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Corrigendum 2
(10/2020)

SERIES G: TRANSMISSION SYSTEMS AND MEDIA,
DIGITAL SYSTEMS AND NETWORKS

Access networks – In premises networks

Unified high-speed wire-line based home
networking transceivers – System architecture and
physical layer specification

Corrigendum 2

Recommendation ITU-T G.9960 (2018) –
Corrigendum 2

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TRANSMISSION SYSTEMS AND MEDIA, DIGITAL SYSTEMS AND NETWORKS

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Recommendation ITU-T G.9960

Unified high-speed wire-line based home networking transceivers - System architecture and physical layer specification

Corrigendum 2

Summary

Recommendation ITU-T G.9960 belongs to the family of ITU-T G.996x Recommendations. Recommendation ITU-T G.9960 specifies the system architecture and physical (PHY) layer for wireline-based home networking transceivers which are capable of operating over premises' wiring, including inside telephone wiring, coaxial cable, and power-line wiring. It complements the data link layer (DLL) specification in Recommendation ITU-T G.9961, and the power spectral density (PSD) specification in Recommendation ITU-T G.9964.

Amendment 1 includes the extension of the Recommendation to operate on an extended bandwidth over coaxial and phoneline mediums, multi-level coding and RCM schemes.

Amendment 2 includes a new Annex R on the use of reverse power feeding techniques for G.hn over coaxial media, and adds a field to the PHY frame header of the MAP to better control PSD levels in complex networks such as those for Smart Grid applications.

Corrigendum 2 corrects the duration of MSG, BMSG and BACK frames, corrects a test vector in clause G.4.1, and reserves PROBE frame and BACK PHY-frame type field values for use by ITU-T G.9963.

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FOREWORD

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The World Telecommunication Standardization Assembly (WTSA), which meets every four years, establishes the topics for study by the ITU-T study groups which, in turn, produce Recommendations on these topics.

The approval of ITU-T Recommendations is covered by the procedure laid down in WTSA Resolution 1.

In some areas of information technology which fall within ITU-T's purview, the necessary standards are prepared on a collaborative basis with ISO and IEC.

NOTE

In this Recommendation, the expression "Administration" is used for conciseness to indicate both a telecommunication administration and a recognized operating agency.

Compliance with this Recommendation is voluntary. However, the Recommendation may contain certain mandatory provisions (to ensure, e.g., interoperability or applicability) and compliance with the Recommendation is achieved when all of these mandatory provisions are met. The words "shall" or some other obligatory language such as "must" and the negative equivalents are used to express requirements. The use of such words does not suggest that compliance with the Recommendation is required of any party.

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As of the date of approval of this Recommendation, ITU had received notice of intellectual property, protected by patents, which may be required to implement this Recommendation. However, implementers are cautioned that this may not represent the latest information and are therefore strongly urged to consult the TSB patent database at <http://www.itu.int/ITU-T/ipr/>.

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Recommendation ITU-T G.9960

Unified high-speed wire-line based home networking transceivers - System architecture and physical layer specification

Corrigendum 2

Editorial note: This is a complete-text publication. Modifications introduced by this corrigendum are shown in revision marks relative to Recommendation ITU-T G.9960 (2018) plus its Corrigendum 1, Amendment 1 and Amendment 2.

1 Scope

This Recommendation specifies the system architecture and functionality for all components of the physical (PHY) layer of home network transceivers designed for the transmission of data over premises' wiring, including inside telephone wiring, coaxial cable, power-line wiring, plastic optical fibres, and any combinations of these.

Specifically, this Recommendation defines:

- the home network architecture and reference models
- the physical layer specification (PCS, PMA and PMD).

These transceivers are intended to be compatible with other devices sharing in-premises wiring.

Additionally, this Recommendation provides for spectrum notching for compatibility with amateur radio services.

Corrigendum 1 aligns the reserved bits allocations within ITU-T G.99xx Recommendations

Amendment 1 includes the extension of the Recommendation to operate with non-band limited operational frequency bands (OFBs) over coaxial and phoneline mediums.

Amendment 2 includes a new Annex R on the use of reverse power feeding techniques for G.hn over coaxial media, and adds a field to the PHY frame header of the medium access plan (MAP) to better control power spectral density (PSD) levels in complex networks such as those for smart grid applications.

[Corrigendum 2 corrects the duration of MSG, BMSG and BACK frames, corrects a test vector in clause G.4.1, and reserves PROBE frame and BACK PHY-frame type field values for use by ITU-T G.9963.](#)

2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.

[[ITU-T G.117](#)] Recommendation ITU-T G.117 (1996), *Transmission aspects of unbalance about earth.*

[[ITU-T G.9961](#)] Recommendation ITU-T G.9961 (2015), *Unified high-speed wire-line based home networking transceivers – Data link layer specification.*

- [[ITU-T G.9964](#)] Recommendation ITU-T G.9964 (2011), *Unified high-speed wireline-based home networking transceivers – Power spectral density specification*.
- [[ITU-T O.9](#)] Recommendation ITU-T O.9 (1999), *Measuring arrangements to assess the degree of unbalance about earth*.
- [[ITU-T X.1035](#)] Recommendation ITU-T X.1035 (2007), *Password-authenticated key exchange (PAK) protocol*.
- [IEEE 802.1D] IEEE 802.1D-2004, *IEEE Standard for Local and metropolitan area networks: Media Access Control (MAC) Bridges*.
- [FIPS 197] FIPS PUB 197 (2001), *Advanced encryption standard (AES)*.
- [NIST-SP800-38C] NIST-SP800-38C (2004), *Recommendation for Block Cipher Modes of Operation: the CCM Mode for Authentication and Confidentiality*.

3 Definitions

This Recommendation defines the following terms:

- 3.1 address association table (AAT):** A table that associates the MAC addresses of the application entities with the DEVICE_ID of the nodes through which these application entities can be reached.
- 3.2 alien domain:** Any group of non-ITU-T G.9960 nodes connected to the same medium or which operate in close proximity. The bridging function to an alien domain, as well as coordination with an alien domain to avoid mutual interference is beyond the scope of this Recommendation.
- 3.3 Reserved:** This clause is intentionally left blank.
- 3.4 baseband:** A frequency band defined by an up-convert frequency $F_{UC} = 0$ and an up-shift frequency $F_{US} = F_{SC} \times N/2$ (see Table 7-67).
- 3.5 bridge to alien domain/network:** An application device implementing an L2 or L3 bridging function to interconnect an ITU-T G.9960 domain to an alien domain (or alien network). Bridging to alien domains/networks is beyond the scope of this Recommendation.
- 3.6 broadcast:** A type of communication where a node sends the same frame simultaneously to all other nodes in the home network or in the domain.
- 3.7 carrier sense (CRS):** Generated by the receiver, CRS indicates that the medium is busy, i.e., a PHY frame, or sequence of PHY frames, or a special signal (e.g., INUSE, PR) is currently transmitted on the medium by another node. CRS may be either a physical carrier sense signal or a virtual carrier sense indicator.
 - Physical carrier sense is generated by analysing physical signals present on the medium.
 - Virtual carrier sense is generated based on the information on the PHY frame duration or PHY frame sequence duration derived from the frame header or communicated to a node by other means (e.g., in another frame).
- 3.8 channel:** A transmission path between nodes. One channel is considered to be one transmission path. Logically, a channel is an instance of a communication medium used for the purpose of passing data between two or more nodes.
- 3.9 coding overhead:** A part of the overhead used to carry the coding redundancy (such as redundancy bits of error correction coding or cyclic redundancy check (CRC)).
- 3.10 crosstalk:** Disturbance (including frame collision) introduced by or due to operation of alien networks or other (independent) ITU-T G.9960 home networks.

3.11 data: Bits or bytes transported over the medium or via a reference point that individually convey information. Data includes both user (application) data and any other auxiliary information (overhead, including control, management, etc.). Data does not include bits or bytes that, by themselves, do not convey any information, such as the preamble.

3.12 data rate: The average number of bits communicated (transmitted) in a unit of time. The usual unit of time for data rate is 1 second.

3.13 DEVICE_ID: A unique identifier allocated to a node operating in the domain by the domain master during registration.

3.14 domain: A part of an ITU-T G.9960 home network comprising the domain master and all those nodes that are registered with the same domain master. In the context of this Recommendation, use of the term "domain" without a qualifier means "ITU-T G.9960 domain", and use of the term "alien domain" means "non-ITU-T G.9960 domain". Additional qualifiers (e.g., "power-line") may be added to either "domain" or "alien domain".

3.15 domain access point (DAP): The unique node in centralized mode (CM) that supports relay functionality through which all nodes communicate.

3.16 domain ID: A unique identifier of a domain.

3.17 domain master (DM): A node supporting the domain master functionality that manages (coordinates) all other nodes of the same domain (i.e., assigns bandwidth resources and manages priorities). Only one active domain master is allowed in a domain, and all nodes within a domain are managed (coordinated) by a single domain master. If a domain master fails, another node of the same domain, capable of operating as a domain master, should pick up the function of the domain master.

3.18 flow: A unidirectional stream of data between two nodes related to a specific application and/or characterized by a set of QoS requirements.

3.19 FLOW_ID: An identifier allocated to a flow for which parameterized QoS is used for traffic delivery. FLOW_IDs are assigned by and are unique to nodes that originate flows.

3.20 global master (GM): A function that provides coordination between different domains (such as communication resources, priority setting, policies of domain masters, and crosstalk mitigation). A global master may also convey management functions initiated by the remote management system (e.g., the Broadband Forum CPE WAN management protocol) to support broadband access. Detailed specification and use of this function is for further study.

3.21 guard interval: The time interval intended to mitigate corruption of data carried by the symbol due to inter-symbol interference (ISI) from the preceding symbols. In this Recommendation, the guard interval is implemented as a cyclic prefix.

3.22 hidden node: A node that cannot communicate directly with some other nodes within a domain.

NOTE – A hidden node may be able to communicate with another node or with a domain master using a relay node. A node that is hidden from a domain master uses a relay node as a proxy to communicate with the domain master.

3.23 home network: Two or more nodes that can communicate with each other either directly or through a relay node at the physical layer, or through an inter-domain bridge above the physical layer. A home network consists of one or more domains. In the context of this Recommendation, use of the term "home network" means "ITU-T G.9960 home network". Use of the term "alien home network" means "non-ITU-T G.9960 home network". Use of the term "network" without a qualifier means any combination of "ITU-T G.9960 home network", "non-ITU-T G.9960 home network" and "access network". Use of the term "alien network" means any combination of "non-ITU-T G.9960 home network" and "access network".

- 3.24 inter-domain bridge:** A bridging function above the physical layer to interconnect nodes of two different domains.
- 3.25 jitter:** A measure of the latency variation above and below the mean latency value. The maximum jitter is defined as the maximum latency variation above and below the mean latency value.
- 3.26 latency:** A measure of the delay from the instant when the last bit of a frame has been transmitted through the assigned reference point of the transmitter protocol stack to the instant when a whole frame reaches the assigned reference point of the receiver protocol stack. Mean and maximum latency estimations are assumed to be calculated on the 99th percentile of all latency measurements. If retransmission is set for a specific flow, retransmission time is a part of the latency for the protocol reference points above the MAC.
- 3.27 logical (functional) interface:** An interface in which the semantic, syntactic, and symbolic attributes of information flows are defined. Logical interfaces do not define the physical properties of signals used to represent the information. It is defined by a set of primitives.
- 3.28 management overhead:** A part of the overhead used for management purposes (such as home network discovery, channel estimation, acknowledgement, and establishing and terminating the flow).
- 3.29 medium:** A wireline facility, of a single wire class, allowing physical connection between nodes. Nodes connected to the same medium may communicate on the physical layer, and may interfere with each other unless they use orthogonal signals (e.g., different frequency bands, different time periods).
- 3.30 multicast:** A type of communication where a node sends the same frame simultaneously to one or more other nodes in the home network.
- 3.31 net data rate:** The data rate available at the A-interface of the transceiver reference model.
- 3.32 node:** Any network device that contains an ITU-T G.9960 transceiver. In the context of this Recommendation, use of the term "node" without a qualifier means "ITU-T G.9960 node", and use of the term "alien node" means "non-ITU-T G.9960 node". Additional qualifiers (e.g., "relay") may be added to either "node" or "alien node".
- 3.33 operational modes of a domain:**
- **peer-to-peer mode (PM):** A mode of domain operation in which all nodes use only peer-to-peer (P2P) communication with other nodes (without relay nodes). In peer-to-peer mode, no relay nodes are allowed;
 - **centralized mode (CM):** A mode of domain operation in which all nodes use relayed communication (REL) with a single relay node. In centralized mode, only one relay node is allowed and it is known as the domain access point (DAP).
NOTE – A DAP is likely to serve also as a domain master.
 - **unified mode (UM):** A mode of domain operation in which all nodes within a domain communicate using P2P or REL, as necessary, while some of the relay nodes may have additional functionalities. Unified mode can be used to support hidden nodes. In unified mode, more than one relay node is allowed.
NOTE – In UM, there is no domain access point defined.
- 3.33.1 operational frequency band (OFB):** Range of frequencies that is allowed to be used by a node to communicate with another node of the domain.
- 3.33.2 OFB profile:** Categorization of OFBs depending on the PHY frame format they use. Profile 1 OFBs use a normal PHY frame format for transmission of frames; Profile 2 OFBs use a high capacity header (HCH) PHY frame format for transmission of frames.

- 3.34 passband:** A frequency band defined by an up-convert frequency $F_{UC} = 0$ and an up-shift frequency $F_{US} \gg F_{SC} \times N/2$ (see Table 7-67).
- 3.35 peer-to-peer communication:** A type of communication within a domain in which direct signal traffic is established between nodes with no relay nodes.
- 3.36 physical interface:** An interface defined in terms of physical properties of the signals used to represent the information transfer. A physical interface is defined by signal parameters such as power (power spectrum density), timing, and connector type.
- 3.36.1 primary node (PN):** In a full duplex transmission, the node that requests to initiate a full duplex communication.
- 3.37 primitives:** Basic measures of quantities obtained locally or reported by other nodes of the domain. Performance primitives are basic measurements of performance-related quantities, categorized as events, anomalies and defects. Primitives may also be basic measures of other quantities (e.g., a.c. or battery power).
- 3.38 priority:** A value assigned to the specific frame(s) that determines the relative importance of transmitting frame(s) during the upcoming opportunity to use the medium.
- 3.39 quality of service (QoS):** A set of quality requirements on the communications in the home network. Support of quality of service refers to mechanisms that can provide a different priority to different flows, or can guarantee a measurable level of performance for a flow based on a set of quality of service parameters.
- 3.40 radio frequency (RF):** A frequency band defined by an up-convert frequency $F_{UC} > 0$ and a centre frequency $F_C = F_{UC} + F_{US} \gg F_{SC} \times N/2$ (see Tables 7-67 and 7-68).
- 3.41 reference point:** A location in a signal flow, either logical or physical, that provides a common point for observation and/or measurement of the signal flow.
- 3.42 registration:** The process used by a node to join the domain.
- 3.43 relay node:** A node supporting relay functionality that acts as an intermediary node, through which other nodes of the same domain can pass their traffic (data, control, or management).
- 3.44 relayed communication (REL):** A type of communication within a domain in which a node can communicate with other nodes through a relay node. The relay node receives a signal from a node and forwards it to the addressee nodes.
- 3.45 residential gateway:** A device providing, among other functions, bridging between the access network and the home network. Residential gateways are beyond the scope of this Recommendation.
- 3.45.1 secondary node (SN):** In a full duplex transmission, the node that receives a request for a full duplex transmission.
- 3.46 stopband:** The portion of the frequency spectrum that is not allowed for transmission.
- 3.47 subcarrier (OFDM subcarrier):** The centre frequency of each orthogonal frequency division multiplexing (OFDM) subchannel on to which bits may be modulated for transmission over the subchannel.
- 3.48 subcarrier spacing:** The difference between frequencies of any two adjacent orthogonal frequency division multiplexing (OFDM) subcarriers.
- 3.49 subchannel (OFDM subchannel):** A fundamental element of orthogonal frequency division multiplexing (OFDM) modulation technology. The OFDM modulator partitions the channel bandwidth into a set of parallel subchannels.

3.50 symbol (OFDM symbol): A fixed time-unit of an orthogonal frequency division multiplexing (OFDM) signal carrying one or more bits of data. An OFDM symbol consists of multiple sine-wave signals or subcarriers, each modulated by a number of data bits and transmitted during a fixed time called the symbol period.

3.51 symbol frame: A frame composed of bits of a single orthogonal frequency division multiplexing (OFDM) symbol period. Symbol frames are exchanged over the δ -reference point between the physical medium attachment (PMA) and physical medium dependent (PMD) sublayers of the PHY.

3.52 symbol rate: The rate, in symbols per second, at which orthogonal frequency division multiplexing (OFDM) symbols are transmitted by a node on to a medium. Symbol rate is calculated only for time periods of continuous transmission.

3.53 throughput: The amount of data transferred from the A-interface of a source node to the A-interface of a destination node over some time interval, expressed as the number of bits per second.

3.54 transmission overhead: A part of the overhead used to support transmission over the line (e.g., samples of the cyclic prefix, inter-frame gaps, and silent periods).

3.55 unicast: A type of communication where a node sends a frame to another single node.

3.56 wire class: One of the classes of wire, which has the same general characteristics: coaxial cable, home electrical-power wire, telephone-line wire and Category 5 cable.

3.57 actual PSD (APSD): PSD injected by a node during a transmission. It is lower than or equal to the TxPSD, and PSD ceiling, if specified.

3.58 maximum actual PSD (APSD_MAX): Highest value of the actual PSD across the spectrum used for transmission. This value is always less than or equal to PSD ceiling.

3.59 PSD ceiling (PSDC): The PSD ceiling specifies the PSD level that is used to impose a limit (i.e., a ceiling function) on the transmit signal. The PSD ceiling is independent of frequency and indicated by a single value in dBm/Hz.

3.60 transmit PSD mask (TxPSD): Maximum PSD that a node is allowed to inject across the spectrum used for the transmission (see clauses 7.1.5 and 10.1.5). This may be further limited by the PSD ceiling value.

3.61 transmitted power: Integral of the actual PSD over the spectrum used for transmission.

4 Abbreviations and acronyms

This Recommendation uses the following abbreviations and acronyms:

| | |
|------|----------------------------------|
| AAT | Address Association Table |
| ACE | Additional Channel Estimation |
| ACK | Acknowledgement |
| ADP | Application Data Primitives |
| AE | Application Entity |
| AMI | Advanced Meter Infrastructure |
| APC | Application Protocol Convergence |
| ASC | Active Subcarriers |
| BAT | Bit Allocation Table |
| CATV | Community Antenna Television |

| | |
|-------|-------------------------------------|
| CB | Coax Baseband |
| CM | Centralized Mode |
| CRC | Cyclic Redundancy Check |
| CRF | Coax Radio Frequency |
| CRS | Carrier Sense |
| DAP | Domain Access Point |
| DID | Destination node Identifier |
| DLL | Data Link Layer |
| DM | Domain Master |
| DME | DLL Management Entity |
| DOD | Domain identifier |
| DRI | Duration Indication |
| DSL | Digital Subscriber Line |
| EHI | Extended Header Indication |
| EMS | Energy Management System |
| ESC | Energy Services Channel |
| ESI | Energy Services Interface |
| EVCF | Electric Vehicle Charging Facility |
| EVM | Error Vector Magnitude |
| EVSE | Electrical Vehicle Supply Equipment |
| FAACK | Frame Acknowledgement |
| FEC | Forward Error Correction |
| FDX | Full Duplex |
| FT | Frame Type |
| FTE | Frame Type Extension |
| FTSF | Frame-Type Specific Field |
| GM | Global Master |
| HAN | Home Area Network |
| HCH | High Capacity Header |
| HCS | Header Check Sequence |
| HDX | Half Duplex |
| HE | Head End |
| HIS | Header Segmentation Indication |
| HRE | Header Repetition Encoder |
| HT | Home Terminal |
| IDB | Inter-Domain Bridge |
| IDFT | Inverse Discrete Fourier Transform |

| | |
|---------|--|
| IDPS | Inter-Domain Presence Signal |
| IHD | In-Home Display |
| ISC | Inactive Subcarrier |
| ISI | Inter-Symbol Interference |
| LCP | Low-Complexity Profile |
| LDPC-BC | Low-Density Parity-Check Block-Code |
| LED | Light Emitting Diode |
| LFSR | Linear Feedback Shift Register |
| LLC | Logical Link Control |
| LSB | Least Significant Bit |
| LSSN | Lowest Segment Sequence Number |
| LV | Low Voltage |
| MAC | Medium Access Control |
| MAP | Medium Access Plan |
| MDI | Medium-Dependent Interface |
| MI | Multicast Indication |
| MIC | Message Integrity Check |
| MLC | Multi-Level Coding |
| MPDU | Media access control Protocol Data Unit |
| MSB | Most Significant Bit |
| MSC | Masked Subcarrier |
| NACK | Negative Acknowledgement |
| NME | Node Management Entity |
| NMK | Network Master Key |
| NMS | Network Management System |
| NN | Node-to-Node |
| NTR | Network Time Reference |
| OFB | Operational Frequency Band |
| OFDM | Orthogonal Frequency Division Multiplexing |
| P2P | Peer-To-Peer Communication |
| PB | Power-line Baseband |
| PBC | Public Broadcast Channel |
| PCS | Physical Coding Sublayer |
| PEV | Plug-in Electric Vehicle |
| PFH | PHY-Frame Header |
| PHEV | Plug-in Hybrid Electric Vehicle |
| PM | Peer-to-peer Mode |

| | |
|------------|--|
| PMA | Physical Medium Attachment |
| PMD | Physical Medium Dependent |
| PME | PHY Management Entity |
| PMI | Physical Medium-independent Interface |
| PMSC | Permanently Masked Subcarrier |
| PN | Primary Node |
| PON | Passive Optical Network |
| PR | Priority Resolution |
| PRE | Payload Repetition Encoder |
| PSD | Power Spectral Density |
| PSDC | Power Spectral Density Ceiling |
| QC-LDPC-BC | Quasi-Cyclic Low-Density Parity-Check Block-Code |
| QoS | Quality of Service |
| RCM | Robust Communication Mode |
| REL | Relayed communication |
| RG | Residential Gateway |
| RMAP | Relayed Medium Access Plan |
| RMAP-A | Relayed Active Medium Access Plan |
| RMAP-D | Relayed Default Medium Access Plan |
| RMSC | Regionally Masked Subcarrier |
| SC | Security Controller |
| SGA | Smart Grid Access |
| SGH | Smart Grid HAN |
| SI | Scrambler Initialization |
| SID | Source node Identifier |
| SI-POF | Step-Index Polymer/Plastic Optical Fibres |
| SM | Subcarrier Mark |
| SN | Secondary Node |
| UAN | Utility Access Network |
| UM | Unified Mode |

5 Home network architecture and reference models

5.1 Home network architecture and topology

An architectural model of the home network is presented in Figure 5-1. The model includes one or more domains, inter-domain bridges (IDB), and bridges to alien domains such as a WiFi or Ethernet home network, or a DSL or PON access network. The global master function coordinates resources such as bandwidth reservations, flow priorities, and operational characteristics between domains, and may convey the relevant functions initiated by a remote management system (e.g., as specified in

[bTR-069]) to support broadband access. Detailed specification and use of the global master function is for further study. The specification of bridges to alien domains and to the access network is beyond the scope of this Recommendation.

NOTE 1 – It is not necessary that all inter-domain bridges presented in Figure 5-1 be used. Depending on the application, domains could be daisy-chained, or star-connected, or could use another connection topology. Support of multi-route connections between domains is for further study.

NOTE 2 – It is possible to install multiple ITU-T G.9960 home networks (i.e., not connected by inter-domain bridges) per dwelling.

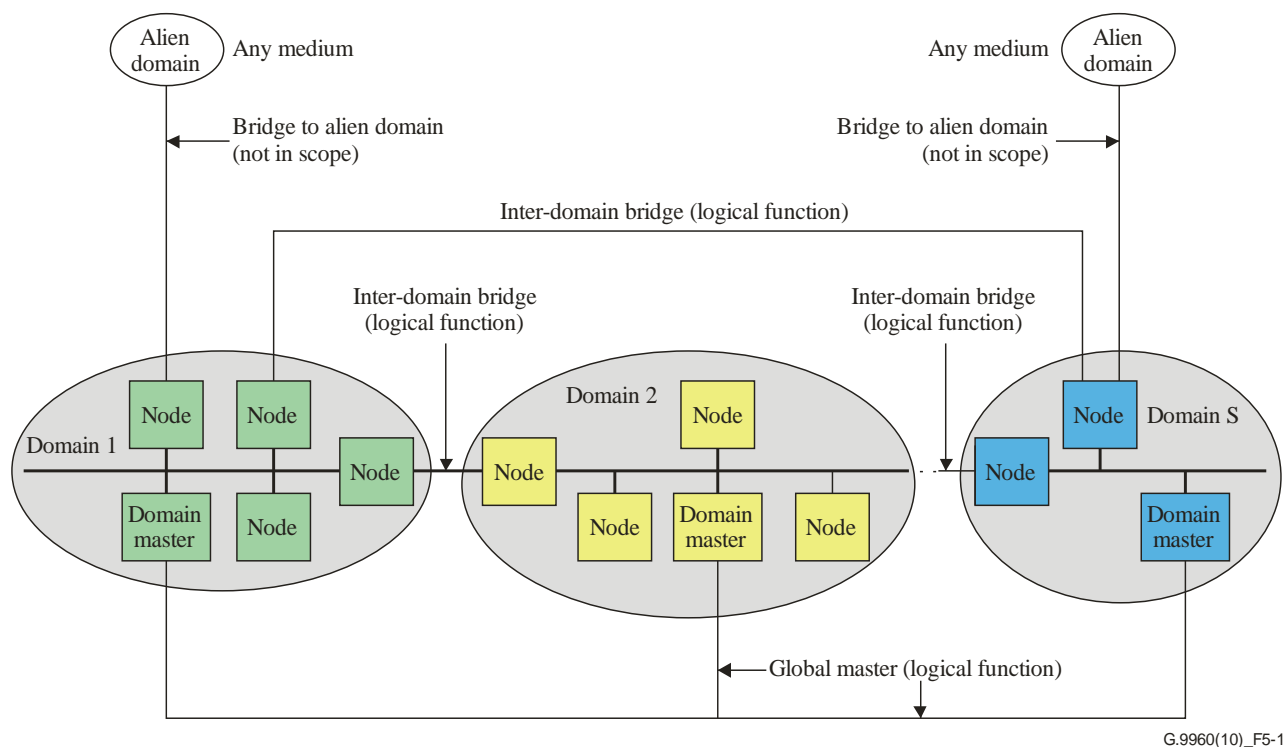


Figure 5-1 – Home network architecture reference model

A domain contains nodes connected to the same medium, where one node is acting as a domain master. Nodes of the same domain communicate via the medium over which the domain is established. Nodes connected to different domains communicate via inter-domain bridges (e.g., L2 or L3 bridging, see clause 5.1.6).

A domain shall be capable of supporting at least 32 registered nodes and may optionally support up to 250 registered nodes. Each node shall be capable of supporting simultaneous communication sessions with at least eight other nodes using dedicated sets of transmission parameters (e.g., runtime bit allocation tables (BATs)), different from the predefined BATs described in clauses 7.1.4.2.2.1 and 10.1.4.2.2.1.

The scope of this Recommendation is limited to transceivers of all nodes capable of operating either with extended capabilities (e.g., domain master (DM), domain access point (DAP), relay node, or combinations thereof) or without extended capabilities in any domain. Other parts of this Recommendation, including inter-domain bridges, RGs (as a bridge to the access network), and bridges to alien domains, are beyond the scope of this Recommendation; however, this Recommendation defines all necessary means to support their functionality and the exchange of relevant information.

The domain master considers bridges to alien domains as application entities (AEs) of a node with certain requirements, while it considers inter-domain bridges as AEs of nodes whose interfaces (see clause 5.1.6) comply with this Recommendation.

5.1.1 Domains

5.1.1.1 General rules of operation

A domain as depicted in Figure 5-1 may include nodes with a range of capabilities including extended capabilities such as relay, domain master, and DAP functionality, as well as nodes with limited capabilities such as low-complexity profile (LCP) nodes.

The function of the domain master is to assign and coordinate resources (bandwidth and priorities) of all nodes in its domain. The following rules apply for any domain:

- 1) A home network may include one or more domains.
- 2) More than one domain may be established over the same medium, for example, by using orthogonal signals over different frequency bands.
- 3) The home network shall have a unique name. All domains of the same home network shall use this name.
- 4) The domain ID shall be used to identify a specific domain. Each domain in a home network shall have a unique domain ID.
- 5) All nodes within the same domain shall use the same domain ID.
- 6) Domains from independent home networks established over the same medium may interfere with each other (e.g., if they use the same frequency band). Coordination between domains of independent home networks sharing a common medium may be performed (see clause 8.14 in [\[ITU-T G.9961\]](#)).
- 7) All nodes in a domain shall be managed by a single domain master.
- 8) There shall be one and only one active domain master per domain. In case an active domain master is not assigned, fails, or is switched off, a domain master selection procedure is initiated to assign a new active domain master.
- 9) Nodes are not required to be domain master capable. That is, some nodes may not support the functionality necessary to become a domain master.
- 10) Nodes of the same home network that can communicate with each other directly at the physical layer (except crosstalk between closely routed wires) shall be assigned to the same domain.
- 11) The domain master shall assign a DEVICE_ID to a node during the node's registration process.
- 12) All nodes within a domain shall support P2P and REL (REL is considered as a subset of P2P, where the first destination address for the node is a relay node). For both P2P and REL, bandwidth resources and priorities of all nodes within the domain are managed by the domain master.
- 13) A node shall keep track of the domain where it associates and shall discard in the payload of MSG type PHY frames with DOD value different from 0 received from domains other than its own. These frames can be distinguished by examination of the DOD field in the PHY frame headers that are transmitted for these types of frames.
- 14) A node is required to report the existence of neighbouring domains to its domain master when it receives one or more PHY-frame headers containing a DOD value other than the one used in its domain or when it receives PHY-frame headers containing a DOD value of 0 (see clause 8.14 of [\[ITU-T G.9961\]](#)).

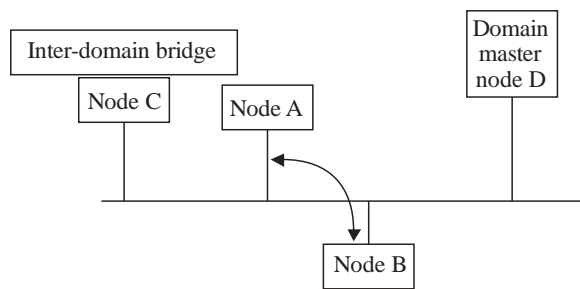
5.1.1.2 Modes of operation

A domain can operate in one of three modes: peer-to-peer mode (PM), centralized mode (CM), or unified mode (UM). Different domains within the home network can use different modes of

operation, i.e., PM, CM, or UM. Examples of domains in different operational modes are presented in Appendix I.

Broadcast and multicast shall be supported in any domain, independent of their operational mode (PM, CM or UM).

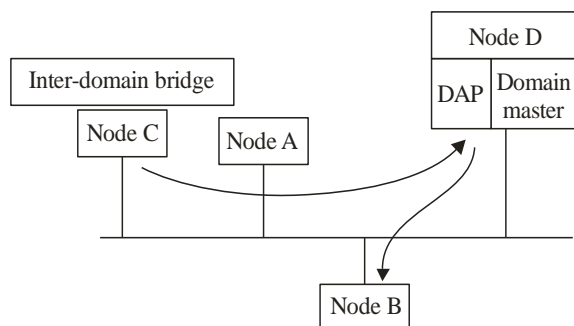
In PM, only P2P shall be used in the domain. Thus, direct signal traffic is established between two communicating nodes. Figure 5-2 shows the use of P2P between nodes A and B. Frames addressed to nodes outside the domain are sent to the node associated with the inter-domain bridge (node C in Figure 5-2).



G.9960(10)_F5-2

Figure 5-2 – Domain operating in peer-to-peer mode (PM)

In CM, only REL shall be used. Thus, any node of the domain can communicate with another node only through the DAP. The DAP receives signals from all nodes of the domain and further forwards them to the corresponding addressee nodes. Frames addressed to nodes outside the domain are forwarded by the DAP to the node associated with the inter-domain bridge (node C in Figure 5-3). Usually, but not necessarily, the DAP also serves as a domain master (Figure 5-3).

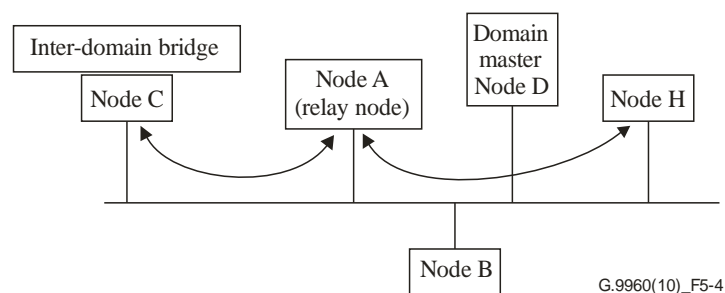


G.9960(10)_F5-3

Figure 5-3 – Domain operating in centralized mode (CM)

In case of DAP failure, no communication between nodes in the domain is allowed.

In UM, a hidden node in a domain can communicate with another node through a relay node as shown in Figure 5-4. In the example, two nodes within the same domain (node C and node H) that are hidden from each other communicate with each other via the relay node (node A). Both nodes are managed by the domain master (node D) and can communicate directly with all other nodes. Frames addressed to nodes outside the domain are sent to the node associated with the inter-domain bridge (node C in Figure 5-4).



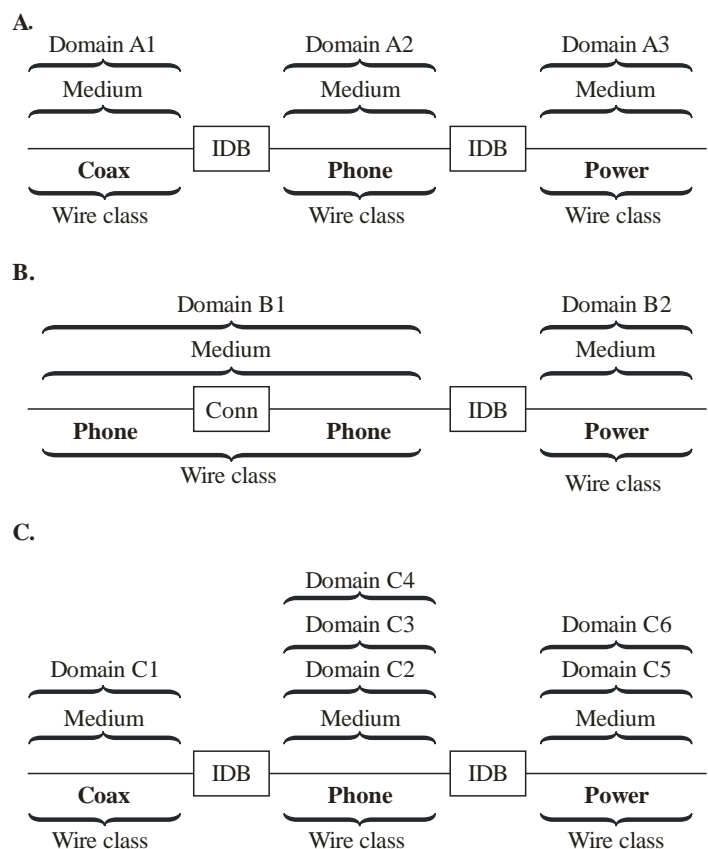
NOTE – Nodes C and H are hidden from each other.

Figure 5-4 – Domain operating in unified mode (UM) containing hidden nodes

5.1.1.3 Relationship between domain and medium

Figure 5-5 shows several examples of the relationships between domain, medium and wire class. Note that in Figure 5-5, each of the domains is shown to be associated with a single medium. This represents the focus of ITU-T G.9960; domains optimized to operate on a single wire class with multiple domains interconnected via inter-domain bridges. Figure 5-5 (A) shows an example segment of a home network comprising three different media: coax, telephone line and power line. A single domain exists on each medium and the domains are inter-connected by inter-domain bridges. Figure 5-5 (B) shows another example segment of a home network comprising telephone-line and power-line mediums. In this case, the telephone-line medium comprises two segments of telephone wire that are joined by splicing or at a connector. These two telephone-line segments are of a single wire class and therefore are a single medium. As shown in Figure 5-5 (A), there is a single domain on each medium and the domains are inter-connected by inter-domain bridges. Figure 5-5 (C) shows the example segment of a home network from Figure 5-5 (A), but also demonstrates the potential for having multiple domains on a medium. In Figure 5-5 (C), the telephone-line medium carries three domains and the power-line medium carries two domains. The multiple domains on each individual medium within a single network shall have orthogonal signalling to avoid interference. For example, on the power line, domain C5 must have signalling that is orthogonal to signalling on domain C6. These domains communicate via the same inter-domain bridges shown that connect the different media. These inter-domain bridges have multiple internal bridge ports to connect the domains operating on the same medium. Refer to Figure I.3 for an alternative representation of multiple domains on a single medium.

NOTE – It is possible to passively couple wire classes and operate them under a single domain. However, it is expected that such scenarios would occur very infrequently and such practices will be avoided if possible.



G9960(10)_F5-5

IDB Inter-domain bridge
Conn Connector or splice connection

Figure 5-5 – Relationships between domain, medium and wire class

5.1.2 Node functionality

The main functions and capabilities of a node are summarized in Table 5-1.

Table 5-1 – Main functions and capabilities of a node

| Function | Description and parameters |
|--|---|
| Medium access | Receives, interprets and acts upon the medium access plan (MAP) |
| Support of admission control protocol | Supports admission control protocol |
| Support of operational modes of the domain | Supports the operational mode (PM, CM, or UM) Complies with spectrum compatibility settings for the domain |
| Support of medium access rules | Accesses medium using medium access rules coordinated with the domain master |
| Support of security | Supports authentication and encryption key management procedures |

Table 5-1 – Main functions and capabilities of a node

| Function | Description and parameters |
|--|--|
| Collection and reporting of node information | Provides statistics: <ul style="list-style-type: none">– List of visible nodes– List of addresses (AAT)– List of capabilities supported by the node– Performance statistics (data rate, error count, time stamps)– Statistics on detected neighbouring home networks |
| Request of bandwidth allocation | <ul style="list-style-type: none">– Performs flow set up– Requests bandwidth allocations from the domain master in order to meet QoS requirements of flows |
| Support of retransmissions | Provides acknowledgment and retransmission of data units that were received with error |
| Support of extended capabilities | <ul style="list-style-type: none">– Domain master– Domain access point (DAP)– Data relaying– MAP repeating– Domain master selection procedure– Security controller (SC) |
| Neighbouring domain interference mitigation | Supports using near-orthogonal signals for generating and detecting the preamble, PR, INUSE and NACK signals for cases of low interference from neighbouring domains. Supports coordination between neighbouring domains in order to mitigate high interference (Note). |
| Inter-domain communication | Acts as a proxy for the domain master for inter-domain communication such as MAC cycle alignment and coordination with neighbouring domains for interference mitigation (Note). |
| Support of management | Support node management |
| Support of power saving modes | Support of optional power saving modes: L1, L2, L3, L4 |
| NOTE – These functionalities are specified in [ITU-T G.9961] . | |

5.1.2.1 Domain master functionality

A domain master controls operation of the nodes in the domain. The main functions of a domain master are summarized in Table 5-2.

Table 5-2 – Domain master functionality

| Function | Description |
|--|---|
| Indication of presence | Periodically communicates MAP to all nodes in the domain |
| Admission control | Admits new nodes to the domain Limits the number of nodes in a domain Facilitates departure of nodes from the domain |
| Determination of domain operation | Assigns mode of operation inside the domain (PM, CM, or UM) Supports hidden nodes by assigning MAP repeaters Supports synchronization of the MAC cycle to an external source (e.g., the a.c. line) Facilitates spectrum compatibility for the domain by assigning relevant limits on: <ul style="list-style-type: none"> – Frequency band – Maximum transmit power – PSD mask |
| Bandwidth allocation and QoS support | Assigns medium access rules to all nodes of the domain to facilitate support of QoS |
| Monitor status of the domain | Collects statistics of domain operation: <ul style="list-style-type: none"> – List of nodes in the domain – Topology – Performance statistics (data rate, error count) – Statistics on neighbouring domains |
| Communication with the global master (for further study) | Coordinates operation of the domain with other domains using the global master function |
| Backup master assignment | Assigning of a backup domain master to take over the domain master role |
| Neighbouring domain interference mitigation | Coordinates the usage of near-orthogonal signals for generating and detecting the preamble, PR, INUSE and NACK signals in the domain, in order to mitigate low interference from neighbouring domains. Supports coordination between domain masters of neighbouring domains in order to mitigate high interference (Note). |
| Inter-domain communication | Communicates with domain masters of neighbouring domains (using nodes as proxy if necessary). Inter-domain communication includes MAC cycle alignment and coordination with neighbouring domains for interference mitigation (Note). |
| Support of management | Support domain master management |
| Support of power saving modes | Support of optional power saving modes: L1, L2, L3, L4 |
| NOTE – These functionalities are specified in [ITU-T G.9961] . | |

At any given time, only one node is allowed to act as a domain master for a domain. All other nodes within the domain are managed (coordinated) by this domain master. If a domain master fails, another node of the same domain, capable of operating as a domain master, should pick up the function of the domain master.

5.1.3 Global master function

This clause provides an overview of the global master function. Detailed specification and use of this function are for further study.

The global master (GM) function interacts with domains and coordinates their operation by exchanging relevant information with domain masters via the logical M-interface as shown in Figure 5-6.

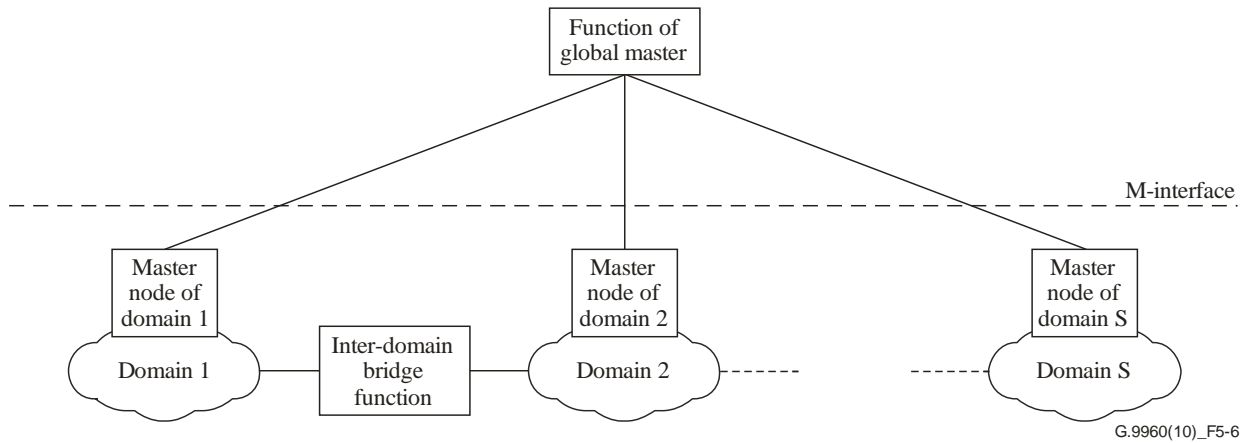


Figure 5-6 – Functional model of GM

The following rules apply to the GM:

- 1) In a multi-domain home network, the GM may coordinate some or all domains. For coordination, the GM exchanges information with domain masters of all coordinated domains via the M-interface. The GM may retrieve relevant domain-related data from domain masters and send control signals and data for coordination between domains to domain masters.
- 2) The M-interface is functional; its physical implementation is vendor discretionary. In case a domain master is replaced (e.g., as a result of a failure), the GM shall interface to the newly selected domain master.
- 3) The information exchange protocol between the GM and a domain master is unified for all domains.

NOTE – The GM does not limit the number of domains in the home network.

5.1.4 Quality of service (QoS)

Quality of service (QoS) is a measure of the quality of delivery of services in the home network, placing requirements on the transmission and queuing of traffic. This Recommendation supports two QoS methods: priority-based QoS and parameter-based QoS.

The QoS requirements are supported between nodes inside the same domain and between nodes connected to different domains if services communicated between nodes belong to different domains. In the latter case, inter-domain bridges are expected to not compromise the QoS requirements (such as latency). Inter-domain bridges are also expected to facilitate provisioning of QoS between nodes connected to different domains.

Parameter-based QoS mechanism operates per flow. Flows are set up, modified and terminated on a service basis. The characteristics of the service are used to select the QoS method used to deliver the traffic associated with the flow and to determine any relevant QoS parameters. Frames belonging to a specific flow are scheduled to be sent on to the medium in accordance with the defined QoS method. The ITU-T G.9960 QoS method handles both constant and variable data-rate traffic.

Priority-based QoS refers to a mechanism that provides different priorities for medium access based on the priority of the incoming traffic. All ITU-T G.9960 transceivers shall support priority-based QoS. The number of supported priority levels associated with the incoming application data primitives (ADPs) (at the A-interface) shall be eight (denoted from 0 to 7).

With priority-based QoS, the ITU-T G.9960 transceiver associates incoming frames with a certain priority queue, based on priority or other priority-related parameters associated with those frames. The ITU-T G.9960 priority-based QoS method defines the order in which frames from each queue will be sent to the medium and the order in which frames will be processed (and possibly dropped), based solely on the priority assigned to the queue. The number of supported priority queues may be less than eight. The mapping between the priority of the incoming frames and the associated priority queue shall be as recommended by [IEEE 802.1D] for user priority to traffic class mappings, as shown in Appendix III. Other methods of classification are for further study.

Parameter-based QoS refers to a mechanism that provides specific performance metrics (QoS parameters) for a given flow associated with the application (service), and resource allocation for medium access to meet these performance metrics. A set of these parameters may include, but is not limited to, data throughput, latency or jitter.

With parameter-based QoS, the ITU-T G.9960 transceiver associates each flow with a set of QoS parameters related to the particular service and with a certain queue. The ITU-T G.9960 parameter-based QoS method provides appropriate resources (e.g., bandwidth) necessary to communicate each flow through the medium so that QoS parameters associated with this flow are met. It also determines the order in which frames from each queue will be sent to the medium and the order in which frames will be processed (and possibly dropped) based on the knowledge of traffic parameters. The minimum number of supported flows (queues) depends on the profile.

5.1.5 Security function

The security function addresses secured operation over shared media. Besides admission procedures, which ensure that only permitted nodes can join a home network via one of its domains, this Recommendation defines point-to-point security, allowing authentication of each pair of nodes prior to communication and unique encryption keys for each pair of communicating nodes or per DLL multicast group.

NOTE – Point-to-point security generally improves security by building another layer of protection against an intruder that has broken through the admission control, and maintains full confidentiality for all communications within the home network. This makes ITU-T G.9960 suitable for installation in public places (hotels, small businesses, home offices) requiring at least the same grade of security and confidentiality as defined in the most recent specification for wireless LAN ([b-IEEE 802.11]).

The security function provides the following main features:

- encryption based on AES-128 [FIPS 197] and CCM mode [NIST-SP800-38C].
- advanced authentication and secure admission of nodes into a domain, based on:
 - For native authentication:
 - [\[ITU-T X.1035\]](#);
 - key management, including generation, secure communication, update, and termination of encryption keys;
 - high confidentiality and integrity of all transactions, including point-to-point authentication and unique encryption keys;

- support of secure operation in the presence of relay nodes;
- For external authentication:
 - Authentication mechanism defined by external AEs (e.g., [IEEE 802.1X](#));
 - high confidentiality and integrity of all transactions, including encryption keys managed by AEs (e.g., [IEEE 802.1X](#));
- allows simultaneous operation of distinct, separately secured domains on the same medium per the rules specified in clause 5.1.1.1;
- provides user-friendly procedures for setting up a secure network.

Security procedures that are user-friendly may require the user to set a password for each node prior to installation. The rest of the procedures necessary to establish and maintain security are facilitated automatically by the security controller (SC) function, without involvement of the user.

Nodes that do not include an appropriate user interface may use a unique manufacturer-set password.

Security and mutual confidentiality between applications associated with the same node are supposed to be resolved at the higher layers of the protocol stack and are beyond the scope of this Recommendation.

5.1.6 Inter-domain bridging

The inter-domain bridge (IDB) function connects nodes of two domains. In Figure 5-7, application entities AE1 (service originator) and AE2 (service destination) are associated with nodes A1 and B2, respectively, of two domains. The communication path between nodes A1 and B2 goes through domain 1 and domain 2, and includes in-domain flows F1, F2 and the IDB function. Interfaces between nodes B1, A2 and the IDB are AE interfaces (A-interfaces, see clause 5.2.1). Communication paths routed through more than two domains operate in the same way.

