

# Unleashing Enterprise Innovation:

Leveraging Collaboration with Privacy,  
Security, Legal, Compliance, and Tech Orgs  
to create AI Governance

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February 19, 2024

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# Agenda

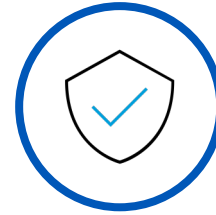
- Current State of Affairs
- Disruptive Opportunity
- Ethical & Responsible AI
- Collaboration Foundation

# Where are we going?



80%

Organizations do not have new privacy enhancing technologies in focus



60%

Organizations that have published guidelines for the ethical use of AI... internally or externally



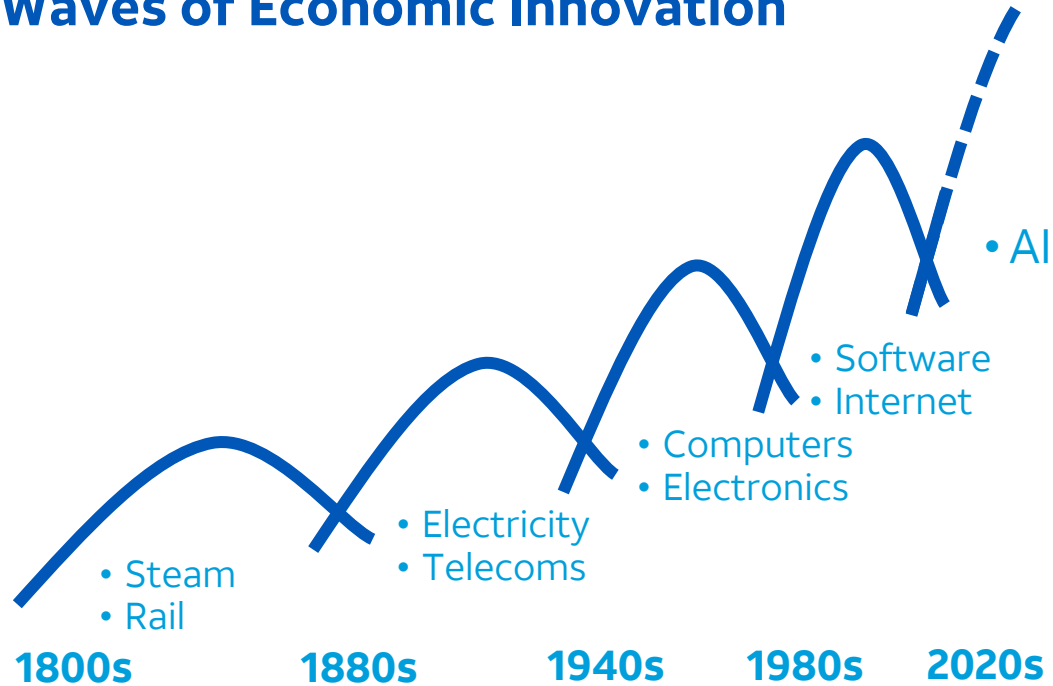
>50%

Organizations are building responsible AI governance on top of existing mature privacy programs

[IAPP Privacy and AI Governance Report](#)

# Disruptive Opportunity

## Waves of Economic Innovation



91%

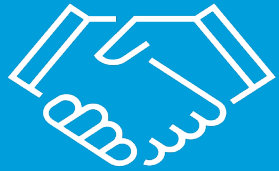
Of organizations expect GenAI to improve their organization's productivity

[Deloitte: Now decides next: Insights from the leading edge of generative AI adoption](#)

# Ethical & Responsible AI

Outcomes generated by AI require policies, safeguards, and effective oversight to ensure we adhere to a system of moral standards

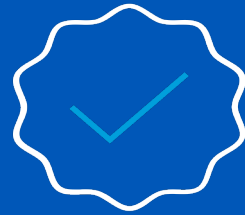
## ACCOUNTABILITY



### OPERATIONAL FRAMEWORK

#### Scalable Technology Controls

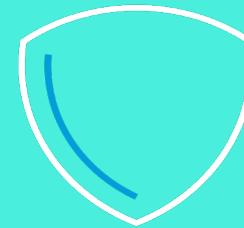
End to End Governance  
Define enterprise **Target Data+AI Architecture**  
**Evaluate and mitigate** AI models and solutions for the risk of unfair or unintended bias  
A risk mitigation **assessment** is completed on every new model as well as on significant changes to the model



### PRIVACY

#### Privacy By Design

Privacy Impact Assessments are conducted to ensure **privacy policies** are adhered to  
Systems are **designed & developed** with privacy & security requirements



### SECURITY

#### Data Protection

Protect the firm's **Intellectual Property**  
Platform and use case embedded architecture  
**Clear and defined** Security Policy and Requirements  
Employ **AI Governance** structures

