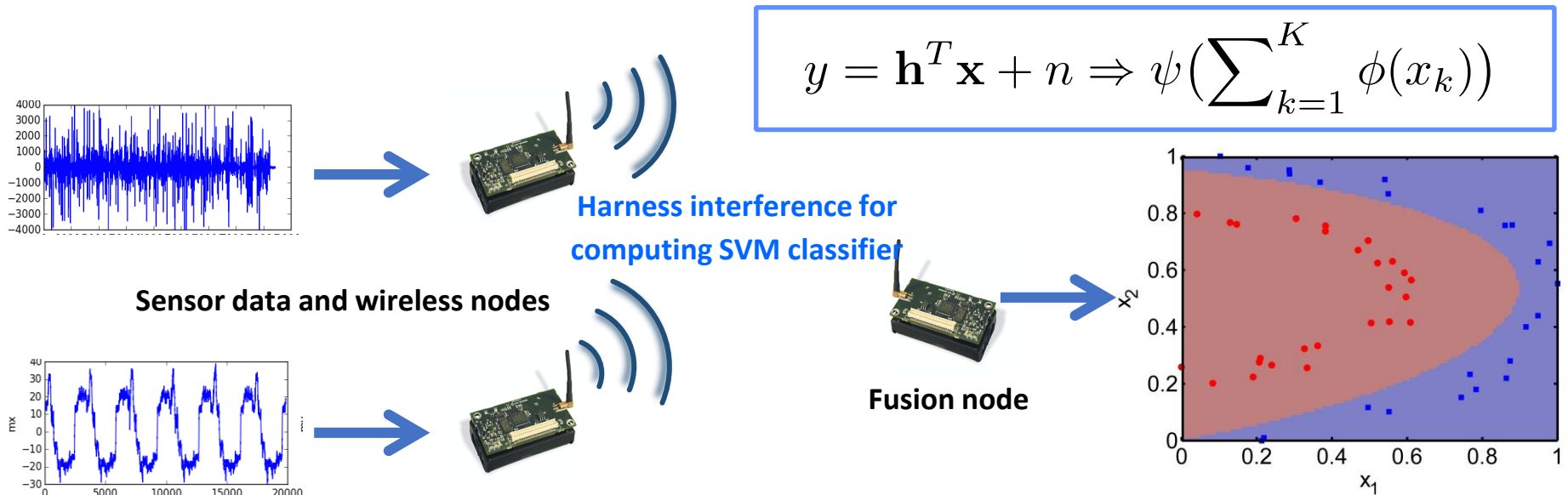
- S. Limmer and S. Stanczak, "A neural architecture for Bayesian compressive sensing via Laplace techniques", IEEE Trans. On Signal Processing, Nov. 2018

# Take-away Message

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- ML/AI might be a “salvation” for industrial communication
- But there is a strong need for robust online ML methods
  - Exploit domain knowledge: Hybrid-driven distributed ML
  - Learn feature insensitive to frequency bands, phases ...
- No time and data for extensive training of DNN
  - Design good NN architectures for a given task

# Exploiting „Interference“ for Learning



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