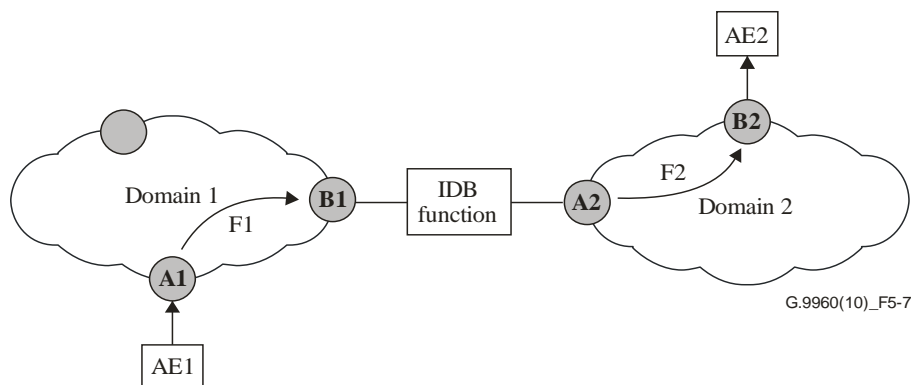


Figure 5-7 – Communication path between nodes of two different domains

The protocol reference model for inter-domain communications shown in Figure 5-7 is presented in Figure 5-8.

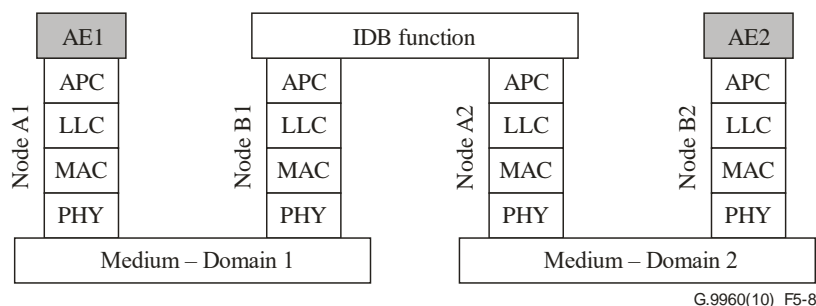


Figure 5-8 – Protocol stack of inter-domain communication

In the case that the APC is implemented as an Ethernet convergence sublayer, the IDB function can be implemented as a standard IEEE 802.1D transparent bridge. The means to avoid loops between multiple domains are for further study.

One case of inter-domain bridging relates to implementation of multimedia devices, which are equipped with more than one physical interface and, accordingly, can be connected to more than one domain. A scenario describing a two-media device is presented in Figure 5-9. The IDB connects the AE associated with the device to both domains (via nodes B1 and A2), and provides inter-domain connection (between nodes B1 and A2, similar to the one presented in Figure 5-7). The IDB interfaces to nodes B1 and A2 as an AE, and also bridges the AE to either or both of these nodes.

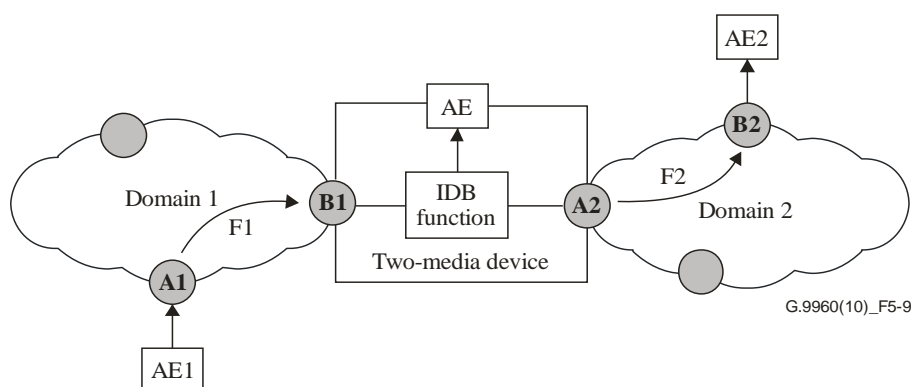


Figure 5-9 – Example of communication with a two-media (domain) device

The protocol reference model corresponding to Figure 5-9 is presented in Figure 5-10. It assumes a vendor-proprietary interface between the IDB and the AE. However, a standard interface like IEEE 802.3 can also be used.

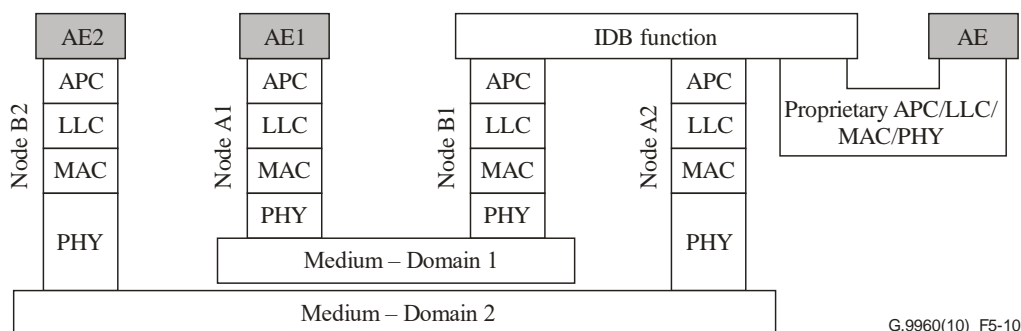


Figure 5-10 – Protocol stack of inter-domain communication

5.1.6.1 End-to-end QoS for multi-domain connections

The end-to-end QoS requirements are defined by priority level (in case of priority-based QoS), or by traffic parameters such as data rate and latency (for parameterized QoS). See clause 5.1.4. In both cases, to meet end-to-end requirements, requirements are imposed on in-domain flows forming the connection and on the IDB. In Figure 5-7, the end-to-end QoS requirements for the service routed between nodes A1 and B2 determine QoS requirements for flow F1, carrying the service inside domain 1, and for flow F2, carrying the service inside domain 2, and for the delay introduced by the IDB.

In the case of prioritized QoS, the end-to-end QoS requirements can be met if the IDB conveys priority requirements, so that the priority level applied to flow F1 in domain 1 corresponds to the priority level applied to flow F2 in domain 2. In the same way, prioritized QoS shall be supported for situations where the route for delivery of traffic between two nodes includes more than two domains.

In the case of parameterized QoS, the end-to-end QoS parameters shall be distributed between in-domain flows and the IDBs. The rules of distribution of end-to-end QoS parameters between multiple domains are for further study.

The IDB throughput shall be higher than the maximum throughput available in either of the domains connected by the IDB. The delay introduced by the IDB should be minimized (the maximum allowed values are for further study). The maximum number of IDBs in the path may be limited for certain service types.

Other parameters of the IDB functionality are vendor discretionary.

5.1.6.2 Security in multi-domain connections

For a multi-domain home network, secure operation is achieved by setting all its domains to secure mode. Communications between secure and non-secure domains shall not be allowed, unless special security measures are provided by the IDB (on higher protocol levels). These measures are beyond the scope of this Recommendation, as are security measures protecting the IDB from outside intrusion (i.e., when the intruder is one of the AEs connected to the IDB).

5.2 Reference models

5.2.1 Protocol reference model of a home network transceiver

The protocol reference model of a home network transceiver is presented in Figure 5-11. It includes three main reference points: application interface (A-interface), physical medium-independent interface (PMI), and medium-dependent interface (MDI). Two intermediate reference points, x1 and x2, are defined in the data link layer, and two other intermediate reference points, α and δ , are defined in the PHY layer (Figure 5-11).

The MDI is a physical interface defined in terms of the physical signals transmitted over a specific medium (see clauses 7.2 and 10.2) and mechanical connection to the medium.

The PMI interface is both medium independent and application independent. It is defined in clause 5.2.2.2 as a functional interface, in terms of functional flows and logical signals.

The A-interface is user application-protocol specific (e.g., Ethernet, IP). The functional description of the A-interface is presented in clause 5.2.2.1.

All intermediate reference points are independent of the type of medium and are defined as functional (logical) interfaces in terms of the functional flows and logical signals.

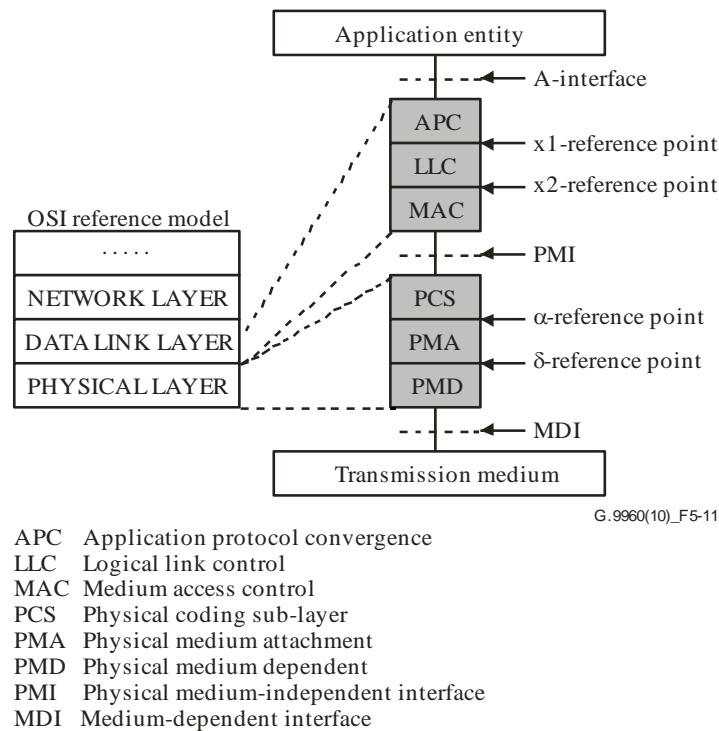


Figure 5-11 – Protocol reference model of a home network transceiver

The application protocol convergence (APC) sublayer provides an interface with the application entity (AE), which operates with an application-specific protocol, such as Ethernet. The APC also provides the data rate adaptation between the AE and the home network transceiver.

The logical link control (LLC) sublayer coordinates transmission of nodes in accordance with requests from the domain master. In particular, it is responsible for establishing, managing, resetting and terminating all connections of the node inside the domain. The LLC also facilitates quality of service (QoS) constraints of the flow, defined for its various connections.

The MAC sublayer controls access of the node to the medium using various medium access protocols.

The PCS provides data rate adaptation (data flow control) between the MAC and PHY and encapsulates transmit media access control protocol data units (MPDUs) into the PHY frame and adds PHY-related control and management overhead. The PMA provides encoding of PHY frame content for transmission over the medium. The PMD modulates and demodulates PHY frames for transmission over the medium using orthogonal frequency division multiplexing (OFDM). By implementation, the PMD may include medium-dependent adaptors for different media, including frequency shifting for passband transmission.

The layers above the data link layer (above the A-interface) are beyond the scope of this Recommendation. Management functions are not presented in Figure 5-11.

5.2.2 Interfaces – functional description

This clause contains the functional description of the ITU-T G.9960 transceiver interfaces (A, PMI, and MDI) in terms of signal flows exchanged between corresponding entities. The description does not imply any specific implementation of the transceiver interfaces.

5.2.2.1 A-interface

The A-interface is described in terms of primitives exchanged between the AE and the DLL. There are six general types of A-interface primitives, as shown in Table 5-3. Each primitive type may consist of one or more primitives, related to control or data, respectively. Data primitives represent the data path of the A-interface, while control primitives represent the control path. The format of the

application data primitives (ADPs) is application specific, determined by the AE. See [ITU-T G.9961] for further description of the application protocol convergence (APC) specific sublayer.

Table 5-3 – A-interface primitive type summary

| Primitive type | Direction | Description |
|----------------|-----------|-------------------------------------|
| AIF_DATA.REQ | AE → DLL | Data from AE to DLL |
| AIF_DATA.CNF | DLL → AE | Data confirmation from DLL to AE |
| AIF_DATA.IND | DLL → AE | Data from DLL to AE |
| AIF_CTRL.REQ | AE → DLL | Control from AE to DLL |
| AIF_CTRL.CNF | DLL → AE | Control confirmation from DLL to AE |
| AIF_CTRL.IND | DLL → AE | Control from DLL to AE |

5.2.2.2 Physical medium-independent interface (PMI)

The PMI is described in terms of primitives exchanged between the DLL and PHY layer presented in Table 5-4; the direction of each primitive flow indicates the entity originating the primitive. Both transmit and receive data primitives are exchanged in MAC protocol data units (MPDUs).

Table 5-4 – PMI primitive description

| Primitive | Direction | Description |
|--|-----------|---|
| PMI_DATA.REQ | DLL → PHY | Flow of MPDUs for transmission |
| PMI_CTRL-RxDis.REQ | DLL → PHY | Disables receive of PHY frames |
| PMI_DATA.IND | PHY → DLL | Flow of received MPDUs |
| PMI_CTRL-ERR.IND | PHY → DLL | Error primitive that accompanies an MPDU received with errors |
| PMI_CTRL-CRS.IND | PHY → DLL | Carrier sense primitive |
| RX ENABLE | DLL → PHY | Enable receive function in the PHY layer |
| NOTE – Primitives presented in this table are exclusively for descriptive purposes and do not imply any specific implementation. | | |

5.2.2.3 Medium-dependent interface (MDI)

Functional characteristics of the MDI are described by two signal flows:

- transmit signal (TX DATA) is the flow of frames transmitted on to the medium;
- receive signal (RX DATA) is the flow of frames received from the medium.

5.2.3 Functional model of a home network transceiver

The functional model of a home network transceiver is presented in Figure 5-12. It addresses nodes without extended capabilities, as well as nodes with extended capabilities such as domain master, and relaying (including DAP), which differ by their MAC, LLC and upper layer functionalities.

The PMD function depends on the medium on which the transceiver operates. It can be configured to operate in different frequency bands. The PCS provides data rate adaptation (data flow control) between the MAC and the PHY and encapsulates transmit MAC protocol data units (MPDUs) into PHY frames. The transmit PHY frame is further encoded in the PMA to meet the corresponding PMD. The functionality of the PCS, and the PMA is the same for any medium, but their parameters are medium-specific. By appropriate parameter settings, any node can be configured to operate on any type of wiring in any allowed frequency.

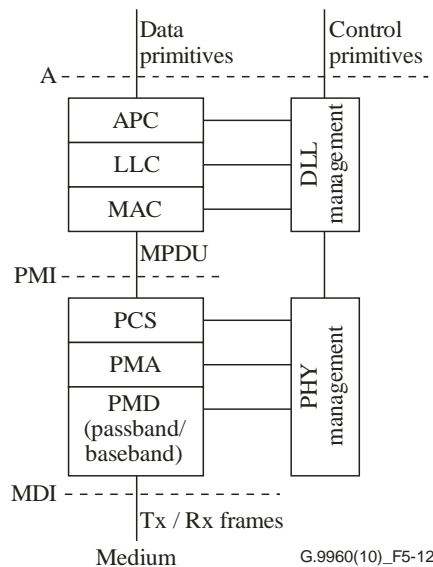


Figure 5-12 – Functional model of a home network transceiver

The detailed description of the functional model of the PHY layer is presented in clause 7.1. The detailed description of the functional model of the HCS-PHY layer is presented in clause 10.1. The DLL is specified in [ITU-T G.9961].

5.2.4 Bit ordering convention

A block of data composed of multiple octets shall be ordered by octet numbers in ascending order: "octet 0" for the first octet, "octet 1" for the second octet, and so on. If a block of data is segmented into multiple fields, the size of each field shall be expressed in terms of bits. The field is not necessarily an integer number of octets. The location of each field within a block of data shall be described as follows:

- The octets of an N -octet data block are ordered with numbers from 0 (first octet) to $N-1$ (last octet).
- The block is divided into non-overlapping groups of octets. Each group contains an integer number of consecutive octets, numbered from J to $J+V-1$, where V is the size of the group, and is described as a bit string with "bit 0", the LSB of the octet with the smallest number (J), and "bit $(8 \times V - 1)$ ", the MSB of the octet with the largest number ($J+V-1$).
- Each group is divided into one or more fields, where the boundaries of each field are determined by the LSB and the MSB of the bits of the group that contains this field.

Any block of data or part of it shall be passed over the protocol stack with the octet having the smallest number, i.e., octet 0 shall be the first octet of the block to be passed. Within each group of octets, LSB (bit 0) of each octet shall be passed first.

Table 5-5 shows an example of a field description used throughout this Recommendation. The "Octet" column represents the octet numbers for a group of octets to which a specific field belongs, and the "Bits" column represents the bit location within this group of octets. In the presented example, there are 4 groups of octets:

- Group 1 = Octet 0, fields A, B, C, D
- Group 2 = Octets 1 and 2, fields E, F
- Group 3 = Octet 3, field G
- Group 4 = Octets 4 to 7, field H.

Figure 5-13 illustrates a mapping of these fields on to corresponding octets based on the example given in Table 5-5.

Table 5-5 – An example of field description

| Field | Octet | Bits | Description |
|-------|---------|--------|-------------|
| A | 0 | [2:0] | ... |
| B | | [3] | ... |
| C | | [4] | ... |
| D | | [7:5] | ... |
| E | 1 and 2 | [1:0] | ... |
| F | | [15:2] | ... |
| G | 3 | [7:0] | ... |
| H | 4 to 7 | [31:0] | ... |

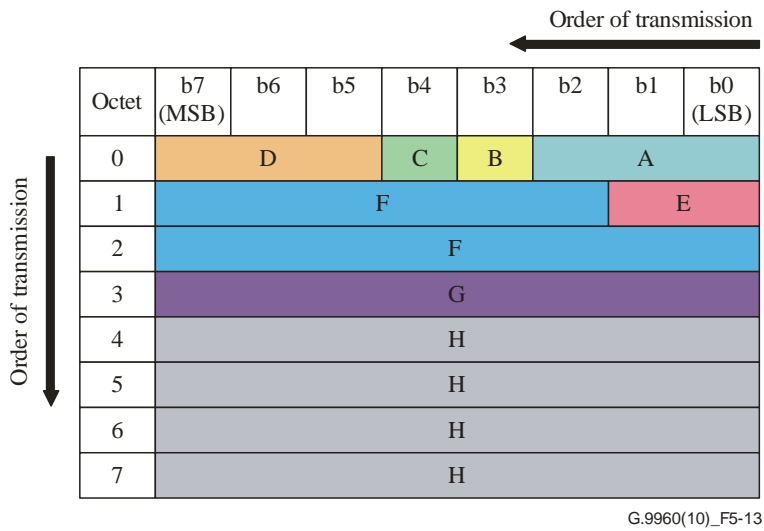


Figure 5-13 – An example of mapping fields on to groups of octets

5.3 Management-plane reference model

Figure 5-14 illustrates data-, control-, and management-plane reference models for an ITU-T G.9960/G.9961 transceiver. Details of data- and control-plane reference models are shown in clause 5.2. The Q-interface provides the interface between the network management systems (NMS) and the node management entity (NME) at a node. The definition of parameters at the Q-interface and the transport of the management instrumentation over the Q-interface are outside the scope of this Recommendation.

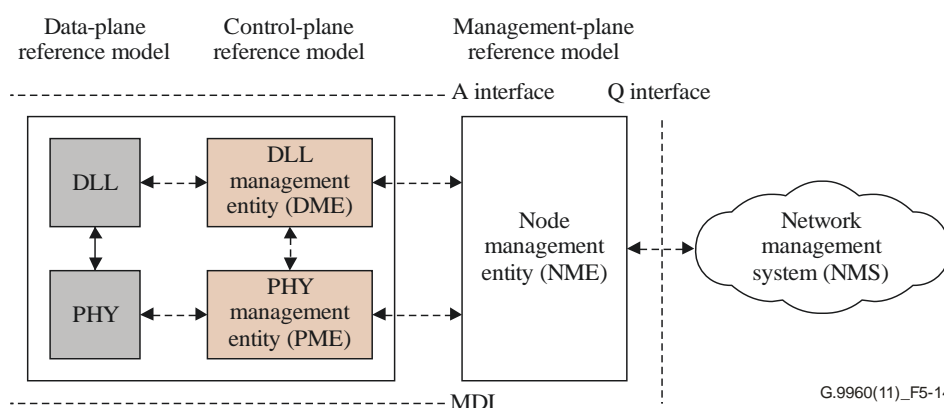


Figure 5-14 – Management-plane reference model

6 Profiles

Profiles are intended to specify nodes with significantly different levels of complexity and functionality. For every domain type, a more complex profile is a superset of less complex profile and shall interoperate with that profile. A node shall be classified into particular profiles according to its degree of complexity and functionality. For compliance with this Recommendation, a node is required to support one profile, at a minimum. Profiles are summarized in Table 6-1.

Table 6-1 – Profiles

| Profile name | Domain type | Valid operational frequency bands (Note 1) | |
|------------------------|-------------------------|---|---|
| | | Valid OFBs when using normal frame format (PHY) (Note 3,7) – Profile 1 OFBs | Valid OFBs when using high capacity header PHY frame format (HCH-PHY) (Note 4) – Profile 2 OFBs |
| Low-complexity profile | Power-line | 25 MHz | (Note 5) |
| Standard profile | Power-line | 50 MHz, 100 MHz | (Note 5) |
| | Telephone-line (Note 2) | 50 MHz-TB, 100 MHz-TB, 200 MHz-TB | K-OFB (Note 6) |
| | Coax | 50 MHz-CB, 100 MHz-CB, 200 MHz-CB | K-OFB (Note 6) |
| | Coax RF | 50 MHz-CRF, 100 MHz-CRF, 200 MHz-CRF | |

NOTE 1 – In order to be compliant with a given profile, at least one operational frequency band shall be implemented. The 200 MHz-CRF operational frequency band is applicable to Annex C only.

NOTE 2 – Telephone-line profiles are also applicable to any other pair-based copper cable (e.g., Cat5).

NOTE 3 – PHY is defined in clause 7

NOTE 4 – HCH-PHY is defined in clause 10

NOTE 5 – The definition of OFBs using HCH-PHY for powerline is for further study

NOTE 6 – K-OFB is defined by the use of a K_{\min} parameter chosen by the transmitter for RCM transmissions (the bandwidth used during RCM transmissions is derived from K_{\min} as explained in clause 10.1.3.3.1)

NOTE 7 – OFBs making use of SFH-PHY format are defined by a starting frequency and stop frequency to be used when calculating RCM parameters. Therefore, the OFBs are named following these boundaries (e.g., 50 MHz)

6.1 Low-complexity profile (LCP)

Table 6-2 describes the valid values of parameters for the low-complexity profile (LCP) that differentiate it from other profiles.

Table 6-2 – Valid parameters for the low-complexity profile

| Parameters | Description |
|----------------|--|
| EVM | See clauses 7.2.4 and 10.2.4 |
| BAT | Type 0, Type 1, Type 2, and Type 3 predefined BATs |
| FEC rate | Rate $\frac{1}{2}$ |
| FEC block size | 120 bytes (Payload) |

6.2 Standard profile

Table 6-3 describes the valid values of parameters for the standard profile that differentiate it from other profiles.

Table 6-3 – Valid parameters for the standard profile

| Parameters | Description |
|----------------|---|
| EVM | See clauses 7.2.4, 10.2.4 and C.2.3.4 |
| BAT | Type 0, Type 1, Type 2 and Type 3 predefined BATs. Supports at least a total of 8 simultaneous runtime BATs assuming no grouping (including transmit and receive BATs) |
| FEC rate | Rate $\frac{1}{2}$, $\frac{2}{3}$, $\frac{5}{6}$, $\frac{16}{18}$, and $\frac{20}{21}$ |
| FEC block size | 120 and 540 bytes (Payload) |

6.3 Operational frequency band (OFB)

An operational frequency band (OFB) is defined as a range of frequencies that is allowed to be used by a node to communicate with another node of the domain.

The OFB is defined by:

- **Profile 1 OFBs:** Both minimum and maximum frequencies available for communication are defined in the OFB.
- **Profile 2 OFBs:** The maximum frequency available for communication is not defined by OFB and is set by other means (e.g., capabilities of the modem). There is only an OFB in this category: K-OFB (see Table 6-1).

When using a Profile 1 OFB, the node shall use the PHY layer specified in clause 7.

When using a Profile 2 OFB, the node shall use the high capacity header PHY (HCH-PHY) layer specified in clause 10.

Annex J of this Recommendation specifies the rules that a node shall follow to guarantee interoperability in a domain allowing both Profile 1 and Profile 2 OFBs.

7 Physical layer specification (PHY layer)

7.1 Medium independent specification

7.1.1 Functional model of the PHY

The functional model of the PHY is presented in Figure 7-1. The PMI and MDI are, respectively, two demarcation reference points between the PHY and MAC and between the PHY and the transmission medium. Internal reference points δ and α show separation between the PMD and PMA, and between the PCS and PMA, respectively.

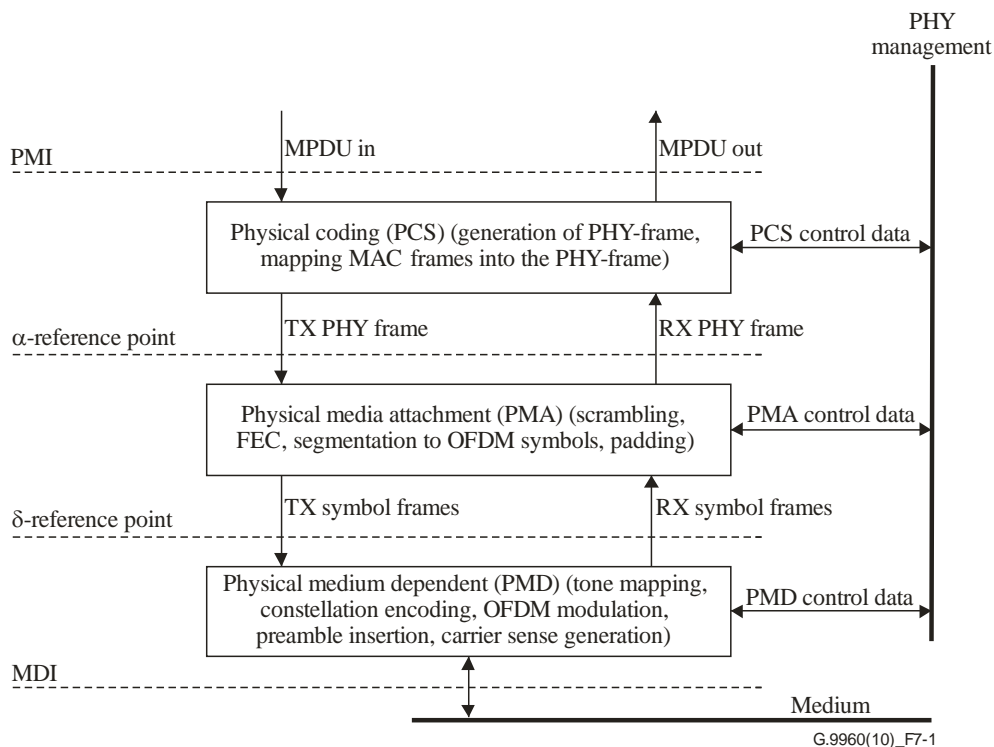


Figure 7-1 – Functional model of the PHY

In the transmit direction, data enters the PHY from the MAC via the PMI in blocks of bytes called MAC protocol data units (MPDUs). The incoming MPDU is mapped into a PHY frame in the PCS, scrambled and encoded in the PMA, modulated in the PMD, and transmitted over the medium using OFDM modulation with relevant parameters. In the PMD, a preamble is added to assist synchronization and channel estimation in the receiver.

In the receive direction, frames entering from the medium via the MDI are demodulated and decoded. The recovered MPDUs are forwarded to the MAC via the PMI. The recovered PHY-frame headers are processed in the PHY to extract the relevant frame parameters specified in clause 7.1.2.3.

7.1.2 Physical coding sublayer (PCS)

The functional model of the PCS is presented in Figure 7-2. It is intended to describe in more detail the PCS functional block presented in Figure 7-1.

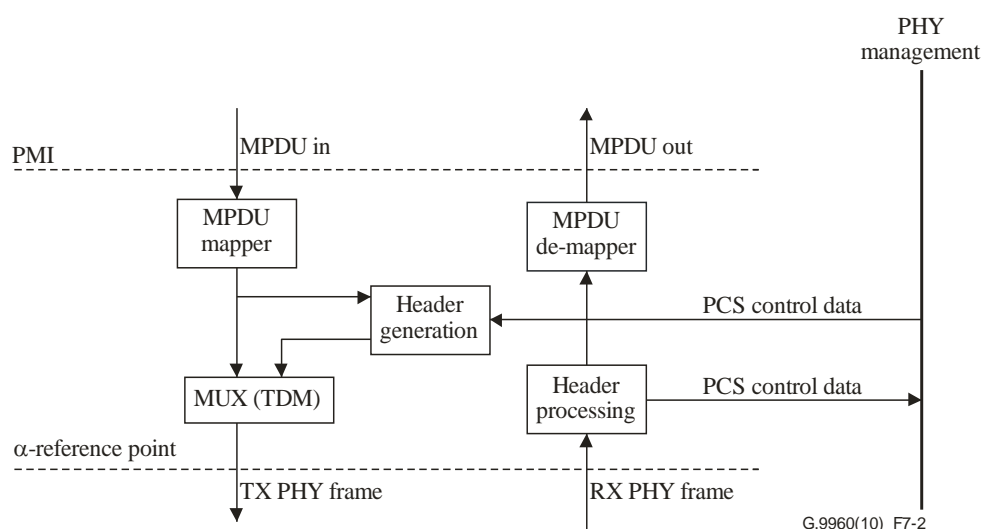


Figure 7-2 – Functional model of PCS

In the transmit direction, the incoming MPDU is mapped into a payload field of a PHY frame (clause 7.1.2.1) as described in clause 7.1.2.2. The PHY-frame header (clause 7.1.2.3) is then added to form a TX PHY frame. The TX PHY frame is passed across the α -reference point for further processing in the PMA.

In the receive direction, the decoded PHY-frame payload and header are processed, and originally transmitted MPDUs are recovered from the payloads of received PHY frames and submitted to the PMI. Relevant control information conveyed in the PHY-frame header is processed and submitted to the PHY management entity.

7.1.2.1 PHY frame

The format of the PHY frame is presented in Figure 7-3. The PHY frame at the α -reference point includes a header, and a payload. The preamble and additional channel estimation (ACE) symbols are added to the PHY frame in the PMD, as described in clauses 7.1.4.5 and 7.1.4.2.5, respectively. The preamble does not carry any user or management data and is intended for synchronization and initial channel estimation.

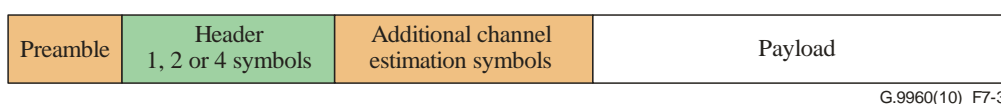


Figure 7-3 – Format of the PHY frame

The PHY-frame header and payload shall each contain an integer number of OFDM symbols.

The PHY-frame header always fits into an integer number of symbols and is transmitted using a single predefined set of modulation and coding parameters (see clause 7.1.3.4).

The presence of ACE symbols is frame type dependent (see clause 7.1.2.3).

The length of the payload may vary from frame to frame; the payload may be of zero length. For the payload, different coding parameters and bit loading can be used in different frames, depending on the channel/noise characteristics and QoS requirements.

The types of PHY frames used in this Recommendation are summarized in Table 7-1.

Table 7-1 – PHY frame types

| Frame type | Header | Payload | Description | Reference |
|--|--------|---------|--|---|
| MAP/RMAP | √ | √ | A frame carrying the MAP or RMAP; the payload contains an MPDU | Clause 7.1.2.3.2.1, clause 8.8 of [ITU-T G.9961] |
| MSG | √ | √ | A frame carrying user data or management data or both; the payload contains an MPDU | Clause 7.1.2.3.2.2 |
| ACK | √ | None | An acknowledgement frame; the relevant ARQ data is communicated in the header | Clause 7.1.2.3.2.3 |
| RTS | √ | None | A request-to-send frame; the relevant data is communicated in the header | Clause 7.1.2.3.2.4 |
| CTS | √ | None | A clear-to-send frame; the relevant data is communicated in the header | Clause 7.1.2.3.2.5 |
| CTMG | √ | None | A frame carrying a short control message | Clause 7.1.2.3.2.6 |
| PROBE | √ | √ | A frame carrying probe symbols in its payload | Clause 7.1.2.3.2.7, clause 7.1.3.6 |
| ACKRQ | √ | None | An ACK retransmission request frame; the relevant data is communicated in the header | Clause 7.1.2.3.2.8 |
| BMSG | √ | √ | A bidirectional MSG frame | Clause 7.1.2.3.2.9, clause 8.3.7 of [ITU-T G.9961] |
| BACK | √ | √ | A bidirectional ACK frame | Clause 7.1.2.3.2.10, clause 8.3.7 of [ITU-T G.9961] |
| ACTMG | √ | None | An acknowledgment frame for a CTMG frame | Clause 7.1.2.3.2.11 |
| FTE | √ | Note | Frame type extension | Clause 7.1.2.3.2.16 |
| NOTE – Whether a payload is present or not depends on the definition of the extended frame type. | | | | |

7.1.2.2 MPDU mapping

MPDUs are passed to the PHY as an ordered sequence of bytes that are processed as an ordered string of bits from LSB to MSB within each byte. The first bit of the MPDU shall be the first transmitted bit of the payload.

7.1.2.3 PHY-frame header

The core part of the PHY-frame header is PHY_H bits long (see clause 7.1.3.2.2). It is transmitted over D (see clause 7.1.3.5.2) consecutive OFDM symbols, where D may be either 1 or 2. The core part of the PHY-frame header is composed of a common part and a variable part. The common part contains fields that are common for all PHY-frame types. The variable part contains fields according to the PHY-frame type. The PHY-frame type is indicated by the FT field. The PAD fields fit the length of the header of different PHY frame-types to the standard value of PHY_H bits. The content of the core part is protected by the 16-bit header check sequence (HCS).

The fields of the core part of the PHY-frame header are defined in Table 7-2.

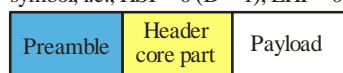
Table 7-2 – Core part of the PHY-frame header

| Field | Octet | Bits | Description | Reference |
|---|-----------|---------|--|--------------------|
| | | | | Common part |
| FT | 0 | [3:0] | Frame type | Clause 7.1.2.3.1.1 |
| DOD | | [7:4] | Domain ID | Clause 7.1.2.3.1.2 |
| SID | 1 | [7:0] | DEVICE_ID of the source node | Clause 7.1.2.3.1.3 |
| DID | 2 | [7:0] | DEVICE_ID, MULTICAST_ID or BROADCAST_ID of the destination node(s) | Clause 7.1.2.3.1.4 |
| MI | 3 | [0] | Multicast indication identifying whether the DID is a unicast or multicast destination | Clause 7.1.2.3.1.5 |
| DRI | | [1] | Duration indication identifying whether FTSF starts with a 16-bit duration field | Clause 7.1.2.3.1.6 |
| EHI | | [2] | Extended header indication | Clause 7.1.2.3.1.7 |
| HSI | | [3] | Header segmentation indication | Clause 7.1.2.3.1.8 |
| Reserved | | [7:4] | Reserved by ITU-T (Note) | |
| | | | | Variable part |
| FTSF | 4 to 18 | [119:0] | Frame-type specific field | Clause 7.1.2.3.2 |
| | | | | Common part |
| HCS | 19 and 20 | [15:0] | Header check sequence | Clause 7.1.2.3.1.9 |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

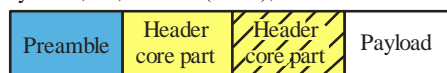
Depending on the value of the extended header indication (EHI) field in the core part of the PHY-frame header, the PHY-frame header may be extended by additional PHY_H bits that are transmitted over an additional *D* consecutive OFDM symbols. If the EHI bit is set to one, additional PHY_H bits representing the extended part of the PHY-frame header are appended to the end of the core part of the PHY-frame header. The extended part of the PHY-frame header shall be encoded and segmented exactly the same way as the core part, as described in clauses 7.1.3.4 and 7.1.3.5.2. The content of the extended part is protected by the 16-bit extended header check sequence (E_HCS).

The core part and the extended part of the PHY-frame header shall be transmitted over separate OFDM symbols, as illustrated in Figure 7-4.

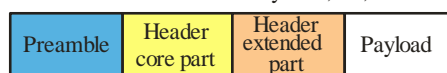
(1) PHY-frame header core part transmitted over one OFDM symbol, i.e., HSI = 0 (D = 1), EHI = 0



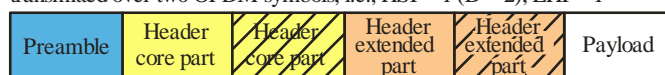
(2) PHY-frame header core part transmitted over two OFDM symbols, i.e., HSI = 1 (D = 2), EHI = 0



(3) PHY-frame header core and extended parts, each transmitted over one OFDM symbol, i.e., HSI = 0 (D = 1), EHI = 1



(4) PHY-frame header core and extended parts, each transmitted over two OFDM symbols, i.e., HSI = 1 (D = 2), EHI = 1



G.9960(10)_F7-4

Figure 7-4 – Allowed cases of PHY-frame header transmissions

7.1.2.3.1 Common part fields

7.1.2.3.1.1 Frame type (FT)

The Frame type (FT) field is a 4-bit field that indicates the type of PHY frame.

Table 7-3 describes the PHY-frame types.

Table 7-3 – PHY-frame types

| Type | Value (b ₃ b ₂ b ₁ b ₀) | Description | Reference |
|----------|---|---|--|
| MAP/RMAP | 0000 | MAP/RMAP frame | Clause 7.1.2.3.2.1 |
| MSG | 0001 | Data and management frame | Clause 7.1.2.3.2.2 |
| ACK | 0010 | ACK control frame | Clause 7.1.2.3.2.3 |
| RTS | 0011 | RTS control frame | Clause 7.1.2.3.2.4 |
| CTS | 0100 | CTS control frame | Clause 7.1.2.3.2.5 |
| CTMG | 0101 | Short control frame | Clause 7.1.2.3.2.6 |
| PROBE | 0110 | PROBE frame | Clause 7.1.2.3.2.7 |
| ACKRQ | 0111 | ACK retransmission request frame | Clause 7.1.2.3.2.8 |
| BMSG | 1000 | Bidirectional MSG frame; contains data and management frames in the payload and ACK | Clause 7.1.2.3.2.9 |
| BACK | 1001 | Bidirectional ACK frame; contains ACK and data and management frames in the payload | Clause 7.1.2.3.2.10 |
| ACTMG | 1010 | Acknowledgment for CTMG frame | Clause 7.1.2.3.2.11 |
| Reserved | 1011 | Reserved | Reserved for use by ITU-T G.9991 |
| Reserved | 1100 to 1110 | Reserved by ITU-T | Clause 7.1.2.3.2.12 to clause 7.1.2.3.2.15 |
| FTE | 1111 | Frame type extension; | Clause 7.1.2.3.2.16 |

| | | | |
|--|--|---|--|
| | | This frame type is a pointer to a set of additional frame types | |
|--|--|---|--|

7.1.2.3.1.2 Domain ID (DOD)

The DOD field shall contain the domain ID to which the source and destination devices of the PHY frame belong. It shall be represented as a 4-bit unsigned integer with valid values in the range from 0 to 15. Value 0 is a special value reserved for inter-domain communication (see clause 8.14.6.1.2 in [ITU-T G.9961]).

7.1.2.3.1.3 Source ID (SID)

The SID field shall contain the DEVICE_ID assigned to the source node of the PHY frame during its registration. It shall be represented as an 8-bit unsigned integer with valid values in the range from 0 to 251. Value 0 is a special value that shall be used by a node attempting to join the domain. Value 251 is a special value reserved for inter-domain communication (see clause 8.14.6.1 in [ITU-TG.9961]).

7.1.2.3.1.4 Destination ID (DID)

The DID field shall contain the value that identifies the destination node(s) of the PHY frame. It shall be represented as an 8-bit unsigned integer with valid values in the range from 0 to 250.

7.1.2.3.1.5 Multicast indication (MI)

If the multicast indication (MI) bit is set to zero, the DID field shall contain the DEVICE_ID of the destination node (for unicast transmission). If the MI bit is set to one, the DID field shall contain a MULTICAST_ID or BROADCAST_ID of the destination nodes.

7.1.2.3.1.6 Duration indication (DRI)

If the DRI bit is set to one, the FTSF shall start with a duration field. If this bit is set to zero, the PHY frame shall not contain any payload (i.e., contains only preamble and PHY-frame header).

The duration field contains the duration of a single PHY frame or PHY frame sequence. It shall be represented as a 16-bit unsigned integer with valid values in steps of 0.25 μ s. It shall be the smallest integer larger than or equal to the actual duration. The duration field is defined separately depending on the frame type. If a node detects a PHY frame with unknown frame type, the node shall assume for its virtual carrier sense that the channel will be occupied for that duration. After that time there shall be an inter-frame gap equal to T_{IFG_MIN}.

Table 7-4 – Value of DRI for different frame types

| Frame type | Value of DRI |
|------------|--------------|
| MAP/RMAP | one |
| MSG | one |
| ACK | zero |
| RTS | one |
| CTS | one |
| PROBE | one |
| ACKRQ | zero |
| BMSG | one |
| BACK | one |
| CTMG | zero |
| ACTMG | zero |
| FTE | zero or one |

7.1.2.3.1.7 Extended header indication (EHI)

If the EHI field is set to one, the PHY frame header shall contain $2 \times \text{PHY}_H$ information bits. The additional PHY_H information bits of the extended part of the PHY-frame header are specified in clause 7.1.2.3.3. If the EHI field is set to zero, the PHY-frame header shall contain PHY_H information bits. The EHI field shall be set according to the frame type as shown in Table 7-5.

Table 7-5 – Value of EHI for different frame types

| Frame type | Value of EHI |
|------------|--------------|
| MAP/RMAP | zero |
| MSG | zero |
| ACK | zero or one |
| RTS | zero |
| CTS | zero |
| PROBE | zero |
| ACKRQ | zero |
| BMSG | zero or one |
| BACK | zero or one |
| CTMG | zero or one |
| FTE | zero or one |
| ACTMG | zero |

7.1.2.3.1.8 Header segmentation indication (HSI)

The HSI field shall be set to the same value as the header segmentation field in the TXOP descriptor extension in the MAP (see Table 8-65 in [\[ITU-T G.9961\]](#)).

7.1.2.3.1.9 Header check sequence (HCS)

The HCS field is intended for PHY-frame header verification. It is a 16-bit cyclic redundancy check (CRC) and shall be computed over all the fields of the PHY-frame header in the order they are transmitted, starting with the LSB of the first field of the PHY frame header (FT) and ending with the MSB of the last field of the FTSF.

The HCS shall be computed using the following generator polynomial of degree 16:

$$G(x) = x^{16} + x^{12} + x^5 + 1$$

The value of the HCS shall be the remainder after the contents (treated as a polynomial where the first input bit is associated with the highest degree, X^{PHY_H-17} , where PHY_H is the header length in bits, and the last input bit is associated with X^0) of the calculation field is multiplied by x^{16} and then divided by $G(x)$.

The HCS field shall be transmitted starting with the coefficient of the highest order term.

7.1.2.3.2 Variable part fields

This clause details the frame-type specific field (FTSF), a variable part of the PHY-frame header fields separately defined for each PHY-frame type.

7.1.2.3.2.1 MAP and RMAP PHY-frame type specific fields

Table 7-6 lists the PHY-frame header fields which are specific to the MAP and RMAP frame type.

Table 7-6 – MAP and RMAP PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|-----------|---------|--|-----------------------|
| MAP_DUR | 0 and 1 | [15:0] | Duration for MAP frame | Clause 7.1.2.3.2.1.1 |
| NTR | 2 to 5 | [31:0] | Network time reference | Clause 7.1.2.3.2.1.2 |
| CYCSTART | 6 to 9 | [31:0] | MAC cycle start time | Clause 7.1.2.3.2.1.3 |
| RCMSS | 10 and 11 | [11:0] | RCM section size | Clause 7.1.2.3.2.1.4 |
| SI | | [15:12] | Scrambler initialization | Clause 7.1.2.3.2.1.5 |
| BLKSZ | 12 | [1:0] | Block size of FEC codeword for MAP frame payload | Clause 7.1.2.3.2.1.6 |
| REP | | [4:2] | Number of repetitions for encoding payload | Clause 7.1.2.3.2.1.7 |
| FCF | | [7:5] | FEC concatenation factor | Clause 7.1.2.3.2.1.8 |
| OFB_ID | 13 | [2:0] | OFB_ID | Clause 7.1.2.3.2.1.9 |
| MAP_TYPE | | [3] | MAP type | Clause 7.1.2.3.2.1.10 |
| RMAPI | | [4] | RMAP indication | Clause 7.1.2.3.2.1.11 |
| APSD_MAX-MAP_LSB | | [7:5] | The LSB part of the APSD_MAX-MAP of a MAP frame | Clause 7.1.2.3.2.1.17 |
| NUM_HOPS | 14 | [3:0] | Number of hops from domain master | Clause 7.1.2.3.2.1.12 |
| APSD-MAX-MAP_MSB | | [5:4] | The MSB part of the APSD_MAX-MAP of a MAP frame | Clause 7.1.2.3.2.1.17 |
| APSD_MAX-MAP_EXT | | [6] | APSD_MAX-MAP extension | Clause 7.1.2.3.2.1.13 |
| Reserved | | [7] | Reserved by ITU-T (Note) | |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

7.1.2.3.2.1.1 Duration for MAP frame (MAP_DUR)

The MAP_DUR field shall contain the transmission time of the MAP frame.

7.1.2.3.2.1.2 Network time reference (NTR)

The NTR field shall contain the time of the first sample of the first OFDM symbol (see Figure 7-24) of the preamble of a MAP or RMAP frame that is sent according to the domain master's transmit clock with a resolution of 10 ns. It is used for synchronizing nodes to the domain master transmit clock. It shall be represented as a 32-bit unsigned integer. The NTR value shall use modulo 2^{32} arithmetic.

If a node other than the domain master transmits the frame, it shall use the best estimate of the domain master's NTR (see clause 7.1.6.2).

7.1.2.3.2.1.3 MAC cycle start time (CYCSTART)

The CYCSTART field shall contain the start time of the next MAC cycle. It shall be represented as a 32-bit unsigned integer. It shall be based on the domain master's transmit clock with a resolution of 10 ns. The value of CYCSTART in a MAC cycle shall be equal to the value of CYCSTART in the

previous MAC cycle plus the MAC cycle duration, indicated in the MAP header (see clause 8.8.3 of [ITU-T G.9961]).

All MAP/RMAP frames transmitted during the MAC cycle (n) shall contain the same CYCSTART value that defines the starting point of the MAC cycle ($n + 1$).

The CYCSTART value shall use modulo 2^{32} arithmetic.

7.1.2.3.2.1.4 RCM section size (RCMSS)

The RCMSS field shall contain the size of the repetition block, B , used in the MAP frame (see clause 7.1.3.3.1). It shall be represented as a 12-bit unsigned integer with valid values in the range from 14 to 4094.

7.1.2.3.2.1.5 Scrambler initialization (SI)

The SI field shall contain the value that was used by the domain master to initialize the scrambler for this frame. It is a 4-bit field $C_4C_3C_2C_1$ as described in clause 7.1.3.1.

7.1.2.3.2.1.6 Block size (BLKSZ)

The BLKSZ field shall contain the information block size of the FEC codeword that is used for the payload of the MAP frame. It is a 2-bit field that shall be coded as shown in Table 7-7.

Table 7-7 – Interpretation of the BLKSZ field

| BLKSZ value (b_1b_0) | Interpretation |
|-----------------------------|--|
| 00 | For the 120-byte information block size used for payload |
| 01 | For the 540-byte information block size used for payload |
| 10 and 11 | Reserved by ITU-T |

7.1.2.3.2.1.7 Repetitions (REP)

The REP field shall contain the number of repetitions that were used for encoding the payload in the MAP frame. It is a 3-bit field that shall be coded as shown in Table 7-8.

Table 7-8 – Repetitions field allowed values

| REP value ($b_7b_6b_5$) | Interpretation (N_{REP}) |
|------------------------------|---------------------------------|
| 000 | Reserved by ITU-T |
| 001 | 1 (no repetitions) |
| 010 | 2 |
| 011 | 3 |
| 100 | 4 |
| 101 | 6 |
| 110 | 8 |
| 111 | Reserved by ITU-T |

7.1.2.3.2.1.8 FEC concatenation factor (FCF)

The FCF field shall contain the FEC concatenation factor. It is a 3-bit field that shall be coded as shown in Table 7-9.