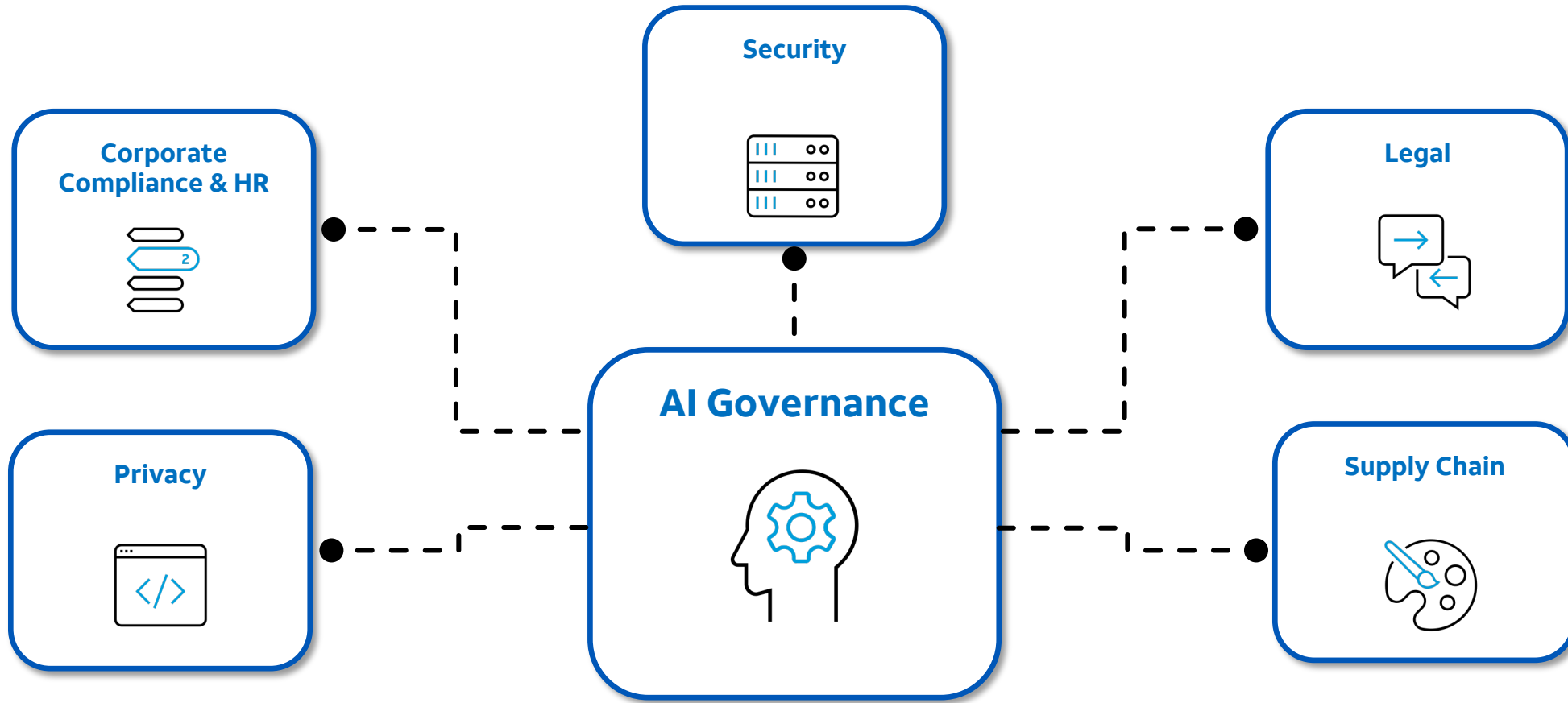


### TRANSPARENCY & EXPLAINABILITY

#### Visibility and Scalable Processes

**Cite** the use of AI in work products  
Enterprise Data +AI Inventory & Usage  
Human in the Loop (HITL)  
AI solutions are subject to appropriate **human oversight** for unfair biases, performance issues, inaccuracies, offensiveness, and other defects

# Collaboration Across the Firm while Mitigating Risk



**Clear governance & accountability with aligned priorities across the firm**

# Foundation to collaborate and align across the firm

## Guiding Principles

Clear governance & accountability

Aligned priorities across the firm

Tech collaboration for delivery

Established success metrics

Rapid scaling & reuse

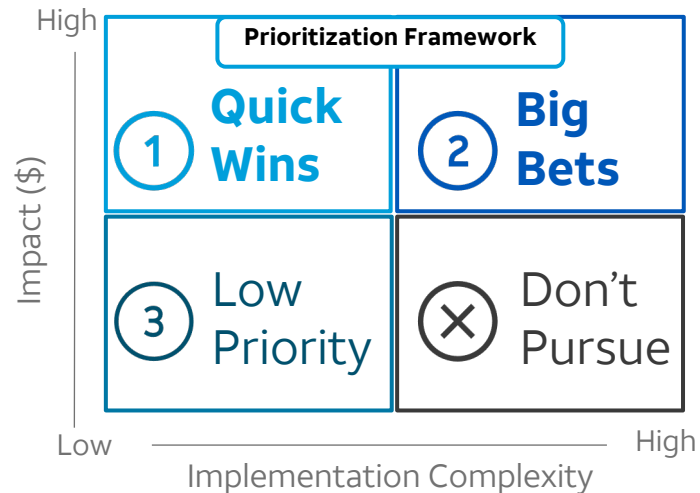
## AI Governance



Representation from key stakeholders are at the table together



Clear success metrics



## Framework



Enterprise repository for innovation and risk mitigation together



Assess and align on value and key priorities across the firm



Assess and align on risk mitigation

## Lifecycle Management



### Policies

Develop and communicate policies, processes, and procedures to guide the firm



### Design:

Integrate policies into solutions



### Communications Plan:

Training and engagement strategy to inform, empower, and unleash



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