Table 7-9 – FEC concatenation factor (FCF) allowed values

| FCF value ($b_2b_1b_0$) | H | z |
|------------------------------|-------------------|-------------------|
| 000 | 1 | 0 |
| 001 | Reserved by ITU-T | Reserved by ITU-T |
| 010 | 2 | 0 |
| 011 | 2 | 1 |
| 100 | 4 | 0 |
| 101 | 4 | 1 |
| 110 | 4 | 2 |
| 111 | 4 | 3 |

7.1.2.3.2.1.9 Operational frequency band (OFB_ID)

The OFB_ID field shall contain the identifier for the bandplan used by the node. It is a 3-bit field that shall be coded as shown in Table 7-10.

Table 7-10 – OFB identifier

| OFB_ID value ($b_7b_6b_5$) | Description |
|---------------------------------|-------------------|
| 000 | Reserved by ITU-T |
| 001 | 25 MHz |
| 010 | 50 MHz |
| 011 | 100 MHz |
| 100 | 200 MHz |
| 101 to 111 | Reserved by ITU-T |

7.1.2.3.2.1.10 MAP type (MAP_TYPE)

If the MAP_TYPE bit is set to zero, the default MAP (MAP-D) or default RMAP (RMAP-D) frame shall be used for transmission using predefined BAT Type 1 for the MAP/RMAP frame payload. If the MAP_TYPE bit is set to one, the active MAP (MAP-A) or active RMAP (RMAP-A) frame shall be used for transmission using predefined BAT Type 2 for the MAP/RMAP frame payload.

MAP-D and RMAP-D frames shall only use an FEC block size of 120 bytes.

NOTE – For reasons of efficiency and robustness, MAP-A and RMAP-A frames should use an FEC block size of 120 bytes.

For all MAP types, the MAP/RMAP frame shall use the default guard interval (N_{GI-DF}), rate $\frac{1}{2}$ FEC coding, and the payload repetition scheme as specified in clause 7.1.3.3.1.

7.1.2.3.2.1.11 RMAP indication (RMAPI)

If the RMAPI bit is set to zero, the MAP frame shall be used for transmission. If the RMAPI bit is set to one, the RMAP frame shall be used for transmission.

7.1.2.3.2.1.12 Number of hops from domain master (NUM_HOPS)

The NUM_HOPS field shall contain the number of hops that the node sending this RMAP frame is from the domain master. A value of 0 shall indicate that the node receives PHY frames from the domain master directly; a value of 1 shall indicate that the node is two hops from the domain master; and so on.

7.1.2.3.2.1.13 APSD_MAX-MAP_EXT

See clause 7.1.2.3.2.17.

7.1.2.3.2.2 MSG PHY-frame type specific fields

Table 7-11 lists the PHY-frame header fields which are specific to the MSG frame type.

Table 7-11 – MSG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|----------------|----------|--------|---|----------------------------------|
| MSG_DUR | 0 and 1 | [15:0] | Duration for MSG frame | Clause 7.1.2.3.2.2.1 |
| BLKSZ | 2 | [1:0] | Block size of FEC codeword for MSG frame payload | Clause 7.1.2.3.2.2.2 |
| FEC_RATE | | [4:2] | FEC coding rate for MSG frame payload | Clause 7.1.2.3.2.2.3 |
| REP | | [7:5] | Number of repetitions used for encoding the MSG frame payload | Clause 7.1.2.3.2.2.4 |
| FCF | 3 | [2:0] | FEC concatenation factor | Clause 7.1.2.3.2.2.5 |
| SI | | [6:3] | Scrambler initialization | Clause 7.1.2.3.2.2.6 |
| MDET | | [7] | Master is detected | Clause 7.1.2.3.2.2.7 |
| BAT_ID | 4 | [4:0] | Bit allocation table identifier | Clause 7.1.2.3.2.2.8 |
| OFB_ID/GRP_ID | | [7:5] | OFB identifier/subcarrier grouping identifier | Clause 7.1.2.3.2.2.9 |
| GI_ID | 5 | [2:0] | Guard interval identifier | Clause 7.1.2.3.2.2.10 |
| APSD_MAX-M | | [7:3] | APSD_MAX-M of an MSG frame | Clause 7.1.2.3.2.2.11 |
| CONNECTION_ID | 6 | [7:0] | Connection identifier | Clause 7.1.2.3.2.2.12 |
| RPRQ | 7 | [1:0] | Reply required | Clause 7.1.2.3.2.2.13 |
| BRSTCnt | | [3:2] | Burst frame count | Clause 7.1.2.3.2.2.14 |
| BEF | | [4] | Burst end flag | Clause 7.1.2.3.2.2.15 |
| AIFG_IND | | [5] | AIFG indication | Clause 7.1.2.3.2.2.16 |
| Reserved | | [6] | Reserved | Reserved for use by ITU-T G.9963 |
| APSD_MAX-M_EXT | | [7] | APSD_MAX-M extension | Clause 7.1.2.3.2.2.25 |
| ACE_SYM | 8 | [2:0] | Number of ACE symbols | Clause 7.1.2.3.2.2.17 |
| CNN_MNGMT | | [6:3] | Connection management | Clause 7.1.2.3.2.2.18 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note 1) |
| BRURQ | 9 and 10 | [15:0] | Bandwidth reservation update request | Clause 7.1.2.3.2.2.19 (Note 2) |
| START_SSN | 9 and 10 | [15:0] | Start segment sequence number | Clause 7.1.2.3.2.2.20 (Note 3) |
| CURRTS | 11 | [6:0] | Current TS | Clause 7.1.2.3.2.2.21 |
| BTXRQ | | [7] | Request for bidirectional transmission | Clause 7.1.2.3.2.2.22 |

Table 7-11 – MSG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|------------------|-------|-------|---|----------------------------------|
| NUM_MCACK_SLOTS | 12 | [2:0] | Number of Mc-ACK slots | Clause 7.1.2.3.2.2.23 |
| ADVISED_WIN_SIZE | | [7:3] | In connection establishment this field may specify advised window size. | Clause 7.1.2.3.2.2.24 (Note 4) |
| Reserved | 13 | [0] | Reserved | Reserved for use by ITU-T G.9991 |
| Reserved | | [7:1] | Reserved | Reserved by ITU-T (Note 1) |
| Reserved | 14 | [7:0] | Reserved | Reserved by ITU-T (Note 1) |

NOTE 1 – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver.

NOTE 2 – The BRURQ field is defined when the START_SSN field is not defined (see Note 3).

NOTE 3 – The START_SSN field is defined only when CNN_MNGMT = 0001, CNN_MNGMT = 0011, CNN_MNGMT = 0101 or CNN_MNGMT = 0111. Otherwise, the meaning of this field is BRURQ.

NOTE 4 – The ADVISED_WIN_SIZE field is defined only when CNN_MNGMT = 0101, otherwise these bits are reserved by ITU-T and shall be set to zero by the transmitter and ignored by the receiver.

7.1.2.3.2.2.1 Duration for MSG frame (MSG_DUR)

For an MSG frame where Imm-ACK or one or more Mc-ACKs do not follow the MSG frame, the duration field shall contain the transmission time of the MSG frame.

For an MSG frame where Imm-ACK or one or more Mc-ACKs follow the MSG frame, the duration field shall contain the transmission time of the MSG frame plus the duration of the following AIFG. The MSG_DUR field shall not exceed $T_{\text{MAX MSG DURATION}}$.

7.1.2.3.2.2.2 Block size (BLKSZ)

The BLKSZ field shall contain the information block size of the FEC codeword that is used for the payload of the MSG frame. It is a 2-bit field that shall be coded as shown in Table 7-7.

7.1.2.3.2.2.3 FEC coding rate (FEC_RATE)

The FEC_RATE field shall contain the FEC coding rate that is used for encoding of the payload of the MSG frame. It is a 3-bit unsigned integer field that shall be coded as shown in Table 7-12.

Table 7-12 – Interpretation of the FEC_RATE field

| FEC_RATE value (b ₄ b ₃ b ₂) | Interpretation |
|---|-------------------|
| 000 | Reserved by ITU-T |
| 001 | 1/2 |
| 010 | 2/3 |
| 011 | 5/6 |
| 100 | 16/18 |
| 101 | 20/21 |
| 110 and 111 | Reserved by ITU-T |

7.1.2.3.2.2.4 Repetitions (REP)

The REP field shall contain the nominal number of repetitions that were used for encoding the payload in the MSG frame. It is a 3-bit field that shall be coded as shown in Table 7-8.

7.1.2.3.2.2.5 FEC concatenation factor (FCF)

The FCF field shall contain the values of parameters H and z (see clause 7.1.3.3.1). It is a 3-bit field that shall be coded as shown in Table 7-9.

7.1.2.3.2.2.6 Scrambler initialization (SI)

The SI field shall contain the value that was used to initialize the scrambler for this frame. It is a 4-bit field $C_4C_3C_2C_1$ as described in clause 7.1.3.1.

7.1.2.3.2.2.7 Master is detected indication (MDET)

The MDET bit shall indicate reception of a MAP. It is a 1-bit field that shall be set to one by a node, in each PHY-frame header that it transmits, when this node has received a MAP (either directly from the domain master or a repeated MAP) that the current MAC cycle is associated with. This indication shall be used by nodes (including the backup domain master if it exists) to determine whether the current domain master has failed.

7.1.2.3.2.2.8 Bit allocation table identifier (BAT_ID)

The BAT_ID field shall identify the bit allocation table (BAT) of the PHY frame. It shall be represented as a 5-bit unsigned integer with valid values as shown in Table 7-57.

7.1.2.3.2.2.9 OFB identifier/subcarrier grouping identifier (OFB_ID/GRP_ID)

For predefined BATs with uniform loading (type 0, type 1, type 2 and Type 3), the OFB_ID/GRP_ID field shall contain the identifier for the operational frequency band used by the node and shall be coded as shown in Table 7-10. Otherwise, it shall contain the subcarrier grouping (see clause 7.1.4.2.4) and shall be coded as shown in Table 7-13.

Table 7-13 – Format of the GRP_ID field

| GRP_ID value (b₇b₆b₅) | Description |
|---|---------------------------------------|
| 000 | Default – No subcarrier grouping |
| 001 | Subcarrier grouping of 2 subcarriers |
| 010 | Subcarrier grouping of 4 subcarriers |
| 011 | Subcarrier grouping of 8 subcarriers |
| 100 | Subcarrier grouping of 16 subcarriers |
| 101 to 111 | Reserved by ITU-T |

7.1.2.3.2.2.10 Guard interval identifier (GI_ID)

The GI_ID field shall identify the guard interval used for payload (see clause 7.1.4.4). It is a 3-bit field that shall be coded as shown in Table 7-14.

Table 7-14 – Format of the GI_ID field

| GI_ID value (b₂b₁b₀) | Description |
|--|--|
| 000 to 110 | N_{GI} guard interval (samples) $k \times N/32$, $k = 1, 2, 3, \dots, 7$ where $k = GI_ID + 1$, N is the size of the DFT |
| 111 | $k = 8$ (GI_ID=7) $N_{GI} = N_{GI-DF} = N/4$ |

7.1.2.3.2.2.11 Maximum actual PSD of MSG frame (APSD_MAX-M)

The APSD_MAX-M and APSD_MAX-M_EXT fields shall together indicate the APSD_MAX for the PHY frame. It shall be represented as a 6-bit unsigned integer with valid values in the range from 0 to 50, plus 62 and 63. Values from 0 to 50 correspond to an APSD_MAX in the range of –50 dBm/Hz to –100 dBm/Hz in 1 dB steps.

The most significant 5 bits of the APSD_MAX are conveyed in the APSD_MAX-M field and the least significant bit of the APSD_MAX is conveyed in the APS_MAX-M_EXT field.

The special values 62 and 63 shall indicate that the frame has been transmitted with an APSD_MAX that is the minimum of:

- Highest value of the transmit PSD allowed (i.e., TxPSD after applying PSD ceiling if applicable)
- Highest value of the PSD that the node is capable of transmitting.

All other values are reserved by ITU-T.

7.1.2.3.2.2.12 Connection identifier (CONNECTION_ID)

The CONNECTION_ID field shall identify the connection that the LPDUs contained in the PHY-frame belong to. It shall be represented as an 8-bit unsigned integer. If a PHY-frame contains only management LPDUs (i.e., LPDUs from a unicast management connection or a broadcast management connection) and no data LPDUs, this field shall be set to 251 in that PHY-frame.

For connections associated with service flows, this field shall be set to the FLOW_ID. For prioritized data connections, it shall be set to the recommended flow priority given by Table III.1, denoted as PRI-Q, which depends on the user priority and the number of priority queues (traffic classes) supported from the source node to the destination node. The value shall be set to 255 for broadcast data connections and to 252 for multicast connections. In the case that the CNN_MNGMT field is set to 1111, CONNECTION_ID shall be set to 255.

The values 253 and 254 are reserved by ITU-T.

7.1.2.3.2.2.13 Reply required (RPRQ)

The RPRQ field shall be used to instruct the receiver whether or not to respond with an acknowledgement for this PHY frame. It is a 2-bit field that shall be coded as shown in Table 7-15.

Table 7-15 – RPRQ field allowed values

| RPRQ value (b₁b₀) | Interpretation |
|--|---|
| 00 | The receiver shall not acknowledge this PHY frame. The PHY frame does not require acknowledgement. |
| 01 | When MI is set to zero (unicast), the receiver shall acknowledge via an Imm-ACK frame. When MI is set to one (multicast), a slotted acknowledgement using multicast binding mechanism for slot assignment (see clause 8.9.2 of [ITU-T G.9961]) shall follow the transmission of this multicast MSG PHY frame. NACK signalling shall not be used. This mode shall only be used if each receiving node in the multicast group is assigned a Mc-ACK slot. |
| 10 | When MI is set to zero (unicast), the receiver shall defer the acknowledgement of the frame (see clause 8.9.1.2 of [ITU-T G.9961]). When MI is set to one (multicast), this value is reserved by ITU-T. |
| 11 | When MI is set to zero (unicast), this value is reserved by ITU-T. When MI is set to one (multicast), a slotted acknowledgement using multicast binding mechanism for slot assignment (see clause 8.9.2 of [ITU-T G.9961]) shall follow the transmission of this multicast MSG PHY frame. All receivers in the multicast group not assigned an acknowledgement slot that fail to receive the transmission by criteria described in clause 8.9.2.1 of [ITU-T G.9961] shall transmit a NACK in the NACK signalling slot. |

7.1.2.3.2.2.14 Burst frame count (BRSTCnt)

The BRSTCnt field shall contain the sequence number of the PHY frame within a PHY frame burst, generated as described in clause 8.3.5 of [ITU-T G.9961]. It shall be represented as a 2-bit unsigned integer.

The BRSTCnt field shall be set to zero in the first PHY frame of the burst and shall be incremented by one upon each additional PHY frame of the same burst.

7.1.2.3.2.2.15 Burst end flag (BEF)

The BEF field shall indicate the end of a burst. It is a 1-bit field. The BEF shall be set to one in the last PHY frame of the burst and shall be set to zero in all other PHY frames. For bursts containing one frame (no bursting used) the BEF field shall be set to one.

7.1.2.3.2.2.16 AIFG indication (AIFG_IND)

For unicast, if the AIFG_IND field is set to one, the "receiver-specific" AIFG value, T_{AIFG}, shall be used by the transmitter. If the AIFG_IND field is set to zero, the default AIFG, T_{AIFG-D} shall be used. The receiver shall ACK this frame, whenever Imm-ACK is used, after either T_{AIFG} or T_{AIFG-D}, as indicated by AIFG_IND.

For multicast, the AIFG_IND field shall always be set to zero.

7.1.2.3.2.2.17 ACE symbols (ACE_SYM)

The ACE_SYM field shall contain the number of ACE symbols inserted between the header and payload of the MSG frame. It is a 3-bit field that shall be coded as shown in Table 7-16.

Table 7-16 – ACE_SYM field values

| ACE_SYM value (b₂b₁b₀) | Interpretation |
|--|-----------------------|
| 000 | 0 ACE symbols |
| 001 | 1 ACE symbols |
| 010 | 2 ACE symbols |
| | |
| 111 | 7 ACE symbols |

7.1.2.3.2.2.18 Connection management (CNN_MNGMT)

The CNN_MNGMT field shall be used for the management of connections as defined in clause 8.12 of [ITU-T G.9961]. It is a 4-bit field that shall be coded as shown in Table 7-17.

Table 7-17 – CNN_MNGMT field values

| CNN_MNGMT value (b₇b₆b₅b₄) | Interpretation |
|---|--|
| 0000 | No action (may contain payload) |
| 0001 | Request to establish a management connection with acknowledgements (no payload allowed) |
| 0010 | Request to establish a management connection without acknowledgements (no payload allowed) |
| 0011 | Indication of reset of the management connection (no payload allowed) |
| 0100 | Indication of release of the management connection (no payload allowed) |
| 0101 | Request to establish a data connection with acknowledgements (no payload allowed) |
| 0110 | Request to establish a data connection without acknowledgements (no payload allowed) |
| 0111 | Indication of reset of the data connection (no payload allowed) |
| 1000 | Indication of release of a data connection (no payload allowed) |
| 1001 to 1110 | Reserved for ITU-T |
| 1111 | Payload does not belong to any connection |

When this field is equal to 0001 or 0011, the value of the ACK_TX_RESET variable (see clause 8.9.4.2 of [ITU-T G.9961]) of the state machine of the management connection is one. ACK_TX_RESET is zero when this field is 0000.

When this field is equal to 0101 or 0111, the value of the ACK_TX_RESET variable (see clause 8.9.4.2 of [ITU-T G.9961]) of the state machine of the data connection indicated by the CONNECTION_ID field of this MSG is one. ACK_TX_RESET is zero when this field is 0000.

7.1.2.3.2.2.19 Bandwidth reservation update request (BRURQ)

The BRURQ field shall contain updates in the bandwidth reserved for this node connection. It is a 16-bit field that shall be coded as shown in Table 7-18. The domain master shall follow the BRURQ fields of all nodes (see clause 8.6.2.2 of [ITU-T G.9961]).

Table 7-18 – Format of the BRURQ field

| Field | Octet | Bits | Description |
|---|-------|-------|--|
| ConnState | 0 | [7:0] | Contains the number of accumulated bytes in the connection queue. This value is specified in units of Kbytes, expressed as ceiling (number of bytes/1024). |
| FlowLineRate | 1 | [7:0] | Indicates the current PHY data rate in bytes/symbol. The range of valid values and the corresponding valid increments (see Table 7-19) depend on the medium. This value is expressed as floor (number of transmitted bytes per symbol/increment) (Note). |
| NOTE – Bytes/symbol value shall be calculated by applying the same formula and rules as Note 1 of Table 8-48 of [ITU-T G.9961] and multiplying the result by the symbol period (T_{OFDM} as defined in clause 7.1.4.4.4) and dividing by 8. DM shall consider this figure as an indication of the average throughput taking into account the different tone maps used in the MAC cycle. | | | |

Table 7-19 – Valid increments for BRURQ field

| Type | Increment |
|----------------|-----------|
| Power line | 32 bytes |
| Telephone line | 16 bytes |
| Coax | 4 bytes |

7.1.2.3.2.2.20 Start segment sequence number (START_SSN)

The START_SSN field shall contain the value of the ACK_TX_WINDOW_START variable of the transmitter at the establishment or reset of a connection. The receiver shall set its ACK_RX_WINDOW_START variable to this value.

The START_SSN field is defined only when CNN_MNGMT = 0001, CNN_MNGMT = 0011, CNN_MNGMT = 0101 or CNN_MNGMT = 0111 indicating the establishment or reset of a connection.

7.1.2.3.2.2.21 Current TS (CURRTS)

The CURRTS field shall contain the ordinal number of the TS in an STXOP that this MSG PHY frame is sent in as described in the MAP (see clause 8.8.5 of [ITU-T G.9961]). It shall be represented as a 7-bit unsigned integer.

A PHY frame that is sent in the first TS for a STXOP as described in the MAP shall have the number 1 in its CURRTS field; a PHY frame that is sent in the second TS of the STXOP shall have number 2 in its CURRTS field; and so on. The valid range of values for CURRTS field, for a specific STXOP, shall be from 1 to M , where M is the number of TSs in that STXOP, as described in the MAP (i.e., the number of TXOP descriptors).

A value of 0 shall be used for transmission not within an STXOP.

7.1.2.3.2.2.22 Request for bidirectional transmission (BTXRQ)

The BTXRQ bit shall be used to request the destination node to initiate bidirectional transmission with the source node of this MSG frame. It shall be set to one for a request and set to zero for no request (see clause 8.3.7 of [ITU-T G.9961]).

7.1.2.3.2.2.23 Number of Mc-ACK slots (NUM_MCACK_SLOTS)

The NUM_MCACK_SLOTS field shall contain the number of slotted Mc-ACKs when MI is set to one and the value of the RPRQ field is 01₂ or 11₂ (see Table 7-15). It shall be represented as a 3-bit unsigned integer.

The meaning of the NUM_MCACK_SLOTS field for other values of RPRQ when MI is set to one is reserved by ITU-T. When MI is set to zero, the NUM_MCACK_SLOTS field shall be set to zero and ignored by the receiver.

7.1.2.3.2.2.24 Advised Window Size (ADVISED_WIN_SIZE)

During the establishment of a data connection with acknowledgement, the transmitter may use the ADVISED_WIN_SIZE field to advise the receiver for the needed RX window size. The advised size of the RX window is given in number of LPDUs that is represented by the value in ADVISED_WIN_SIZE multiplied by 32 for the range of values from 01₁₆ to 1E₁₆. A special value of 1F₁₆ means that the window size is 1024 LPDUs. A special value of 00₁₆ means that the transmitter does not advise any value.

7.1.2.3.2.2.25 APSD_MAX-M extension

See clause 7.1.2.3.2.2.11.

7.1.2.3.2.3 ACK PHY-frame type specific fields

Table 7-20 lists the PHY-frame header fields which are specific to the core part of the PHY-frame header of the ACK frame type.

Table 7-20 – ACK PHY frame type specific fields

| Field | Octet | Bits | Description | Reference |
|----------------------------------|---------|---------|--|----------------------------------|
| FLCTRL_CONN | 0 | [0] | Flow control connection flag | Clause 7.1.2.3.2.3.1 |
| FLCTRLT | | [1] | Flow control type | Clause 7.1.2.3.2.3.2 |
| FLCTRL | | [6:2] | Flow control | Clause 7.1.2.3.2.3.3 |
| FLCTRL_EXT | | [7] | Flow control extension | Clause 7.1.2.3.2.3.11 |
| RXRST_DATA | 1 | [0] | Data RX reset flag | Clause 7.1.2.3.2.3.5 |
| RXRST_MNGMT | | [1] | Management RX reset flag | Clause 7.1.2.3.2.3.6 |
| BAD_BURST | | [2] | Bad burst indication | Clause 7.1.2.3.2.3.7 |
| BTXRQ | | [3] | Request for bidirectional transmission | Clause 7.1.2.3.2.3.4 |
| EXTACKRQ | | [4] | Request for extended acknowledgement | Clause 7.1.2.3.2.3.10 |
| Reserved | | [7:5] | Reserved | Reserved for use by ITU-T G.9991 |
| ACK_CE_CTRL/ RX_CONN_WIN_SIZE | 2 | [6:0] | ACK channel estimation control/Receiver window size for the connection. (Note 1) | Clause 7.1.2.3.2.3.8 |
| Reserved | | [7] | Reserved | Reserved for use by ITU-T G.9963 |
| ACKDATA/MCACK_D | 3 to 14 | [90:0] | Acknowledgement data and Mc-ACK descriptor | Clause 7.1.2.3.2.3.9 |
| Reserved | | [94:91] | Reserved | Reserved for use by ITU-T G.9991 |

Table 7-20 – ACK PHY frame type specific fields

| Field | Octet | Bits | Description | Reference |
|--|-------|------|-------------|----------------------------|
| Reserved | | [95] | Reserved | Reserved by ITU-T (Note 2) |
| NOTE 1 – This field is interpreted as RX_CONN_WIN_SIZE only when the ACK frame is sent as a reply for MSG frame requesting set-up or reset of either a data or a management connection (i.e., when CNN_MNGMT in the MSG frame is 0101 ₂ , 0001 ₂ , 0011 ₂ or 0111 ₂). | | | | |
| NOTE 2 – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

7.1.2.3.2.3.1 Flow control connection flag (FLCTRL_CONN)

The FLCTRL_CONN field defines the interpretation of the FLCTRL and FLCTRLT fields. When the FLCTRL_CONN field is set to zero, fields FLCTRL and FLCTRLT shall carry information about a data connection. When the FLCTRL_CONN field is set to one, fields FLCTRL and FLCTRLT shall carry information about the management connection.

7.1.2.3.2.3.2 Flow control type (FLCTRLT)

The FLCTRLT field shall contain the interpretation of the FLCTRL field according to Table 7-21.

Table 7-21 – FLCTRLT field values

| FLCTRLT value (b ₁) | Interpretation |
|---------------------------------|----------------------|
| 0 | Status report |
| 1 | Hold time/management |

7.1.2.3.2.3.3 Flow control (FLCTRL)

The FLCTRL field shall be used for flow control between the transmitter and the receiver as described in clause 8.12.4 of [\[ITU-T G.9961\]](#). Interpretation of the FLCTRL field depends on the setting of the FLCTRLT field.

If the FLCTRLT field is set to zero (status report), the FLCTRL field shall contain the number of LPDUs that the receiver can buffer for this flow. It is a 5-bit field that shall be coded as shown in Table 7-22.

Table 7-22 – FLCTRL field values for status report

| FLCTRL value (b ₆ b ₅ b ₄ b ₃ b ₂) | Connections with 540 byte LPDUs | Connections with 120 byte LPDUs |
|--|---------------------------------|---------------------------------|
| 00000 | 4 | 6 |
| 00001 | 8 | 12 |
| 00010 | 12 | 18 |
| 00011 | 16 | 24 |
| 00100 | 20 | 30 |
| 00101 | 24 | 36 |
| 00110 | 28 | 42 |
| 00111 | 32 | 48 |

Table 7-22 – FLCTRL field values for status report

| FLCTRL value (b₆b₅b₄b₃b₂) | Connections with 540 byte LPDUs | Connections with 120 byte LPDUs |
|---|--|--|
| 01000 | 40 | 60 |
| 01001 | 48 | 72 |
| 01010 | 56 | 84 |
| 01011 | 64 | 96 |
| 01100 | 72 | 108 |
| 01101 | 80 | 120 |
| 01110 | 88 | 132 |
| 01111 | 104 | 156 |
| 10000 | 120 | 180 |
| 10001 | 136 | 204 |
| 10010 | 152 | 228 |
| 10011 | 168 | 252 |
| 10100 | 184 | 276 |
| 10101 | 200 | 300 |
| 10110 | 216 | 324 |
| 10111 | 232 | 348 |
| 11000 | 248 | 372 |
| 11001 | 264 | 396 |
| 11010 | 280 | 420 |
| 11011 | 296 | 444 |
| 11100 | 312 | 468 |
| 11101 | 328 | 492 |
| 11110 | 344 | 516 |
| 11111 | 376 | 564 |

If the FLCTRLT field is set to one (hold time/management), the FLCTRL field shall contain either the time period that the transmitter shall hold transmissions to this node, or connection management information (see clause 8.12 of [ITU-T G.9961]). It is a 5-bit field that shall be coded as shown in Table 7-23.

Table 7-23 – FLCTRL field values for hold time/management

| FLCTRL value (b₆b₅b₄b₃b₂) | Interpretation |
|---|-----------------------|
| Hold times | |
| 00000 | Until next MAC cycle |
| 00001 | 5 ms |
| 00010 | 10 ms |
| 00011 | 15 ms |
| 00100 | 20 ms |

Table 7-23 – FLCTRL field values for hold time/management

| FLCTRL value (b₆b₅b₄b₃b₂) | Interpretation |
|---|-------------------------------|
| 00101 | 30 ms |
| 00110 | 40 ms |
| 00111 | 50 ms |
| 01000 to 11011 | Reserved by ITU-T |
| Management | |
| 11100 | Release acknowledgement |
| 11101 | Connection release indication |
| 11110 | Connection accepted |
| 11111 | Unavailability of resources |

The receiver shall set the FLCTRLT field to one to convey the following connection management information (see clause 8.12 of [ITU-T G.9961]):

- If the transmitter has requested the release of a connection, the receiver shall acknowledge the release by setting the FLCTRL field to 11100₂.
- If the receiver wants to release a connection, it shall inform the transmitter by setting the FLCTRL field to 11101₂.
- If the receiver accepts a requested connection, it shall inform the transmitter by setting the FLCTRL field to 11110₂.
- If the receiver does not have resources available for a requested connection, it shall inform the transmitter by setting the FLCTRL field to 11111₂.

7.1.2.3.2.3.4 Request for bidirectional transmission (BTXRQ)

The BTXRQ bit shall be used to request bidirectional transmission. It shall be set to one for a request and set to zero for no request (see clause 8.3.7 of [ITU-T G.9961]).

7.1.2.3.2.3.5 Data RX reset flag (RXRST_DATA)

The RXRST_DATA field shall contain the value of the ACK_RX_RESET variable of the state machine of the data connection that this ACK refers to (see clause 8.9.5.3 of [ITU-T G.9961]).

7.1.2.3.2.3.6 Management RX reset flag (RXRST_MNGMT)

The RXRST_MNGMT field shall contain the value of the ACK_RX_RESET variable of the state machine of the management flow that this ACK refers to (see clause 8.9.5.3 of [ITU-T G.9961]).

7.1.2.3.2.3.7 Bad burst indication (BAD_BURST)

The BAD_BURST field shall be used by the receiver to indicate to the transmitter that all LPDUs sent in the last PHY-frame burst were received in error.

When all LPDUs of all frames (one or more) of the last PHY-frame burst have been received in error, the BAD_BURST field shall be set to one; otherwise, it shall be set to zero.

7.1.2.3.2.3.8 ACK channel estimation control/Receiver window size for the connection (ACK_CE_CTRL/RX_CONN_WIN_SIZE)

In the case of an ACK frame sent in response to an MSG frame requesting the set-up or reset of a data or management connection with acknowledgements (i.e., when CNN_MNGMT in the MSG frame is 0101₂, 0001₂, 0011₂ or 0111₂, see Table 7-17), this parameter is called

RX_CONN_WIN_SIZE and the value of this parameter shall indicate the maximum acknowledge window size (i.e., ACK_RX_CONF_WINDOW_SIZE in clause 8.9.4.3 of [ITU-T G.9961]) that the receiver can support for the connection being set up. The maximum acknowledge window size shall be 8 times the value of (RX_CONN_WIN_SIZE+1) LPDUs. The valid values for the maximum acknowledge window size shall be 8, 16, 24 ... 1024 LPDUs. The indicated value of maximum acknowledge window size shall be less than or equal to ACK_MAX_WINDOW_SIZE (1024 for data connections, 32 for management connections – see clause 8.9.4.1 of [ITU-T G.9961]).

For all other ACK frames, this field is called ACK_CE_CTRL and is used for channel estimation control. It is a 7-bit field that consists of the ACK_CE_CTRL_TYPE field and the RUNTIME_BAT_ID field as shown in Table 7-24.

Table 7-24 – Interpretation of the ACK_CE_CTRL field

| Field | Octet | Bits |
|-----------------------------|-------|-------|
| ACK_CE_CTRL_TYPE | 0 | [1:0] |
| RUNTIME_BAT_ID | | [6:2] |
| Reserved for [ITU-T G.9963] | | [7] |

7.1.2.3.2.3.8.1 ACK channel estimation control type (ACK_CE_CTRL_TYPE)

ACK_CE_CTRL_TYPE is a 2-bit field that shall be coded as shown in Table 7-25.

Table 7-25 – ACK_CE_CTRL_TYPE field values

| ACK_CE_CTRL_TYPE value (b ₁ b ₀) | Interpretation |
|--|---|
| 00 | No ACK_CE_CTRL information is transmitted |
| 01 | RUNTIME_BAT_ID is invalid |
| 10 | Request PROBE frame transmission. |
| 11 | Reserved by ITU-T |

If the ACK_CE_CTRL_TYPE field is set to 01₂, the runtime BAT associated with the RUNTIME_BAT_ID shall not be used for transmission, as specified in clause 8.11.5 of [ITU-T G.9961].

In addition, if the ACK_CE_CTRL_TYPE field is set to 10₂, a PROBE frame transmission is requested.

Otherwise, the ACK_CE_CTRL_TYPE field shall be set to 00₂.

7.1.2.3.2.3.8.2 Runtime BAT ID (RUNTIME_BAT_ID)

If the ACK_CE_CTRL_TYPE field is set to 01₂, this field shall contain a RUNTIME_BAT_ID (see Table 7-57). Otherwise, it shall be set to 00000₂.

7.1.2.3.2.3.9 Acknowledgement data and Mc-ACK descriptor (ACKDATA/MCACK_D)

If the MI field is set to zero (for unicast acknowledgement), this field shall contain a 91-bit ACKDATA field.

If the MI field is set to one (for multicast acknowledgement), this field shall contain a 12-bit Mc-ACK descriptor (see clause 7.1.2.3.2.3.9.2) followed by a 79-bit ACKDATA field.

7.1.2.3.2.3.9.1 ACKDATA

The ACKDATA field for different cases shall be coded as shown in Tables 7-26, 7-27, 7-28 and 7-29.

Table 7-26 – Unicast ACKDATA specific fields when MNMTP is set to zero

| Field | Octet | Bits |
|---------------------------|---------|---------|
| FAACK | 0 to 11 | [2:0] |
| CONNECTION_ID | | [10:3] |
| MNMTP | | [11] |
| LSSN | | [23:12] |
| ACKI | | [90:24] |
| Reserved (See Table 7-20) | | [95:91] |

Table 7-27 – Unicast ACKDATA specific fields when MNMTP is set to one

| Field | Octet | Bits |
|---------------------------|---------|-------------------------------------|
| FAACK | 0 to 11 | [2:0] |
| CONNECTION_ID | | [10:3] |
| MNMTP | | [11] |
| MNMT_LSSN | | [17:12] |
| MNMTL | | [22:18] |
| MNMT_ACKI | | [(MNMTL_VALUE+22):23] |
| LSSN | | [(MNMTL_VALUE+34):(MNMTL_VALUE+23)] |
| ACKI | | [90:(MNMTL_VALUE+35)] |
| Reserved (see Table 7-20) | | [95:91] |

Table 7-28 – Multicast ACKDATA specific fields when MNMTP is set to zero

| Field | Octet | Bits |
|------------------------------------|---------|---------|
| Mc-ACK descriptor (see Table 7-35) | 0 to 11 | [11:0] |
| FAACK | | [14:12] |
| CONNECTION_ID | | [22:15] |
| MNMTP | | [23] |
| LSSN | | [35:24] |
| ACKI | | [90:36] |
| Reserved (see Table 7-20) | | [95:91] |

Table 7-29 – Multicast ACKDATA specific fields when MNMTP is set to one

| Field | Octet | Bits |
|------------------------------------|---------|-------------------------------------|
| Mc-ACK descriptor (see Table 7-35) | 0 to 11 | [11:0] |
| FAACK | | [14:12] |
| CONNECTION_ID | | [22:15] |
| MNMTP | | [23] |
| MNMT_LSSN | | [29:24] |
| MNMTL | | [34:30] |
| MNMT_ACKI | | [(MNMTL_VALUE+34):35] |
| LSSN | | [(MNMTL_VALUE+46):(MNMTL_VALUE+35)] |
| ACKI | | [90:(MNMTL_VALUE+47)] |
| Reserved (see Table 7-20) | | [95:91] |

The format of the FACK field shall be as described in clause 7.1.2.3.2.3.9.1.5. When selective acknowledgment is used, the FACK field shall indicate the format of the ACKI field.

7.1.2.3.2.3.9.1.1 Management LSSN presence indication (MNMTP)

When MNMTP is set to zero, the format of the ACKDATA field shall be as described in Table 7-26 for unicast and Table 7-28 for multicast. When MNMTP is set to one, the format of the ACKDATA field shall be as described in Table 7-27 for unicast and Table 7-29 for multicast.

7.1.2.3.2.3.9.1.2 ACKDATA when MNMTP is set to zero

The format of the LSSN field shall be as described in clause 7.1.2.3.2.3.9.1.6.

The format of the ACKI field shall be as described in clause 7.1.2.3.2.3.9.1.7.

7.1.2.3.2.3.9.1.3 ACKDATA when MNMTP is set to one

If MNMTP (see clause 7.1.2.3.2.3.9.1.1) is set to one, the LSSN field (see clause 7.1.2.3.2.3.9.1.6) and the ACKI (see clause 7.1.2.3.2.3.9.1.7) field shall refer to the receiver window corresponding to the data connection (see clauses 8.1.3.2.1.4 and 8.12 of [\[ITU-T G.9961\]](#)).

7.1.2.3.2.3.9.1.3.1 Management lowest SSN (MNMT_LSSN)

The MNMT_LSSN field shall hold the six LSBs of the ACK_RX_WINDOW_START of the receiver window corresponding to the management connection (see clauses 8.1.3.2.1.4 and 8.12 of [\[ITU-T G.9961\]](#)).

7.1.2.3.2.3.9.1.3.2 Management ACKI length (MNMTL)

The MNMTL field shall contain the variable MNMTL_VALUE representing the size in bits of the MNMT_ACKI field. It shall be represented as a 5-bit unsigned integer with valid values in the range from 00000₂ to 11111₂.

7.1.2.3.2.3.9.1.3.3 Management bit map encoding (MNMT_ACKI)

If MNMTL > 0, the MNMT_ACKI field shall contain a bit array indicating the correct reception of segments in the receiver window corresponding to the management connection (see clauses 8.1.3.2.1.4 and 8.12 of [\[ITU-T G.9961\]](#)). The bit corresponding to a segment shall be set to zero if the segment was received correctly. Otherwise, it shall be set to one. The first MNMT_ACKI bit shall represent the reception status of the segment with SSN equal to ACK_RX_WINDOW_START+1, the second MNMT_ACKI bit shall represent the reception status of the segment with SSN equal to ACK_RX_WINDOW_START+2, and so on until the last correctly received segment within the ACK_RX_CONF_WINDOW.

Segments for which the reception status was not reported in the MNMT_ACKI shall be considered by the transmitter as not received correctly by the receiver.

7.1.2.3.2.3.9.1.4 Connection identifier (CONNECTION_ID)

The CONNECTION_ID field shall identify the connection (see clause 7.1.2.3.2.2.12) corresponding to the LPDUs being acknowledged. It shall be represented as an 8-bit unsigned integer. The value 255 shall be used to indicate that no acknowledgement information is included in the ACKDATA field. If the ACKDATA field contains acknowledgement information about only the management connection, the CONNECTION_ID shall be set to 251. If the ACKDATA field contains acknowledgement information about a multicast connection, the CONNECTION_ID shall be set to 252.

The values 253 and 254 are reserved by ITU-T.

7.1.2.3.2.3.9.1.5 Frame ACK (FACK)

The FACK field shall be used to indicate the format of the ACKI field message included in the ACK message. It is a 3-bit field that shall be coded as shown in Table 7-30.

Table 7-30 – FACK field values

| FACK value (b₂b₁b₀) | Interpretation |
|---|---|
| 000 | Selective acknowledgement – ACKI format is bit map |
| 001 | Selective acknowledgement – ACKI format is run-length encoded |
| 010 | Selective acknowledgement – ACKI format is group encoded |
| 011 to 110 | Reserved by ITU-T |
| 111 | All the fields of the FTSF of ACK PHY-frame, other than FACK, are not valid. This value shall only be used for ACK PHY-frame. |

7.1.2.3.2.3.9.1.6 Lowest SSN (LSSN)

The LSSN field shall contain the 12 LSBs of the ACK_RX_WINDOW_START of the receive window.

7.1.2.3.2.3.9.1.7 ACK information (ACKI)

The reception status of data units consisting of one or more segments shall be indicated in the ACKI field by the receiver. The data unit corresponding to each indication in the ACKI field depends on the format of the ACKI field (see Table 7-30). The indication corresponding to a data unit shall be set to one if the data unit was not received correctly and shall be set to zero if the data unit was received correctly. For the cases of bit map encoding and group encoding, if there is no more information to encode, the remaining bits of this field (if any) shall be encoded by the receiver to indicate that the rest of the data units have been received with errors. For the case of run-length encoding, if there is no more information to encode, the remaining bits of this field (if any) shall be encoded to indicate that the rest of the groups in the field have a length of zero. The specific encoding for different formats of the ACKI field is as explained in the following clauses.

If the number of bits in the ACKI field is not sufficient to indicate reception status of all the received segments, a receiver may choose to use compressed encoding or limit the indication to the number of available bits. Segments corresponding to data units for which the reception status was not reported shall be considered by the transmitter as either not received correctly by the receiver or in waiting-for-ack state.

If the number of bits in the ACKI field is not sufficient, the receiver may indicate to the transmitter that it would like to use the extended ACK in future frames by setting the EXTACKRQ bit as described in clause 7.1.2.3.2.3.10.

If the transmitter has already granted permission to the receiver to use extended ACK, the receiver may use extended ACK as described in clause 8.3.8 of [ITU-T G.9961]. The format of the ACK extension is as described in clause 7.1.2.3.1.4.

7.1.2.3.2.3.9.1.7.1 Bit map encoding

When the FACK field indicates bit map encoding, the ACKI field shall be encoded as a bit array.

The ACKI field shall contain an indication of the correct reception of segments in the receiver window. The first ACKI bit shall represent the reception status of the segment with SSN equal to ACK_RX_WINDOW_START+1, the second ACKI bit shall represent the reception status of the segment with SSN equal to ACK_RX_WINDOW_START+2, and so on until the last correctly received segment within the ACK_RX_CONF_WINDOW.

If there is no more information to encode, the remaining bits, if any, of the ACKI field shall be set to one by the receiver.

7.1.2.3.2.3.9.1.7.2 Compressed encoding

In the compressed coding format, the reception status of the received segments shall be transmitted by groups. None of the segments received with errors shall be coded as correctly received. Correctly received segments may be encoded as incorrectly received.

The grouping of segments shall start from the segment with SSN equal to ACK_RX_WINDOW_START+1.

If there is no more information to encode, the remaining bits of the ACKI field, if any, shall be encoded by the receiver indicating that the rest of the segments have been received with errors.

7.1.2.3.2.3.9.1.7.2.1 Run-length encoding

With run-length encoding, the number of segments belonging to a group defines the length of the group. The GRPLGTH field shall indicate the length of each group, which is variable. It shall be represented as a 3-bit unsigned integer as shown in Table 7-31 and shall be coded as shown in Table 7-32.

Table 7-31 – ACKI subfields with run-length encoding

| Field | Size in bits |
|------------------|--------------|
| GRPLGTH | 3 |
| GRP ₀ | GRPLGTH |
| ... | |
| GRP _N | GRPLGTH |

Table 7-32 – Encoding of GRPLGTH values

| Value of the GRPLGTH field | GRPLGTH interpretation |
|----------------------------|------------------------|
| 0 | GRPLGTH=2 |
| 1 | GRPLGTH=3 |
| ... | ... |
| 7 | GRPLGTH=9 |

The first group (GRP₀) indicates the number of consecutive segments that have not been correctly received.

If one group (GRP_i) indicates the number of segments that have been received correctly, the next group (GRP_{i+1}) shall indicate the number of segments that have been received incorrectly or not received.

If one group (GRP_i) indicates the number of segments that have been received incorrectly or not received, the next group (GRP_{i+1}) shall indicate the number of segments that have been received correctly.

If the length of ACKI field, excluding GRPLGTH field, (N_{BitsForGroups}) is not multiple of the number of bits used to specify the length of the group (GRPLGTH interpretation, as defined in Table 7-31), the last $P = \text{remainder}(N_{\text{BitsForGroups}} / \text{GRPLGTH interpretation})$ bits of the ACKI field, , shall be considered as padding and shall be ignored by the transmitter.

7.1.2.3.2.3.9.1.7.2.2 Group encoding

With group encoding, all groups shall have the same number of segments. The COMP_RT field shall contain the number of segments that form a group. It shall be represented as a 3-bit unsigned integer as shown in Table 7-33 and shall be coded as shown in Table 7-34.

The GRP_MAP field shall be used to represent the status of all groups. Each bit of the field shall indicate the status of one group, where a one shall indicate that at least one segment in the group was not received correctly and a zero shall indicate that all segments in the group were received correctly.

Table 7-33 – ACKI subfields with group encoding

| Field | Size in bits |
|---------|-----------------------|
| COMP_RT | 3 |
| GRP_MAP | Rest of the ACKI bits |

Table 7-34 – Encoding of COMP_RT values

| Value of the COMP_RT field | COMP_RT interpretation |
|----------------------------|------------------------|
| 0 | COMP_RT=2 |
| 1 | COMP_RT=3 |
| ... | ... |
| 7 | COMP_RT=9 |

7.1.2.3.2.3.9.2 Mc-ACK descriptor (MACKACK_D)

The MACKACK_D field is only valid for multicast acknowledgement (Mc-ACK). It is a 12-bit field that shall be coded as shown in Table 7-35.

Table 7-35 – MACK_D fields description

| Field | Octet | Bits | Description |
|---------|-------|-------|--|
| MC_SID | 0 | [7:0] | DEVICE_ID of the multicast frame source that requested Mc-ACK |
| NUM_SLT | 1 | [2:0] | Number of Mc-ACK slots assigned after this Mc-ACK slot, represented as unsigned integer in the range between 0 and 6. The value 7 is reserved by ITU-T. |
| NACKP | | [3] | Indicates presence of NACK (see Table 7-15): 0 – NACK signalling slot is not present 1 – NACK signalling slot is present |

7.1.2.3.2.3.10 Extended ACK requested (EXTACKRQ)

If the EXTACKRQ field is set to one, it shall indicate that the node transmitting the ACK frame would like to send extended ACKs and the source node should allocate time for an extended ACK in future frames as described in clause 8.3.8 of [ITU-T G.9961]. If the EXTACKRQ field is set to zero, it shall indicate that the node transmitting the ACK frame will not be using extended ACKs and does not require the extra resources.

7.1.2.3.2.3.11 Flow control extension (FLCTRL_EXT)

The FLCTRL_EXT field shall be used to indicate higher values of flow control between the transmitter and the receiver as described in clause 8.12.4 of [ITU-T G.9961], compared to those indicated by the field FLCTRL as described in clause 7.1.2.3.2.3.3. This field FLCTRL_EXT shall be set to one only if the field FLCTRLT is set to 0 (see clause 7.1.2.3.2.3.2). If FLCTRL_EXT is set to one, for connections with 540 byte LPDUs 408 is added and for connections with 120 byte LPDUs 612 is added to the value indicated by the FLCTRL (see clause 7.1.2.3.2.3.3) value to determine the actual number of LPDUs that the receiver can buffer for the flow. For example, for a connection with 540 byte LPDUs, if FLCTRL_EXT is set and FLCTRL is set to 00011₂ the receiver indicates that it can buffer (408 + 16 = 424) LPDUs.

7.1.2.3.2.4 RTS PHY-frame type specific fields

Table 7-36 lists the PHY-frame header fields which are specific to the RTS frame type:

Table 7-36 – RTS PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|--------|------------------------|----------------------------------|
| RTS_DUR | 0 and 1 | [15:0] | Duration for RTS frame | Clause 7.1.2.3.2.4.1 |
| CID | 2 | [7:0] | CTS proxy ID | Clause 7.1.2.3.2.4.2 |
| CURRTS | 3 | [6:0] | Current TS | Clause 7.1.2.3.2.4.3 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| Reserved | 4 | [1:0] | Reserved | Reserved for use by ITU-T G.9991 |
| Reserved | | [7:2] | Reserved | Reserved for use by ITU-T G.9991 |
| Reserved | 5 to 14 | [87:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

7.1.2.3.2.4.1 Duration for RTS frame (RTS_DUR)

The RTS_DUR field shall contain the total duration of the following sequence:

- the transmission time of the RTS frame;
- duration of RCIFG between RTS and CTS frames;
- the transmission time of the CTS frame;
- the transmission time of the MSG frames that follow the CTS frame and the BIFGs that separate them;
- duration of CCIFG between CTS frame and MSG frame that follows CTS frame;
- if ACK is required, the duration of the AIFG and the ACK frames;
- if Mc-ACK is required, the duration of default AIFG gap and the Mc-ACK sequence duration.

7.1.2.3.2.4.2 CTS proxy ID (CID)

The CID field shall contain the DEVICE_ID of the node that should respond in CTS for multicast traffic. It shall be represented as an 8-bit unsigned integer with valid values in the range from 1 to 250.

7.1.2.3.2.4.3 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.21, the CURRTS field of the MSG frame.

7.1.2.3.2.5 CTS PHY-frame type specific fields

Table 7-37 lists the header fields which are specific to the CTS frame type.

Table 7-37 – Specific fields of the CTS PHY-frame type

| Field | Octet | Bits | Description | Reference |
|---|---------|---------|------------------------|--------------------------|
| CTS_DUR | 0 and 1 | [15:0] | Duration for CTS frame | Clause 7.1.2.3.2.5.1 |
| Reserved | 2 to 14 | [103:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

7.1.2.3.2.5.1 Duration for CTS frame (CTS_DUR)

The CTS_DUR field shall repeat the duration expressed in the preceding RTS frame minus the RTS frame transmission time and the inter-frame gap that follows the RTS frame.

7.1.2.3.2.6 CTMG PHY-frame type specific fields

Table 7-38 lists the PHY-frame header fields which are specific to the CTMG frame type.

Table 7-38 – CTMG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|--------|---------|---------|-----------------------------------|----------------------|
| IACKRQ | 0 | [0] | Immediate acknowledgment required | Clause 7.1.2.3.2.6.1 |
| CURRTS | | [7:1] | Current TS | Clause 7.1.2.3.2.6.3 |
| CTMGD | 1 to 14 | [111:0] | CTMG data | Clause 7.1.2.3.2.6.2 |

7.1.2.3.2.6.1 Immediate acknowledgment required (IACKRQ)

When the IACKRQ field is set to one, the receiver shall follow the reception of the CTMG frame with an acknowledgement CTMG frame (ACTMG) T_{AIFG-D} (see clause 8.4 of [ITU-T G.9961], and clause 7.1.2.3.2.6.2) after the end of the CTMG frame. All nodes in the domain shall refrain from transmission when an ACTMG frame is expected and within T_{IFG_MIN} following it. The sender shall plan its transmission so that the ACTMG that follows the transmitted CTMG frame is contained within the TXOP or TS assigned for the transmission.

7.1.2.3.2.6.2 CTMG data (CTMGD)

If the EHI field is set to zero, the CTMGD field shall contain a single control message composed of a CMH and a CMPL field as shown in clause 8.10.2 of [ITU-T G.9961]. If the size of the control message is shorter than the size of CTMGD (112 bits), the remainder of the CTMGD field shall be padded with zeros.

If the EHI field is set to one, concatenated CTMGD and CTMGD_EXT fields (see clause 7.1.2.3.3.1.1.1) shall be considered as one field, the first byte (octet 0) of the CTMGD field being the first byte of the combined field and the last byte of the CTMGD_EXT field being the last byte of the combined field. The combined field shall contain a single control message. In this case the size of the control message shall be less than or equal to the size of the combined field. The remainder of the CTMGD_EXT field shall be padded with zeros.

7.1.2.3.2.6.3 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.21, the CURRTS field of the MSG frame.

7.1.2.3.2.7 PROBE PHY-frame type specific fields

The PROBE PHY-frame type specific field is composed of a common part and a variable part. The common part contains fields that are common for all PROBE PHY-frame types (PRBTYPEs). The variable part contains fields that are specific to each PRBTYPE.

The fields of the common part of the PROBE PHY-frame specific field are defined in Table 7-39.

Table 7-39 – PROBE PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|----------------|---------|--------|-----------------------------|----------------------------------|
| Common part | | | | |
| PRB_DUR | 0 and 1 | [15:0] | Duration for PROBE frame | Clause 7.1.2.3.2.7.1.1 |
| PRBTYPE | 2 | [3:0] | PROBE frame type | Clause 7.1.2.3.2.7.1.2 |
| PRBSYM | | [7:4] | Probe symbols | Clause 7.1.2.3.2.7.1.3 |
| APSD_MAX-P | 3 | [4:0] | APSD_MAX-P of a PROBE frame | Clause 7.1.2.3.2.7.1.4 |
| PRBGI | | [7:5] | PROBE guard interval | Clause 7.1.2.3.2.7.1.5 |
| CURRTS | 4 | [6:0] | Current TS | Clause 7.1.2.3.2.7.1.6 |
| Reserved | | [7] | Reserved | Reserved for use by ITU-T G.9963 |
| APSD_MAX-P_EXT | 5 | [0] | APSD_MAX-P extension | Clause 7.1.2.3.2.7.1.7 |
| Reserved | | [7:1] | Reserved | Reserved by ITU-T (Note) |
| Variable part | | | | |

Table 7-39 – PROBE PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|--------|---------------------------------|----------------------|
| PFTSF | 6 to 14 | [71:0] | PROBE frame type specific field | Clause 7.1.2.3.2.7.2 |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

7.1.2.3.2.7.1 Common part fields

7.1.2.3.2.7.1.1 Duration for PROBE frame (PRB_DUR)

The PRB_DUR field shall contain the transmission time of the PROBE frame.

7.1.2.3.2.7.1.2 PROBE frame type (PRBTYPE)

The PRBTYPE field shall contain the type of the PROBE frame. It is a 4-bit field that shall be coded as shown in Table 7-40.

Table 7-40 – PRBTYPE field values

| PRBTYPE value (b ₃ b ₂ b ₁ b ₀) | Interpretation | Reference |
|---|--|------------------------|
| 0000 | Silent PROBE frame – a PHY frame in which the probe symbols composing the payload shall all be silent symbols, as specified in clause 7.1.3.6. | Clause 7.1.2.3.2.7.2.1 |
| 0001 | Channel estimation PROBE frame – a PHY frame in which the probe symbols composing the payload shall all be channel estimation probe symbols, as specified in clause 7.1.3.6. | Clause 7.1.2.3.2.7.2.2 |
| 0010 to 1011 | Reserved by ITU-T | |
| 1000 to 1011 | Reserved for use by ITU-T G.9963 | |
| 1100 to 1111 | Reserved by ITU-T | |

7.1.2.3.2.7.1.3 Probe symbols (PRBSYM)

The PRBSYM field shall contain the number of OFDM payload symbols in the PROBE frame. It is a 4-bit field that shall be coded as shown in Table 7-41.

Table 7-41 – PRBSYM field values

| PRBSYM value (b ₇ b ₆ b ₅ b ₄) | Interpretation |
|--|--------------------|
| 0000 | 4 Payload symbols |
| 0001 | 8 Payload symbols |
| 0010 | 12 Payload symbols |
| | |
| 1111 | 64 Payload symbols |

7.1.2.3.2.7.1.4 Maximum actual PSD of PROBE frame (APSD_MAX-P)

The APSD_MAX-P and APSD_MAX-P_EXT fields shall together indicate the APSD_MAX for the PHY frame. It shall be represented as a 6-bit unsigned integer with valid values in the range from 0

to 50, plus 62 and 63. Values from 0 to 50 correspond to an APSD_MAX in the range of –50 dBm/Hz to –100 dBm/Hz in 1 dB steps.

The most significant 5 bits of the APSD_MAX are conveyed in the APSD_MAX-P field and the least significant bit of the APSD_MAX is conveyed in the APS_MAX-P_EXT field.

The special values 62 and 63 shall indicate that the frame has been transmitted with an APSD_MAX that is the minimum of:

- Highest value of the transmit PSD allowed (i.e., TxPSD after applying PSD ceiling if applicable)
- Highest value of the PSD that the node is capable of transmitting.

All other values are reserved by ITU-T.

7.1.2.3.2.7.1.5 PROBE symbol guard interval (PRBGI)

The PRBGI field shall contain the guard interval value used for the payload of the PROBE frame. It shall be coded in the same way as described in Table 7-14 in clause 7.1.2.3.2.2.10.

7.1.2.3.2.7.1.6 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.21, the CURRTS field of the MSG frame.

7.1.2.3.2.7.1.7 APSD_MAX-P extension

See clause 7.1.2.3.2.7.1.4.

7.1.2.3.2.7.2 PROBE frame type specific field

This clause details the PROBE frame-type specific field (PFTSF), a variable part of the PROBE PHY-frame type specific field separately defined for each PROBE frame type (PRBTYPE).

7.1.2.3.2.7.2.1 Silent PROBE frame specific fields

Table 7-42 lists the PROBE frame fields which are specific to the silent PROBE frame type.

Table 7-42 – Silent PROBE frame specific field values

| Field | Octet | Bits | Description |
|---|--------|--------|--------------------------|
| Reserved | 0 to 8 | [71:0] | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | |

7.1.2.3.2.7.2.2 Channel estimation PROBE frame specific fields

Table 7-43 lists the PROBE frame fields which are specific to the channel estimation PROBE frame type.

Table 7-43 – Channel estimation PROBE frame specific field values

| Field | Octet | Bits | Description |
|---|--------|--------|--------------------------|
| Reserved | 0 to 8 | [71:0] | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | |

Table 7-44 – Placeholder table

(This table has been intentionally left blank.)

7.1.2.3.2.8 ACKRQ PHY frame type specific fields

Table 7-45 lists the PHY-frame header fields which are specific to the ACKRQ frame type.

Table 7-45 – ACKRQ PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|--------|-----------------------|--------------------------|
| RX_WIN_TYPE | 0 | [1:0] | Requested RX window | Clause 7.1.2.3.2.8.1 |
| Reserved | | [7:2] | Reserved | Reserved by ITU-T (Note) |
| CONNECTION_ID | 1 | [7:0] | Connection identifier | Clause 7.1.2.3.2.8.2 |
| CURRTS | 2 | [6:0] | Current TS | Clause 7.1.2.3.2.8.3 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| Reserved | 3 to 14 | [95:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

7.1.2.3.2.8.1 Requested RX window (RX_WIN_TYPE)

The RX_WIN_TYPE field shall indicate which receiver window status is requested to be retransmitted according to Table 7-46.

Table 7-46 – RX_WIN_TYPE field allowed values

| RX_WIN_TYPE value (b ₁ b ₀) | Description |
|--|--|
| 00 | The receiver shall only send the current status of the reception window of the data connection identified by the CONNECTION_ID field and the SID of the ACKRQ frame. |
| 01 | The receiver shall only send the current status of the reception window of the management connection from the SID of the ACKRQ frame. |
| 10 | The receiver shall send the current status of the reception windows of: <ul style="list-style-type: none">• the management connection from the SID of the ACKRQ frame;• the data connection identified by the CONNECTION_ID and the SID of the ACKRQ frame. |
| 11 | Reserved by ITU-T |

7.1.2.3.2.8.2 Connection identifier (CONNECTION_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.12, the CONNECTION_ID field of the MSG frame.

7.1.2.3.2.8.3 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.21, the CURRTS field of the MSG frame.

7.1.2.3.2.9 BMSG PHY-frame type specific fields

Table 7-47 lists the fields which are specific to the core part of the PHY-frame header of the BMSG frame type.

Table 7-47 – BMSG PHY-frame type specific fields – core part

| Field | Octet | Bits | Description | Reference |
|----------------|----------|--------|--|----------------------------------|
| BMSG_DUR | 0 and 1 | [15:0] | Duration for BMSG frame | Clause 7.1.2.3.2.9.1 |
| BLKSZ | 2 | [1:0] | Block size of FEC codeword for BMSG frame payload | Clause 7.1.2.3.2.9.2 |
| FEC_RATE | | [4:2] | FEC coding rate for BMSG frame payload | Clause 7.1.2.3.2.9.3 |
| REP | | [7:5] | Number of repetitions used for encoding the BMSG frame payload | Clause 7.1.2.3.2.9.4 |
| FCF | 3 | [2:0] | FEC concatenation factor | Clause 7.1.2.3.2.9.5 |
| SI | | [6:3] | Scrambler initialization | Clause 7.1.2.3.2.9.6 |
| MDET | | [7] | Master is detected | Clause 7.1.2.3.2.9.7 |
| BAT_ID | 4 | [4:0] | Bit allocation table identifier | Clause 7.1.2.3.2.9.8 |
| OFB_ID/GRP_ID | | [7:5] | OFB identifier/subcarrier grouping identifier | Clause 7.1.2.3.2.9.9 |
| GI_ID | 5 | [2:0] | Guard interval identifier | Clause 7.1.2.3.2.9.10 |
| APSD_MAX-M | | [7:3] | APSD_MAX-M of a BMSG frame | Clause 7.1.2.3.2.9.11 |
| CONNECTION_ID | 6 | [7:0] | Connection identifier | Clause 7.1.2.3.2.9.12 |
| RPRQ | 7 | [1:0] | Reply required | Clause 7.1.2.3.2.9.13 |
| BRSTCnt | | [3:2] | Burst frame count | Clause 7.1.2.3.2.9.14 |
| BEF | | [4] | Burst end flag | Clause 7.1.2.3.2.9.15 |
| AIFG_IND | | [5] | AIFG indication | Clause 7.1.2.3.2.9.16 |
| Reserved | | [6] | Reserved | Reserved for use by ITU-T G.9963 |
| EXTACKGR | | [7] | Extended ACK granted | Clause 7.1.2.3.2.9.25 |
| ACE_SYM | 8 | [2:0] | Number of ACE symbols | Clause 7.1.2.3.2.9.17 |
| CNN_MNGMT | | [6:3] | Connection management | Clause 7.1.2.3.2.9.18 |
| APSD_MAX-M_EXT | | [7] | APSD_MAX-M extension | Clause 7.1.2.3.2.9.27 |
| BRURQ | 9 and 10 | [15:0] | Bandwidth reservation update request | Clause 7.1.2.3.2.9.19 (Note 2) |
| START_SSN | 9 and 10 | [15:0] | Start segment sequence number | Clause 7.1.2.3.2.9.20 (Note 3) |
| CURRTS | 11 | [6:0] | Current TS | Clause 7.1.2.3.2.9.21 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note 1) |

Table 7-47 – BMSG PHY-frame type specific fields – core part

| Field | Octet | Bits | Description | Reference |
|---|-----------|---------|---|----------------------------|
| Reserved | 12 and 13 | [0] | Reserved | Reserved by ITU-T (Note 1) |
| BTXGL | | [8:1] | Bidirectional transmission grant length | Clause 7.1.2.3.2.9.22 |
| BTXEF | | [9] | Bidirectional transmission end flag | Clause 7.1.2.3.2.9.23 |
| P-DACK | | [10] | Piggybacked Delayed Acknowledgement | Clause 7.1.2.3.2.9.26 |
| Reserved | | [15:11] | Reserved | Reserved by ITU-T (Note 1) |
| ACK_CE_CTRL | 14 | [7:0] | ACK channel estimation control | Clause 7.1.2.3.2.9.24 |
| | | | | |
| NOTE 1 – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |
| NOTE 2 – The BRURQ field is defined when the START_SSN field is not defined. | | | | |
| NOTE 3 – The START_SSN field is defined only when CNN_MNGMT = 0001, CNN_MNGMT = 0011, CNN_MNGMT = 0101 or CNN_MNGMT = 0111. Otherwise the meaning of this field is BRURQ. | | | | |

The PHY-frame header fields which are specific to the extended part of the header of the BMSG frame type are listed in Table 7-53.

7.1.2.3.2.9.1 Duration for BMSG frame (BMSG_DUR)

For a BMSG frame where Imm-ACK or a BACK does not follow the BMSG frame, the BMSG_DUR field shall contain the transmission time of the BMSG frame.

For a BMSG frame where Imm-ACK or BACK follows the BMSG frame, the BMSG_DUR field shall contain the transmission time of the BMSG frame plus the duration of the following AIFG (for Imm-Ack) or BM2BAIFG (for BACK). The BMSG_DUR field value shall not exceed T_{MAX MSG DURATION} = 6 ms.

7.1.2.3.2.9.2 Block size (BLKSZ)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.2, the BLKSZ field of the MSG frame.

7.1.2.3.2.9.3 FEC coding rate (FEC_RATE)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.3, the FEC_RATE field of the MSG frame.

7.1.2.3.2.9.4 Repetitions (REP)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.4, the REP field of the MSG frame.

7.1.2.3.2.9.5 FEC concatenation factor (FCF)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.5, the FCF field of the MSG frame.

7.1.2.3.2.9.6 Scrambler initialization (SI)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.6, the SI field of the MSG frame.

7.1.2.3.2.9.7 Master is detected indication (MDET)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.7, the MDET field of the MSG frame.

7.1.2.3.2.9.8 Bit allocation table identifier (BAT_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.8, the BAT_ID field of the MSG frame.

7.1.2.3.2.9.9 OFB identifier/subcarrier grouping identifier (OFB_ID/GRP_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.9, the OFB_ID/GRP_ID field of the MSG frame.

7.1.2.3.2.9.10 Guard interval identifier (GI_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.10, the GI_ID field of the MSG frame.

7.1.2.3.2.9.11 Maximum actual PSD of BMSG frame (APSD_MAX-M)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.11, the APSD_MAX-M field of the MSG frame.

7.1.2.3.2.9.12 Connection identifier (CONNECTION_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.12, the CONNECTION_ID field of the MSG frame.

7.1.2.3.2.9.13 Reply required (RPRQ)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.13, the RPRQ field of the MSG frame.

7.1.2.3.2.9.14 Burst frame count (BRSTCnt)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.14, the BRSTCnt field of the MSG frame.

7.1.2.3.2.9.15 Burst end flag (BEF)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.15, the BEF field of the MSG frame.

7.1.2.3.2.9.16 AIFG indication (AIFG_IND)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.16, the AIFG_IND field of the MSG frame.

7.1.2.3.2.9.17 ACE symbols (ACE_SYM)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.17, the ACE_SYM field of the MSG frame.

7.1.2.3.2.9.18 Connection management (CNN_MNGMT)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.18, the CNN_MNGMT field of the MSG frame.

7.1.2.3.2.9.19 Bandwidth reservation update request (BRURQ)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.19, the BRURQ field of the MSG frame.

7.1.2.3.2.9.20 Start segment sequence number (START_SSN)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.20, the START_SSN field of the MSG frame.

7.1.2.3.2.9.21 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.21, the CURRTS field of the MSG frame.

7.1.2.3.2.9.22 Bidirectional transmission grant length (BTXGL)

The BTXGL field shall contain the granted bidirectional transmission duration in multiples of 8 μ s. It shall be represented as an 8-bit unsigned integer.

A grant length value of zero shall indicate that bidirectional transmission is not granted. The granted duration shall encompass all transmissions from the receiver including ACK and BACK frames.

7.1.2.3.2.9.23 Bidirectional transmission end flag (BTXEF)

If the BTXEF field is set to one, it shall indicate that this is the last exchange in the current TXOP/TS.

7.1.2.3.2.9.24 ACK channel estimation control (ACK_CE_CTRL)

The interpretation of this field shall be as specified for the ACK_CE_CTRL field of the ACK frame in clause 7.1.2.3.2.3.8.

7.1.2.3.2.9.25 Extended ACK Granted (EXTACKGR)

If the EXTACKGR field is set to one, it shall indicate that this transmitter can process extended ACK and the receiver may use extended ACK as a response to the transmitted BMSG frame as described in clause 8.3.8 of [\[ITU-T G.9961\]](#).

7.1.2.3.2.9.26 Piggybacked Delayed Acknowledgement (P-DACK)

If the P-DACK field is set to one, it indicates that this BMSG includes delayed ACK information as described in clause 8.3.9 of [\[ITU-T G.9961\]](#). It shall be set to zero otherwise.

When using bidirectional transmissions this field shall be set to zero.

7.1.2.3.2.9.27 APSD_MAX-M extension

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.25, the APSD_MAX-M_EXT field of the MSG frame.

7.1.2.3.2.10 BACK PHY-frame type specific fields

Table 7-48 lists the fields which are specific to the core part of the PHY-frame header of the BACK frame type.

Table 7-48 – BACK PHY-frame type specific fields – core part

| Field | Octet | Bits | Description | Reference |
|---|----------|--------|--|---|
| BACK_DUR | 0 and 1 | [15:0] | Duration for BACK frame | Clause 7.1.2.3.2.10.1 |
| BLKSZ | 2 | [1:0] | Block size of FEC codeword for BACK frame payload | Clause 7.1.2.3.2.10.2 |
| FEC_RATE | | [4:2] | FEC coding rate for BACK frame payload | Clause 7.1.2.3.2.10.3 |
| REP | | [7:5] | Number of repetitions used for encoding the BACK frame payload | Clause 7.1.2.3.2.10.4 |
| FCF | 3 | [2:0] | FEC concatenation factor | Clause 7.1.2.3.2.10.5 |
| SI | | [6:3] | Scrambler initialization | Clause 7.1.2.3.2.10.6 |
| MDET | | [7] | Master is detected | Clause 7.1.2.3.2.10.7 |
| BAT_ID | 4 | [4:0] | Bit allocation table identifier | Clause 7.1.2.3.2.10.8 |
| OFB_ID/GRP_ID | | [7:5] | Operational frequency band identifier/subcarrier grouping identifier | Clause 7.1.2.3.2.10.9 |
| GI_ID | 5 | [2:0] | Guard interval identifier | Clause 7.1.2.3.2.10.10 |
| APSD_MAX-M | | [7:3] | APSD_MAX-M of a BACK frame | Clause 7.1.2.3.2.10.11 |
| CONNECTION_ID | 6 | [7:0] | Connection identifier | Clause 7.1.2.3.2.10.12 |
| RPRQ | 7 | [1:0] | Reply required | Clause 7.1.2.3.2.10.13 |
| BRSTCnt | | [3:2] | Burst frame count | Clause 7.1.2.3.2.10.14 |
| BEF | | [4] | Burst end flag | Clause 7.1.2.3.2.10.15 |
| AIFG_IND | | [5] | AIFG indication | Clause 7.1.2.3.2.10.16 |
| Reserved | | [6] | Reserved | Reserved for use by ITU-T G.9963 |
| APSD_MAX-M_EXT | | [7] | APSD_MAX-M extension | Clause 7.1.2.3.2.10.21 |
| ACE_SYM | 8 | [2:0] | Number of ACE symbols | Clause 7.1.2.3.2.10.17 |
| CNN_MNGMT | | [6:3] | Connection management | Clause 7.1.2.3.2.10.18 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| BTXRL | 9 | [7:0] | Bidirectional transmission request length | Clause 7.1.2.3.2.10.19 |
| ACK_CE_CTRL | 10 | [6:0] | ACK channel estimation control | Clause 7.1.2.3.2.10.20 |
| Reserved | | [7] | Reserved | Reserved for use by ITU-T G.9963-(Note) |
| Reserved | 11 to 14 | [31:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

The PHY-frame header fields which are specific to the extended part of the header of the BACK frame type are listed in Table 7-54.

7.1.2.3.2.10.1 Duration for BACK frame (BACK_DUR)

For a BACK frame where Imm-ACK or a BMSG does not follow the BACK frame, the BACK_DUR field shall contain the transmission time of the BACK frame.

For a BACK frame where Imm-ACK or BMSG follows the BACK frame, the BACK_DUR field shall contain the transmission time of the BACK frame plus the duration of the following AIFG (for Imm-Ack) or BA2BMIFG (for BMSG). The BACK_DUR field value shall not exceed T_{MAX_MSG_DURATION}~~6 ms~~.

7.1.2.3.2.10.2 Block size (BLKSZ)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.2, the BLKSZ field of the MSG frame.

7.1.2.3.2.10.3 FEC coding rate (FEC_RATE)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.3, the FEC_RATE field of the MSG frame.

7.1.2.3.2.10.4 Repetitions (REP)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.4, the REP field of the MSG frame.

7.1.2.3.2.10.5 FEC concatenation factor (FCF)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.5, the FCF field of the MSG frame.

7.1.2.3.2.10.6 Scrambler initialization (SI)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.6, the SI field of the MSG frame.

7.1.2.3.2.10.7 Master is detected indication (MDET)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.7, the MDET field of the MSG frame.

7.1.2.3.2.10.8 Bit allocation table identifier (BAT_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.8, the BAT_ID field of the MSG frame.

7.1.2.3.2.10.9 OFB identifier/subcarrier grouping identifier (OFB_ID/GRP_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.9, the OFB_ID/GRP_ID field of the MSG frame.

7.1.2.3.2.10.10 Guard interval identifier (GI_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.10, the GI_ID field of the MSG frame.

7.1.2.3.2.10.11 Maximum actual PSD of BACK frame (APSD_MAX-M)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.11, the APSD_MAX-M field of the MSG frame.

7.1.2.3.2.10.12 Connection identifier (CONNECTION_ID)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.12, the CONNECTION_ID field of the MSG frame.

7.1.2.3.2.10.13 Reply required (RPRQ)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.13, the RPRQ field of the MSG frame.

7.1.2.3.2.10.14 Burst frame count (BRSTCnt)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.14, the BRSTCnt field of the MSG frame.

7.1.2.3.2.10.15 Burst end flag (BEF)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.15, the BEF field of the MSG frame.

7.1.2.3.2.10.16 AIFG indication (AIFG_IND)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.16, the AIFG_IND field of the MSG frame.

7.1.2.3.2.10.17 ACE symbols (ACE_SYM)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.17, the ACE_SYM field of the MSG frame.

7.1.2.3.2.10.18 Connection management (CNN_MNGMT)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.18, the CNN_MNGMT field of the MSG frame.

7.1.2.3.2.10.19 Bidirectional transmission request length (BTXRL)

The BTXRL field shall contain the requested bidirectional transmission duration in multiples of 8 μ s. It shall be represented as an 8-bit unsigned integer. A request length value of zero shall indicate that the acknowledging node is not requesting bidirectional transmission.

7.1.2.3.2.10.20 ACK channel estimation control (ACK_CE_CTRL)

The interpretation of this field shall be as specified for the ACK_CE_CTRL field of the ACK frame in clause 7.1.2.3.2.3.8.

7.1.2.3.2.10.21 APSD_MAX-M extension

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.25, the APSD_MAX-M_EXT field of the MSG frame.

7.1.2.3.2.11 ACTMG PHY-frame type specific fields

Table 7-49 lists the PHY-frame header fields which are specific to the ACTMG frame type.

Table 7-49 – ACTMG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|---------|---------------------|--------------------------|
| CTMGACK | 0 to 14 | [0] | CTMG acknowledgment | Clause 7.1.2.3.2.11.1 |
| Reserved | | [119:1] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

An ACTMG frame shall be sent following a CTMG frame that has its IACKRQ field set to one (see clause 7.1.2.3.2.6.1) indicating that immediate acknowledgment is required.

7.1.2.3.2.11.1 CTMG acknowledgment (CTMGACK)

The CTMGACK field shall indicate the correct reception of the previously received CTMG frame that requested an immediate acknowledgment (see clause 7.1.2.3.2.6.1).

This field shall be set to one only if both the HCS of the core part and E_HCS of the extended part (if present) of the PHY-frame header of the CTMG frame are correct.

7.1.2.3.2.12 Reserved

Reserved by ITU-T.

7.1.2.3.2.13 Reserved

Reserved by ITU-T.

7.1.2.3.2.14 Reserved

Reserved by ITU-T.

7.1.2.3.2.15 Reserved

Reserved by ITU-T.

7.1.2.3.2.16 FTE PHY-frame type specific fields

This frame type is used for adding additional frame types (i.e., frame type extension).

Table 7-50 lists the PHY-frame header fields which are specific to the FTE frame type.

Table 7-50 – FTE PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|--------|------------------------|--------------------------|
| FTE_DUR | 0 and 1 | [15:0] | Duration for FTE frame | Clause 7.1.2.3.2.16.1 |
| CURRTS | 2 | [6:0] | Current TS | Clause 7.1.2.3.2.16.3 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| EFT_FLD | 3 to 14 | [95:0] | EFT specific fields | Clause 7.1.2.3.2.16.2 |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

7.1.2.3.2.16.1 Duration for FTE frame (FTE_DUR)

The FTE_DUR field shall contain the duration of a single PHY frame sequence in multiples of 0.25 μ s. It shall be represented as a 16-bit unsigned integer. This field shall only be present if DRI = 1.

7.1.2.3.2.16.2 EFT specific fields (EFT_FLD)

This clause describes the fields that are specific to FTE frame type. The first field shall be a fixed-length subfield that identifies an extended frame type (EFT). The size of the EFT and other fields following the EFT are for further study.

7.1.2.3.2.16.3 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.2.21, the CURRTS field of the MSG frame.

7.1.2.3.2.17 Maximum actual PSD of MAP frame (APSD_MAX-MAP_LSB and APSD_MAX-MAP_MSB)

The APSD_MAX-MAP_LSB, APSD_MAX-MAP_MSB and the APSD_MAX-MAP_EXT fields shall together indicate the APSD_MAX for the MAP PHY frame. It shall be represented as a 6-bits unsigned integer with valid values in the range from 0 to 50, plus special values 62 and 63. Values from 0 to 50 correspond to an APSD_MAX in the range of –50 dBm/Hz to –100 dBm/Hz in 1 dB steps.

The most significant 2 bits of the APSD_MAX-MAP are conveyed in the APSD_MAX-MAP_MSB field, and the least significant 4 bits of the APSD_MAX are conveyed in the APSD_MAX-MAP_LSB and the APSD_MAX-MAP_EXT: 3 bits in the APSD_MAX-MAP_LSB and 1 bit in the APSD_MAX-MAP_EXT, as shown in Figure 7-4.1.

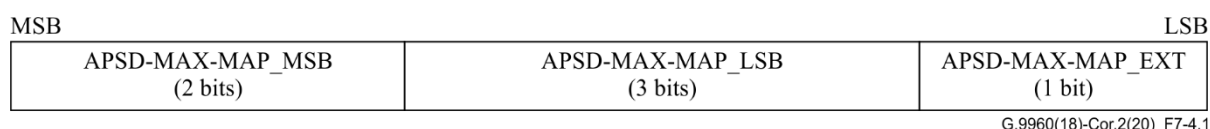


Figure 7-4.1 – APSD MAX-MAP bits mapping

The special values 62 and 63 shall indicate that the frame has been transmitted with an APSD_MAX that is the minimum of:

- Highest value of the transmit PSD allowed (i.e., TxPSD after applying PSD ceiling if applicable)
- Highest value of the PSD that the node is capable of transmitting.

All other values are reserved by ITU-T.

7.1.2.3.3 Extended header fields

The extended part of the PHY-frame header is PHY_H bits long and shall be composed of a common part and a variable part. The common part shall contain fields that are common to all PHY frame types. The variable part shall contain fields according to the PHY frame type. PHY frame type shall be indicated by the FT field in the core part of the PHY-frame header. The PAD fields shall fit the length of the header of different PHY frame types to the standard values of PHY_H bits. The content of the extended part shall be protected by the 16-bit header check sequence (E_HCS).

The fields of the extended part of the PHY-frame header are defined in Table 7-51.

Table 7-51 – Extended part of PHY-frame header

| Field | Octet | Bits | Description | |
|--------|-----------|---------|--|---------------|
| E_FTSF | 0 to 18 | [151:0] | Extended header frame-type specific fields | Variable part |
| E_HCS | 19 and 20 | [15:0] | Extended header check sequence | Common part |

7.1.2.3.3.1 Extended header frame-type specific fields (E_FTSF)

7.1.2.3.3.1.1 E_FTSF for a CTMG PHY frame

Table 7-52 lists the E_FTSF for a CTMG PHY frame.

Table 7-52 – E_FTSF for a CTMG PHY frame type

| Field | Octet | Bits | Description | Reference |
|-----------|---------|---------|---------------------|------------------------|
| CTMGD_EXT | 0 to 18 | [151:0] | CTMG data extension | Clause 7.1.2.3.3.1.1.1 |

7.1.2.3.3.1.1.1 CTMG data extension (CTMGD_EXT)

This field is the extension of the CTMGD field. It shall be coded as described in clause 7.1.2.3.2.6.2.

7.1.2.3.3.1.2 E_FTSF for a BMSG PHY frame

Table 7-53 lists the E_FTSF for a BMSG PHY frame.

Table 7-53 – E_FTSF for a BMSG PHY frame type

| Field | Octet | Bits | Description | Reference |
|---|------------|---------|--|--------------------------|
| RXRST_DATA | 0 and 1 | [0] | Data RX reset flag | Clause 7.1.2.3.3.1.2.1 |
| RXRST_MNGMT | | [1] | Management RX reset flag | Clause 7.1.2.3.3.1.2.2 |
| FLCTRLT | | [2] | Flow control type | Clause 7.1.2.3.3.1.2.3 |
| FLCTRL | | [7:3] | Flow control | Clause 7.1.2.3.3.1.2.4 |
| Reserved | | [8] | Reserved | Reserved by ITU-T (Note) |
| FLCTRL_CONN | | [9] | Flow control connection flag | Clause 7.1.2.3.3.1.2.5 |
| Reserved | | [15:10] | Reserved | Reserved by ITU-T (Note) |
| ACKDATA_BM | 2 to 13 | [90:0] | Acknowledgement data of the BMSG frame | Clause 7.1.2.3.3.1.2.6 |
| Reserved | | [95:91] | Reserved | Reserved by ITU-T (Note) |
| Reserved | 14 to 18 | [39:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

7.1.2.3.3.1.2.1 Data RX reset flag (RXRST_DATA)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.5, the RXRST_DATA field of the ACK frame.

7.1.2.3.3.1.2.2 Management RX reset flag (RXRST_MNGMT)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.6, RXRST_MNGMT field of the ACK frame.

7.1.2.3.3.1.2.3 Flow control type (FLCTRLT)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.2, the FLCTRLT field of the ACK frame.

7.1.2.3.3.1.2.4 Flow control (FLCTRL)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.3, the FLCTRL field of the ACK frame.

7.1.2.3.3.1.2.5 Flow control connection flag (FLCTRL_CONN)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.1, the FLCTRL_CONN field of the ACK frame.

7.1.2.3.3.1.2.6 Acknowledgement data of the BMSG frame (ACKDATA_BM)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.9, the ACKDATA/MCACK_D field of the ACK frame for unicast acknowledgment (MI = 0).

7.1.2.3.3.1.3 E_FTSF for a BACK PHY frame

Table 7-54 lists the E_FTSF for a BACK PHY frame.

Table 7-54 – E_FTSF for a BACK PHY frame type

| Field | Octet | Bits | Description | Reference |
|-------------|----------|---------|--|--------------------------|
| RXRST_DATA | 0 and 1 | [0] | Data RX reset flag | Clause 7.1.2.3.2.10.21 |
| RXRST_MNGMT | | [1] | Management RX reset flag | Clause 7.1.2.3.2.10.22 |
| FLCTRLT | | [2] | Flow control type | Clause 7.1.2.3.2.10.23 |
| FLCTRL | | [7:3] | Flow control | Clause 7.1.2.3.2.10.24 |
| Reserved | | [8] | Reserved | Reserved by ITU-T (Note) |
| FLCTRL_CONN | | [9] | Flow control connection flag | Clause 7.1.2.3.2.10.25 |
| Reserved | | [15:10] | Reserved | Reserved by ITU-T (Note) |
| ACKDATA_BA | 2 to 13 | [90:0] | Acknowledgement data of the BACK frame | Clause 7.1.2.3.2.10.26 |
| Reserved | | [95:91] | Reserved | Reserved by ITU-T (Note) |
| Reserved | 14 to 18 | [39:0] | Reserved | Reserved by ITU-T (Note) |

NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver.

7.1.2.3.3.1.3.1 Data RX reset flag (RXRST_DATA)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.5, the RXRST_DATA field of the ACK frame.

7.1.2.3.3.1.3.2 Management RX reset flag (RXRST_MNGMT)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.6, the RXRST_MNGMT field of the ACK frame.

7.1.2.3.3.1.3.3 Flow control type (FLCTRLT)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.2, the FLCTRLT field of the ACK frame.

7.1.2.3.3.1.3.4 Flow control (FLCTRL)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.3, the FLCTRL field of the ACK frame.

7.1.2.3.3.1.3.5 Flow control connection flag (FLCTRL_CONN)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.1, the FLCTRL_CONN field of the ACK frame.

7.1.2.3.3.1.3.6 Acknowledgement data of the BACK frame (ACKDATA_BA)

The interpretation of this field shall be as specified in clause 7.1.2.3.2.3.9, the ACKDATA/MCACK_D field of the ACK frame for unicast acknowledgment (MI = 0).

7.1.2.3.3.1.4 E_FTSF for ACK PHY-frame

Table 7-54.1 lists the E_FTSF for ACK PHY frame.

Table 7-54.1 – E_FTSF for ACK PHY frame type

| Field | Octet | Bits | Description | Reference |
|----------|---------|---------|----------------------|----------------------------------|
| ACKI_EXT | 0 to 17 | [143:0] | ACKI field extension | Clause 7.1.2.3.3.1.4.1 |
| Reserved | 18 | [7:0] | Reserved | Reserved for use by ITU-T G.9991 |

7.1.2.3.3.1.4.1 ACKI field extension (ACKI_EXT)

This field is the extension of the ACKI field, and shall be concatenated to the ACKI field of the core part of the PHY-frame header of the ACK frame before decoding the reception status of data units as described in clause 7.1.2.3.2.3.9.1.7.

7.1.2.3.3.2 Extended header check sequence (E_HCS)

The E_HCS field is intended for verification of the extended part of the PHY-frame header. The E_HCS is a 16-bit cyclic redundancy check (CRC) and shall be computed over all the fields of the E_FTSF in the order that they are transmitted, starting with the LSB of the first field and ending with the MSB of the last field of E_FTSF. The same polynomial as specified in clause 7.1.2.3.1.9 shall be used.

7.1.3 Physical medium attachment (PMA) sublayer

The functional model of the PMA is presented in Figure 7-5. It is intended to describe in more detail the PMA functional block presented in Figure 7-1.

In the transmit direction, the incoming PHY frame (except for preamble and channel estimation symbols) at the α -reference point has a format as defined in clause 7.1.2. Both the header bits and the payload bits of the incoming frame are scrambled as described in clause 7.1.3.1. The header bits of the incoming frame are encoded as described in clause 7.1.3.4. The payload bits are encoded, as described in clause 7.1.3.3. The parameters of payload encoder are controlled by the PHY management entity.

After encoding, the header and payload are each segmented into an integer number of symbol frames as described in clause 7.1.3.5.1. The obtained symbol frames of the header and the payload are submitted to the PMD (at the δ -reference point) for modulation and transmission over the medium.

In the receive direction, all necessary inverse operations of decoding, and de-scrambling are performed on the received symbol frames. The recovered PHY-frame header and payload are submitted to the α -reference point for further processing in the PCS.

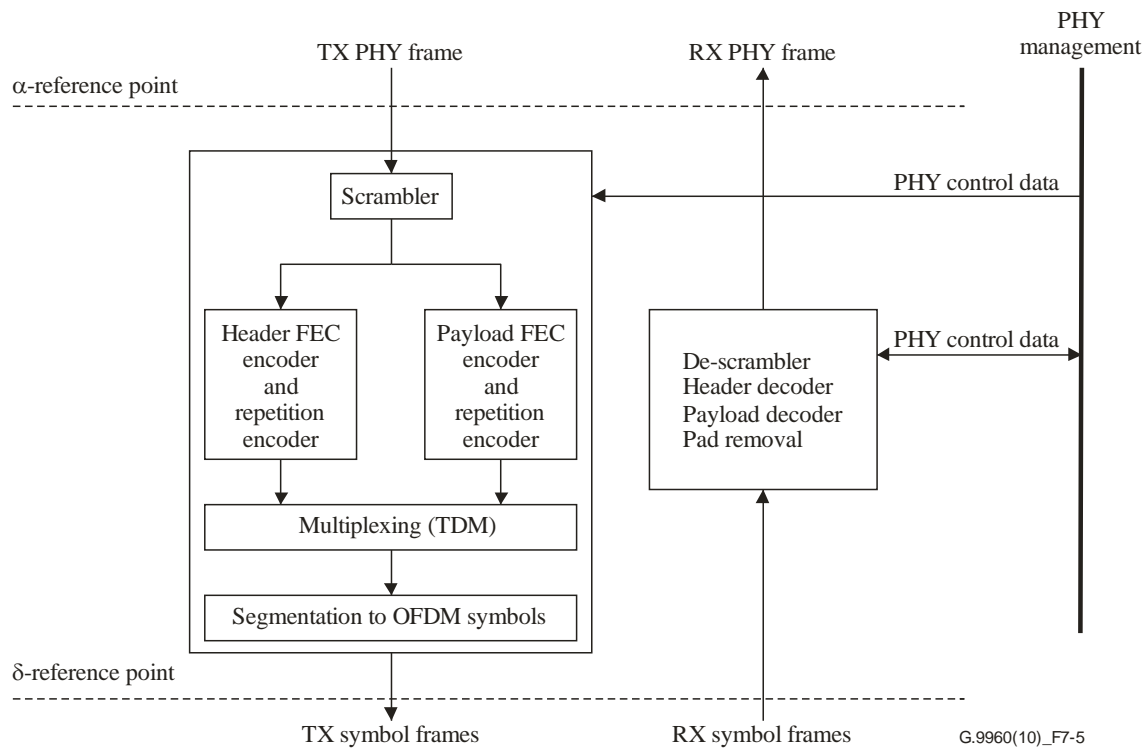


Figure 7-5 – Functional model of PMA sublayer

7.1.3.1 Scrambling

All data starting from the first bit of the PHY-frame header (PFH) and ending by the last bit of the payload shall be scrambled with a pseudorandom sequence generated by the linear feedback shift register (LFSR) with the polynomial $p(x) = x^{23} + x^{18} + 1$, as shown in Figure 7-6.

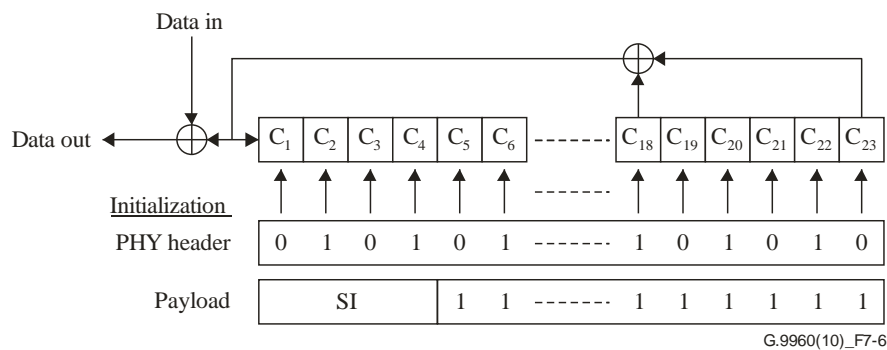


Figure 7-6 – Scrambler; $p(x) = x^{23} + x^{18} + 1$

The LFSR generator shall be initialized at the first bit of the header with the initialization vector equal to 2AAAAA₁₆ (where the LSB corresponds to C₁); this initialization is used for the scrambling of the header data. If the SI field in the PHY-frame header is not equal to zero, a second initialization shall be performed for payload data, immediately after the last bit of the header is read from the scrambler and before the first bit of the payload is read from the scrambler. For the second initialization, the first four bits of the LFSR (C₁ to C₄) shall be set to the value of SI (scrambler initialization), while all other bits C₅ to C₂₃ shall be initialized to 1. The value of SI = C₄C₃C₂C₁ is communicated in the header as described in clause 7.1.2.3.

The first bit to be scrambled shall be XOR'ed with the first bit generated by the LFSR after initialization (i.e., C₁₈ ⊕ C₂₃ of the initialization vector). See Annex G for examples.

The special value 0₁₆ for SI indicates that the scrambler is not re-initialized between the header and payload. The initialization of the SI field to values other than the special value is optional.

NOTE – The method for generating SI values is beyond the scope of this Recommendation.

7.1.3.2 FEC encoding

The FEC encoding scheme is shown in Figure 7-7. The scheme consists of a systematic QC-LDPC-BC encoder and a puncturing mechanism. The parameters of the FEC encoding scheme are:

- the number of incoming information bits, K (information block of bits);
- the number of coded bits, N_M (coded block of bits);
- the number of parity-check bits, $N_M - K$;
- the number of output bits, $N_{FEC} \leq N_M$, (FEC codeword, whose size depends on the puncturing pattern);
- the mother code rate, $R_M = K/N_M$, defined as the code rate before puncturing;
- the code rate, $R = K/N_{FEC}$, defined as the code rate after puncturing.

The information block size shall be one of the values specified in Table 7-56.

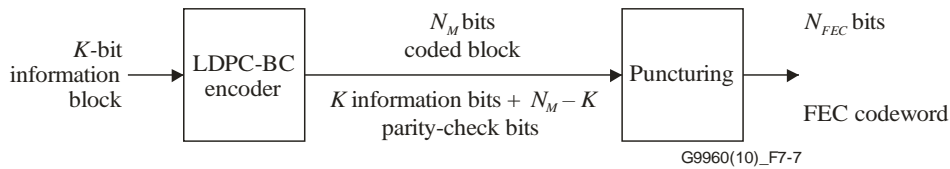


Figure 7-7 – FEC encoder

The encoder shall support mother codes with rates $R_M = 1/2$, $R_M = 2/3$ and $R_M = 5/6$. From these mother codes, codes with higher code rates shall be obtained through puncturing, as described in clause 7.1.3.2.2. The puncturing block shall support patterns providing all code rates presented in Table 7-56.

The codeword at the output of the puncturing block is of size $N_{FEC} \leq N_M$. The bits shall be output in the ascending order of codeword indices determined by vector \mathbf{v}' (see clause 7.1.3.2.2); with this order the first information bit input to the encoder will be the first at the output of the puncturing.

7.1.3.2.1 LDPC-BC encoder

The code rate of the mother code, $R_M = K/N_M$, is determined by a $(N_M - K) \times N_M$ size parity-check matrix \mathbf{H} composed by an array of $c \times t$ circulant $b \times b$ sub-matrices $\mathbf{A}_{i,j}$:

$$\mathbf{H} = \begin{bmatrix} \mathbf{A}_{1,1} & \mathbf{A}_{1,2} & \cdots & \mathbf{A}_{1,t} \\ \mathbf{A}_{2,1} & \mathbf{A}_{2,2} & \cdots & \mathbf{A}_{2,t} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{A}_{c,1} & \mathbf{A}_{c,2} & \cdots & \mathbf{A}_{c,t} \end{bmatrix}$$

The parameters c, t ($0 < c \leq t$) imply a rate $R_M = (t - c)/t$. By selecting different sets of c, t , different rates can be obtained.

The sub-matrices $\mathbf{A}_{i,j}$ are either a rotated identity or a zero matrix and have a size of $b \times b$, where parameter $b = N_M/t$ is called the expansion factor of \mathbf{H} and controls the code block size, N_M .

The parity-check matrix, **H**, is described in its compact form:

$$\mathbf{H}_c = \begin{bmatrix} a_{1,1} & a_{1,2} & \cdots & a_{1,t} \\ a_{2,1} & a_{2,2} & \cdots & a_{2,t} \\ \vdots & \vdots & \ddots & \vdots \\ a_{c,1} & a_{c,2} & \cdots & a_{c,t} \end{bmatrix}$$

A zero sub-matrix in position (i,j) is labelled with $a_{i,j} = -1$, and a rotated identity sub-matrix is labelled with a positive integer number $a_{i,j}$ defining the number of right column shifts of the identity matrix.

This Recommendation defines one matrix for each mother code rate and block size.

The compact form \mathbf{H}_c of parity-check matrix $(1/2)_H$ corresponding to mother code with rate $R_M = 1/2$ ($t = 24, c = 12$) and number of coded bits $N_M = 336$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| -1 | -1 | -1 | 6 | -1 | -1 | 9 | 6 | -1 | -1 | 2 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | 0 | -1 | -1 | -1 | 3 | -1 | 12 | 1 | -1 | -1 | 3 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | 9 | 11 | -1 | -1 | 13 | -1 | -1 | 2 | 12 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| 1 | -1 | -1 | 11 | -1 | -1 | 7 | -1 | -1 | -1 | 11 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | 4 | 8 | -1 | -1 | -1 | -1 | -1 | 2 | 5 | 4 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 |
| -1 | 3 | 0 | -1 | -1 | 8 | -1 | -1 | 1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | |
| -1 | -1 | -1 | 0 | 6 | -1 | -1 | -1 | -1 | 5 | 13 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | |
| -1 | -1 | -1 | 9 | -1 | -1 | -1 | 3 | -1 | -1 | 3 | 1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | |
| 9 | 0 | 13 | -1 | -1 | 12 | -1 | -1 | 8 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | |
| -1 | 5 | -1 | -1 | 1 | 4 | -1 | -1 | 5 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | |
| -1 | -1 | -1 | 8 | -1 | -1 | 8 | -1 | -1 | 9 | 0 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | |
| 10 | 11 | -1 | -1 | -1 | 3 | -1 | -1 | 0 | -1 | -1 | -1 | 4 | 8 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | |

The compact form \mathbf{H}_c of parity-check matrix $(1/2)_S$ corresponding to mother code with rate $R_M = 1/2$ ($t = 24, c = 12$) and number of coded bits $N_M = 1920$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 27 | -1 | -1 | -1 | 55 | 19 | -1 | 30 | -1 | -1 | -1 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | 0 | -1 | 1 | -1 | 70 | -1 | 47 | -1 | 62 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | 41 | -1 | -1 | -1 | 44 | -1 | -1 | 59 | 60 | 25 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| 16 | 77 | -1 | -1 | -1 | 5 | -1 | 48 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | 45 | -1 | 27 | -1 | 46 | 19 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | 63 | -1 | -1 | -1 | 55 | -1 | -1 | -1 | 48 | 26 | 10 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | 42 | -1 | 21 | -1 | 58 | -1 | 41 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | -1 | 78 | 0 | -1 | 7 | 52 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 |
| -1 | 29 | 9 | -1 | -1 | -1 | 37 | -1 | -1 | -1 | 35 | 21 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| -1 | -1 | 22 | 72 | -1 | -1 | 47 | -1 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 |
| 35 | -1 | -1 | -1 | -1 | 13 | -1 | 35 | -1 | 70 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 |
| -1 | 46 | 28 | -1 | -1 | -1 | 38 | -1 | -1 | -1 | 8 | -1 | 10 | 58 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 |

The compact form \mathbf{H}_c of parity-check matrix $(1/2)_L$ corresponding to mother code with rate $R_M = 1/2$ ($t = 24, c = 12$) and number of coded bits $N_M = 8640$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|-----|----|----|----|----|----|----|----|----|----|
| -1 | 34 | -1 | 95 | -1 | 279 | -1 | -1 | -1 | -1 | 248 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | 0 | -1 | 0 | -1 | -1 | -1 | -1 | 134 | 356 | 275 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| 51 | -1 | 27 | -1 | -1 | -1 | -1 | -1 | 22 | 152 | -1 | 57 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | 124 | -1 | 290 | -1 | 281 | 15 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | 340 | -1 | 99 | 336 | -1 | -1 | 1 | -1 | -1 | -1 | -1 | 33 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 |
| 163 | -1 | 46 | -1 | -1 | -1 | -1 | -1 | -1 | 306 | -1 | 86 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | 185 | -1 | 24 | -1 | -1 | -1 | 94 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | 223 | -1 | 225 | 325 | -1 | -1 | -1 | -1 | -1 | 297 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 |
| 46 | -1 | 314 | -1 | -1 | -1 | 59 | -1 | -1 | 67 | -1 | 120 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| -1 | -1 | 121 | -1 | -1 | -1 | -1 | 161 | -1 | 303 | -1 | 264 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 |
| -1 | 303 | -1 | 8 | -1 | 185 | -1 | -1 | 138 | -1 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 |
| -1 | -1 | 312 | -1 | -1 | -1 | 100 | -1 | -1 | 144 | -1 | 307 | 33 | 166 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 |

The compact form H_c of parity-check matrix $(2/3)_S$ corresponding to mother code with rate $R_M = 2/3$ ($t = 24, c = 8$) and number of coded bits $N_M = 1440$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 49 | -1 | -1 | 21 | 31 | -1 | 57 | -1 | -1 | 19 | -1 | 29 | 2 | -1 | 19 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 |
| -1 | 7 | 22 | -1 | -1 | 37 | -1 | 32 | 10 | -1 | 26 | -1 | -1 | 59 | -1 | 48 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| 53 | -1 | -1 | 20 | 50 | -1 | -1 | 3 | 16 | -1 | 49 | -1 | -1 | 28 | 14 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 |
| -1 | 58 | 23 | -1 | -1 | 15 | 54 | -1 | -1 | 5 | -1 | 18 | 49 | -1 | -1 | 13 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| 55 | -1 | -1 | 58 | -1 | 9 | -1 | 26 | 57 | -1 | 41 | -1 | 31 | -1 | 21 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| -1 | 10 | 49 | -1 | 59 | -1 | 7 | -1 | -1 | 30 | -1 | 18 | -1 | 48 | -1 | 7 | 59 | -1 | -1 | -1 | -1 | 0 | 0 |
| 48 | -1 | -1 | 50 | 18 | -1 | -1 | 11 | 52 | -1 | 59 | -1 | -1 | 37 | -1 | 10 | 0 | -1 | -1 | -1 | -1 | -1 | 0 |
| -1 | 24 | 16 | -1 | -1 | 0 | 53 | -1 | -1 | 41 | -1 | 38 | 51 | -1 | 58 | -1 | 59 | 8 | -1 | -1 | -1 | -1 | 0 |

The compact form H_c of parity-check matrix $(2/3)_L$ corresponding to mother code with rate $R_M = 2/3$ ($t = 24, c = 8$) and number of coded bits $N_M = 6480$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|-----|----|----|----|----|----|
| 78 | -1 | -1 | 167 | 237 | -1 | 3 | -1 | 266 | -1 | -1 | 102 | 153 | -1 | -1 | 212 | -1 | 0 | -1 | -1 | -1 | -1 | -1 |
| -1 | 83 | 189 | -1 | -1 | 68 | -1 | 178 | -1 | 90 | 205 | -1 | -1 | 13 | 4 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | 226 | 147 | -1 | 46 | -1 | -1 | 76 | -1 | 116 | -1 | 211 | -1 | 112 | -1 | 118 | -1 | -1 | 0 | 0 | -1 | -1 | -1 |
| 92 | -1 | -1 | 214 | -1 | 236 | 241 | -1 | 157 | -1 | 143 | -1 | 214 | -1 | 207 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| 144 | -1 | -1 | 258 | 264 | -1 | 53 | -1 | 114 | -1 | 172 | -1 | -1 | 82 | 262 | -1 | 62 | -1 | -1 | -1 | 0 | 0 | -1 |
| -1 | 153 | 120 | -1 | -1 | 199 | -1 | 126 | -1 | 61 | -1 | 183 | 15 | -1 | -1 | 134 | -1 | -1 | -1 | -1 | -1 | 0 | -1 |
| -1 | 100 | -1 | 141 | -1 | 36 | -1 | 17 | -1 | 156 | -1 | 124 | 162 | -1 | -1 | 57 | 0 | -1 | -1 | -1 | -1 | -1 | 0 |
| 196 | -1 | 187 | -1 | 73 | -1 | 80 | -1 | 139 | -1 | 57 | -1 | -1 | 236 | 267 | -1 | 62 | 256 | -1 | -1 | -1 | -1 | -1 |

The compact form H_c of parity-check matrix $(5/6)_S$ corresponding to mother code with rate $R_M = 5/6$ ($t = 24, c = 4$) and number of coded bits $N_M = 1152$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| -1 | 13 | 32 | 47 | 41 | 24 | -1 | 25 | 22 | 40 | 1 | 31 | 8 | 15 | 20 | 15 | 42 | 30 | 13 | 3 | -1 | 0 | -1 | -1 |
| 25 | 46 | 15 | 43 | 45 | 29 | 39 | 47 | 23 | 38 | 39 | 12 | -1 | 21 | -1 | 38 | 33 | 0 | 0 | -1 | 39 | 0 | 0 | -1 |
| 35 | 45 | 45 | 38 | 14 | 16 | 6 | 11 | -1 | 18 | 7 | 41 | 35 | 17 | 32 | 45 | 41 | -1 | 18 | 17 | 0 | -1 | 0 | 0 |
| 9 | 32 | 6 | 22 | 26 | 31 | 9 | 8 | 22 | 32 | 40 | 4 | 18 | 40 | 36 | -1 | -1 | 23 | 31 | 41 | 39 | 20 | -1 | 0 |

The compact form H_c of parity-check matrix $(5/6)_L$ corresponding to mother code with rate $R_M = 5/6$ ($t = 24, c = 4$) and number of coded bits $N_M = 5184$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|----|----|
| -1 | 47 | 146 | 203 | 184 | 112 | -1 | 116 | 103 | 181 | 3 | 140 | 38 | 68 | 91 | 70 | 191 | 138 | 62 | 14 | -1 | 0 | -1 | -1 |
| 117 | 203 | 67 | 194 | 206 | 133 | 174 | 212 | 104 | 171 | 176 | 56 | -1 | 96 | -1 | 167 | 149 | 4 | 1 | -1 | 177 | 0 | 0 | -1 |
| 153 | 206 | 198 | 173 | 55 | 72 | 28 | 53 | -1 | 82 | 34 | 186 | 161 | 80 | 144 | 204 | 187 | -1 | 84 | 77 | 0 | -1 | 0 | 0 |
| 44 | 147 | 27 | 83 | 118 | 130 | 41 | 38 | 100 | 146 | 183 | 19 | 85 | 180 | 163 | -1 | -1 | 106 | 140 | 185 | 177 | 94 | -1 | 0 |

7.1.3.2.1.1 Encoding operation

The encoder shall support the coded block sizes and rates presented in Table 7-56. The parity-check matrix \mathbf{H} used to encode a block of information bits is selected according to the mother code indicated in Table 7-56.

The encoding process shall be as follows:

- 1) A group of incoming K information bits $\mathbf{u} = [u_0, u_1, \dots, u_{K-1}]$ are collected and copied to the output of the encoder to form a block of systematic code bits.
- 2) $N_M - K$ parity-check bits, $\mathbf{p} = [p_0, \dots, p_{N_M - K - 1}]$, are computed using the parity-check matrix \mathbf{H} and the information block \mathbf{u} . The resulting coded block $\mathbf{v} = [\mathbf{u} | \mathbf{p}]$ shall satisfy the parity check equations $\mathbf{v}\mathbf{H}^T = \mathbf{0}$. Here $\mathbf{0}$ is a zero row vector of dimension $N_M - K$.
- 3) The $N_M - K$ parity check bits \mathbf{p} are copied to the output of the encoder as a block of parity-check bits $\mathbf{p} = [p_0, \dots, p_{N_M - K - 1}]$ to form the output coded block $\mathbf{v} = [\mathbf{u} | \mathbf{p}] = [v_0, v_1, \dots, v_{N_M - 1}]$.

4) The output of the encoder \mathbf{v} is the input to the puncturing block (see Figure 7-7).

NOTE – One method of encoding is to determine a systematic generator matrix \mathbf{G} from \mathbf{H} such that $\mathbf{GH}^T = \mathbf{0}$. A K -bit information block $\mathbf{u} = [u_0, u_1, \dots, u_{K-1}]$ can be encoded by the systematic generator matrix \mathbf{G} via the operation $\mathbf{v} = \mathbf{uG}$ to become a N_M -bit coded block $\mathbf{v} = [v_0, v_1, \dots, v_{N_M-1}] = [\mathbf{u} | \mathbf{p}]$, where $\mathbf{p} = [p_0, \dots, p_{N_M-K-1}]$ are the parity-check bits. Encoding an LDPC code from \mathbf{G} can be quite complex. However, the QC-LDPC-BC codes specified here are such that very low complexity encoding directly from \mathbf{H} is possible.

7.1.3.2.2 Puncturing

Puncturing shall discard some of the coded block bits to achieve a higher code rate (R). Puncturing is applied to both information and parity-check bits. The puncturing block uses the puncturing patterns specified in Table 7-55. The puncturing patterns are denoted as $\mathbf{pp}_T^{(i)}$, where T is the length of the puncturing pattern and i is the number of zeros in the pattern.

Table 7-55 – Puncturing patterns

| | Puncturing pattern |
|------------------------------|---|
| $\mathbf{pp}_{16}^{(0)}$ | [1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1] |
| $\mathbf{pp}_{1152}^{(72)}$ | [$\underbrace{1 \ 1 \ \dots \ 1}_{720}$ $\underbrace{0 \ 0 \ \dots \ 0}_{36}$ $\underbrace{1 \ 1 \ \dots \ 1}_{360}$ $\underbrace{0 \ 0 \ \dots \ 0}_{36}$] |
| $\mathbf{pp}_{5184}^{(324)}$ | [$\underbrace{1 \ 1 \ \dots \ 1}_{3240}$ $\underbrace{0 \ 0 \ \dots \ 0}_{162}$ $\underbrace{1 \ 1 \ \dots \ 1}_{972}$ $\underbrace{0 \ 0 \ \dots \ 0}_{162}$ $\underbrace{1 \ 1 \ \dots \ 1}_{648}$] |
| $\mathbf{pp}_{1152}^{(144)}$ | [$\underbrace{1 \ 1 \ \dots \ 1}_{720}$ $\underbrace{0 \ 0 \ \dots \ 0}_{48}$ $\underbrace{1 \ 1 \ \dots \ 1}_{240}$ $\underbrace{0 \ 0 \ \dots \ 0}_{96}$ $\underbrace{1 \ 1 \ \dots \ 1}_{48}$] |
| $\mathbf{pp}_{5184}^{(648)}$ | [$\underbrace{0 \ 0 \ \dots \ 0}_{216}$ $\underbrace{1 \ 1 \ \dots \ 1}_{4320}$ $\underbrace{0 \ 0 \ \dots \ 0}_{432}$ $\underbrace{1 \ 1 \ \dots \ 1}_{216}$] |

NOTE – The pattern $\mathbf{pp}_{16}^{(0)}$ does not result in any code rate changes and is introduced to be consistent with the puncturing notation.

The coded block \mathbf{v} input to the puncturing block shall be processed using the puncturing pattern $\mathbf{pp}_T^{(i)}$ as follows:

For the pattern $\mathbf{pp}_T^{(i)} = [pp_0^{(i)}, \dots, pp_{T-1}^{(i)}]$, the puncturing block shall omit all incoming coded bits $v_t, t=0, \dots, N_M-1$ for which $pp_{t \bmod T}^{(i)} = 0$. Hence, the resulting output FEC codeword will be $\mathbf{v}' = [v'_0, v'_1, \dots, v'_{N_{FEC}-1}]$ with $N_{FEC} \leq N_M$.

7.1.3.2.3 FEC encoding parameters

The FEC encoding scheme shall support the encoding parameters specified in Table 7-56.

Table 7-56 – FEC encoding parameters

| | Code rate, R | Information block size, K | Puncturing pattern, pp | Mother code matrix | FEC codeword size, N_{FEC} |
|-------------|----------------|-----------------------------|------------------------------|--------------------|------------------------------|
| For header | 1/2 | PHY _H = 168 | $\mathbf{pp}_{16}^{(0)}$ | (1/2) _H | 336 |
| For payload | 1/2 | 960 | $\mathbf{pp}_{16}^{(0)}$ | (1/2) _S | 1920 |
| | 1/2 | 4320 | $\mathbf{pp}_{16}^{(0)}$ | (1/2) _L | 8640 |
| | 2/3 | 960 | $\mathbf{pp}_{16}^{(0)}$ | (2/3) _S | 1440 |
| | 2/3 | 4320 | $\mathbf{pp}_{16}^{(0)}$ | (2/3) _L | 6480 |
| | 5/6 | 960 | $\mathbf{pp}_{16}^{(0)}$ | (5/6) _S | 1152 |
| | 5/6 | 4320 | $\mathbf{pp}_{16}^{(0)}$ | (5/6) _L | 5184 |
| | 16/18 | 960 | $\mathbf{pp}_{1152}^{(72)}$ | (5/6) _S | 1080 |
| | 16/18 | 4320 | $\mathbf{pp}_{5184}^{(324)}$ | (5/6) _L | 4860 |
| | 20/21 | 960 | $\mathbf{pp}_{1152}^{(144)}$ | (5/6) _S | 1008 |
| | 20/21 | 4320 | $\mathbf{pp}_{5184}^{(648)}$ | (5/6) _L | 4536 |

7.1.3.3 Payload encoding

The functional model of the payload encoder is presented in Figure 7-8. It contains an FEC encoder and a payload repetition encoder (PRE) to support robust communication mode (RCM).

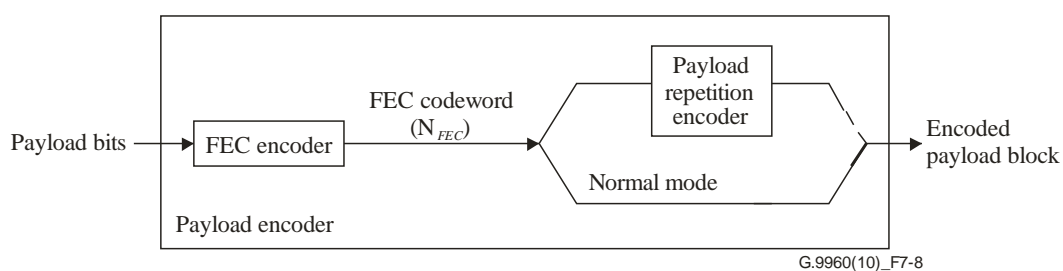


Figure 7-8 – Functional diagram of the payload encoder (set to normal mode)

The incoming PHY-frame payload shall be divided into sequential blocks of information bits, K bits per block. Each block of information bits shall be encoded by the FEC, as described in clause 7.1.3.2. The valid values of K , the coded block size N_{FEC} , and the coding rate R , are presented in Table 7-56. The bits of each information block shall be in the same order as they are in the payload; the payload bit to be transmitted first shall be the first in the corresponding information block.

In normal mode of operation, indicated by REP = 001 in the PHY-frame header, PRE is disabled. The FEC codewords shall be passed directly to the output of the payload encoder and concatenated into the encoded payload block; their order shall be the same as the order of corresponding information blocks at the input of the payload encoder.

In the case of RCM, each FEC codeword is further encoded by the PRE, as described in clause 7.1.3.3.1. The PRE-encoded FEC codewords are concatenated into the encoded payload block as defined in clause 7.1.3.3.1.

7.1.3.3.1 Payload repetition encoding

Payload repetition encoder (PRE) shall support the number of repetitions N_{REP} specified in Table 7-8. The used number of repetitions shall be advertised in the REP field in the PHY-frame header.

The PRE shall operate as follows. Each incoming FEC codeword shall be first copied N_{REP} times. Each copy shall be divided into S sections, numbered from 0 to $S-1$, with B bits in each section, as follows:

- Bits of the FEC codeword shall be mapped into sections in ascending sequential order; the bit of the FEC codeword to be transmitted first shall be the first bit (b_0) of Section 0.
- If after all bits of the FEC codeword are mapped, the last q bit positions of the last section remain empty, these positions shall be filled by the first q bits of Section 0 in ascending sequential order.

Mapping of an FEC codeword on to sections is shown in Figure 7-9.

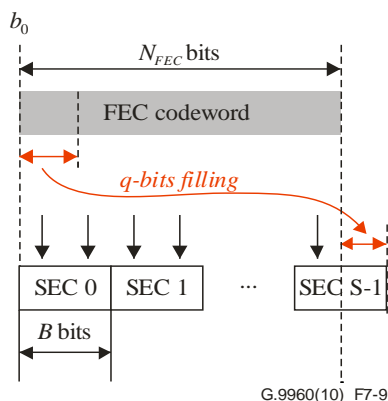


Figure 7-9 – Mapping of an FEC codeword on to sections

If $\text{floor}(k_P/N_{REP})$ is divisible by 4, the number of bits per section shall be set to $B = \text{floor}(k_P/N_{REP}) - 1$; otherwise, it shall be set to $B = \text{floor}(k_P/N_{REP})$, where k_P is the total number of bits that can be loaded on to the payload OFDM symbol according to the current BAT. The number of sections per FEC codeword is: $S = \text{ceiling}(N_{FEC}/B)$.

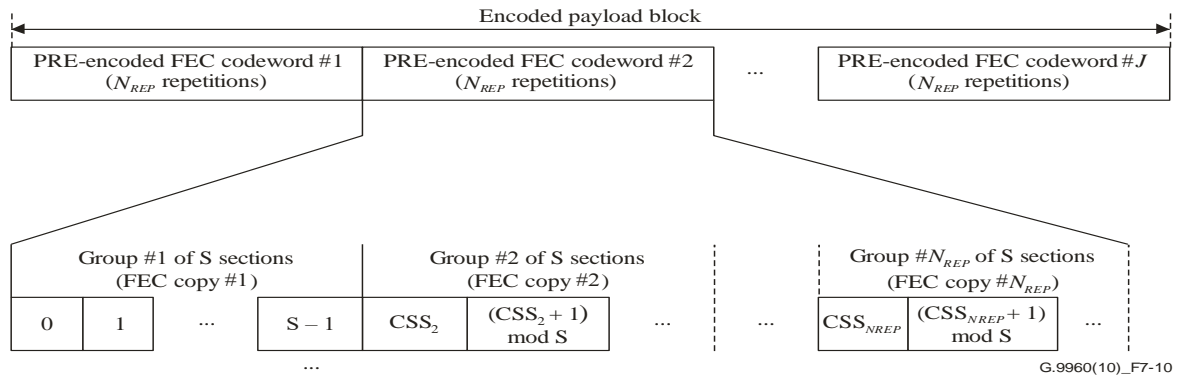
If the computed value of S is 1, H consecutive FEC codewords may be concatenated. The number of sections in this case shall be: $S = \text{ceil}(H \times N_{FEC}/B)$, where H is selected to provide $S > 1$ for the given values of N_{FEC} , N_{REP} and k_P . Concatenation of codewords may only be applied when an FEC information block size of 960 is used. The total size of the concatenated codewords shall not exceed the maximum FEC codeword size.

PRE parameters N_{REP} and H shall be selected such that $q < H \times N_{FEC}$.

If the number of FEC codewords in the payload is not a multiple of H , the necessary $z < H$ dummy FEC codewords shall be added. These dummy codewords shall be copies of the last FEC codeword of the same payload. The values of H (1, 2 and 4) and z (0 to $H-1$) are indicated in the FCF field of the PHY-frame header (see Table 7-9).

The PRE shall output sections sequentially, in groups of S sections. Each group carries a copy of the FEC codeword. The number of groups per each FEC codeword is N_{REP} . The order of bits in each section shall be the same as these bits appear in the incoming FEC codeword.

The format of the encoded payload block with PRE enabled is presented in Figure 7-10. The total number of sections in the encoded payload block is $N_{REP} \times S$.



**Figure 7-10 – The format of the encoded payload block
(payload consists of J FEC codewords)**

The order of sections in the first group shall be ascending, from 0 to $S-1$; the order of sections in all subsequent groups shall be cyclically shifted. The shift is defined by the cyclic section shift (CSS) vector $\{0 \text{ CSS}_2 \text{ CSS}_3 \dots \text{CSS}_{N_{REP}}\}$ with a length of N_{REP} , where CSS_L is the sequential number of the section to be transmitted first in the L th group of sections. The value of CSS shall be computed using the following rule:

For $N_{REP} = 2$:

if $(S \bmod 2) = 0$ $\text{CSS} := \{0, 1\}$;
else $\text{CSS} := \{0, 0\}$;

For $N_{REP} = 4$:

if $(S \bmod 4) = 0$ $\text{CSS} := \{0, 1, 2, 3\}$;
else if $(S \bmod 2) = 0$ $\text{CSS} := \{0, 0, 1, 1\}$;
else $\text{CSS} := \{0, 0, 0, 0\}$;

For $N_{REP} = 3$:

if $(S \bmod 3) = 0$ $\text{CSS} := \{0, 1, 2\}$;
else $\text{CSS} := \{0, 0, 0\}$;

For $N_{REP} = 6$:

if $(S \bmod 6) = 0$ $\text{CSS} := \{0, 1, 2, 3, 4, 5\}$;
else if $(S \bmod 3) = 0$ $\text{CSS} := \{0, 0, 1, 1, 2, 2\}$;
else if $(S \bmod 2) = 0$ $\text{CSS} := \{0, 0, 0, 1, 1, 1\}$;
else $\text{CSS} := \{0, 0, 0, 0, 0, 0\}$;

For $N_{REP} = 8$:

if $(S \bmod 8) = 0$ $\text{CSS} := \{0, 1, 2, 3, 4, 5, 6, 7\}$;
else if $(S \bmod 4) = 0$ $\text{CSS} := \{0, 0, 1, 1, 2, 2, 3, 3\}$;
else if $(S \bmod 2) = 0$ $\text{CSS} := \{0, 0, 0, 0, 1, 1, 1, 1\}$;
else $\text{CSS} := \{0, 0, 0, 0, 0, 0, 0, 0\}$;

NOTE – As an example, with $\text{CSS} = 3_L$ for a group of $S = 4$ sections, these sections will be transmitted in the following order: 3, 0, 1, 2. The first group of sections, for comparison, is transmitted: 0, 1, 2, 3.

7.1.3.4 Header encoder

The functional model of the header encoder is presented in Figure 7-11. It contains an FEC encoder and a header repetition encoder (HRE).

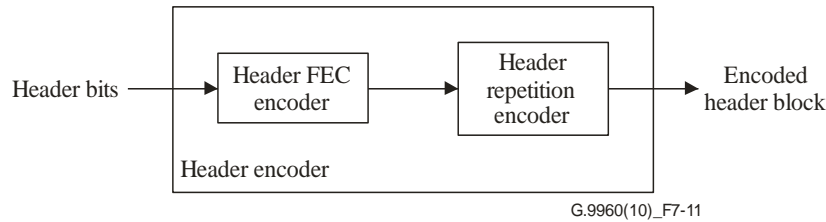


Figure 7-11 – Functional diagram of the header encoder

The bits of the PHY-frame header shall be input into the header FEC encoder in their original order and encoded as described in clause 7.1.3.2. The size of the FEC codeword and the coding rate of the header FEC encoder are described in Table 7-56.

The FEC codeword enters the HRE. The HRE shall operate as follows:

- The FEC codeword shall be first copied M times, where $M = \text{ceiling}(k_H/N_{FEC})$, k_H is the number of bits to be loaded on to the OFDM symbol carrying the header.
- The first encoded header block shall be formed by concatenation of M copies of the header FEC encoder output. The bits (b_i) within each codeword shall be cyclically shifted by 2 bits as follows:
 - The 1st FEC codeword copy shall be formed as $\{b_0, b_1, \dots, b_{N_{FEC}-2}, b_{N_{FEC}-1}\}$.
 - The 2nd FEC codeword copy shall be formed as $\{b_2, b_3, \dots, b_{N_{FEC}-1}, b_0, b_1\}$.
 - The 3rd FEC codeword copy shall be formed as $\{b_4, b_5, \dots, b_{N_{FEC}-1}, b_0, b_1, b_2, b_3\}$.
 - ...
 - The M th FEC codeword copy, where $M > 3$, shall be formed as $\{b_{(2 \times M - 2)}, b_{(2 \times M - 1)}, \dots, b_{N_{FEC}-1}, b_0, b_1, \dots, b_{(2 \times M - 4)}, b_{(2 \times M - 3)}\}$.
- The second encoded header block shall be formed by cyclic shifting of each copy by $N_{FEC}/2$ bits and concatenation of M copies of the shifted FEC codeword. The bits (b_i) within each codeword shall be cyclically shifted by 2 bits as follows:
 - The 1st FEC codeword copy shall be formed as $\{b_{N_{FEC}/2}, b_{N_{FEC}/2+1}, \dots, b_{N_{FEC}-2}, b_{N_{FEC}-1}, b_0, b_1, \dots, b_{N_{FEC}/2-2}, b_{N_{FEC}/2-1}\}$.
 - The 2nd FEC codeword copy shall be formed as $\{b_{N_{FEC}/2+2}, b_{N_{FEC}/2+3}, \dots, b_{N_{FEC}-2}, b_{N_{FEC}-1}, b_0, b_1, \dots, b_{N_{FEC}/2}, b_{N_{FEC}/2+1}\}$.
 - The 3rd FEC codeword copy shall be formed as $\{b_{N_{FEC}/2+4}, b_{N_{FEC}/2+5}, \dots, b_{N_{FEC}-2}, b_{N_{FEC}-1}, b_0, b_1, \dots, b_{N_{FEC}/2+2}, b_{N_{FEC}/2+3}\}$.
 - ...
 - The M th FEC codeword copy, where $M > 3$, shall be formed as $\{b_{N_{FEC}/2+(2 \times M - 2)}, b_{N_{FEC}/2+(2 \times M - 1)}, \dots, b_{N_{FEC}-1}, b_0, b_1, \dots, b_{N_{FEC}/2+(2 \times M - 4)}, b_{N_{FEC}/2+(2 \times M - 3)}\}$.

NOTE – Since the coding rate used for header encoding is 1/2, the number of bits in the FEC codeword is always even, and the number of bits in the encoded header block is even.

7.1.3.5 Segmentation into symbol frames

The encoded payload block from the output of payload encoder and the encoded header block from the output of the header encoder shall be segmented into symbol frames. The maximum number of bits in the symbol frame shall not exceed the values of k_P for payload symbol frames and k_H for header

symbol frames. Payload and header symbol frames shall be passed to the PMD, as described in Figure 7-5.

7.1.3.5.1 Payload segmentation

The encoded payload block shall be segmented into one or more symbol frames.

In normal mode, the first symbol frame shall contain the first k_P bits of the encoded payload block, the second frame shall contain the second k_P bits of the encoded payload block and so on, until the last symbol frame. If the number of bits in the last symbol frame is less than k_P , the unloaded supported subcarriers of the OFDM symbol for the last symbol frame shall be modulated by a pseudorandom sequence of bits, as described in clause 7.1.4.2.6. Payload segmentation is illustrated in Figure 7-12.

In RCM, the first symbol frame shall contain the first N_{REP} sections of the encoded payload block, the second frame shall contain the second N_{REP} sections of the encoded payload block, and so on, until the last symbol frame. If the number of bits in N_{REP} sections is less than k_P , the unloaded supported subcarriers of the corresponding OFDM symbols shall be modulated by a pseudorandom sequence of bits, as described in clause 7.1.4.2.6.

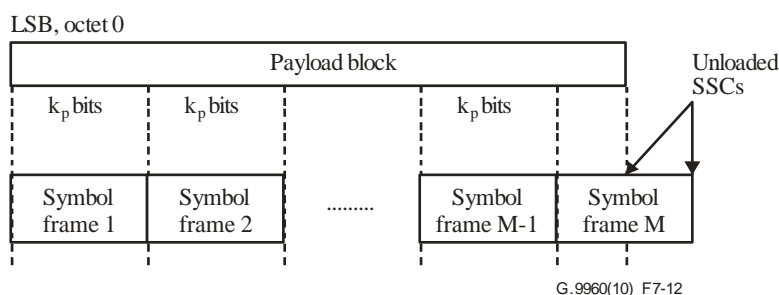


Figure 7-12 – Payload segmentation

7.1.3.5.2 Header segmentation

The encoded header block shall be segmented into D symbol frames (valid values of D are 1 and 2). Selection of the value of D is determined by the domain master (see clause 8.6.7 of [ITU-T G.9961]), indicated in the PHY-frame header (see clause 7.1.2.3.1.8) and in the TXOP descriptor (see Table 8-65 in [ITU-T G.9961]).

The first k_H bits of the first encoded header block shall be mapped into the first symbol frame of the header, so that b_0 is transmitted first.

If $D = 2$, the first k_H bits of the second encoded header block shall be mapped into the second symbol frame of the header, so that $b_{N_{FEC}/2}$ is transmitted first.

The rest of the bits of the first and the second encoded header blocks shall be discarded.

Header segmentation is illustrated in Figure 7-13.

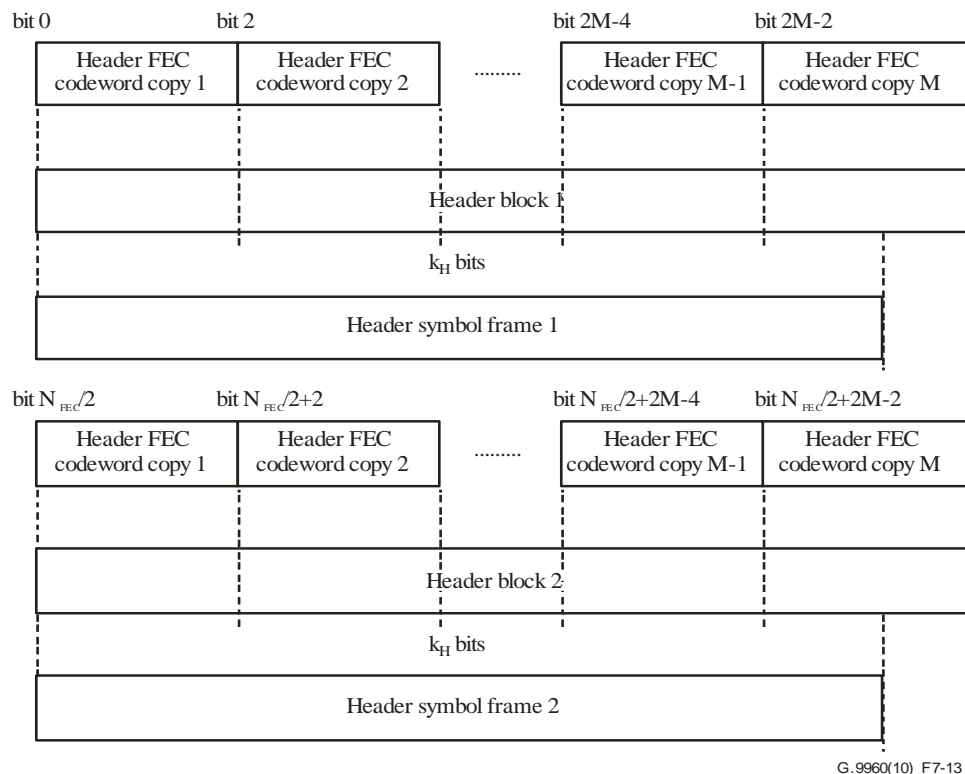


Figure 7-13 – Header segmentation

7.1.3.6 PROBE frame

The PROBE frame is intended for the channel estimation procedure. The header of the PROBE frame shall be as defined in clause 7.1.2.3 and its subclauses. The payload of the PROBE frame contains a number of probe symbols, i.e., symbol frames with no data, which can be of two types, as specified in clause 7.1.4.2.5.3:

- Silent probe symbols, for which all subcarriers are considered MSCs (masked subcarriers).
- Channel estimation probe symbols, for which all supported subcarriers (SSCs) are considered inactive subcarriers (ISCs) and are modulated by a pseudorandom sequence.

The number of probe symbols in each frame shall be indicated via the PRBSYM field in clause 7.1.2.3.2.7.1.3.

Two probe frame types are specified and identified by the PRBTYPE field specified in clause 7.1.2.3.2.7.1.2 (Table 7-40):

- The "Silent PROBE frame" (PRBTYPE 0000₂). The payload of this frame type is composed of silent symbols.
- The "Channel estimation PROBE frame" (PRBTYPE 0001₂). The payload of this frame type is composed of channel estimation probe symbols.

7.1.4 Physical medium dependent (PMD) sublayer

The functional model of the PMD is presented in Figure 7-14. In the transmit direction, the tone mapper divides the incoming symbol frames of the header and payload into groups of bits and associates each group of bits with a specific subcarrier on to which this group shall be loaded, as specified in clause 7.1.4.2. The constellation encoder converts each incoming group of bits into a complex number that represents the constellation point for this subcarrier. The constellation mapping process is described in clause 7.1.4.3.1. The unloaded supported subcarriers are modulated by a pseudorandom bit sequence generated as described in clause 7.1.4.2.6.

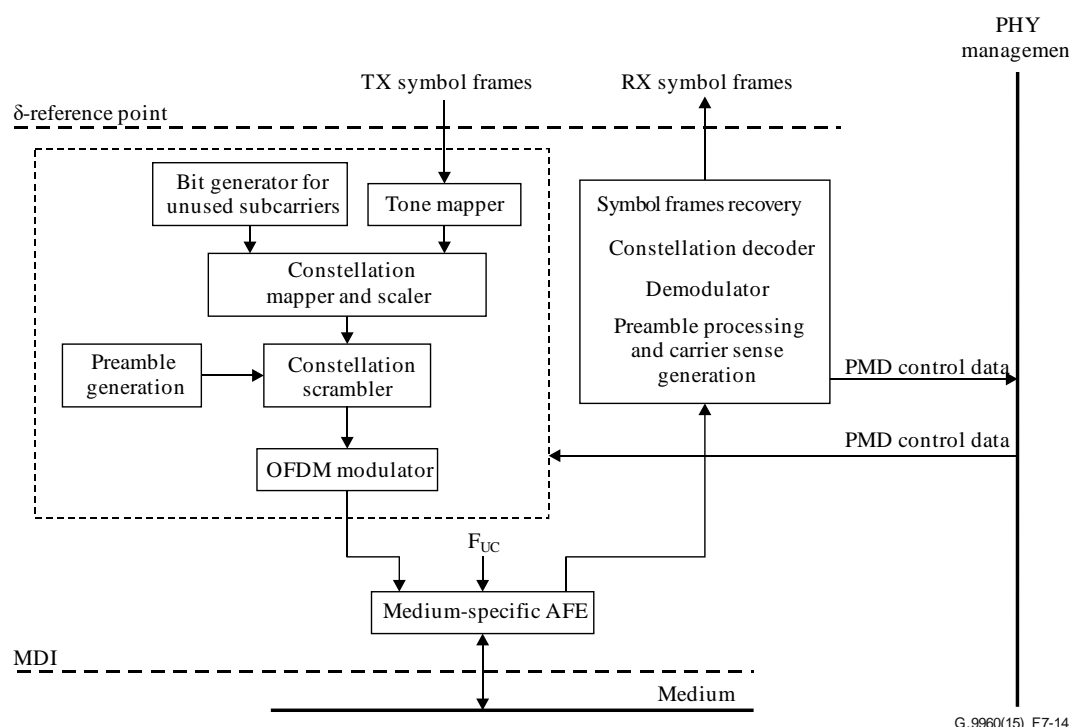


Figure 7-14 – Functional model of the PMD

The OFDM modulator (clause 7.1.4.4) converts the stream of the N complex numbers at its input into the stream of N complex valued time-domain samples. After adding the preamble, the transmit signal is up-shifted by F_{US} . For RF applications, the transmit signal is further up-converted by F_{UC} to fit the required spectrum of the transmit signal. The real part of the resultant signal is transmitted on to the medium. Parameters of the preamble (clause 7.1.4.5) are determined by the PHY management and depend on the type of the transmitted PHY frame.

Frames are output on to the medium with inter-frame gaps.

In the receive direction, the frames incoming from the medium are demodulated and decoded. The recovered symbol frames are transferred to the PMA via the δ -reference point. The preamble is processed and preamble data are passed to the PHY management entity.

7.1.4.1 Subcarrier spacing and indexing

The subcarrier spacing F_{SC} is the frequency spacing between any two adjacent subcarriers. Valid values of subcarrier spacing are presented in Table 7-67.

The physical index i corresponds to the order of subcarriers in ascending frequency. The subcarrier with physical index i shall be centred at frequency $f = F_{UC} + F_{US} - (N/2 - i) \times F_{SC}$. The index i goes from 0 to $N - 1$.

The logical index j indicates the order in which data is loaded on subcarriers. The index j goes from 0 to $N - 1$.

Two indexing rules that relate the physical index and the logical index are defined:

Rule #1: $i=j$, i.e., the subcarriers are loaded in order of ascending frequency.

The subcarrier with logical index j shall be centred at frequency $f = F_{UC} + F_{US} - (N/2 - j) \times F_{SC}$.

Rule #2: $i = N/2 + j/2$ for even values of j , $i = N/2 - (j + 1)/2$ for odd values of j .

The subcarriers with even logical indices from $j = 0$ to $j = N - 2$ shall be centred at frequencies $f = F_{UC} + F_{US} + (j/2) \times F_{SC}$ while those with odd logical indices from $j = 1$ to $j = N - 1$ shall be centred at frequencies $f = F_{UC} + F_{US} - ((j + 1)/2) \times F_{SC}$.

Logical indexing rules shall be applied in accordance with the domain type and the operational frequency band, as specified in Tables 6-1, 6-4 and 6-6 of [ITU-T G.9964]. Throughout the Recommendation, the term "subcarrier index" refers to the physical index, unless otherwise noted.

Not all subcarriers may always be used for data transmission; some of them have to be switched off in special circumstances. Others may be only used with reduced power. The latter functions are performed by subcarrier masking and gain scaling (see clauses 5.1 and 5.2 of [ITU-T G.9964]).

NOTE – The particular subcarriers used for data transmission between two particular nodes depend on channel characteristics, such as loop attenuation and noise, and on the specific spectrum-use requirements, such as notching of amateur radio bands; some subcarriers may be subject to PSD reduction, e.g., at high and low frequencies to share the medium with other services.

7.1.4.2 Tone mapper

The tone mapper divides the incoming symbol frames of the header and payload into groups of bits (according to the BATs and subcarrier grouping being used) and associates each group of bits with specific subcarriers on to which these groups shall be loaded. This information along with subcarrier-specific gain scaling values as described in clause 7.1.4.3.2.3 are passed to the constellation encoder.

7.1.4.2.1 Summary of subcarrier types

For the purpose of tone mapping, the following types of subcarriers are defined.

- 1) Masked subcarriers (MSCs) are those on which transmission is not allowed, i.e., the gain on this subcarrier shall be set to zero. Two types of MSC are defined:
 - Permanently masked subcarriers (PMSCs) – those that are never allowed for transmission. The list of PMSC forms a PMSC mask, which depends on the type of medium and is defined in clause 7.2. Data bits are never mapped on PMSC.
 - Regionally masked subcarriers (RMSCs) – those that are not allowed for data transmission in some regions, while may be allowed in other regions. The list of RMSC forms a RMSC mask, which depends on the type of media and on the region/application. The RMSC set consists of the subcarriers corresponding to subcarrier masks defined in SM descriptor and masked amateur radio bands defined in amateur radio band descriptor (see clause 8.8.5.5 of [ITU-T G.9961]). The number of RMSCs, $\#RMSC = \#MSC - \#PMSC$.
- 2) Supported subcarriers (SSCs) are those on which transmission is allowed under restrictions of the relevant PSD mask. The number of SSCs, $\#SSC = N - \#MSC$. The following types of SSC are defined:
 - Active subcarriers (ASCs) – those that have loaded bits ($b \geq 1$) for data transmission. ASCs are subject to constellation point mapping, constellation scaling and constellation scrambling as described in clause 7.1.4.3. Data bits shall be mapped on ASCs as described in clause 7.1.4.2.2.
 - Inactive subcarriers (ISCs) – those that do not have any data bits loaded (e.g., because SNR is low). The number of ISCs, $\#ISC = \#SSC - \#ASC$. ISCs can be used for measurement purposes or other auxiliary purposes. ISCs are subject to transmit power shaping. The signals transmitted on ISC are defined in clause 7.1.4.2.6.

7.1.4.2.2 Bit allocation tables (BATs)

Tone mapping is defined by a bit allocation table (BAT) that associates subcarrier indices with the number of bits to be loaded on the subcarrier.

The BATs used by the node in the particular PHY frame shall be indicated to the receiving node(s) in the BAT_ID field of the MSG PHY-frame type specific fields of the PHY-frame header, as described in clause 7.1.2.3.2.2.8. Up to 32 BATs with BAT_ID values in the range from 0 to 31 can be defined. One or more BAT_IDs can be assigned for each destination (per unicast or multicast DID, see clause 7.1.2.3.1.5). The assignment of BAT_IDs shall be as shown in Table 7-57.

Table 7-57 – Assignment of BAT_ID

| BAT_ID | Type of BAT | Reference |
|---------|---------------------------------------|--------------------|
| 0 | Predefined, Type 0 | Clause 7.1.4.2.2.1 |
| 1 | Predefined, Type 1 | |
| 2 | Predefined, Type 2 | |
| 3 | Predefined, Type 3 | |
| 4 to 7 | Reserved by ITU-T for predefined BATs | |
| 8 to 31 | Reserved by ITU-T for runtime BATs | Clause 7.1.4.2.2.2 |

Every node shall support at least predefined BATs of Type 0, Type 1, Type 2 and Type 3. Support of other BATs is profile-dependent.

7.1.4.2.2.1 Predefined BATs

The following predefined BATs are defined:

- 1) Predefined BAT Type 0: uniform 1-bit loading on all subcarriers except the PMSC set.
- 2) Predefined BAT Type 1: uniform 2-bit loading on all subcarriers except the PMSC set.
- 3) Predefined BAT Type 2: uniform 2-bit loading on all subcarriers except the PMSC and the RMSC sets (a complete SSC set).
- 4) Predefined BAT Type 3: uniform 1-bit loading on all subcarriers except the PMSC set and the RMSC sets (a complete SSC set).

NOTE – Predefined BAT Type 0, Type 1, Type 2, and Type 3 may be used when channel characteristics are unknown (i.e., no knowledge is available on whether particular subcarriers could be loaded with bits or not).

7.1.4.2.2.2 Runtime BATs

A runtime BAT associates indices of SSCs with the number of bits to be loaded on each subcarrier. The subset of indices in the BAT with the number of loaded bits $b > 0$ identifies the ASC. Runtime BAT can be defined by the receiving node (receiver-defined BAT) or selected by the transmitting node (transmitter-determined BAT) for a specific unicast or multicast channel. Runtime BATs shall be communicated from the node that generates the BAT to the peer (e.g., a node sourcing multicast transmission to several other nodes will communicate the BAT to all receiving nodes prior to sending data) (see clauses 8.11 and 8.16 of [\[ITU-T G.9961\]](#)).

The number of bits loaded on any subcarrier shall not exceed the maximum number of bits allowed (see clause 7.1.4.3). The number of bits shall also meet the bit loading capabilities of the communicating nodes, as advertised by them prior to communication.

7.1.4.2.3 Transmitter-determined and receiver-determined mapping

Two types of tone mapping are defined: transmitter-determined and receiver-determined. With transmitter-determined mapping, the BAT is defined by the transmitter and shall be either a predefined BAT or it shall be communicated to all destination nodes prior to transmission using the channel estimation protocol for unicast transmission (see clause 8.11 of [\[ITU-T G.9961\]](#)) and in addition using the multicast binding protocol for multicast transmission (see clause 8.16 of

[ITU-T G.9961]). With receiver-determined mapping, the BAT is defined by the receiver of the destination node and communicated to the transmitter using the channel estimation protocol.

For unicast transmission, the node shall use either one of the predefined BATs (transmitter-determined) or a BAT defined by the receiver of the destination node for the PHY frame. For multicast transmission both predefined BATs (transmitter-determined) and runtime BATs can be used. If a runtime BAT is used, it shall be defined by the node sourcing the multicast (transmitter-determined); this node shall generate the BAT and communicate it to all multicast destinations (see clauses 8.11 and 8.16 of [ITU-T G.9961]).

Both transmitter-determined and receiver-determined BATs may be defined that are valid for only specific portions of the MAC cycle. The portion of the MAC cycle for which a specific BAT is valid for is called a BAT region. In the case of receiver-determined BATs, the applicable BAT region(s) including the starting point and ending point of each of the BAT regions with respect to the MAC cycle are conveyed to the transmitter as a part of the channel estimation protocol.

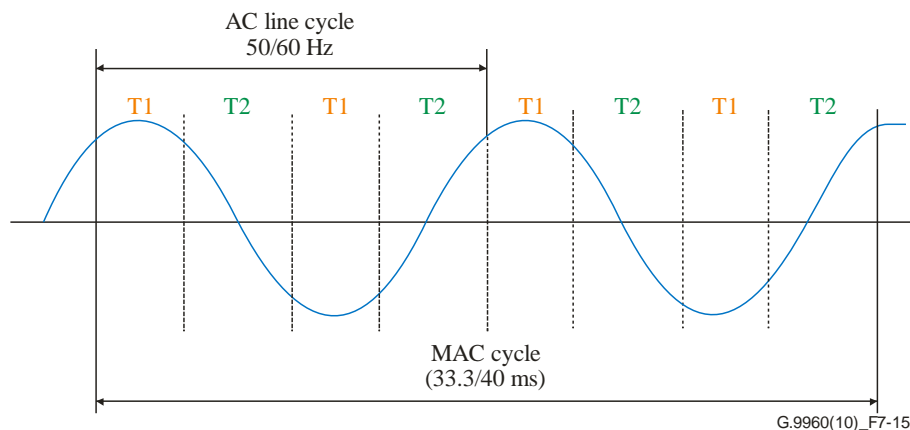


Figure 7-15 – An example of BAT regions in a MAC cycle for power line

Figure 7-15 illustrates multiple BAT regions for a power line. In this example, the BAT regions are periodic about half AC line cycle and it has two BATs. BAT T1 is used around the peaks of the AC line cycle and BAT T2 is used around the zero crossings of the AC line cycle. The receiver shall inform the transmitter about the starting point and the ending point of each of the BAT regions with respect to the MAC cycle as a part of the channel estimation protocol.

A node shall support both transmitter-determined and receiver-determined types of mapping, with the minimum number of simultaneously supported BATs depending on the profile.

7.1.4.2.4 BAT with subcarrier grouping

A node shall be capable of defining any runtime BAT using a subcarrier grouping of $G = 1$ (no grouping), 2, 4, 8, and 16 subcarriers with subsequent frequencies. The default value of $G = 1$. If grouping is used ($G > 1$), all subcarriers of the same group shall use the same bit loading. The first group shall include G subcarriers in ascending order of subcarrier indices defined in clause 7.1.4.1. If a group includes subcarriers that are masked (i.e., MSC) or extends beyond the applicable subcarrier set, the node shall apply the bit loading assigned for this group only to the applicable subcarrier set (i.e., SSC).

The group index G shall be indicated when the BAT is communicated (see clause 8.11 of [ITU-T G.9961]). Additional methods for BAT compression are for further study.

7.1.4.2.5 Special mappings

7.1.4.2.5.1 Tone mapping for PHY-frame header

The PHY-frame header shall use a uniform loading of two bits per subcarrier on all subcarriers except the PMSC set.

7.1.4.2.5.2 Tone mapping for RCM

Payload transmission in robust communication mode (RCM) shall use predefined BAT types with uniform two bits per subcarrier loading.

7.1.4.2.5.3 Tone mapping for the probe symbols

Two types of probe symbols are specified: silent symbols and channel estimation probe symbols. Tone mapping shall apply to these symbols according to the following:

- For silent symbols, all subcarriers shall be considered as MSCs (masked subcarriers).
- Channel estimation probe symbols shall be modulated using a uniform loading of two bits per subcarrier on all SSC sets. For these probe symbols, the ISC set shall be equal to the SSC set. All ISC subcarriers shall be modulated by a pseudorandom sequence of bits, as described in clause 7.1.4.2.6.

7.1.4.2.5.4 Tone mapping for ACE symbols

The ACE symbol shall be modulated using a uniform loading of two bits per subcarrier on all SSC sets. For the ACE, $ISC = SSC$. All ISC subcarriers shall be modulated by a pseudorandom sequence of bits, as described in clause 7.1.4.2.6.

7.1.4.2.6 Modulation of unloaded supported subcarriers

Supported subcarriers (SSCs) that are not loaded with encoded payload bits or that are partially loaded with encoded payload bits – that is, ISC and unloaded or partially loaded ASC (herein referred to as unloaded SSC) – shall be loaded with a pseudorandom sequence defined by the linear feedback shift register (LFSR) generator with the polynomial $p(x) = x^{23} + x^{18} + 1$ shown in Figure 7-16. The LFSR generator shall be initialized at the beginning of each OFDM symbol with a DM-generated seed received during registration of the node into the domain through the UnloadedSubcarrierInitialSeed field of the additional domain information auxiliary information field (see clause 8.8.5.15). The i -th payload symbol shall use the seed S_k where k is equal to $(i-1, \text{ modulo } 64) + 1$, where $i = 1, 2, 3, 4, \dots$. S_k is generated by advancing the LFSR by $8192 \cdot (k-1)$ from the original DM-generated seed. An example of LFSR seeds for an initial seed of $7FFFFFFF_{16}$ is provided in Table 7-58.

NOTE – Seeds S_1 to S_{64} are used to initialize the LFSR for payload symbols 1-64, 65-128 and so on. The LSB of the seed S_k corresponds to c_1 .

The DM-generated seed shall be chosen among the pool of allowed seeds described in Table 7-57.1, depending on the value of the DOD of the domain.

Table 7-57.1 – Pool of allowed DM-generated seeds for unloaded supported subcarriers LFSR generator

| DOD | Allowed seeds |
|-----|---|
| 0 | 7FFFFFF ₁₆ ; 003FE0 ₁₆ ; 7FC060 ₁₆ ; 7803F9 ₁₆ ; 0FF813 ₁₆ ; 7EFE80 ₁₆ ; 01FCFC ₁₆ ; 40202F ₁₆ ; 038638 ₁₆ |
| 1 | 7FFFFFF ₁₆ ; 7DC2E0 ₁₆ ; 70874D ₁₆ ; 401FB7 ₁₆ ; 61F327 ₁₆ ; 0F78B3 ₁₆ ; 3FDFD7 ₁₆ ; 0DC513 ₁₆ ; 1E73E7 ₁₆ |
| 2 | 7FFFFFF ₁₆ ; 1EB138 ₁₆ ; 731F9B ₁₆ ; 057B41 ₁₆ ; 4DE53C ₁₆ ; 7099A3 ₁₆ ; 0080A6 ₁₆ ; 07BC5A ₁₆ ; 0399C8 ₁₆ |
| 3 | 7FFFFFF ₁₆ ; 3027C4 ₁₆ ; 1F8F1B ₁₆ ; 30A762 ₁₆ ; 1D8A1F ₁₆ ; 6FB79B ₁₆ ; 6E3675 ₁₆ ; 78B9A1 ₁₆ ; 65F92E ₁₆ |
| 4 | 7FFFFFF ₁₆ ; 5EED10 ₁₆ ; 7F3F09 ₁₆ ; 16E6B5 ₁₆ ; 5FD0FF ₁₆ ; 1EB13C ₁₆ ; 6B8DD5 ₁₆ ; 7795D2 ₁₆ ; 3D222E ₁₆ |
| 5 | 7FFFFFF ₁₆ ; 0174B0 ₁₆ ; 79903D ₁₆ ; 604F7B ₁₆ ; 38638D ₁₆ ; 698A2D ₁₆ ; 7CE688 ₁₆ ; 50281F ₁₆ ; 48E4C4 ₁₆ |
| 6 | 7FFFFFF ₁₆ ; 7ABE59 ₁₆ ; 785321 ₁₆ ; 26D2B1 ₁₆ ; 0207F8 ₁₆ ; 0B6CAA ₁₆ ; 306764 ₁₆ ; 096B52 ₁₆ ; 12757B ₁₆ |
| 7 | 7FFFFFF ₁₆ ; 734122 ₁₆ ; 1D29EE ₁₆ ; 4D67BC ₁₆ ; 073961 ₁₆ ; 763502 ₁₆ ; 7C58CE ₁₆ ; 7B4816 ₁₆ ; 5E6F90 ₁₆ |
| 8 | 7FFFFFF ₁₆ ; 0AFC72 ₁₆ ; 198299 ₁₆ ; 5AABBE ₁₆ ; 1E8EDC ₁₆ ; 618E01 ₁₆ ; 6E289F ₁₆ ; 5B22F8 ₁₆ ; 416B07 ₁₆ |
| 9 | 7FFFFFF ₁₆ ; 771574 ₁₆ ; 779791 ₁₆ ; 5D54B7 ₁₆ ; 479BCE ₁₆ ; 1EBBF8 ₁₆ ; 09EBF4 ₁₆ ; 6926AD ₁₆ ; 3B5461 ₁₆ |
| 10 | 7FFFFFF ₁₆ ; 06764F ₁₆ ; 2EC96F ₁₆ ; 3BFA45 ₁₆ ; 316B09 ₁₆ ; 6876D1 ₁₆ ; 7FEF7B ₁₆ ; 0ABF31 ₁₆ ; 600E3B ₁₆ |
| 11 | 7FFFFFF ₁₆ ; 5295BF ₁₆ ; 3C064C ₁₆ ; 48FB34 ₁₆ ; 272E4D ₁₆ ; 32203C ₁₆ ; 478CF6 ₁₆ ; 7330FC ₁₆ ; 098416 ₁₆ |
| 12 | 7FFFFFF ₁₆ ; 40E0C4 ₁₆ ; 6A49F1 ₁₆ ; 620823 ₁₆ ; 44153E ₁₆ ; 3BD438 ₁₆ ; 0878EA ₁₆ ; 57EB86 ₁₆ ; 3DA277 ₁₆ |
| 13 | 7FFFFFF ₁₆ ; 12CF23 ₁₆ ; 730171 ₁₆ ; 164544 ₁₆ ; 1AB7C9 ₁₆ ; 74191A ₁₆ ; 33A4AA ₁₆ ; 68843A ₁₆ ; 3CC639 ₁₆ |
| 14 | 7FFFFFF ₁₆ ; 1A6FB3 ₁₆ ; 068AF6 ₁₆ ; 79DC09 ₁₆ ; 2E8D44 ₁₆ ; 0733A1 ₁₆ ; 24E0D0 ₁₆ ; 3F4001 ₁₆ ; 1D56D2 ₁₆ |
| 15 | 7FFFFFF ₁₆ ; 68BC83 ₁₆ ; 612F91 ₁₆ ; 6E76A9 ₁₆ ; 51F4FC ₁₆ ; 2B2C4D ₁₆ ; 2C2B62 ₁₆ ; 05A54A ₁₆ ; 28476E ₁₆ |

The first allowed value is common for all DODs and is called the default value for the unloaded supported subcarriers LFSR generator.

The LFSR shall be advanced by two bits for each subcarrier (for both SSC and MSC) of each symbol of the payload. Two LFSR bits corresponding to the subcarrier index 0 are (c_1 , c_2) of the initialization seed. Two LFSR bits corresponding to the subcarrier index 1 are (c_1 , c_2) after two shifts, and so on. For modulation of unloaded subcarriers, ACE symbols shall be treated in the same manner as payload symbols.

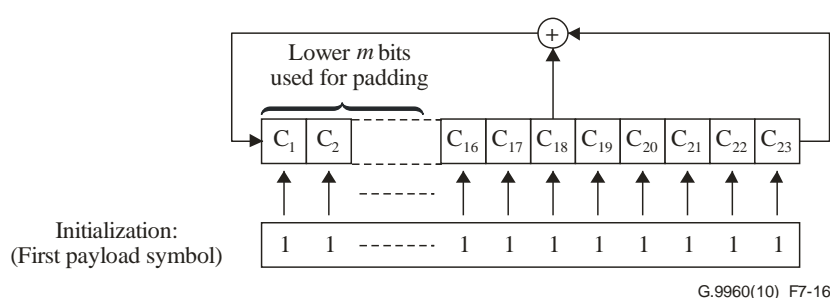


Figure 7-16 – LFSR for modulation of unloaded and partially loaded subcarriers

Table 7-58 – Example LFSR seeds for an initial DM-generated seed of 7FFFFFF₁₆

| Seed index k | Seed (S_k) |
|----------------|-----------------------|
| 1 | 7FFFFFF ₁₆ |
| 2 | 26B489 ₁₆ |
| 3 | 278A91 ₁₆ |

Table 7-58 – Example LFSR seeds for an initial DM-generated seed of 7FFFFFF₁₆

| Seed index k | Seed (S_k) |
|----------------|----------------------|
| 4 | 15F4ED ₁₆ |
| 5 | 5B4CB1 ₁₆ |
| 6 | 2F021F ₁₆ |
| 7 | 7A64C1 ₁₆ |
| 8 | 414CD7 ₁₆ |
| 9 | 649D5E ₁₆ |
| 10 | 134826 ₁₆ |
| 11 | 2A3DFC ₁₆ |
| 12 | 2B9570 ₁₆ |
| 13 | 3C6777 ₁₆ |
| 14 | 757986 ₁₆ |
| 15 | 103962 ₁₆ |
| 16 | 0DB87B ₁₆ |
| 17 | 076287 ₁₆ |
| 18 | 3E1A31 ₁₆ |
| 19 | 05DE6D ₁₆ |
| 20 | 5C5B4E ₁₆ |
| 21 | 596413 ₁₆ |
| 22 | 0613D9 ₁₆ |
| 23 | 19504A ₁₆ |
| 24 | 50FDE0 ₁₆ |
| 25 | 5CD048 ₁₆ |
| 26 | 66C646 ₁₆ |
| 27 | 7169B3 ₁₆ |
| 28 | 480497 ₁₆ |
| 29 | 053FE3 ₁₆ |
| 30 | 51F1B1 ₁₆ |
| 31 | 7D2BA0 ₁₆ |
| 32 | 11E4D8 ₁₆ |
| 33 | 037144 ₁₆ |
| 34 | 278587 ₁₆ |
| 35 | 2CF7F7 ₁₆ |
| 36 | 027D46 ₁₆ |
| 37 | 70A7EB ₁₆ |
| 38 | 4C622C ₁₆ |
| 39 | 54DC68 ₁₆ |
| 40 | 01715E ₁₆ |
| 41 | 274A7B ₁₆ |
| 42 | 55238D ₁₆ |

Table 7-58 – Example LFSR seeds for an initial DM-generated seed of 7FFFFFF₁₆

| Seed index k | Seed (S_k) |
|----------------|----------------------|
| 43 | 008B06 ₁₆ |
| 44 | 3FA255 ₁₆ |
| 45 | 777A6A ₁₆ |
| 46 | 5154DD ₁₆ |
| 47 | 55C203 ₁₆ |
| 48 | 0D21F9 ₁₆ |
| 49 | 1BEDE6 ₁₆ |
| 50 | 608D6B ₁₆ |
| 51 | 4B75D3 ₁₆ |
| 52 | 22BA64 ₁₆ |
| 53 | 7D0646 ₁₆ |
| 54 | 7F56E6 ₁₆ |
| 55 | 614333 ₁₆ |
| 56 | 4F1368 ₁₆ |
| 57 | 7359EF ₁₆ |
| 58 | 2D86A9 ₁₆ |
| 59 | 25373D ₁₆ |
| 60 | 258466 ₁₆ |
| 61 | 4CE92A ₁₆ |
| 62 | 6B7E3D ₁₆ |
| 63 | 760B34 ₁₆ |
| 64 | 761EA6 ₁₆ |

The modulation of subcarriers that are not loaded with encoded payload bits shall be as follows:

- 1) Starting at the beginning of the first payload OFDM symbol, each subcarrier from the ISC set shall be modulated with the two bits which are the LSBs of the LFSR, c_1 , and c_2 using the 2-bit constellation mapping defined in clause 7.1.4.3.1.1 (c_1 is transmitted first).
- 2) In every OFDM symbol of payload, if the number of bits in the symbol frame does not fill the entire symbol, the bits from the LFSR shall be used to fill the remainder of the symbol frame, by taking the sequential groups of m LSBs of the LFSR and mapping them on to the remaining subcarriers so that LSB of LFSR is transmitted first and in the order defined by the current BAT, where m is the number of bits allocated for that subcarrier by the BAT. For the first padded subcarrier, if n bits of the m loaded bits are data bits ($n < m$), these n data bits shall be loaded as the LSBs of the group of bits mapped on the constellation point, and the $m - n$ bits of the LFSR shall be used as the MSBs of the group of bits mapped on the constellation point starting from LSB of LFSR.
- 3) In the case of a PROBE frame, starting at the beginning of the first payload OFDM symbol, each subcarrier from the ISC set shall be modulated with the two bits which are the LSBs of the LFSR, c_1 and c_2 , using 2-bit constellation mapping defined in clause 7.1.4.3.1.1 (c_1 is transmitted first).

The bits from LFSR are loaded on subcarriers in the order of logical indices (i.e., in the same way as data is loaded over payload symbols), according to subcarrier indexing defined in clause 7.1.4.1.

Modulation of unloaded subcarriers shall start from the unloaded SSC with the lowest logical index of the first payload symbol, continue in ascending order of logical indices until the unloaded SSC with the highest logical index of the first payload symbol, continue with the unloaded SSC with the lowest logical index of the second payload symbol, continue in ascending order of logical indices until the unloaded SSC with the highest logical index of the second payload symbol, and continue until the unloaded SSC with the highest logical index of the last payload symbol.

The ASCs from the SSC set are loaded according to the corresponding BAT as defined in clause 7.1.4.2.2.

7.1.4.3 Constellation encoder

7.1.4.3.1 Constellation mapping

Constellation mapping associates every group of bits loaded on to a subcarrier, with the values of I (in-phase component) and Q (quadrature-phase component) of a constellation diagram. Each incoming group of b bits $\{d_{b-1}, d_{b-2}, \dots, d_0\}$ shall be associated with a specific value of I and Q computed as described in this clause.

Each group of bits $\{d_{b-1}, d_{b-2}, \dots, d_0\}$ shall be mapped on to the constellation mapper with the LSB bit, d_0 , first.

7.1.4.3.1.1 Constellations for even number of bits

If the number of bits, b , loaded on to the subcarrier is even (2, 4, 6, 8, 10, 12), square-shaped constellations with mappings described in this clause shall be used. Support of all the specified even order constellations (2, 4, 6, 8, 10 and 12) shall be mandatory at both the transmitter and the receiver. With square-shaped constellations, 2^b constellation points are set as a square, and 2^{b-2} points reside in each quadrant with odd values (positive or negative) of I and Q .

Constellation and mapping for $b = 2$ shall be as presented in Figure 7-17 and described in Table 7-59.

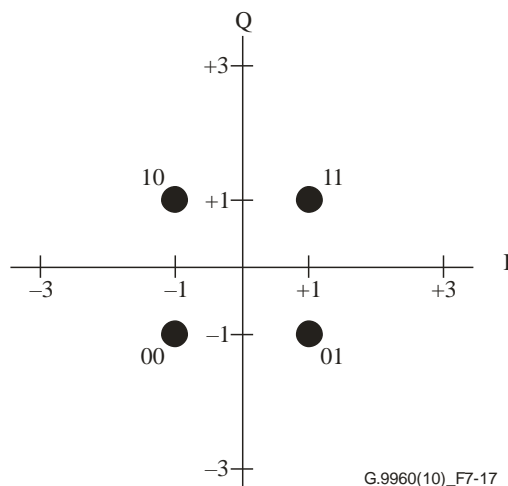


Figure 7-17 – Constellation and mapping for $b = 2$

Table 7-59 – Mapping for $b = 2$ (QPSK)

| Bit d_0 | I | | Bit d_1 | Q |
|-----------|-----|--|-----------|-----|
| 0 | -1 | | 0 | -1 |
| 1 | 1 | | 1 | 1 |

Constellation mapping for $b = 4$ shall be as described in Table 7-60. The first quadrant of the mapping is presented in Figure 7-18.

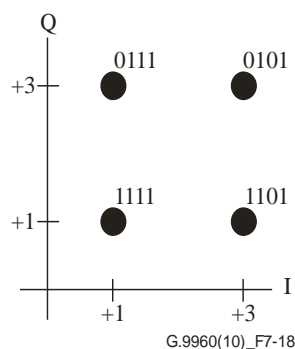


Figure 7-18 – Constellation and mapping for $b = 4$ (first quadrant)

Table 7-60 – Mapping for $b = 4$

| Bits [d_1d_0] | I | | Bit [d_3d_2] | Q |
|-------------------|-----|--|------------------|-----|
| 00 | –3 | | 00 | –3 |
| 10 | –1 | | 10 | –1 |
| 11 | 1 | | 11 | 1 |
| 01 | 3 | | 01 | 3 |

Constellation mappings for even values of $b \geq 4$ shall be derived by the following steps:

- 1) Divide the incoming group of b bits into two equal subgroups, so that $b/2$ LSBs form the first subgroup (I -group) and $b/2$ MSBs form the second subgroup (Q -group); both subgroups are incoming LSBs (which are d_0 and $d_{b/2}$, respectively) first.
- 2) Compute values of I and Q for the incoming group $\{d_{b-1}, d_{b-2}, \dots, d_0\}$ as:

$$I = \text{sgn}_I \times \text{val}_I$$

$$Q = \text{sgn}_Q \times \text{val}_Q$$

The values of sgn and val shall be computed as presented in Table 7-61 using bits of I -group to compute I and bits of Q -group to compute Q .

Table 7-61 – Computation rule for sgn and val

| I – component | Q – component |
|--|---|
| <ul style="list-style-type: none"> – compute $\text{sgn}_I = 2 \times d_0 - 1$ – compute $\text{val}_I = I_{b-2} - 2^{b/2-1}$ | <ul style="list-style-type: none"> – compute $\text{sgn}_Q = 2 \times d_{b/2} - 1$ – compute $\text{val}_Q = Q_{b-2} - 2^{b/2-1}$ |
| <p>NOTE 1 – I_{b-2} and Q_{b-2} are the values of I and Q computed for the incoming $(b-2)$-bit group $\{d_{b-1}, d_{b-2}, \dots, d_{b/2+1}, d_{b/2-1}, \dots, d_1\}$, i.e., with d_0 and $d_{b/2}$ removed.</p> <p>NOTE 2 – The values of I and Q for 2-bit groups shall be as presented in Table 7-59.</p> <p>NOTE 3 – X is the absolute value of X.</p> | |

7.1.4.3.1.2 Constellations for odd number of bits

If the number of bits, b , loaded on to the subcarrier is odd (1, 3, 5, 7, 9, 11), constellations with mappings described in this clause shall be used. The support of all the specified odd order constellations (1, 3, 5, 7, 9 and 11) shall be mandatory at the transmitter. The support of all the specified odd order constellations with $b \geq 5$, shall be optional at the receiver.

For multicast transmission, odd constellations with $b \geq 5$ shall not be used.

Constellation and mapping for $b = 1$ shall be as presented in Figure 7-19.

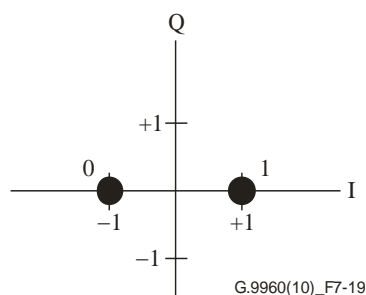


Figure 7-19 – Constellation shape and mapping for $b = 1$

Constellation and mapping for $b = 3$ shall be as presented in Figure 7-20.

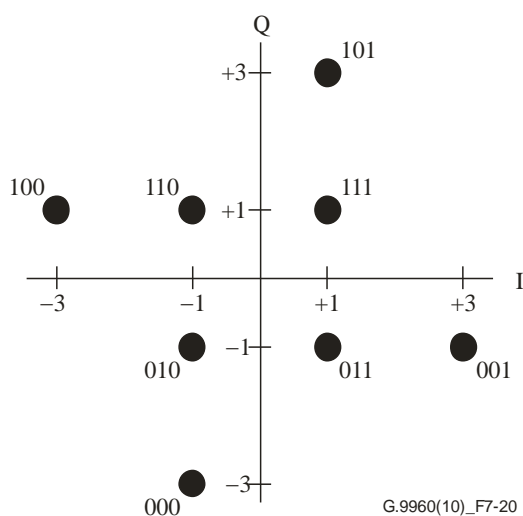


Figure 7-20 – Constellation and mapping for $b = 3$

For $b > 3$ cross-shaped constellations shall be used. First, 2^b constellation points shall be set as a rectangle, with $M_I = 2^{B1}$ columns (M_I points on the I -axis) and $M_Q = 2^{B2}$ rows (M_Q points on the Q -axis), where $B1 = \text{ceiling}(b/2)$ and $B2 = \text{floor}(b/2)$. The mapping of these points shall be computed using the following steps:

- 1) Divide the incoming group of bits into two subgroups, so that $B1$ LSBs form the first subgroup (I -group) and $B2$ MSBs form the second subgroup (Q -group); both subgroups are incoming LSBs (which are d_0 and d_{B2+1} , respectively) first.
- 2) Compute values of I and Q of a rectangular constellation for the incoming group $\{d_{b-1}, d_{b-2}, \dots d_0\}$ as:

$$I = \text{sgn}_I \times \text{val}_I$$

$$Q = \text{sgn}_Q \times \text{val}_Q$$

The values of sgn and val shall be computed as presented in Table 7-62 using bits of I -group to compute I and bits of Q -group to compute Q .

Table 7-62 – Computation rule for *sgn* and *val*

| <i>I</i> – component | <i>Q</i> – component |
|---|--|
| – compute $sgn_I = 2 \times d_0 - 1$ – compute $val_I = I_{2 \times B1} $ | – compute $sgn_Q = 2 \times d_{B1} - 1$ – compute $val_Q = Q_{2 \times B2} $ |
| NOTE 1 – $I_{2 \times B1}$ is the value of <i>I</i> for $(2 \times B1)$ -bit group $\{0, d_{b-1}, d_{b-2}, \dots, d_0\}$ computed as defined in Table 7-61. | |
| NOTE 2 – $Q_{2 \times B2}$ is the value of <i>Q</i> for $(2 \times B2)$ -bit group $\{d_{b-1}, d_{b-2}, \dots, d_1\}$ computed as defined in Table 7-61. | |
| NOTE 3 – $ X $ is the absolute value of <i>X</i> . | |

- 3) Transform $s = (M_I - M_Q)/4$ columns of constellation points in each quadrant having highest absolute values of *I* (positive or negative) into rows of *Q* by changing their $\{I, Q\}$ coordinates to $\{I', Q'\}$ in the following way:
- $|Q'| = |I| - 2s$, and $\text{sign}(Q') = \text{sign}(I)$;
 - $|I'| = M_Q - |Q|$, and $\text{sign}(I') = \text{sign}(Q)$.

The described transformation of $\{I, Q\}$ coordinates for $b = 7$ is presented in Figure 7-21 with $B1 = 4$ and $B2 = 3$ (the MSB and LSB in Figure 7-21 are separated by "/").

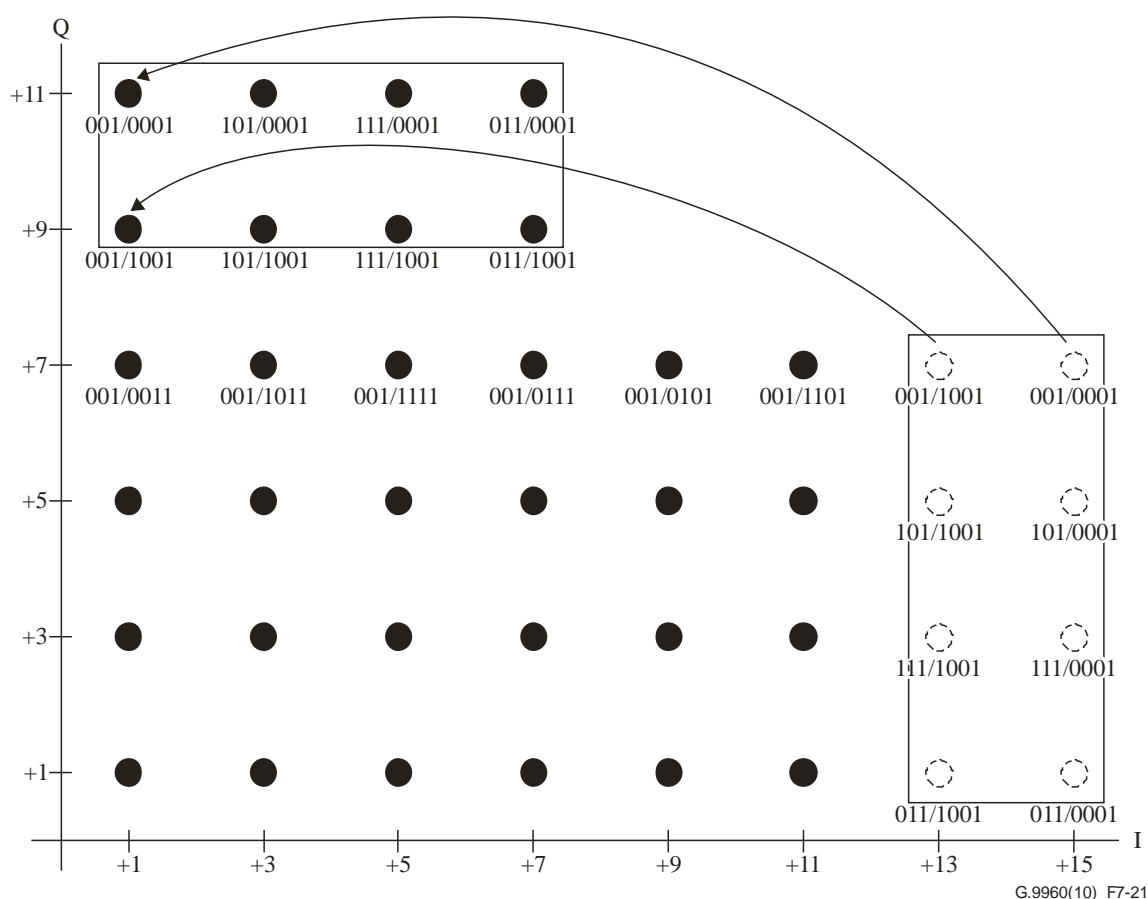


Figure 7-21 – Transformation of rectangular constellation into cross-shaped constellation for $b = 7$ (first quadrant)

7.1.4.3.2 Constellation point scaling

Each constellation point (I , Q), corresponding to the complex value $I + jQ$ at the output of the constellation mapper, shall be scaled by the power-normalization factor $\chi(b)$, the frequency-domain spectrum shaping coefficient tss , and the gain adjuster g :

$$Z = \chi(b) \times tss \times g \times (I + jQ)$$

7.1.4.3.2.1 Power normalization factor

The values (I , Q) for each constellation point of each subcarrier shall be scaled such that all constellations, regardless of their size, have the same average power. The required scaling, $\chi(b)$, for a subcarrier with b -bit loading depends only on the value of b and shall be set as presented in Table 7-63.

Table 7-63 – Power normalization factor

| Number of bits loaded (b) | Scaling factor (χ) (linear scale) |
|-------------------------------|---|
| 1 | 1 |
| 2 | $1/\sqrt{2}$ |
| 3 | $1/\sqrt{6}$ |
| 4 | $1/\sqrt{10}$ |
| 5 | $1/\sqrt{20}$ |
| 6 | $1/\sqrt{42}$ |
| 7 | $1/\sqrt{82}$ |
| 8 | $1/\sqrt{170}$ |
| 9 | $1/\sqrt{330}$ |
| 10 | $1/\sqrt{682}$ |
| 11 | $1/\sqrt{1322}$ |
| 12 | $1/\sqrt{2730}$ |

7.1.4.3.2.2 Transmit spectrum shaping

Frequency-domain spectrum shaping of the transmit signal is achieved by a scaling factor tss defined for each subcarrier. The tss values are set by the transmitter and shall be in the range between 0 and –30 dB in steps of –0.5 dB. Smaller values of tss provide attenuation and the value $tss = 0$ dB corresponds to no power attenuation on the particular subcarrier. If no spectrum shaping is applied, the tss values shall be equal to 0 dB for all subcarriers. The values of tss_i are relevant only for subcarriers that are actually transmitted (not masked), and shall be ignored for masked subcarriers (see clause 5.2 of [\[ITU-T G.9964\]](#)).

The communication protocol to convey the tss values used by the transmitter is for further study.

7.1.4.3.2.3 Gain adjustment

The gain adjuster g is intended for fine gain adjustment of the power transmitted at a particular subcarrier, which may be used to equalize the SNR margin over all subcarriers.

The value of gain adjuster shall be set to one. Other values are left for further study.

7.1.4.3.3 Constellation scrambler

The phase of constellation points generated by the constellation mapper shall be shifted in accordance with the pseudorandom sequence generated by a linear feedback shift register (LFSR) generator, as shown in Figure 7-22.

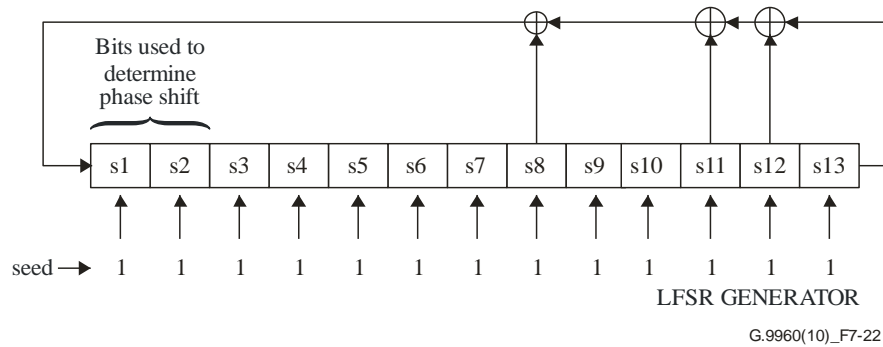


Figure 7-22 – Constellation scrambler

The LFSR generator shall implement the polynomial $g(x) = x^{13} + x^{12} + x^{11} + x^8 + 1$ and shall be advanced by 2 bits for each subcarrier. Bits shall be assigned to subcarriers in order of logical index (see clause 7.1.4.1). The two LSBs of the register shall be taken to determine the phase shift as shown in Table 7-64.

For the header, ACE and payload, the shift of the LFSR for subcarrier index i shall be $2i$ (for both SSC and MSC). Two LFSR bits corresponding to the subcarrier index 0 are (s_1, s_2) of the initialization seed. Two LFSR bits corresponding to the subcarrier index 1 are (s_1, s_2) after two shifts, and so on. For preamble, INUSE, PR and NACK signal, the shift of the LFSR for subcarrier index $(i \cdot k_m)$ shall be $2i$ where k_m denotes the subcarrier spacing multiplier for preamble section m (see clause 7.1.4.5.3.1.1).

**Table 7-64 – Constellation phase shift
versus LFSR output**

| LFSR output | | Phase shift (rad) |
|-------------|----|-------------------|
| s2 | s1 | |
| 0 | 0 | 0 |
| 0 | 1 | $\pi/2$ |
| 1 | 0 | π |
| 1 | 1 | $3\pi/2$ |

The LFSR generator shall be initialized with the seed 1FFF_{16} for each OFDM symbol. The LSB of the seed corresponds to s_1 . The constellation scrambling shall be applied to the PHY-frame header, ACE and all payload symbols by rotating the originally mapped constellation point $Z_{i,l}^0$ by the phase shift θ to obtain the complex value for the $Z_{i,l}$ for input to the IFFT (see clause 7.1.4.4.1).

$$Z_{i,l} = Z_{i,l}^0 \cdot \exp(j\theta)$$

7.1.4.4 OFDM modulator

The OFDM modulator consists of the following major parts: IDFT, cyclic extension, windowing, overlap and add, and frequency up-shift. The incoming signal to the modulator at the l th OFDM symbol in the present frame for a single subcarrier, with index i , is the complex value $Z_{i,l}$ generated by the constellation encoder as described in clause 7.1.4.3 (for symbols of the header and the payload)

or by preamble generator as described in clause 7.1.4.5.3 (for symbols of the preamble). Time-domain samples generated by the IDFT, after adding the cyclic prefix and windowing, are frequency up-shifted by F_{US} . The functional diagram of OFDM modulator is presented in Figure 7-23. The RF up-converter facilitates ITU-T G.9960 operation in the RF frequency range.

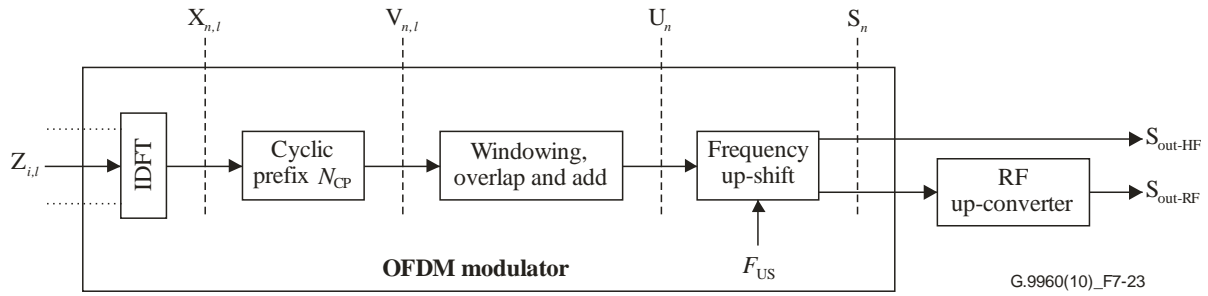


Figure 7-23 – Functional model of the OFDM modulator

The presented functional diagram and other figures presented in this clause do not imply any specific implementation. All aspects of signal processing used in the modulator shall comply with the equations and textual descriptions.

7.1.4.4.1 IDFT

The IDFT converts the stream of the N complex numbers $Z_{i,l}$ at its input into the stream of N complex time-domain samples $x_{n,l}$. The input numbers represent the N mapped blocks of data, where the i th block of data represents the complex value $Z_{i,l}$ of the i th modulated subcarrier of the OFDM signal, where $i = 0, 1, \dots, N-1$ is the subcarrier index and l is the sequential number of the OFDM symbol within the current frame, excluding the preamble. The conversion shall be performed in accordance with the equation:

$$x_{n,l} = \sum_{i=0}^{N-1} \exp\left(j \cdot 2\pi \cdot i \cdot \frac{n}{N}\right) \cdot Z_{i,l} \quad \text{for } n = 0 \text{ to } N-1, \quad l = 0 \text{ to } M_F - 1$$

where M_F denotes the total number of OFDM symbols in the current frame excluding the preamble symbols, and the value of N represents the maximum number of possibly modulated subcarriers in the OFDM spectrum and shall be a power of 2: $N = 2^k$, where k shall be an integer. The value of $Z_{i,l}$ for all masked subcarriers shall be set to 0. If some non-masked subcarriers with indices $i < N$ are not loaded with data bits, the corresponding values of $Z_{i,l}$ shall be generated as described in clause 7.1.4.2.6

7.1.4.4.2 Cyclic extension

The cyclic extension provides a guard interval between adjacent OFDM symbols. This guard interval is intended to protect against inter-symbol interference (ISI).

In OFDM, the cyclic prefix of the l th OFDM symbol in the frame shall be implemented by prepending the last $N_{CP}(l)$ samples of the IDFT output to its output N samples to create a pre-overlapped OFDM symbol, as presented in Figure 7-24. The order of samples in the symbol shall be as follows:

- The first sample of the symbol is the IDFT output sample $N - N_{CP}(l)$.
- The last sample of the cyclic prefix is the IDFT output sample $N-1$; the next sample is the IDFT output sample 0.

The l th pre-overlapped OFDM symbol consists of N IDFT samples and $N_{CP}(l)$ cyclic extension, samples, in total:

$$N_W(l) = N + N_{CP}(l) \text{ [samples]}.$$

After cyclic extension as described above, time-domain samples at the reference point $v_{n,l}$ in Figure 7-23 shall comply with the following equations:

$$v_{n,l} = x_{n-N_{CP}(l),l} = \sum_{i=0}^{N-1} Z_{i,l} \times \exp\left(j \cdot 2\pi \cdot i \frac{n-N_{CP}(l)}{N}\right) \quad \text{for } n = 0 \text{ to } N_W(l) - 1 = N + N_{CP}(l) - 1$$

The number of IDFT samples, N , and the number of windowed samples, β , shall be the same for all symbols of the same frame. The value of $N_{CP}(l)$ (and the duration of the pre-overlapped OFDM symbol $N_w(l)$, accordingly) may change during the course of the frame, as follows:

- All symbols of the header shall have the value of $N_{GI-HD} + \beta$ defined in clause 7.1.4.6.
- The first two symbols following the header shall have the default value $N_{GI-DF} + \beta$, defined in clause 7.1.4.6.
- All the rest of the payload symbols shall have the same value $N_{GI} + \beta$, where N_{GI} is selected from the valid values defined in clause 7.1.4.6 and indicated in the header, as described in clause 7.1.2.1.

7.1.4.4.3 Symbol timing

The PHY frame consists of a preamble followed by an integer number, M_F , of OFDM symbols. The first symbol following the preamble (the first symbol of the PHY-header) shall have symbol count 0, and the last symbol of the frame shall have symbol count $M_F - 1$. The time position of each symbol in the frame is defined by sample count. The first sample of the symbol with symbol count 0 shall have sample count $M(0) = N_{pr} - \beta$, where N_{pr} is the number of samples in the preamble. The count of the first sample of the l th symbol ($l = 1, 2, \dots, M_F - 1$) in the frame shall be:

$$M(l) = N_{pr} - \beta + \sum_{k=0}^{l-1} N_S(k)$$

where $N_S(k) = N + N_{CP}(k) - \beta$ and $N_S(k)$ may be different for symbols of the header and payload, as described in clause 7.1.4.6.

7.1.4.4.4 Windowing, overlap and add

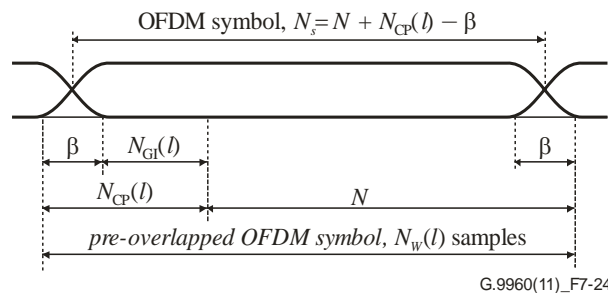


Figure 7-24 – Structure of an OFDM symbol with cyclic extension and overlapped windowing

The first β samples of the cyclic prefix and last β samples of the IDFT output shall be used for shaping the envelope of the transmitted signal (windowing). The window function facilitates PSD shaping: it allows sharp PSD roll-offs used to create deep spectral notches and reduction of the out-of-band PSD. The number of windowed samples, β , shall be the same for all of the payload symbols of the same frame, as well as the PHY-header and preamble.

To reduce the modulation overhead, the windowed samples of adjacent symbols shall overlap, as shown in Figure 7-24. The value of $N_{CP}(l) - \beta = N_{GI}(l)$ forms the guard interval. The duration of the l th OFDM symbol after overlap is thus $N_S(l) = N + N_{CP}(l) - \beta$.

After applying the windowing and the overlap and add functions, the time-domain samples at the reference point u_n in Figure 7-23 shall comply with the following equations:

$$u_n = u_n^{(pr)} + \sum_{l=0}^{M_F-1} w(n-M(l),l) \times u_{n-M(l),l} \quad \text{for } n = 0 \text{ to } M(M_F-1) + N_W(M_F-1) - 1$$

where $u_n^{(pr)}$ is the n th sample of the preamble, as defined in clause 7.1.4.5 (note that the signal $u_n^{(pr)}$ already includes windowing as necessary), $w(n,l)$ is the windowing function defined on $N_W(l)$ samples of the pre-overlapped OFDM symbol in the following way:

$$w(n,l) = \begin{cases} w_\beta(n) & 0 \leq n < \beta \\ 1 & \beta \leq n < N_W(l) - \beta \\ w_\beta(N_W(l) - 1 - n) & N_W(l) - \beta \leq n < N_W(l) \\ 0 & \text{otherwise} \end{cases}$$

where $w_\beta(n)$ is the function describing the roll-off section of the window. The roll-off function $w_\beta(n)$ shall be vendor discretionary.

However, $w_\beta(n)$ shall comply with the following rules:

- $w_\beta(n) + w_\beta(\beta - n - 1) = 1$ for $0 \leq n < \beta$.
- $0 \leq w_\beta(n) \leq 1$.

The symbol rate f_{OFDM} (number of symbols per second) and symbol period T_{OFDM} for the given value of N_{CP} and β shall be computed, respectively, as:

$$f_{OFDM} = \frac{N \times F_{SC}}{N + N_{CP} - \beta}$$

and

$$T_{OFDM} = 1/f_{OFDM}$$

7.1.4.4.5 Frequency up-shift

The frequency up-shift offsets the spectrum of the transmit signal shifting it by F_{US} . The value of F_{US} shall be a multiple of the subcarrier frequency F_{SC} :

$$F_{US} = m \times F_{SC}, \text{ where } m \text{ is an integer and } N/2 \leq m.$$

The valid values of m are medium dependent and can be calculated from the values given in clause 7.2.

The real and imaginary components of the signal after frequency up-shift (reference point s_n in Figure 7-23) shall be as follows:

$$s_n = u_{n/p} \times \exp\left(j \frac{2\pi mn}{Np}\right) = \text{Re}(s_n) + j \text{Im}(s_n) \quad \text{for } n = 0 \text{ to } [M(M_F-1) + N_W(M_F-1)] \times p - 1;$$

$$\text{Re}(s_n) = \text{Re}(u_{n/p}) \cos\left(\frac{2\pi mn}{Np}\right) - \text{Im}(u_{n/p}) \sin\left(\frac{2\pi mn}{Np}\right)$$

$$\text{Im}(s_n) = \text{Re}(u_{n/p}) \sin\left(\frac{2\pi mn}{Np}\right) + \text{Im}(u_{n/p}) \cos\left(\frac{2\pi mn}{Np}\right)$$

where $u_{n/p}$ is u_n after interpolation with factor p . The interpolation factor p is vendor discretionary, and shall be equal to or higher than 2.

NOTE 1 – The minimum value of p sufficient to avoid distortions depends on the ratio between the up-shift frequency F_{US} and the bandwidth of the transmit signal $BW = N \times F_{SC}$. It is assumed that an appropriate low-pass filter is included to reduce imaging.

NOTE 2 – The phase of the up-shift should be initialized to zero at the first sample of the preamble and be advanced by $\frac{2\pi m}{N_p}$ per each sample (after interpolation).

7.1.4.4.6 Output signal

For all applications which do not use an RF up-converter (further referred to as HF-applications), the output signal of the modulator shall be the real component of s_n :

$$S_{\text{out-HF}} = \text{Re}(s_n)$$

For RF applications, the RF up-converter shall produce the following output signal:

$$S_{\text{out-RF}}(t) = \text{Re}[s(t) \times \exp(j2\pi F_{UC}t)] = \text{Re}[s(t)] \times \cos(2\pi F_{UC}t) - \text{Im}[s(t)] \times \sin(2\pi F_{UC}t)$$

where F_{UC} is the frequency shift introduced by the RF modulator. The range of F_{UC} and its valid values are specified in clause 7.1.4.6.

After RF up-conversion, the centre frequency around which the spectrum of the transmit OFDM signal will be placed is $F_C = F_{UC} + F_{US}$.

7.1.4.5 Preamble, INUSE, PR, NACK and IDPS signals

7.1.4.5.1 General preamble structure

The preamble is prepended to every PHY frame defined in clause 7.1.2.1. It is intended to assist the receiver in detecting, synchronizing to the frame boundaries, and acquiring the physical layer parameters such as channel estimation and OFDM symbol alignment. The preamble shall meet the same transmit PSD mask (i.e., notches, shapes) as the header and the payload symbols.

Table 7-65 presents the general structure of the preamble. Each section I comprises N_I repetitions of an OFDM symbol (S_I) employing subcarrier spacing $k_I \times F_{SC}$, where F_{SC} denotes the subcarrier spacing of the payload. A zero value for N_I means that section I is not included in the preamble. The values of k_I shall be selected from the set 1, 2, 4 or 8. The preamble subcarriers of section I shall be one in every k_I subcarriers with respect to the subcarriers used for the payload OFDM symbol starting from subcarrier zero. Each preamble section shall be windowed as necessary in order to comply with the PSD mask. This is illustrated in Figure 7-25.

Table 7-65 – General structure of the preamble

| | 1st section | 2nd section | 3rd section |
|--|-------------|--------------------------|-------------|
| Number of symbols (N_I) (Note 1) | N_1 | N_2 | N_3 |
| Subcarrier spacing ($k_I \times F_{SC}$) | k_1 | $k_2 = k_1$ (Note 2) | k_3 |
| OFDM symbol (S_I) | S_1 | $S_2 = -S_1$ (Note 3) | S_3 |
| <p>NOTE 1 – N_I does not include windowing.</p> <p>NOTE 2 – The subcarrier spacing of the 2nd section shall be equal to the subcarrier spacing of the 1st section.</p> <p>NOTE 3 – The OFDM symbol of the 2nd section shall be an inverted time-domain waveform of the 1st section.</p> | | | |

Figure 7-25 shows the preamble waveform.

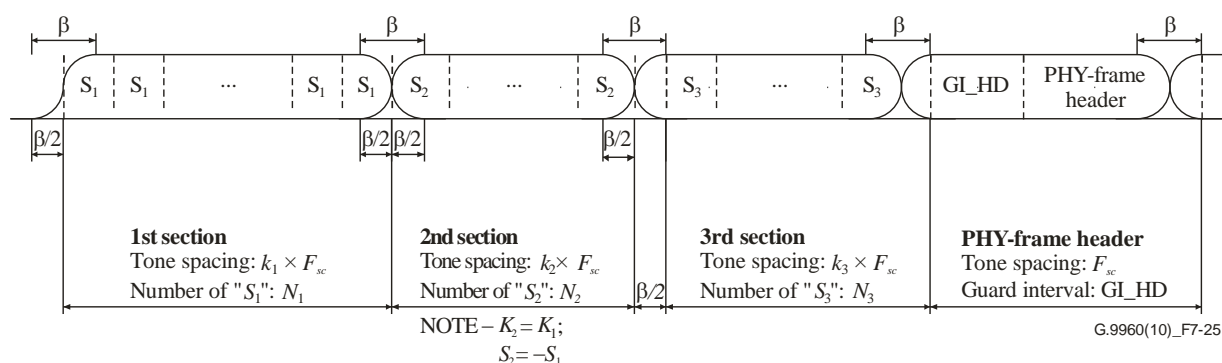


Figure 7-25 – Preamble waveform

The number of repetitions of OFDM symbol S_l (N_l) in each of the preamble sections may be a non-integer number to incorporate an optional guard interval between sections provided that a fraction of N_l is consistent with the guard interval specified in Table 7-67. The specific preamble types and construction methods are defined in clause 7.2.

7.1.4.5.2 INUSE, PR, NACK and IDPS signals general structure

The INUSE, PR, NACK and IDPS general structure (see clause 8.3.3.4 of [ITU-T G.9961]) is composed of a single section.

Table 7-66 – INUSE, PR, NACK and IDPS signal generation parameters

| Description | Symbol |
|---|-----------|
| Number of symbols (Note) | N_{PRS} |
| Subcarrier spacing ($k_i \times F_{SC}$) | k_1 |
| OFDM symbol | S_{PRS} |
| NOTE – N_{PRS} does not include windowing. | |

The INUSE, PR, NACK and IDPS signals shall meet the same transmit PSD mask (i.e., notches, shapes) as the preamble symbols and shall be windowed as necessary in order to comply with the PSD mask.

The INUSE, PR, NACK and IDPS signals consist of N_{PRS} repetitions of an OFDM symbol (S_{PRS}) that employs the same subcarrier spacing as the first section of the preamble ($k_1 \times F_{SC}$).

The values for the N_{PRS} parameter are found in the medium specific sub-sections of clause 7.2.

7.1.4.5.3 Preamble, INUSE, PR NACK and IDPS signal generation

This clause contains the description of preamble, INUSE, PR, NACK and IDPS signal generation method, which is not medium dependent. The preamble, INUSE, PR, NACK and IDPS signal generation method specific to the type of medium is described under clause 7.2.

7.1.4.5.3.1 Frequency-domain symbol generation

7.1.4.5.3.1.1 Preamble

The subcarriers of the m th section of the preamble shall be those with indices 0, k_m , $2k_m$, $3k_m$, etc. The preamble generator shall output complex values Z_i for each subcarrier following the order given by

logical indices i with $i = 0, k_m, 2k_m, \dots$ to be modulated on to symbols of the preamble in accordance with the relevant subcarrier mask.

7.1.4.5.3.1.2 INUSE, PR, NACK and IDPS signals

The subcarrier spacing of the INUSE, PR, NACK and IDPS signals shall be the same as the subcarrier spacing of the first section of the preamble ($k_l \times F_{sc}$). The INUSE, PR, NACK and IDPS signal generator shall output complex values Z'_i for each subcarrier following the order given by logical indices $i = 0, k_l, 2k_l, \dots$ to be modulated on to symbols of the INUSE, PR, NACK and IDPS signal in accordance with the relevant subcarrier mask.

7.1.4.5.3.2 Modulation

7.1.4.5.3.2.1 Modulation of the preamble

For the non-masked subcarriers of the preamble, a bit sequence of all ones shall be mapped using the 1-bit constellation as specified in clause 7.1.4.3.1.2. Other bit sequences are for further study.

The constellation scrambler LFSR generator shall be initialized at the beginning of each one of the used preamble sections to a seed that is section and medium dependent. The default value of the seed is defined in clause 7.2. Additional, domain-specific seeds are also defined in clause 7.2. The seed used shall either be the default seed or a domain-specific seed, as indicated in the "TXOP Attributes Extension Data" of the MAP (see clause 8.8.4.1.1 of [\[ITU-T G.9961\]](#)). Whenever usage of a domain-specific seed is indicated, the appropriate seed shall be selected from the pool of seeds in the tables in clause 7.2 based on the DOD (Domain ID).

NOTE – This mechanism may be used by a DM whenever it detects the presence of a neighbouring network (regardless of the transmission technology being used by the neighbouring network) in order to reduce the level of interference to the neighbouring network.

For preamble generation, the output of the mapper shall be subsequently rotated using the two bits that are the LSBs of the LFSR, s_1 and s_2 , as defined in Table 7-64 (constellation scrambler) resulting in constellation point Z_i .

The LFSR shall be advanced by two bits for each preamble's subcarrier (for both SSC and MSC) in the order specified in clause 7.1.4.3.3.

7.1.4.5.3.2.2 Modulation of the INUSE, PR, NACK and IDPS symbols

The non-masked subcarriers of the INUSE, PR, NACK and IDPS signals shall be modulated using a BPSK sequence, of all ones (P_i). The reference sequence shall be subsequently rotated as specified in clause 7.1.4.3.3 (Constellation scrambler).

The constellation scrambler LFSR generator shall be initialized at the beginning of the INUSE, PR, NACK and IDPS signals to a seed that is medium dependent. The default value of the seed is defined in clause 7.2. Additional, domain-specific seeds are also defined in clause 7.2. The seed used shall either be the default seed or a domain-specific seed, as indicated in the "TXOP Attributes Extension Data" of the MAP (see clause 8.8.4.1.1 of [\[ITU-T G.9961\]](#)). Whenever usage of a domain-specific seed is indicated, the appropriate seed shall be selected from the pool of seeds in the tables of clause 7.2 based on the DOD (Domain ID).

NOTE – This mechanism may be used by a DM whenever it detects the presence of a neighbouring network (regardless of the transmission technology being used by the neighbouring network) in order to reduce the level of interference to the neighbouring network.

For non-masked subcarrier i , Z_i shall be generated by rotating P_i using the two bits that are the LSBs of the LFSR, s_1 , and s_2 , as defined in Table 7-64. The LFSR shall be advanced by two bits for each applicable subcarrier (for both SSC and MSC) in the order specified in clause 7.1.4.3.3.

Z'_i is the complex conjugate of Z_i and Z'_i shall be used to generate the symbol S_{PRS} used in the INUSE, PR, NACK and IDPS signals.

7.1.4.5.3.3 Time-domain symbol generation

7.1.4.5.3.3.1 Preamble

The Z_i values shall be modulated on to OFDM symbols as described in clause 7.1.4.4.1.

The output time-domain symbol shall be repeated N_I times where N_I denotes the number of replicas within section I . If either N_1 or N_3 are non-integer numbers, the fraction of the symbol replica shall be at the beginning of the section. If N_2 is a non-integer number, the fraction of the symbols replica shall be at the end of the section.

The first, second and third sections of the preamble shall be windowed, overlapped and added as described below:

- 1) First section:
 - a) The first short symbol of the first section is cyclically extended by prepending $\beta/2$ samples.
 - b) The last short symbol of the first section is cyclically extended by appending $\beta/2$ samples.
 - c) The first and last β samples of the extended first section are windowed with a window function $w_\beta(n)$ and $w_\beta(\beta-n-1)$ respectively.
- 2) Second section:
 - a) The first short symbol of the second section is cyclically extended by prepending $\beta/2$ samples.
 - b) The last short symbol of the second section is cyclically extended by appending $\beta/2$ samples.
 - c) The first and last β samples of the extended second section are windowed with a window function $w_\beta(n)$ and $w_\beta(\beta-n-1)$ respectively.
- 3) Third section:
 - a) The beginning of the third section is cyclically extended by prepending β samples.
 - b) The first and last β samples of the extended third section are windowed with a window function $w_\beta(n)$ and $w_\beta(\beta-n-1)$ respectively.
- 4) Overlap and add:
 - a) The β windowed samples at the end of the first section and at the beginning of the second section are overlapped and added.
 - b) The β windowed samples at the end of the second section and at the beginning of the third section are overlapped and added.
 - c) The β windowed samples at the end of the third section are overlapped and added with the β windowed samples at the beginning of the PHY-frame header as described in clause 7.1.4.4.4.

$w_\beta(n)$ shall comply with the rules specified in clause 7.1.4.4.4.

This is illustrated in Figure 7-26.

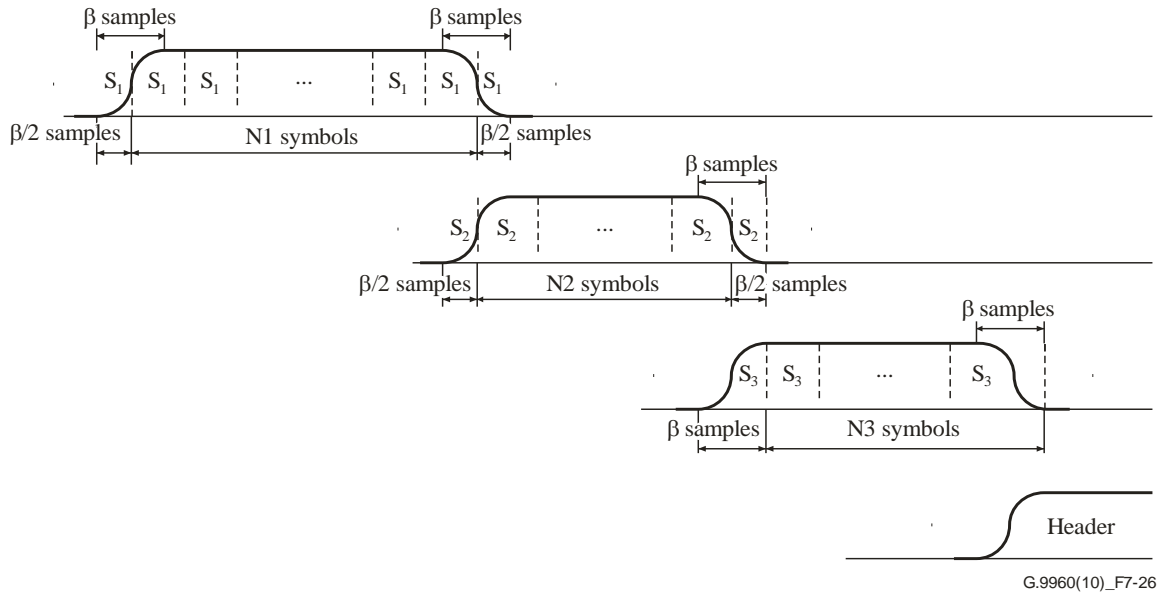


Figure 7-26 – Preamble time-domain generation

The number of samples at the Nyquist rate in the preamble shall be:

$$N_{pr} = \beta + N_1 \frac{N}{k_1} + N_2 \frac{N}{k_2} + N_3 \frac{N}{k_3}$$

7.1.4.5.3.3.2 INUSE, PR, NACK and IDPS signals

For the INUSE, PR, NACK and IDPS signals, the output time domain symbol shall be repeated N_{PRS} times, where N_{PRS} denotes the number of replicas. The Z'_i values shall be modulated on to OFDM symbols as described in clause 7.1.4.4.1.

The INUSE, PR, NACK and IDPS signals shall be windowed as described below:

- 1) The first short symbol of the INUSE, PR, NACK and IDPS signals is cyclically extended by prepending $\beta/2$ samples.
- 2) The last short symbol of the INUSE, PR, NACK and IDPS signals is cyclically extended by appending $\beta/2$ samples.
- 3) The first and last β samples of the extended INUSE, PR, NACK and IDPS signals are windowed with a window function $w_\beta(n)$ and $w_\beta(\beta-n-1)$, respectively.

$w_\beta(n)$ shall comply with the rules specified in clause 7.1.4.4.4.

This is illustrated in Figure 7-27.

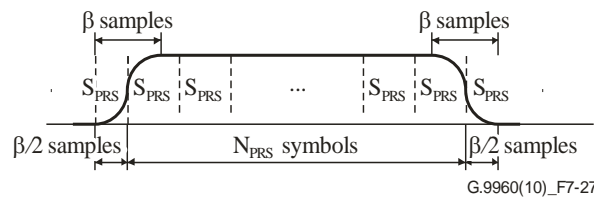


Figure 7-27 – INUSE, PR, NACK and IDPS signals in time domain

The number of samples at Nyquist rate in the INUSE, PR and NACK signals shall be:

$$N_s = \beta + N_{PRS} \frac{N}{k_1}$$

7.1.4.6 PMD control parameters

Table 7-67 summarizes valid values of control parameters of the OFDM modulator described in the clauses above. This list is a superset of parameters used over different media; a list of valid values of modulation parameters and their valid combinations for particular media is presented in [ITU-T G.9964].

Table 7-67 – Valid OFDM control parameters

| Notation | Parameter | Valid values or range | | |
|---|---------------------------------------|---|---------------------------------|--|
| | | Telephone lines | Power lines | Coax cables |
| N | Number of subcarriers | See Table 6-1 of [ITU-T G.9964] | See Table 6-4 of [ITU-T G.9964] | See Table 6-6 of [ITU-T G.9964] |
| F_{SC} | Subcarrier spacing [kHz] | See Table 6-1 of [ITU-T G.9964] | See Table 6-4 of [ITU-T G.9964] | See Table 6-6 of [ITU-T G.9964] |
| N_{GI} | Guard interval [samples] | See Table 6-1 of [ITU-T G.9964] | See Table 6-4 of [ITU-T G.9964] | See Table 6-6 of [ITU-T G.9964] |
| N_{GI-HD} | Guard interval of the header | $N/4$ | | |
| N_{GI-DF} | Default guard interval of the payload | $N/4$ (Note 1) | | |
| β | Window size [samples] | Any even integer between 0 and $N/4$ (Note 2) | | |
| F_{US} | Up-shift frequency, [kHz] | $m \times F_{SC}$, $m \geq N/2$ | | |
| F_{UC} | Up-convert frequency, [kHz] | N/A | N/A | $F_{UC} = l \times F_G$ where valid values for l are a subset of the range of integers between 12 and $100 - (2 \times F_{US}/F_G)$ and $F_G = 25$ MHz (Note 4) |
| <p>NOTE 1 – $N_{GI-DF} \geq N_{GI}$</p> <p>NOTE 2 – Range 0 to $N/4$</p> <p>NOTE 3 – m is an integer; valid values of m can be calculated from clause 7.2</p> <p>NOTE 4 – RF applications. Additional constraints on F_{UC} may be specified in regional annexes. In Annex C valid values for l are a subset of the range of integers between 12 and $120 - (2 \times F_{US}/F_G)$ and $F_G = 25$ MHz</p> | | | | |

Secondary parameters of the OFDM modulator are presented in Table 7-68.

Table 7-68 – Secondary parameters of the modulator

| Notation | Parameter | Note |
|------------|---|---|
| BW | Total bandwidth [Hz] | $BW = N \times F_{SC}$ |
| N_W | Number of samples in a pre-overlapped OFDM symbol | $N_W = N + N_{CP}$ |
| N_s | Number of samples in an OFDM symbol | $N_s = N + N_{CP} - \beta$ |
| f_{OFDM} | Symbol rate [symbols/s] | $f_{OFDM} = \frac{N \times F_{SC}}{N + N_{CP} - \beta}$ |
| T_{OFDM} | Symbol period [s] | $T_{OFDM} = 1/f_{OFDM}$ |
| N_{GI} | Guard interval | $N_{GI} = N_{CP} - \beta$ |
| F_C | Centre frequency | $F_C = F_{US} + F_{UC}$ |
| f_s | Transmit clock | $f_s = N \times F_{SC}$ |

7.1.4.7 Symbol boost

Symbols of the preamble and the PHY-frame header may be sent with higher power (boosted) relative to the ACE and payload symbols. For the PHY-frame header, only the first OFDM symbol is subject to symbol boost. The boosting shall be achieved by increasing the power of each active subcarrier in the boosted symbol by the same value in dB with the maximum boost of 3 dB (see Table 8-79.1 in [ITU-T G.9961]).

A domain-wide symbol boost is controlled by the domain master using the same mechanism as the one used to update the PSD shaping as described in clause 8.8.5.5 of [ITU-T G.9961]. If the domain master has not indicated symbol boost, all nodes shall boost the preamble and the first OFDM symbol of the PHY-frame header by 0.8 dB (see Table 8-14 in [ITU-T G.9961]). The PSD ceiling carried in the PHY-frame header shall not include the amount of power boost.

The domain-wide symbol boost setting parameters (see Table 8-79.1 in [ITU-T G.9961]) are not applicable to the transmission of MAP-D/RMAP-D frames. The preamble and the first OFDM symbol of the PHY-frame header of the MAP-D/RMAP-D frames shall each be boosted with a fixed value of 0.8 dB.

The symbol boost shall be allowed only for power-line and telephone-line OFBs.

7.1.5 Transmit PSD mask

Transmit PSD mask (TxPSD) is determined by a subcarrier mask (SM), a PSD shaping mask (PSM), a notching of international amateur radio bands, the limit PSD mask (LPM) defined for each particular medium, and a regional PSD mask (RPM) if specified in a regional annex. Parameters to construct the TxPSD are broadcast by the MAP message (clause 8.8.5 of [ITU-T G.9961]).

See clause 5 of [ITU-T G.9964] for the detailed specification of TxPSD.

Notching of amateur radio bands (see clause 5.3 of [ITU-T G.9964]) is accomplished by configuring one or more SM bands (see clause 5.1 of [ITU-T G.9964]) coinciding with the amateur radio bands, or by using the amateur radio band descriptor (see clause 8.8.5 of [ITU-T G.9961]).

The amateur radio band to be masked in a particular domain is specified in the MAP by the amateur radio band descriptor (see clause 8.8.5 of [ITU-T G.9961]).

A PSD ceiling may be applied by the DM in addition to the TxPSD to the nodes of the domain to impose a limit (i.e., a ceiling function) on the transmit signal via the MAP (see clause 8.8.5.5 of [\[ITU-T G.9961\]](#)).

APSD_MAX is conveyed in the PHY frame header to the receiver..

7.1.6 Electrical specifications

7.1.6.1 Transmit clock tolerance

The tolerance of the transmit clock (defined in Table 7-68) shall not exceed ± 50 ppm, including aging.

7.1.6.2 Relative transmit clock accuracy

All nodes shall synchronize the frequency of their transmit clocks to a domain master clock (see the NTR field of the PHY frame header in clause 7.1.2.3.2.1.2). If a node can decode the MAP frame reliably, it shall synchronize its transmit clock with that of the domain master, and the difference between the clocks shall not exceed ± 0.5 ppm. Otherwise, it shall synchronize its transmit clock with that of the node transmitting the RMAP frame. In this case, the difference between the transmit clock frequency of this node and that of the node transmitting the RMAP frame shall not exceed ± 0.5 ppm.

Nodes that are not synchronized with a domain master either directly or through a relay node shall not transmit.

These accuracy requirements shall apply to all OFBs and media types.

7.1.6.3 Up-convert frequency tolerance

The up-convert frequency and transmit clock frequency shall be derived from the same reference clock source, hence they share the same tolerance requirement.

7.2 Medium dependent specification

7.2.1 Physical layer specification over telephone lines

7.2.1.1 Control parameters

See clause 6.1.1 of [\[ITU-T G.9964\]](#).

7.2.1.2 Preamble, INUSE, PR and NACK signals

7.2.1.2.1 Preamble structure

Table 7-69 illustrates the preamble structure for a telephone line.

Table 7-69 – Preamble structure for transmission over telephone lines

| | 1st section | 2nd section | 3rd section |
|--|--------------------|--------------------|--------------------|
| Number of symbols (N_i) | 8 | 2 | 0 |
| Subcarrier spacing ($k_i \times F_{SC}$) | $k_i = 8$ | $k_i = 8$ | $k_i = 0$ |

7.2.1.2.2 INUSE, PR and NACK signal generation parameters for telephone lines

Table 7-70 illustrates the INUSE, PR and NACK signal generation parameters for telephone lines.

Table 7-70 – INUSE, PR and NACK signal generation parameters for telephone lines

| Parameter | Value |
|--|-------|
| Number of symbols (N_{PRS}) | 7 |
| Subcarrier spacing ($k_i \times F_{SC}$) | 8 |

7.2.1.2.3 Modulation of the preamble for telephone lines

When using a default seed, the constellation scrambler LFSR generator (see clause 7.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed that is section dependent as defined in Table 7-71.

When using a "domain-specific" seed, the constellation scrambler LFSR generator (see clause 7.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed defined by the DM in the DM_Defined_Seed field of the additional domain information subfield (see clause 8.8.5.15 of [ITU-T G.9961]). The DM shall choose the seed from the set of seeds corresponding to the DOD of the domain, as specified in Table 7-71.1).

Table 7-71 – Default constellation scrambler initialization seed values for the preamble, for telephone lines

| Medium | 1st section | 3rd section |
|----------------|--------------------|-------------|
| Telephone line | 0127 ₁₆ | N/A |

Table 7-71.1 – Set of domain-specific constellation scrambler initialization seed values for the preamble, for telephone lines

| DOD (Domain ID) | 1st Section | 3rd Section |
|-----------------|--|-------------|
| 0 | 024E ₁₆ ; 17A9 ₁₆ ; 0F53 ₁₆ ; 10F3 ₁₆ ; 1545 ₁₆ ; 01E6 ₁₆ ; 0A8A ₁₆ ; 098E ₁₆ | N/A |
| 1 | 06B2 ₁₆ ; 17C9 ₁₆ ; 00A7 ₁₆ ; 131C ₁₆ ; 0D64 ₁₆ ; 0F93 ₁₆ ; 014F ₁₆ ; 14FC ₁₆ | N/A |
| 2 | 044C ₁₆ ; 0B96 ₁₆ ; 040D ₁₆ ; 0119 ₁₆ ; 037E ₁₆ ; 0074 ₁₆ ; 1A7E ₁₆ ; 0226 ₁₆ | N/A |
| 3 | 1E0E ₁₆ ; 172C ₁₆ ; 081B ₁₆ ; 0232 ₁₆ ; 06FC ₁₆ ; 00E8 ₁₆ ; 1C1D ₁₆ ; 1ADE ₁₆ | N/A |
| 4 | 1B5B ₁₆ ; 05B3 ₁₆ ; 084F ₁₆ ; 0029 ₁₆ ; 0637 ₁₆ ; 121E ₁₆ ; 1EFB ₁₆ ; 102F ₁₆ | N/A |
| 5 | 154F ₁₆ ; 14C7 ₁₆ ; 0CDE ₁₆ ; 0880 ₁₆ ; 09AC ₁₆ ; 096B ₁₆ ; 0D6F ₁₆ ; 0DAD ₁₆ | N/A |
| 6 | 02D9 ₁₆ ; 0427 ₁₆ ; 1014 ₁₆ ; 131B ₁₆ ; 180B ₁₆ ; 043D ₁₆ ; 1DF6 ₁₆ ; 005F ₁₆ | N/A |
| 7 | 0A9E ₁₆ ; 098F ₁₆ ; 19BD ₁₆ ; 1100 ₁₆ ; 1358 ₁₆ ; 12D7 ₁₆ ; 1016 ₁₆ ; 02DE ₁₆ | N/A |

Table 7-71.1 – Set of domain-specific constellation scrambler initialization seed values for the preamble, for telephone lines

| DOD (Domain ID) | 1st Section | 3rd Section |
|------------------------|--|--------------------|
| 8 | 0B14 ₁₆ ; 1437 ₁₆ ; 0AF6 ₁₆ ; 15CF ₁₆ ; 1E04 ₁₆ ; 0CDF ₁₆ ; 14AF ₁₆ ; 1530 ₁₆ | N/A |
| 9 | 1B55 ₁₆ ; 085F ₁₆ ; 0592 ₁₆ ; 1B2A ₁₆ ; 1E42 ₁₆ ; 07E8 ₁₆ ; 1503 ₁₆ ; 0F10 ₁₆ | N/A |
| 10 | 038A ₁₆ ; 0A34 ₁₆ ; 1878 ₁₆ ; 1170 ₁₆ ; 03E4 ₁₆ ; 1251 ₁₆ ; 0253 ₁₆ ; 0F66 ₁₆ | N/A |
| 11 | 0ADE ₁₆ ; 069D ₁₆ ; 0583 ₁₆ ; 08E9 ₁₆ ; 054A ₁₆ ; 0397 ₁₆ ; 016F ₁₆ ; 058A ₁₆ | N/A |
| 12 | 0A1B ₁₆ ; 157B ₁₆ ; 1AE7 ₁₆ ; 0F02 ₁₆ ; 066F ₁₆ ; 0A57 ₁₆ ; 0A98 ₁₆ ; 0DAA ₁₆ | N/A |
| 13 | 042F ₁₆ ; 12C9 ₁₆ ; 1D95 ₁₆ ; 01FC ₁₆ ; 1C85 ₁₆ ; 0FD0 ₁₆ ; 0A06 ₁₆ ; 1E20 ₁₆ | N/A |
| 14 | 0715 ₁₆ ; 1469 ₁₆ ; 10F0 ₁₆ ; 02E1 ₁₆ ; 07C9 ₁₆ ; 04A3 ₁₆ ; 04A6 ₁₆ ; 1ECC ₁₆ | N/A |
| 15 | 15BC ₁₆ ; 0D3A ₁₆ ; 0B06 ₁₆ ; 11D2 ₁₆ ; 0A95 ₁₆ ; 072F ₁₆ ; 03F9 ₁₆ ; 1C49 ₁₆ | N/A |

7.2.1.2.4 Modulation of the INUSE, PR and NACK signals for telephone lines

The constellation scrambler LFSR generator shall be initialized at the beginning of the INUSE, PR and NACK signals to the same seed used for the 1st preamble section, as defined in Tables 7-71 (default seed) and Table 7-71.1 (domain-specific seeds).

7.2.1.3 PSD mask specifications

See clause 6.1.2 of [ITU-T G.9964].

7.2.1.4 Permanently masked subcarriers

See clause 6.1.3 of [ITU-T G.9964].

7.2.2 Physical layer specification over power lines

7.2.2.1 Control parameters

See clause 6.2.1 of [ITU-T G.9964].

7.2.2.2 Preamble, INUSE, PR, NACK and IDPS signal

7.2.2.2.1 Preamble structure

Table 7-72 illustrates the preamble structure for transmission over power lines.

Table 7-72 – Preamble structure for transmission over power lines

| | 1st section | 2nd section | 3rd section |
|--|--------------------|--------------------|--------------------|
| Number of symbols (N_i) | 7 | 2 | 0 |
| Subcarrier spacing ($k_i \times F_{SC}$) | $k_i = 8$ | $k_i = 8$ | $k_i = 0$ |

7.2.2.2.2 INUSE, PR, NACK and IDPS signal generation parameters for power lines

Table 7-73 illustrates the INUSE, PR and NACK signal generation parameters for power line.

**Table 7-73 – INUSE, PR, NACK and IDPS signal generation
parameters for power line**

| Parameter | Value |
|--|-------|
| Number of symbols (N_{PRS}) | 6 |
| Subcarrier spacing ($k_i \times F_{SC}$) | 8 |

7.2.2.2.3 Modulation of the preamble for power lines

When using a default seed, the constellation scrambler LFSR generator (see clause 7.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed that is section dependent as defined in Table 7-74.

When using a "domain-specific" seed, the constellation scrambler LFSR generator (see clause 7.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed defined by the DM in the DM_Defined_Seed field of the additional domain information auxiliary information field (see clause 8.8.5.15 of [ITU-T G.9961](#)). The DM shall choose the seed from the set of seeds corresponding to the DOD of the domain, as specified in Table 7-75).

**Table 7-74 – Default constellation scrambler initialization
seed values for the preamble, for power lines**

| Medium | 1st section | 3rd section |
|-----------------------|--------------------|-------------|
| Power-line (Baseband) | 05FA ₁₆ | N/A |

**Table 7-75 – Set of domain-specific constellation scrambler initialization
seed values for the preamble, for power-line**

| DOD (Domain ID) | 1st Section | 3rd Section |
|-----------------|---|-------------|
| 0 | 000A ₁₆ ; 158A ₁₆ ; 080D ₁₆ ; 1BF7 ₁₆ ; 08B8 ₁₆ ; 087E ₁₆ ; 0D53 ₁₆ ; 0201 ₁₆ | N/A |
| 1 | 0227 ₁₆ ; 08E8 ₁₆ ; 0D14 ₁₆ ; 0005 ₁₆ ; 10FE ₁₆ ; 1B33 ₁₆ ; 150E ₁₆ ; 145B ₁₆ | N/A |
| 2 | 0408 ₁₆ ; 0507 ₁₆ ; 182E ₁₆ ; 01AB ₁₆ ; 0987 ₁₆ ; 1CCE ₁₆ ; 08B0 ₁₆ ; 1A25 ₁₆ | N/A |
| 3 | 06E2 ₁₆ ; 05E8 ₁₆ ; 135C ₁₆ ; 1907 ₁₆ ; 0113 ₁₆ ; 1635 ₁₆ ; 0A88 ₁₆ ; 19AB ₁₆ | N/A |
| 4 | 07FD ₁₆ ; 02A9 ₁₆ ; 0173 ₁₆ ; 19CD ₁₆ ; 0936 ₁₆ ; 00CA ₁₆ ; 0EC3 ₁₆ ; 1037 ₁₆ | N/A |
| 5 | 094D ₁₆ ; 0F04 ₁₆ ; 1791 ₁₆ ; 16BA ₁₆ ; 155A ₁₆ ; 0895 ₁₆ ; 0537 ₁₆ ; 189B ₁₆ | N/A |
| 6 | 0A98 ₁₆ ; 1EFE ₁₆ ; 1DB9 ₁₆ ; 14D7 ₁₆ ; 0AF1 ₁₆ ; 1B73 ₁₆ ; 1C5E ₁₆ ; 166F ₁₆ | N/A |

Table 7-75 – Set of domain-specific constellation scrambler initialization seed values for the preamble, for power-line

| DOD (Domain ID) | 1st Section | 3rd Section |
|------------------------|---|--------------------|
| 7 | 0B0E ₁₆ ; 0B26 ₁₆ ; 0614 ₁₆ ; 1E7D ₁₆ ; 089A ₁₆ ; 1922 ₁₆ ; 1DD7 ₁₆ ; 1D91 ₁₆ | N/A |
| 8 | 0D0A ₁₆ ; 1843 ₁₆ ; 0D12 ₁₆ ; 0F1D ₁₆ ; 07BA ₁₆ ; 19C0 ₁₆ ; 08E4 ₁₆ ; 0984 ₁₆ | N/A |
| 9 | 0F88 ₁₆ ; 10A8 ₁₆ ; 050C ₁₆ ; 1B6C ₁₆ ; 183F ₁₆ ; 0509 ₁₆ ; 0FFA ₁₆ ; 1221 ₁₆ | N/A |
| 10 | 12EE ₁₆ ; 0BE8 ₁₆ ; 0F4B ₁₆ ; 156E ₁₆ ; 0D0C ₁₆ ; 1312 ₁₆ ; 1A56 ₁₆ ; 0BD0 ₁₆ | N/A |
| 11 | 1300 ₁₆ ; 0E3A ₁₆ ; 02D3 ₁₆ ; 003F ₁₆ ; 18EF ₁₆ ; 06AC ₁₆ ; 0AB6 ₁₆ ; 0CF5 ₁₆ | N/A |
| 12 | 14F0 ₁₆ ; 1C18 ₁₆ ; 122A ₁₆ ; 0233 ₁₆ ; 132F ₁₆ ; 051F ₁₆ ; 1973 ₁₆ ; 01AD ₁₆ | N/A |
| 13 | 1A2D ₁₆ ; 1ABF ₁₆ ; 0988 ₁₆ ; 1DA4 ₁₆ ; 1DCB ₁₆ ; 0CFB ₁₆ ; 065B ₁₆ ; 1FAA ₁₆ | N/A |
| 14 | 1CCF ₁₆ ; 138B ₁₆ ; 1F00 ₁₆ ; 153B ₁₆ ; 1D56 ₁₆ ; 008D ₁₆ ; 01C4 ₁₆ ; 17CF ₁₆ | N/A |
| 15 | 1CE7 ₁₆ ; 11D6 ₁₆ ; 0D59 ₁₆ ; 05CE ₁₆ ; 18C4 ₁₆ ; 06CF ₁₆ ; 0F68 ₁₆ ; 1782 ₁₆ | N/A |

7.2.2.2.4 Modulation of the INUSE, PR and NACK signals for power lines

The constellation scrambler LFSR generator shall be initialized at the beginning of the INUSE, PR and NACK signals to the same seed used for the 1st preamble section, as defined in Table 7-74 (default seed) and Table 7-75 (domain-specific seeds).

7.2.2.2.5 Modulation of the IDPS signal for power lines

The inter-domain presence signal (IDPS) is used for the neighbouring domain interference mitigation (NDIM) mechanism (see clause 8.14 in [ITU-T G.9961]).

The IDPS signal shall be generated in the same way as INUSE, PR and NACK signals (see clauses 7.1.4.5.3.1.2, 7.1.4.5.3.2.2 and 7.1.4.5.3.3.2).

The constellation scrambler LFSR generator shall be initialized at the beginning of the IDPS signal to the seed 166C₁₆.

7.2.2.3 PSD mask specifications

See clause 6.2.2 of [ITU-T G.9964].

7.2.2.4 Permanently masked subcarriers

See clause 6.2.3 of [ITU-T G.9964].

7.2.3 Physical layer specification over coax

7.2.3.1 Control parameters

See clause 6.3.1 of [ITU-T G.9964].

7.2.3.2 Preamble, PR signal, and INUSE signal

7.2.3.2.1 Preamble structure

Table 7-76 illustrates the preamble structure for coax.

Table 7-76 – Preamble structure for transmission over coax

| | 1st section | 2nd section | 3rd section |
|--|-------------|-------------|-------------|
| Number of symbols (N_i) | 10 | 4 | 2.5 |
| Subcarrier spacing ($k_i \times F_{SC}$) | $k_i = 4$ | $k_i = 4$ | $k_i = 1$ |

Table 7-77 illustrates the preamble structure for coax RF.

Table 7-77 – Preamble structure for RF transmission over coax

| | 1st section | 2nd section | 3rd section |
|--|-------------|-------------|-------------|
| Number of symbols (N_i) | 10 | 4 | 2.5 |
| Subcarrier spacing ($k_i \times F_{SC}$) | $k_i = 4$ | $k_i = 4$ | $k_i = 1$ |

7.2.3.2.2 INUSE, PR and NACK signal generation parameters for coax

Table 7-78 illustrates the INUSE, PR and NACK signal generation parameters for coax.

Table 7-78 – INUSE, PR and NACK signal generation parameters for coax

| Parameter | Value |
|--|-------|
| Number of symbols (N_{PRS}) | 9 |
| Subcarrier spacing ($k_i \times F_{SC}$) | 4 |

Table 7-79 illustrates the INUSE, PR and NACK signal generation parameters for coax RF.

Table 7-79 – INUSE, PR and NACK signal generation parameters for coax RF

| Parameter | Value |
|--|-------|
| Number of Symbols (N_{PRS}) | 9 |
| Subcarrier spacing ($k_i \times F_{SC}$) | 4 |

7.2.3.2.3 Modulation of the preamble for coax

When using a default seed, the constellation scrambler LFSR generator (see clause 7.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed that is section dependent as defined in Table 7-80.

When using a "domain-specific" seed, the constellation scrambler LFSR generator (see clause 7.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a

set of seeds defined by the DM in the DM_Defined_Seed field of the additional domain information subfield (see clause 8.8.5.15 of [ITU-T G.9961]).

Table 7-80 – Default constellation scrambler initialization seed values for the preamble, for coax

| Medium | 1st section | 3rd section |
|-----------------|--------------------|--------------------|
| Coax (Baseband) | 16E6 ₁₆ | 1105 ₁₆ |
| Coax (RF) | 1C62 ₁₆ | 12C4 ₁₆ |

Domain-specific constellation scrambler initialization seed values for the preamble for both coax and coax RF are for further study.

7.2.3.2.4 Modulation of the INUSE, PR and NACK signals for coax

The constellation scrambler LFSR generator (see clause 7.1.4.3.3) shall be initialized at the beginning of the INUSE, PR and NACK signals to the same seed used for the 1st preamble section, as defined in Table 7-80.

7.2.3.3 PSD mask specifications

See clause 6.3.2 of [ITU-T G.9964].

7.2.3.4 Permanently masked subcarriers

See clause 6.3.3 of [ITU-T G.9964].

7.2.3.5 Coexistence on coax

See clause 6.3.4 of [ITU-T G.9964].

7.2.4 Transmitter EVM requirements

The deviation of the actual transmit signal from the corresponding constellation point shall be estimated by the value of error vector magnitude (EVM) calculated as:

$$EVM = 20 \log \frac{\text{error_vector_RMS}}{\text{reference_signal}}$$

The interpretation of EVM components for a constellation point is illustrated in Figure 7-28.

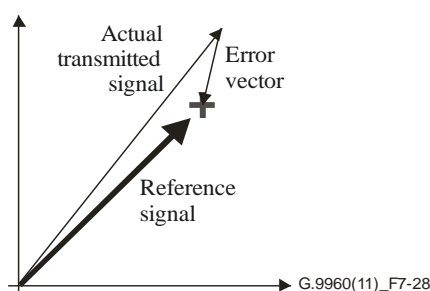


Figure 7-28 – Interpretation of EVM

The EVM for a subcarrier at the output of a transceiver (i.e., at the MDI reference point), for at least 90% of active subcarriers, shall not exceed values presented in Table 7-81 at the maximum transmit power that the transceiver is capable of transmitting within a transmit PSD mask.

Table 7-81 – Maximum EVM values for different media and OFBs

| Medium | EVM (Note 1) |
|---|--|
| Baseband power line (Note 2) | –33 dB for $f \leq 30$ MHz –3 dB for $f > 30$ MHz |
| Telephone line | –40 dB |
| Baseband coax | –40 dB |
| RF coax | –28 dB |
| NOTE 1 – Values of EVM shall be verified using standard termination impedance for each type of medium (see clause 7.2.5). | |
| NOTE 2 – EVM for LCP shall be –20 dB for all frequency ranges. | |

7.2.5 Termination impedance

See clause 6.4 of [ITU-T G.9964].

7.2.6 Total transmit power

See clause 6.5 of [ITU-T G.9964].

7.2.7 Receiver input impedance

See clause 6.6 of [ITU-T G.9964].

7.2.8 Longitudinal conversion loss

The longitudinal conversion loss (LCL) of telecommunication lines or telecommunication ports is a measure of the degree of unwanted transversal signal produced at the terminals of the G.hn device due to the presence of a longitudinal signal on the connecting leads.

The longitudinal voltage (V_{cm}) to transversal voltage (V_{diff}) ratio shall be measured in accordance with [ITU-T G.117] and [ITU-T O.9]. During the measurement, the transceiver under test shall be powered, and in L1 mode (see clause 8.21 of [ITU-T G.9961]).

$$LCL = 20 \log_{10} \left| \frac{V_{cm}}{V_{diff}} \right| \text{dB}$$

The specification of LCL for mediums other than phoneline is for further study.

7.2.8.1 LCL for phoneline

The LCL of the G.hn transceiver shall be greater than or equal to 38 dB in the frequency band up to 12 MHz. The LCL above 12 MHz is for further study. The termination impedance of the transceiver for LCL measurement shall be the one corresponding to the phoneline medium as stated in Table 6-11 of [ITU-T G.9964]. The LCL shall be measured at the MDI interface. LCL shall be measured in the frequency band between the lowest frequency (StartSubCarrier) and the highest frequency (StopSubCarrier) used by the transmitter (see Table 8-16.6 of [ITU-T G.9961]).

NOTE – The equipment balance should be better than the anticipated access network balance in order to minimize the unwanted emissions and susceptibility to external RFI.

8 Data link layer specification

See clause 8 of [ITU-T G.9961].

9 Security

See clause 9 of [ITU-T G.9961].

10 High capacity header physical layer specification (HCH-PHY)

10.1 Medium independent specification

10.1.1 Functional model of the PHY

See clause 7.1.1

Figure 10-1 – See Figure 7-1

(This figure is intentionally left blank)

10.1.2 Physical coding sublayer (PCS)

See clause 7.1.2.

Figure 10-2 – See Figure 7-2

(This figure is intentionally left blank)

10.1.2.1 PHY frame

The format of the PHY frame for the half duplex (HDX) mode of operation is presented in Figure 10-3 and for the full duplex (FDX) mode of operation in Figure 10-3.1. The PHY frame at the α -reference point includes a header, and a payload. The preamble and additional channel estimation (ACE) symbols are added to the PHY frame in the PMD, as described in clauses 10.1.4.5 and 10.1.4.2.5, respectively. The preamble does not carry any user or management data and is intended for synchronization and initial channel estimation. The format of the preamble and header are the same for HDX and FDX modes of operation.

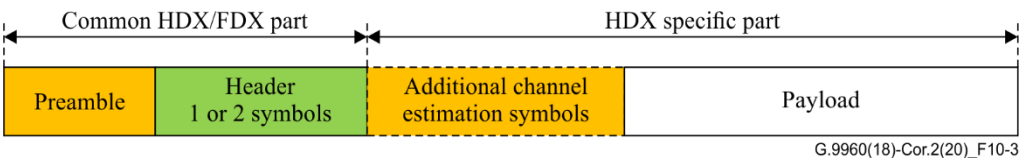


Figure 10-3– Format of an HDX PHY frame

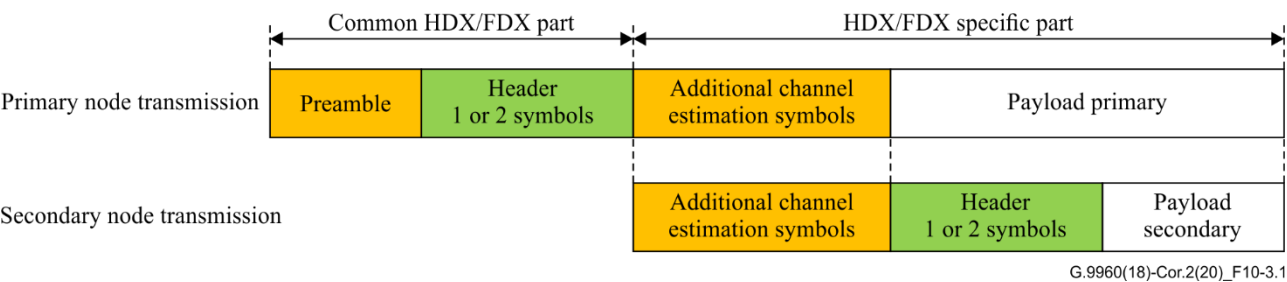


Figure 10-3.1 – Format of an FDX PHY frame

The PHY-frame header and payload shall each contain an integer number of OFDM symbols.

The PHY-frame header always fits into an integer number of symbols and is transmitted using a single predefined set of modulation and coding parameters (see clause 10.1.3.4).

The presence of ACE symbols is frame type and duplexing mechanism dependent (see clause 10.1.2.3).

The length of the payload may vary from frame to frame; the payload may be of zero length. For the payload, different coding parameters and bit loading can be used in different frames, depending on the channel/noise characteristics and QoS requirements.

The types of PHY frames used in this Recommendation are summarized in Table 10-1.

Table 10-1 – PHY frame types

| Frame type | Header | Payload | Description | Reference |
|--|--------|---------|--|--|
| MAP/RMAP | √ | √ | A frame carrying the MAP or RMAP; the payload contains an MPDU | Clause 10.1.2.3.2.1, clause 8.8 of [ITU-T G.9961] |
| MSG | √ | √ | A frame carrying user data or management data or both; the payload contains an MPDU | Clause 10.1.2.3.2.2 |
| ACK | √ | None | An acknowledgement frame; the relevant ARQ data is communicated in the header | Clause 10.1.2.3.2.3 |
| RTS | √ | None | A request-to-send frame; the relevant data is communicated in the header | Clause 10.1.2.3.2.4 |
| CTS | √ | None | A clear-to-send frame; the relevant data is communicated in the header | Clause 10.1.2.3.2.5 |
| CTMG | √ | None | A frame carrying a short control message | Clause 10.1.2.3.2.6 |
| PROBE | √ | √ | A frame carrying probe symbols in its payload | Clause 10.1.2.3.2.7, clause 10.1.3.6 |
| ACKRQ | √ | None | An ACK retransmission request frame; the relevant data is communicated in the header | Clause 10.1.2.3.2.8 |
| BMSG | √ | √ | A bidirectional MSG frame | Clause 10.1.2.3.2.9, clause 8.3.7 of [ITU-T G.9961] |
| BACK | √ | √ | A bidirectional ACK frame | Clause 10.1.2.3.2.10, clause 8.3.7 of [ITU-T G.9961] |
| ACTMG | √ | None | An acknowledgment frame for a CTMG frame | Clause 10.1.2.3.2.11 |
| FTE | √ | Note | Frame type extension | Clause 10.1.2.3.2.16 |
| NOTE – Whether a payload is present or not depends on the definition of the extended frame type. | | | | |

10.1.2.2 MPDU mapping

See clause 7.1.2.2

10.1.2.3 PHY-frame header

The core part of the PHY-frame header is PHY_H bits long (see clause 10.1.3.2.2). It is transmitted over D (see clause 10.1.3.5.2) consecutive OFDM symbols, where $D = 1$. The core part of the PHY-frame header is composed of a common part and a variable part. The common part contains fields that are common for all PHY-frame types. The variable part contains fields according to the PHY-frame type. The PHY-frame type is indicated by the FT field. The PAD fields fit the length of the

header of different PHY frame-types to the standard value of PHY_H bits. The content of the core part is protected by the 16-bit header check sequence (HCS).

Whenever sub-clauses of clause 10 reference clause 7, the term PHY_H is replaced by PHY_{HCH}.

The fields of the core part of the PHY-frame header are defined in Table 10-2.

Table 10-2 – Core part of the PHY-frame header

| Field | Octet | Bits | Description | Reference |
|---|-----------|---------|--|---------------------|
| | | | | Common part |
| FT | 0 | [3:0] | Frame type | Clause 10.1.2.3.1.1 |
| DOD | | [7:4] | Domain ID | Clause 10.1.2.3.1.2 |
| SID | 1 | [7:0] | DEVICE_ID of the source node | Clause 10.1.2.3.1.3 |
| DID | 2 | [7:0] | DEVICE_ID, MULTICAST_ID or BROADCAST_ID of the destination node(s) | Clause 10.1.2.3.1.4 |
| MI | 3 | [0] | Multicast indication identifying whether the DID is a unicast or multicast destination | Clause 10.1.2.3.1.5 |
| DRI | | [1] | Duration indication identifying whether FTSF starts with a 16-bit duration field | Clause 10.1.2.3.1.6 |
| Reserved | | [2] | Reserved for ITU-T | Clause 10.1.2.3.1.7 |
| HSI | | [3] | Header segmentation indication | Clause 10.1.2.3.1.8 |
| Reserved | | [7:4] | Reserved by ITU-T (Note) | |
| | | | | Variable part |
| FTSF | 4 to 45 | [335:0] | Frame-type specific field | Clause 10.1.2.3.2 |
| | | | | Common part |
| HCS | 46 and 47 | [15:0] | Header check sequence | Clause 10.1.2.3.1.9 |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

Figure 10-4 – Placeholder for Figure

(This figure is intentionally left blank)

10.1.2.3.1 Common part fields

10.1.2.3.1.1 Frame type (FT)

See clause 7.1.2.3.1.1

Table 10-3 – See Table 7-3

(This table has been intentionally left blank)

10.1.2.3.1.2 Domain ID (DOD)

See clause 7.1.2.3.1.2

10.1.2.3.1.3 Source ID (SID)

See clause 7.1.2.3.1.3

10.1.2.3.1.4 Destination ID (DID)

See clause 7.1.2.3.1.4

10.1.2.3.1.5 Multicast indication (MI)

See clause 7.1.2.3.1.5

10.1.2.3.1.6 Duration indication (DRI)

If the DRI bit is set to one, the FTSF shall start with a duration field. If this bit is set to zero, the PHY frame shall not contain any payload (i.e., contains only preamble and PHY-frame header).

The duration field contains the duration of a single PHY frame or PHY frame sequence. It shall be represented as a 16-bit unsigned integer with valid values in steps of 0.25 μ s. It shall be the smallest integer larger than or equal to the actual duration. The duration field is defined separately depending on the frame type. If a node detects a PHY frame with unknown frame type, the node shall assume for its virtual carrier sense that the channel will be occupied for that duration. After that time there shall be an inter-frame gap equal to the T_{IFG_MIN} corresponding to that TXOP

Table 10-4 – Value of DRI for different frame types

| Frame type | Value of DRI |
|-------------------|---------------------|
| MAP/RMAP | one |
| MSG | one |
| ACK | zero |
| RTS | one |
| CTS | one |
| PROBE | one |
| ACKRQ | zero |
| BMSG | one |
| BACK | one |
| CTMG | zero |
| ACTMG | zero |
| FTE | zero or one |

10.1.2.3.1.7 Reserved

Table 10-5 – Placeholder for table

(This table has been intentionally left blank)

10.1.2.3.1.8 Header segmentation indication (HSI)

See clause 7.1.2.3.1.8

10.1.2.3.1.9 Header check sequence (HCS)

See clause 7.1.2.3.1.9

10.1.2.3.2 Variable part fields

See clause 7.1.2.3.2

10.1.2.3.2.1 MAP and RMAP PHY-frame type specific fields

Table 10-6 lists the PHY-frame header fields which are specific to the MAP and RMAP frame type.

Table 10-6 – MAP and RMAP PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|-----------|---------|--|------------------------|
| MAP_DUR | 0 and 1 | [15:0] | Duration for MAP frame | Clause 10.1.2.3.2.1.1 |
| NTR | 2 to 5 | [31:0] | Network time reference | Clause 10.1.2.3.2.1.2 |
| CYCSTART | 6 to 9 | [31:0] | MAC cycle start time | Clause 10.1.2.3.2.1.3 |
| Reserved | 10 and 11 | [11:0] | Reserved by ITU-T | |
| SI | | [15:12] | Scrambler initialization | Clause 10.1.2.3.2.1.5 |
| BLKSZ | 12 | [1:0] | Block size of FEC codeword for MAP frame payload | Clause 10.1.2.3.2.1.6 |
| REP | | [4:2] | Number of repetitions for encoding payload | Clause 10.1.2.3.2.1.7 |
| FCF | | [7:5] | FEC concatenation factor | Clause 10.1.2.3.2.1.8 |
| OFB_ID | 13 | [2:0] | Operational Frequency Band Identifier | Clause 10.1.2.3.2.1.9 |
| MAP_TYPE | | [3] | MAP type | Clause 10.1.2.3.2.1.10 |
| RMAPI | | [4] | RMAP indication | Clause 10.1.2.3.2.1.11 |
| Reserved | | [7:5] | Reserved by ITU-T (Note) | |
| NUM_HOPS | 14 | [3:0] | Number of hops from domain master | Clause 10.1.2.3.2.1.12 |
| Reserved | | [7:4] | Reserved by ITU-T (Note) | |
| KMIN | 15 | [7:0] | k_{min} for payload repetition encoding | Clause 10.1.2.3.2.1.13 |
| Reserved | 16 to 41 | [207:0] | Reserved by ITU-T (Note) | |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

10.1.2.3.2.1.1 Duration for MAP frame (MAP_DUR)

See clause 7.1.2.3.2.1.1

10.1.2.3.2.1.2 Network time reference (NTR)

See clause 7.1.2.3.2.1.2

10.1.2.3.2.1.3 MAC cycle start time (CYCSTART)

See clause 7.1.2.3.2.1.3

10.1.2.3.2.1.4 Reserved

10.1.2.3.2.1.5 Scrambler initialization (SI)

See clause 7.1.2.3.2.1.5

10.1.2.3.2.1.6 Block size (BLKSZ)

See clause 7.1.2.3.2.1.6

Table 10-7 – See Table 7-7

(This table has been intentionally left blank)

10.1.2.3.2.1.7 Repetitions (REP)

See clause 7.1.2.3.2.1.7

Table 10-8 – See Table 7-8

(This table has been intentionally left blank)

10.1.2.3.2.1.8 FEC concatenation factor (FCF)

See clause 7.1.2.3.2.1.8

Table 10-9 – See Table 7-9

(This table has been intentionally left blank)

10.1.2.3.2.1.9 Operational Frequency Band identifier (OFB_ID)

The OFB_ID field shall contain the identifier for the operational frequency band used by the node. It is a 3-bit field that shall be coded as shown in Table 10-10.

Table 10-10 – Operational Frequency Band identifier

| OFB_ID value (b₇b₆b₅) | Description |
|---|--------------------|
| 000 | Reserved by ITU-T |
| 001 | 25 MHz |
| 010 | 50 MHz |
| 011 | 100 MHz |
| 100 | 200 MHz |
| 101 | K-OFB |
| 110 and 111 | Reserved by ITU-T |

10.1.2.3.2.1.10 MAP type (MAP_TYPE)

See clause 10.1.2.3.2.1.10

10.1.2.3.2.1.11 RMAP indication (RMAPI)

See clause 7.1.2.3.2.1.11

10.1.2.3.2.1.12 Number of hops from domain master (NUM_HOPS)

See clause 7.1.2.3.2.1.12

10.1.2.3.2.1.13 k_{\min} for payload repetition encoding

The KMIN field shall contain the value to be used to calculate the number of OFDM symbols needed to transmit the RCM encoded codeword (see clause 10.1.3.3.1).

The value of KMIN field shall be coded as shown in table 10-10.1

Table 10-10.1 – Possible values of k_{\min}

| Value of KMIN field | Kmin |
|--------------------------------------|-------------------|
| 00 ₁₆ | 1024 |
| 01 ₁₆ | 2048 |
| 02 ₁₆ | 3072 |
| 03 ₁₆ | 4096 |
| 04 ₁₆ | 6144 |
| 05 ₁₆ | 8192 |
| 06 ₁₆ | 12288 |
| 07 ₁₆ | 17408 |
| 08 ₁₆ to FF ₁₆ | Reserved by ITU-T |

10.1.2.3.2.2 MSG PHY-frame type specific fields

Table 10-11 lists the PHY-frame header fields which are specific to the MSG frame type.

Table 10-11 – MSG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---------------|---------|--------|---|-----------------------|
| MSG_DUR | 0 and 1 | [15:0] | Duration for MSG frame | Clause 10.1.2.3.2.2.1 |
| BLKSZ/LPDUSZ | 2 | [1:0] | Block size of FEC codeword for MSG frame payload/LPDU size for a connection | Clause 10.1.2.3.2.2.2 |
| FEC_RATE | | [4:2] | FEC coding rate for MSG frame payload | Clause 10.1.2.3.2.2.3 |
| REP | | [7:5] | Number of repetitions used for encoding the MSG frame payload | Clause 10.1.2.3.2.2.4 |
| FCF | 3 | [2:0] | FEC concatenation factor | Clause 10.1.2.3.2.2.5 |
| SI | | [6:3] | Scrambler initialization | Clause 10.1.2.3.2.2.6 |
| MDET | | [7] | Master is detected | Clause 10.1.2.3.2.2.7 |
| BAT_ID | 4 | [4:0] | Bit allocation table identifier | Clause 10.1.2.3.2.2.8 |
| OFB_ID/GRP_ID | | [7:5] | Operational Frequency Band identifier/subcarrier grouping identifier | Clause 10.1.2.3.2.2.9 |

Table 10-11 – MSG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|----------------|----------|--------|--|-------------------------------------|
| GI_ID | 5 | [2:0] | Guard interval identifier | Clause 10.1.2.3.2.2.1 0 |
| APSD_MAX-M | | [7:3] | APSD_MAX-M of an MSG frame | Clause 10.1.2.3.2.2.1 1 |
| CONNECTION_ID | 6 | [7:0] | Connection identifier | Clause 10.1.2.3.2.2.1 2 |
| RPRQ | 7 | [1:0] | Reply required | Clause 10.1.2.3.2.2.1 3 |
| BRSTCnt | | [3:2] | Burst frame count | Clause 10.1.2.3.2.2.1 4 |
| BEF | | [4] | Burst end flag | Clause 10.1.2.3.2.2.1 5 |
| AIFG_IND | | [5] | AIFG indication | Clause 10.1.2.3.2.2.1 6 |
| Reserved | | [6] | Reserved | Reserved for use by ITU-T G.9963 |
| APSD_MAX-M_EXT | | [7] | APSD_MAX-M extension | Clause 10.1.2.3.2.2.2 5 |
| ACE_SYM | 8 | [2:0] | Number of ACE symbols | Clause 10.1.2.3.2.2.1 7 |
| CNN_MNGMT | | [6:3] | Connection management | Clause 10.1.2.3.2.2.1 8 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note 1) |
| BRURQ | 9 and 10 | [15:0] | Bandwidth reservation update request | Clause 10.1.2.3.2.2.1 9 (Note 2) |
| START_SSN | 9 and 10 | [15:0] | Start segment sequence number | Clause 10.1.2.3.2.2.2 0 (Note 3) |
| CURRTS | 11 | [6:0] | Current TS | Clause 10.1.2.3.2.2.2 1 |
| BTXRQ | | [7] | Request for bidirectional transmission | Clause 10.1.2.3.2.2.2 2 |

Table 10-11 – MSG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|-----------|---------|---|----------------------------------|
| NUM_MACK_SLOTS | 12 | [2:0] | Number of Mc-ACK slots | Clause 10.1.2.3.2.2.2 3 |
| ADVISED_WIN_SIZE | | [7:3] | In connection establishment this field may specify advised window size. | Clause 10.1.2.3.2.2.2 4 (Note 4) |
| Reserved | 13 | [0] | Reserved | Reserved for use by ITU-T G.9991 |
| AIFGD_TYPE | | [1] | AIFGD Type | Clause 10.1.2.3.2.2.16 |
| Reserved | | [7:2] | Reserved | Reserved by ITU-T (Note 1) |
| KMIN | 14 | [7:0] | k_{min} for payload repetition encoding | Clause 10.1.2.3.2.2.27 |
| Reserved | 15 and 41 | [215:0] | Reserved | Reserved by ITU-T (Note 1) |
| <p>NOTE 1 – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver.</p> <p>NOTE 2 – The BRURQ field is defined when the START_SSN field is not defined (see Note 3).</p> <p>NOTE 3 – The START_SSN field is defined only when CNN_MNGMT = 0001, CNN_MNGMT = 0011, CNN_MNGMT = 0101 or CNN_MNGMT = 0111. Otherwise, the meaning of this field is BRURQ.</p> <p>NOTE 4 – The ADVISED_WIN_SIZE field is defined only when CNN_MNGMT = 0101, otherwise these bits are reserved by ITU-T and shall be set to zero by the transmitter and ignored by the receiver.</p> | | | | |

10.1.2.3.2.2.1 Duration for MSG frame (MSG_DUR)

See clause 7.1.2.3.2.2.1

10.1.2.3.2.2.2 Block size/LPDU size (BLKSZ/LPDUSZ)

For frames not involved in the establishment of a connection this field contains BLKSZ, the information block size of the FEC codeword that is used for the payload of the MSG frame. It is a 2-bit field that shall be coded as shown in Table 10-7.

For frames involved in the establishment of a connection (CNN_MNGMT = 0001, CNN_MNGMT = 0010, CNN_MNGMT = 0101 or CNN_MNGMT = 0110) this field contains LPDUSZ, indicating the LPDU size selected for a new connection during the establishment of connections as defined in clause 8.12 of [ITU-T G.9961].

LPDUSZ is a 2-bit field that shall be coded as shown in Table 10-11.1.

Table 10-11.1 – LPDUSZ field values

| LPDUSZ value (b_1b_0) | Interpretation |
|---------------------------|--------------------|
| 00 | 120-byte LPDU |
| 01 | 540-byte LPDU |
| 10 | 2160-byte LPDU |
| 11 | Reserved for ITU-T |

10.1.2.3.2.2.3 FEC coding rate (FEC_RATE)

See clause 7.1.2.3.2.2.3

Table 10-12 – See Table 7-12

(This table has been intentionally left blank)

10.1.2.3.2.2.4 Repetitions (REP)

See clause 7.1.2.3.2.2.4

10.1.2.3.2.2.5 FEC concatenation factor (FCF)

See clause 7.1.2.3.2.2.5

10.1.2.3.2.2.6 Scrambler initialization (SI)

See clause 7.1.2.3.2.2.6

10.1.2.3.2.2.7 Master is detected indication (MDET)

See clause 7.1.2.3.2.2.7

10.1.2.3.2.2.8 Bit allocation table identifier (BAT_ID)

See clause 7.1.2.3.2.2.8

10.1.2.3.2.2.9 Operational frequency band identifier/subcarrier grouping identifier (OFB_ID/GRP_ID)

For predefined BATs with uniform loading (type 0, type 1, type 2 and Type 3), the OFB_ID/GRP_ID field shall contain the identifier for the operational frequency band used by the node and shall be coded as shown in Table 10-10. Otherwise, it shall contain the subcarrier grouping (see clause 10.1.4.2.4) and shall be coded as shown in Table 10-13.

Table 10-13 – Format of the GRP_ID field

| GRP_ID value (b₇b₆b₅) | Description |
|---|---------------------------------------|
| 000 | Default – No subcarrier grouping |
| 001 | Subcarrier grouping of 2 subcarriers |
| 010 | Subcarrier grouping of 4 subcarriers |
| 011 | Subcarrier grouping of 8 subcarriers |
| 100 | Subcarrier grouping of 16 subcarriers |
| 101 | Subcarrier grouping of 32 subcarriers |
| 110 | Subcarrier grouping of 64 subcarriers |
| 111 | Reserved by ITU-T |

10.1.2.3.2.2.10 Guard interval identifier (GI_ID)

See clause 7.1.2.3.2.2.10

Table 10-14 – See Table 7-14

(This table has been intentionally left blank)

10.1.2.3.2.2.11 Maximum actual PSD of MSG frame (APSD_MAX-M)

See clause 7.1.2.3.2.2.11.

10.1.2.3.2.2.12 Connection identifier (CONNECTION_ID)

See clause 7.1.2.3.2.2.12.

10.1.2.3.2.2.13 Reply required (RPRQ)

See clause 7.1.2.3.2.2.13

Table 10-15 – See Table 7-15

(This table has been intentionally left blank)

10.1.2.3.2.2.14 Burst frame count (BRSTCnt)

See clause 7.1.2.3.2.2.14.

10.1.2.3.2.2.15 Burst end flag (BEF)

See clause 7.1.2.3.2.2.15.

10.1.2.3.2.2.16 AIFG indication (AIFG_IND)

For unicast, if the AIFG_IND field is set to one, the receiver-specific AIFG value, T_{AIFG} , shall be used by the transmitter. If the AIFG_IND field is set to zero, a default AIFG shall be used. The receiver shall ACK this frame, whenever Imm-ACK is used, after either T_{AIFG} , T_{AIFG-D} or one of the default AIFGs (See clause 10.1.2.3.2.2.26) as indicated by AIFG_IND.

For multicast, the AIFG_IND field shall always be set to zero.

10.1.2.3.2.2.17 ACE symbols (ACE_SYM)

See clause 7.1.2.3.2.2.17

Table 10-16 – See Table 7-16

(This table has been intentionally left blank)

10.1.2.3.2.2.18 Connection management (CNN_MNGMT)

See clause 7.1.2.3.2.2.18

Table 10-17 – See Table 7-17

(This table has been intentionally left blank)

10.1.2.3.2.2.19 Bandwidth reservation update request (BRURQ)

The BRURQ field shall contain updates in the bandwidth reserved for this node connection. It is a 16-bit field that shall be coded as shown in Table 10-18. The domain master shall follow the BRURQ fields of all nodes (see clause 8.6.2.2 of [ITU-T G.9961](#)).

Table 10-18 – Format of the BRURQ field

| Field | Octet | Bits | Description |
|--|-------|-------|---|
| ConnState | 0 | [7:0] | Contains the number of accumulated bytes in the connection queue. This value is specified in units of Kbytes, expressed as ceiling (number of bytes/1024). |
| FlowLineRate | 1 | [7:0] | Indicates the current PHY data rate in bytes/symbol. The range of valid values and the corresponding valid increments (see Table 10-19) depend on the medium. This value is expressed as floor (number of transmitted bytes per symbol/increment) (Note). |
| NOTE – Bytes/symbol value shall be calculated by applying the same formula and rules as Note 1 of Table 8-48 of ITU-T G.9961 and multiplying the result by the symbol period (T_{OFDM} as defined in clause 10.1.4.4.4) and dividing by 8. DM shall consider this figure as an indication of the average throughput taking into account the different tone maps used in the MAC cycle. | | | |

Table 10-19 – Valid increments for BRURQ field

| Type | Increment |
|---------------------------------|-----------|
| Power line | 32 bytes |
| Telephone line (Profile 1 OFBs) | 16 bytes |
| Telephone line (Profile 2 OFBs) | 128 bytes |
| Coax (Profile 1 OFBs) | 4 bytes |
| Coax (Profile 2 OFBs) | 32 bytes |

10.1.2.3.2.2.20 Start segment sequence number (START_SSN)

See clause 7.1.2.3.2.2.20.

10.1.2.3.2.2.21 Current TS (CURRTS)

See clause 7.1.2.3.2.2.21.

10.1.2.3.2.2.22 Request for bidirectional transmission (BTXRQ)

See clause 7.1.2.3.2.2.22.

10.1.2.3.2.2.23 Number of Mc-ACK slots (NUM_MCAK_SLOTS)

See clause 7.1.2.3.2.2.23.

10.1.2.3.2.2.24 Advised Window Size (ADVISED_WIN_SIZE)

See clause 7.1.2.3.2.2.24.

10.1.2.3.2.2.25 APSD_MAX-M extension

See clause 10.1.2.3.2.2.11.

10.1.2.3.2.26 AIFGD_TYPE

For unicast, if the AIFGD_TYPE field is set to one, the per receiver default AIFG value $T_{\text{AIFG-DR}}$ assigned to the receiver, shall be used by the transmitter. If the AIFGD_TYPE field is set to zero, the default AIFG value $T_{\text{AIFG-D}}$, shall be used.

For multicast, the AIFGD_TYPE field shall always be set to zero.

10.1.2.3.2.27 k_{\min} for payload repetition encoding

See clause 10.1.2.3.2.1.13.

10.1.2.3.2.3 ACK PHY-frame type specific fields

Table 10-20 lists the PHY-frame header fields which are specific to the core part of the PHY-frame header of the ACK frame type.

Table 10-20 – ACK PHY frame type specific fields

| Field | Octet | Bits | Description | Reference |
|----------------------------------|----------|-----------|--|-------------------------------------|
| FLCTRL_CONN | 0 | [0] | Flow control connection flag | Clause 10.1.2.3.2.3.1 |
| FLCTRLT | | [1] | Flow control type | Clause 10.1.2.3.2.3.2 |
| FLCTRL | | [6:2] | Flow control | Clause 10.1.2.3.2.3.3 |
| FLCTRL_EXT | | [7] | Flow control extension | Clause 10.1.2.3.2.3.1 1 |
| RXRST_DATA | 1 | [0] | Data RX reset flag | Clause 10.1.2.3.2.3.5 |
| RXRST_MNGMT | | [1] | Management RX reset flag | Clause 10.1.2.3.2.3.6 |
| BAD_BURST | | [2] | Bad burst indication | Clause 10.1.2.3.2.3.7 |
| BTXRQ | | [3] | Request for bidirectional transmission | Clause 10.1.2.3.2.3.4 |
| Reserved | | [4] | Reserved | Reserved by ITU-T (Note 2) |
| Reserved | | [7:5] | Reserved | Reserved for use by ITU-T G.9991 |
| ACK_CE_CTRL/ RX_CONN_WIN_SIZE | 2 | [6:0] | ACK channel estimation control/Receiver window size for the connection. (Note 1) | Clause 10.1.2.3.2.3.8 |
| Reserved | | [7] | Reserved | Reserved for use by ITU-T G.9963 |
| ACKDATA/MACK_D | 3 to 23 | [163:0] | Acknowledgement data and Mc-ACK descriptor | Clause 10.1.2.3.2.3.9 |
| Reserved | | [166:164] | Reserved | Reserved for use by ITU-T G.9991 |
| Reserved | | [167] | Reserved | Reserved by ITU-T (Note 2) |
| Reserved | 24 to 41 | [143:0] | Reserved | Reserved by ITU-T (Note 2) |

Table 10-20 – ACK PHY frame type specific fields

NOTE 1 – This field is interpreted as RX_CONN_WIN_SIZE only when the ACK frame is sent as a reply for MSG frame requesting set-up or reset of either a data or a management connection (i.e., when CNN_MNGMT in the MSG frame is 0101₂, 0001₂, 0011₂ or 0111₂).

NOTE 2 – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver.

10.1.2.3.2.3.1 Flow control connection flag (FLCTRL_CONN)

See clause 7.1.2.3.2.3.1.

10.1.2.3.2.3.2 Flow control type (FLCTRLT)

See clause 7.1.2.3.2.3.2

Table 10-21 – See Table 7-21

(This table has been intentionally left blank)

10.1.2.3.2.3.3 Flow control (FLCTRL)

The FLCTRL field shall be used for flow control between the transmitter and the receiver as described in clause 8.12.4 of [\[ITU-T G.9961\]](#). Interpretation of the FLCTRL field depends on the setting of the FLCTRLT field.

If the FLCTRLT field is set to zero (status report), the FLCTRL field shall contain the number of LPDUs that the receiver can buffer for this flow. It is a 5-bit field that shall be coded as shown in Table 10-22.

Table 10-22 – FLCTRL field values for status report

| FLCTRL value (b₆b₅b₄b₃b₂) | Connections with 2160 byte LPDUs | Connections with 540 byte LPDUs | Connections with 120 byte LPDUs |
|---|---|--|--|
| 00000 | 2 | 4 | 6 |
| 00001 | 4 | 8 | 12 |
| 00010 | 6 | 12 | 18 |
| 00011 | 8 | 16 | 24 |
| 00100 | 10 | 20 | 30 |
| 00101 | 12 | 24 | 36 |
| 00110 | 14 | 28 | 42 |
| 00111 | 16 | 32 | 48 |
| 01000 | 20 | 40 | 60 |
| 01001 | 24 | 48 | 72 |
| 01010 | 28 | 56 | 84 |
| 01011 | 32 | 64 | 96 |
| 01100 | 36 | 72 | 108 |
| 01101 | 40 | 80 | 120 |
| 01110 | 44 | 88 | 132 |
| 01111 | 52 | 104 | 156 |

Table 10-22 – FLCTRL field values for status report

| FLCTRL value (b₆b₅b₄b₃b₂) | Connections with 2160 byte LPDUs | Connections with 540 byte LPDUs | Connections with 120 byte LPDUs |
|---|---|--|--|
| 10000 | 60 | 120 | 180 |
| 10001 | 68 | 136 | 204 |
| 10010 | 76 | 152 | 228 |
| 10011 | 84 | 168 | 252 |
| 10100 | 92 | 184 | 276 |
| 10101 | 100 | 200 | 300 |
| 10110 | 108 | 216 | 324 |
| 10111 | 116 | 232 | 348 |
| 11000 | 124 | 248 | 372 |
| 11001 | 132 | 264 | 396 |
| 11010 | 140 | 280 | 420 |
| 11011 | 148 | 296 | 444 |
| 11100 | 156 | 312 | 468 |
| 11101 | 164 | 328 | 492 |
| 11110 | 172 | 344 | 516 |
| 11111 | 188 | 376 | 564 |

If the FLCTRLT field is set to one (hold time/management), the FLCTRL field shall contain either the time period that the transmitter shall hold transmissions to this node, or connection management information (see clause 8.12 of [ITU-T G.9961]). It is a 5-bit field that shall be coded as shown in Table 10-23.

Table 10-23 – FLCTRL field values for hold time/management

| FLCTRL value (b₆b₅b₄b₃b₂) | Interpretation |
|---|-------------------------------|
| Hold times | |
| 00000 | Until next MAC cycle |
| 00001 | 5 ms |
| 00010 | 10 ms |
| 00011 | 15 ms |
| 00100 | 20 ms |
| 00101 | 30 ms |
| 00110 | 40 ms |
| 00111 | 50 ms |
| 01000 to 11011 | Reserved by ITU-T |
| Management | |
| 11100 | Release acknowledgement |
| 11101 | Connection release indication |
| 11110 | Connection accepted |

Table 10-23 – FLCTRL field values for hold time/management

| FLCTRL value (b₆b₅b₄b₃b₂) | Interpretation |
|---|-----------------------------|
| 11111 | Unavailability of resources |

The receiver shall set the FLCTRLT field to one to convey the following connection management information (see clause 8.12 of [ITU-T G.9961]):

- If the transmitter has requested the release of a connection, the receiver shall acknowledge the release by setting the FLCTRL field to 11100₂.
- If the receiver wants to release a connection, it shall inform the transmitter by setting the FLCTRL field to 11101₂.
- If the receiver accepts a requested connection, it shall inform the transmitter by setting the FLCTRL field to 11110₂.
- If the receiver does not have resources available for a requested connection, it shall inform the transmitter by setting the FLCTRL field to 11111₂.

10.1.2.3.2.3.4 Request for bidirectional transmission (BTXRQ)

See clause 7.1.2.3.2.3.4.

10.1.2.3.2.3.5 Data RX reset flag (RXRST_DATA)

See clause 7.1.2.3.2.3.5.

10.1.2.3.2.3.6 Management RX reset flag (RXRST_MNGMT)

See clause 7.1.2.3.2.3.6.

10.1.2.3.2.3.7 Bad burst indication (BAD_BURST)

See clause 7.1.2.3.2.3.7.

10.1.2.3.2.3.8 ACK channel estimation control/Receiver window size for the connection (ACK_CE_CTRL/RX_CONN_WIN_SIZE)

See clause 7.1.2.3.2.3.8

Table 10-24 – See Table 7-24

(This table has been intentionally left blank)

Table 10-25 – See Table 7-25

(This table has been intentionally left blank)

10.1.2.3.2.3.8.1 ACK channel estimation control type (ACK_CE_CTRL_TYPE)

See clause 7.1.2.3.2.3.8.1.

10.1.2.3.2.3.8.2 Runtime BAT ID (RUNTIME_BAT_ID)

See clause 7.1.2.3.2.3.8.2.

10.1.2.3.2.3.9 Acknowledgement data and Mc-ACK descriptor (ACKDATA/MCACK_D)

See clause 7.1.2.3.2.3.9.

10.1.2.3.2.3.9.1 ACKDATA

The ACKDATA field for different cases shall be coded as shown in Tables 10-26, 10-27, 10-28 and 10-29.

Table 10-26 – Unicast ACKDATA specific fields when MNMTP is set to zero

| Field | Octet | Bits |
|----------------------------|---------|----------|
| FAACK | 0 to 38 | [2:0] |
| CONNECTION_ID | | [10:3] |
| MNMTP | | [11] |
| LSSN | | [23:12] |
| ACKI | | [163:24] |
| Reserved (See Table 10-20) | | [311:64] |

Table 10-27 – Unicast ACKDATA specific fields when MNMTP is set to one

| Field | Octet | Bits |
|----------------------------|---------|-------------------------------------|
| FAACK | 0 to 38 | [2:0] |
| CONNECTION_ID | | [10:3] |
| MNMTP | | [11] |
| MNMT_LSSN | | [17:12] |
| MNMTL | | [22:18] |
| MNMT_ACKI | | [(MNMTL_VALUE+22):23] |
| LSSN | | [(MNMTL_VALUE+34):(MNMTL_VALUE+23)] |
| ACKI | | [163:(MNMTL_VALUE+35)] |
| Reserved (see Table 10-20) | | [311:164] |

Table 10-28 – Multicast ACKDATA specific fields when MNMTP is set to zero

| Field | Octet | Bits |
|------------------------------------|---------|-----------|
| Mc-ACK descriptor (see Table 7-35) | 0 to 38 | [11:0] |
| FAACK | | [14:12] |
| CONNECTION_ID | | [22:15] |
| MNMTP | | [23] |
| LSSN | | [35:24] |
| ACKI | | [163:36] |
| Reserved (see Table 10-20) | | [311:164] |

Table 10-29 – Multicast ACKDATA specific fields when MNMTP is set to one

| Field | Octet | Bits |
|-------------------------------------|---------|-------------------------------------|
| Mc-ACK descriptor (see Table 10-35) | 0 to 38 | [11:0] |
| FAKCK | | [14:12] |
| CONNECTION_ID | | [22:15] |
| MNMTP | | [23] |
| MNMT_LSSN | | [29:24] |
| MNMTL | | [34:30] |
| MNMT_ACKI | | [(MNMTL_VALUE+34):35] |
| LSSN | | [(MNMTL_VALUE+46):(MNMTL_VALUE+35)] |
| ACKI | | [163:(MNMTL_VALUE+47)] |
| Reserved (see Table 10-20) | | [311:164] |

The format of the FACK field shall be as described in clause 10.1.2.3.2.3.9.1.5. When selective acknowledgment is used, the FACK field shall indicate the format of the ACKI field.

10.1.2.3.2.3.9.1.1 Management LSSN presence indication (MNMTP)

See clause 7.1.2.3.2.3.9.1.1.

10.1.2.3.2.3.9.1.2 ACKDATA when MNMTP is set to zero

See clause 7.1.2.3.2.3.9.1.2.

10.1.2.3.2.3.9.1.3 ACKDATA when MNMTP is set to one

See clause 7.1.2.3.2.3.9.1.3.

10.1.2.3.2.3.9.1.3.1 Management lowest SSN (MNMT_LSSN)

See clause 7.1.2.3.2.3.9.1.3.1

10.1.2.3.2.3.9.1.3.2 Management ACKI length (MNMTL)

See clause 7.1.2.3.2.3.9.1.3.2.

10.1.2.3.2.3.9.1.3.3 Management bit map encoding (MNMT_ACKI)

See clause 7.1.2.3.2.3.9.1.3.3.

10.1.2.3.2.3.9.1.4 Connection identifier (CONNECTION_ID)

See clause 7.1.2.3.2.3.9.1.4.

10.1.2.3.2.3.9.1.5 Frame ACK (FAKCK)

See clause 7.1.2.3.2.3.9.1.5

Table 10-30 – See Table 7-30

(This table has been intentionally left blank)

10.1.2.3.2.3.9.1.6 Lowest SSN (LSSN)

See clause 7.1.2.3.2.3.9.1.6.

10.1.2.3.2.3.9.1.7 ACK information (ACKI)

The reception status of data units consisting of one or more segments shall be indicated in the ACKI field by the receiver. The data unit corresponding to each indication in the ACKI field depends on the format of the ACKI field (see Table 10-30). The indication corresponding to a data unit shall be set to one if the data unit was not received correctly and shall be set to zero if the data unit was received correctly. For the cases of bit map encoding and group encoding, if there is no more information to encode, the remaining bits of this field (if any) shall be encoded by the receiver to indicate that the rest of the data units have been received with errors. For the case of run-length encoding, if there is no more information to encode, the remaining bits of this field (if any) shall be encoded to indicate that the rest of the groups in the field have a length of zero. The specific encoding for different formats of the ACKI field is as explained in the following clauses.

If the number of bits in the ACKI field is not sufficient to indicate reception status of all the received segments, a receiver may choose to use compressed encoding or limit the indication to the number of available bits. Segments corresponding to data units for which the reception status was not reported shall be considered by the transmitter as either not received correctly by the receiver or in waiting-for-ack state.

10.1.2.3.2.3.9.1.7.1 Bit map encoding

See clause 7.1.2.3.2.3.9.1.7.1.

10.1.2.3.2.3.9.1.7.2 Compressed encoding

See clause 7.1.2.3.2.3.9.1.7.2.

10.1.2.3.2.3.9.1.7.2.1 Run-length encoding

See clause 7.1.2.3.2.3.9.1.7.2.1.

Table 10-31 – See Table 7-31

(This table has been intentionally left blank)

Table 10-32 – See Table 7-32

(This table has been intentionally left blank)

10.1.2.3.2.3.9.1.7.2.2 Group encoding

See clause 7.1.2.3.2.3.9.1.7.2.2

Table 10-33 – See Table 7-33

(This table has been intentionally left blank)

Table 10-34 – See Table 7-34

(This table has been intentionally left blank)

10.1.2.3.2.3.9.2 Mc-ACK descriptor (MACK_D)

See clause 7.1.2.3.2.3.9.2.

Table 10-35 – See Table 7-35

(This Table has been intentionally left blank)

10.1.2.3.2.3.10 Reserved**10.1.2.3.2.3.11 Flow control extension (FLCTRL_EXT)**

The FLCTRL_EXT field shall be used to indicate higher values of flow control between the transmitter and the receiver as described in clause 8.12.4 of [ITU-T G.9961], compared to those indicated by the field FLCTRL as described in clause 10.1.2.3.2.3.3. This field FLCTRL_EXT shall be set to one only if the field FLCTRLT is set to 0 (see clause 10.1.2.3.2.3.2). If FLCTRL_EXT is set to one, for connections with 2160 byte LPDUs 204 is added, for connections with 540 byte LPDUs 408 is added and for connections with 120 byte LPDUs 612 is added to the value indicated by the FLCTRL (see clause 10.1.2.3.2.3.3) value to determine the actual number of LPDUs that the receiver can buffer for the flow. For example, for a connection with 540 byte LPDUs, if FLCTRL_EXT is set and FLCTRL is set to 0001₁₂ the receiver indicates that it can buffer (408 + 16 = 424) LPDUs.

10.1.2.3.2.4 RTS PHY-frame type specific fields

Table 10-36 lists the PHY-frame header fields which are specific to the RTS frame type:

Table 10-36 – RTS PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|---------|------------------------|----------------------------------|
| RTS_DUR | 0 and 1 | [15:0] | Duration for RTS frame | Clause 10.1.2.3.2.4.1 |
| CID | 2 | [7:0] | CTS proxy ID | Clause 10.1.2.3.2.4.2 |
| CURRTS | 3 | [6:0] | Current TS | Clause 10.1.2.3.2.4.3 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| Reserved | 4 | [7:0] | Reserved | Reserved for use by ITU-T G.9991 |
| Reserved | 5 to 41 | [295:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

10.1.2.3.2.4.1 Duration for RTS frame (RTS_DUR)

See clause 7.1.2.3.2.4.1

10.1.2.3.2.4.2 CTS proxy ID (CID)

See clause 7.1.2.3.2.4.2

10.1.2.3.2.4.3 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.21, the CURRTS field of the MSG frame.

10.1.2.3.2.5 CTS PHY-frame type specific fields

Table 10-37 lists the header fields which are specific to the CTS frame type.

Table 10-37 – Specific fields of the CTS PHY-frame type

| Field | Octet | Bits | Description | Reference |
|---|---------|---------|------------------------|--------------------------|
| CTS_DUR | 0 and 1 | [15:0] | Duration for CTS frame | Clause 10.1.2.3.2.5.1 |
| Reserved | 2 to 41 | [319:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

10.1.2.3.2.5.1 Duration for CTS frame (CTS_DUR)

See clause 7.1.2.3.2.5.1.

10.1.2.3.2.6 CTMG PHY-frame type specific fields

Table 10-38 lists the PHY-frame header fields which are specific to the CTMG frame type.

Table 10-38 – CTMG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|---------|-----------------------------------|--------------------------|
| IACKRQ | 0 | [0] | Immediate acknowledgment required | Clause 10.1.2.3.2.6.1 |
| CURRTS | | [7:1] | Current TS | Clause 10.1.2.3.2.6.3 |
| Reserved | 1 to 4 | [31:0] | Reserved | Reserved by ITU-T (Note) |
| CTMGD | 5 to 41 | [295:0] | CTMG data | Clause 10.1.2.3.2.6.2 |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

10.1.2.3.2.6.1 Immediate acknowledgment required (IACKRQ)

See clause 7.1.2.3.2.6.1.

10.1.2.3.2.6.2 CTMG data (CTMGD)

CTMGD field shall contain a single control message composed of a CMH and a CMPL field as shown in clause 8.10.2 of [ITU-T G.9961]. If the size of the control message is shorter than the size of CTMGD (296 bits), the remainder of the CTMGD field shall be padded with zeros.

10.1.2.3.2.6.3 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.21, the CURRTS field of the MSG frame.

10.1.2.3.2.7 PROBE PHY-frame type specific fields

The PROBE PHY-frame type specific field is composed of a common part and a variable part. The common part contains fields that are common for all PROBE PHY-frame types (PRBTYPEs). The variable part contains fields that are specific to each PRBTYPE.

The fields of the common part of the PROBE PHY-frame specific field are defined in Table 10-39.

Table 10-39 – PROBE PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|-------------|---------|--------|-----------------------------|----------------------------------|
| Common part | | | | |
| PRB_DUR | 0 and 1 | [15:0] | Duration for PROBE frame | Clause 10.1.2.3.2.7.1.1 |
| PRBTYPE | | [3:0] | PROBE frame type | Clause 10.1.2.3.2.7.1.2 |
| PRBSYM | 3 | [7:4] | Probe symbols | Clause 10.1.2.3.2.7.1.3 |
| APSD_MAX-P | | [4:0] | APSD_MAX-P of a PROBE frame | Clause 10.1.2.3.2.7.1.4 |
| PRBGI | | [7:5] | PROBE guard interval | Clause 10.1.2.3.2.7.1.5 |
| CURRTS | 4 | [6:0] | Current TS | Clause 10.1.2.3.2.7.1.6 |
| Reserved | | [7] | Reserved | Reserved for use by ITU-T G.9963 |

Table 10-39 – PROBE PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|----------|---------|---------------------------------|--------------------------|
| APSD_MAX-P_EXT | 5 | [0] | APSD_MAX-P extension | Clause 10.1.2.3.2.7.1.7 |
| Reserved | | [7:1] | Reserved | Reserved by ITU-T (Note) |
| Variable part | | | | |
| PFTSF | 6 to 14 | [71:0] | PROBE frame type specific field | Clause 10.1.2.3.2.7.2 |
| Reserved | 15 to 41 | [215:0] | Reserved | Reserved by ITU-T |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

10.1.2.3.2.7.1 Common part fields**10.1.2.3.2.7.1.1 Duration for PROBE frame (PRB_DUR)**

See clause 7.1.2.3.2.7.1.1.

10.1.2.3.2.7.1.2 PROBE frame type (PRBTYPE)

See clause 7.1.2.3.2.7.1.2.

Table 10-40 – See Table 7-40

(This table has been intentionally left blank)

10.1.2.3.2.7.1.3 Probe symbols (PRBSYM)

See clause 7.1.2.3.2.7.1.3.

Table 10-41 – See Table 7-41

(This table has been intentionally left blank)

10.1.2.3.2.7.1.4 Maximum actual PSD of PROBE frame (APSD_MAX-P)

See clause 7.1.2.3.2.7.1.4.

10.1.2.3.2.7.1.5 PROBE symbol guard interval (PRBGI)

See clause 7.1.2.3.2.7.1.5.

10.1.2.3.2.7.1.6 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.21, the CURRTS field of the MSG frame.

10.1.2.3.2.7.1.7 APSD_MAX-P extension

See clause 7.1.2.3.2.7.1.7.

10.1.2.3.2.7.2 PROBE frame type specific field

See clause 7.1.2.3.2.7.2.

10.1.2.3.2.7.2.1 Silent PROBE frame specific fields

See clause 7.1.2.3.2.7.2.1

Table 10-42 – See Table 7-42

(This table has been intentionally left blank)

10.1.2.3.2.7.2.2 Channel estimation PROBE frame specific fields

See clause 7.1.2.3.2.7.2.2

Table 10-43 – See Table 7-43

(This table has been intentionally left blank)

Table 10-44 – See Table 7-44

(This table has been intentionally left blank)

10.1.2.3.2.8 ACKRQ PHY frame type specific fields

Table 10-45 lists the PHY-frame header fields which are specific to the ACKRQ frame type.

Table 10-45 – ACKRQ PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|---------|-----------------------|--------------------------|
| RX_WIN_TYPE | 0 | [1:0] | Requested RX window | Clause 10.1.2.3.2.8.1 |
| Reserved | | [7:2] | Reserved | Reserved by ITU-T (Note) |
| CONNECTION_ID | 1 | [7:0] | Connection identifier | Clause 10.1.2.3.2.8.2 |
| CURRTS | 2 | [6:0] | Current TS | Clause 10.1.2.3.2.8.3 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| Reserved | 3 to 41 | [311:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

10.1.2.3.2.8.1 Requested RX window (RX_WIN_TYPE)

See clause 7.1.2.3.2.8.1

Table 10-46 – See Table 7-46

(This table has been intentionally left blank)

10.1.2.3.2.8.2 Connection identifier (CONNECTION_ID)

See clause 7.1.2.3.2.8.2.

10.1.2.3.2.8.3 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.21, the CURRTS field of the MSG frame.

10.1.2.3.2.9 BMSG PHY-frame type specific fields

Table 10-47 lists the fields which are specific to the core part of the PHY-frame header of the BMSG frame type.

Table 10-47 – BMSG PHY-frame type specific fields – core part

| Field | Octet | Bits | Description | Reference |
|----------------|----------|--------|--|------------------------------------|
| BMSG_DUR | 0 and 1 | [15:0] | Duration for BMSG frame | Clause 10.1.2.3.2.9.1 |
| BLKSZ | 2 | [1:0] | Block size of FEC codeword for BMSG frame payload | Clause 10.1.2.3.2.9.2 |
| FEC_RATE | | [4:2] | FEC coding rate for BMSG frame payload | Clause 10.1.2.3.2.9.3 |
| REP | | [7:5] | Number of repetitions used for encoding the BMSG frame payload | Clause 10.1.2.3.2.9.4 |
| FCF | 3 | [2:0] | FEC concatenation factor | Clause 10.1.2.3.2.9.5 |
| SI | | [6:3] | Scrambler initialization | Clause 10.1.2.3.2.9.6 |
| MDET | | [7] | Master is detected | Clause 10.1.2.3.2.9.7 |
| BAT_ID | 4 | [4:0] | Bit allocation table identifier | Clause 10.1.2.3.2.9.8 |
| OFB_ID/GRP_ID | | [7:5] | Operational frequency band identifier/subcarrier grouping identifier | Clause 10.1.2.3.2.9.9 |
| GI_ID | 5 | [2:0] | Guard interval identifier | Clause 10.1.2.3.2.9.10 |
| APSD_MAX-M | | [7:3] | APSD_MAX-M of a BMSG frame | Clause 10.1.2.3.2.9.11 |
| CONNECTION_ID | 6 | [7:0] | Connection identifier | Clause 10.1.2.3.2.9.12 |
| RPRQ | 7 | [1:0] | Reply required | Clause 10.1.2.3.2.9.13 |
| BRSTCnt | | [3:2] | Burst frame count | Clause 10.1.2.3.2.9.14 |
| BEF | | [4] | Burst end flag | Clause 10.1.2.3.2.9.15 |
| AIFG_IND | | [5] | AIFG indication | Clause 10.1.2.3.2.9.16 |
| Reserved | | [6] | Reserved | Reserved for use by ITU-T G.9963 |
| Reserved | | [7] | Reserved | Reserved for use by ITU-T (Note 1) |
| ACE_SYM | 8 | [2:0] | Number of ACE symbols | Clause 10.1.2.3.2.9.17 |
| CNN_MNGMT | | [6:3] | Connection management | Clause 10.1.2.3.2.9.18 |
| APSD_MAX-M_EXT | | [7] | APSD_MAX-M extension | Clause 10.1.2.3.2.9.27 |
| BRURQ | 9 and 10 | [15:0] | Bandwidth reservation update request | Clause 10.1.2.3.2.9.19 (Note 2) |

Table 10-47 – BMSG PHY-frame type specific fields – core part

| Field | Octet | Bits | Description | Reference |
|--|-----------|---------|---|---------------------------------|
| START_SSN | 9 and 10 | [15:0] | Start segment sequence number | Clause 10.1.2.3.2.9.20 (Note 3) |
| CURRTS | 11 | [6:0] | Current TS | Clause 10.1.2.3.2.9.21 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note 1) |
| Reserved | 12 and 13 | [0] | Reserved | Reserved by ITU-T (Note 1) |
| BTXGL | | [8:1] | Bidirectional transmission grant length | Clause 10.1.2.3.2.9.22 |
| BTXEF | | [9] | Bidirectional transmission end flag | Clause 10.1.2.3.2.9.23 |
| P-DACK | | [10] | Piggybacked Delayed Acknowledgement | Clause 10.1.2.3.2.9.26 |
| Reserved | | [15:11] | Reserved | Reserved by ITU-T (Note 1) |
| ACK_CE_CTRL | 14 | [7:0] | ACK channel estimation control | Clause 10.1.2.3.2.9.24 |
| RXRST_DATA | 15 and 16 | [0] | Data RX reset flag | Clause 10.1.2.3.2.9.28 |
| RXRST_MNGMT | | [1] | Management RX reset flag | Clause 10.1.2.3.2.9.29 |
| FLCTRLT | | [2] | Flow control type | Clause 10.1.2.3.2.9.30 |
| FLCTRL | | [7:3] | Flow control | Clause 10.1.2.3.2.9.31 |
| Reserved | | [8] | Reserved | Reserved by ITU-T (Note 1) |
| FLCTRL_CONN | | [9] | Flow control connection flag | Clause 10.1.2.3.2.9.32 |
| Reserved | 17 to 28 | [15:10] | Reserved | Reserved by ITU-T (Note 1) |
| ACKDATA_BM | | [90:0] | Acknowledgement data of the BMSG frame | Clause 10.1.2.3.2.9.33 |
| Reserved | 29 | [95:91] | Reserved | Reserved by ITU-T (Note 1) |
| AIFGD_TYPE | | [0] | AIFGD Type | Clause 10.1.2.3.2.9.34 |
| Reserved | 30 | [7:1] | Reserved | Reserved by ITU-T (Note 1) |
| KMIN | | [7:0] | k_{min} for payload repetition encoding | Clause 10.1.2.3.2.9.35 |
| Reserved | 31 to 41 | [87:0] | Reserved | Reserved by ITU-T (Note 1) |
| NOTE 1 – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |
| NOTE 2 – The BRURQ field is defined when the START_SSN field is not defined. | | | | |
| NOTE 3 – The START_SSN field is defined only when CNN_MNGMT = 0001, CNN_MNGMT = 0011, CNN_MNGMT = 0101 or CNN_MNGMT = 0111. Otherwise, the meaning of this field is BRURQ. | | | | |

10.1.2.3.2.9.1 Duration for BMSG frame (BMSG_DUR)

See clause 7.1.2.3.2.9.1.

10.1.2.3.2.9.2 Block size (BLKSZ)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.2, the BLKSZ field of the MSG frame.

10.1.2.3.2.9.3 FEC coding rate (FEC_RATE)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.3, the FEC_RATE field of the MSG frame.

10.1.2.3.2.9.4 Repetitions (REP)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.4, the REP field of the MSG frame.

10.1.2.3.2.9.5 FEC concatenation factor (FCF)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.5, the FCF field of the MSG frame.

10.1.2.3.2.9.6 Scrambler initialization (SI)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.6, the SI field of the MSG frame.

10.1.2.3.2.9.7 Master is detected indication (MDET)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.7, the MDET field of the MSG frame.

10.1.2.3.2.9.8 Bit allocation table identifier (BAT_ID)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.8, the BAT_ID field of the MSG frame.

10.1.2.3.2.9.9 Operational frequency band identifier/subcarrier grouping identifier (OFB_ID/GRP_ID)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.9, the OFB_ID/GRP_ID field of the MSG frame.

10.1.2.3.2.9.10 Guard interval identifier (GI_ID)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.10, the GI_ID field of the MSG frame.

10.1.2.3.2.9.11 Maximum actual PSD of BMSG frame (APSD_MAX-M)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.11, the APSD_MAX-M field of the MSG frame.

10.1.2.3.2.9.12 Connection identifier (CONNECTION_ID)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.12, the CONNECTION_ID field of the MSG frame.

10.1.2.3.2.9.13 Reply required (RPRQ)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.13, the RPRQ field of the MSG frame.

10.1.2.3.2.9.14 Burst frame count (BRSTCnt)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.14, the BRSTCnt field of the MSG frame.

10.1.2.3.2.9.15 Burst end flag (BEF)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.15, the BEF field of the MSG frame.

10.1.2.3.2.9.16 AIFG indication (AIFG_IND)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.16, the AIFG_IND field of the MSG frame.

10.1.2.3.2.9.17 ACE symbols (ACE_SYM)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.17, the ACE_SYM field of the MSG frame.

10.1.2.3.2.9.18 Connection management (CNN_MNGMT)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.18, the CNN_MNGMT field of the MSG frame.

10.1.2.3.2.9.19 Bandwidth reservation update request (BRURQ)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.19, the BRURQ field of the MSG frame.

10.1.2.3.2.9.20 Start segment sequence number (START_SSN)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.20, the START_SSN field of the MSG frame.

10.1.2.3.2.9.21 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.21, the CURRTS field of the MSG frame.

10.1.2.3.2.9.22 Bidirectional transmission grant length (BTXGL)

See clause 7.1.2.3.2.9.22.

10.1.2.3.2.9.23 Bidirectional transmission end flag (BTXEF)

See clause 7.1.2.3.2.9.23.

10.1.2.3.2.9.24 ACK channel estimation control (ACK_CE_CTRL)

The interpretation of this field shall be as specified for the ACK_CE_CTRL field of the ACK frame in clause 10.1.2.3.2.3.8.

10.1.2.3.2.9.25 Reserved

Reserved by ITU-T.

10.1.2.3.2.9.26 Piggybacked Delayed Acknowledgement (P-DACK)

See clause 7.1.2.3.2.9.26.

10.1.2.3.2.9.27 APSD_MAX-M extension

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.25, the APSD_MAX-M_EXT field of the MSG frame.

10.1.2.3.2.9.28 Data RX reset flag (RXRST_DATA)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.5, the RXRST_DATA field of the ACK frame.

10.1.2.3.2.9.29 Management RX reset flag (RXRST_MNGMT)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.6, RXRST_MNGMT field of the ACK frame.

10.1.2.3.2.9.30 Flow control type (FLCTRLT)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.2, the FLCTRLT field of the ACK frame.

10.1.2.3.2.9.31 Flow control (FLCTRL)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.3, the FLCTRL field of the ACK frame.

10.1.2.3.2.9.32 Flow control connection flag (FLCTRL_CONN)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.1, the FLCTRL_CONN field of the ACK frame.

10.1.2.3.2.9.33 Acknowledgement data of the BMSG frame (ACKDATA_BM)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.9, the ACKDATA/MCACK_D field of the ACK frame for unicast acknowledgment (MI = 0).

10.1.2.3.2.9.34 AIFG Type (AIFGD_TYPE)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.26.

10.1.2.3.2.9.35 k_{\min} for payload repetition encoding

See clause 10.1.2.3.2.1.13.

10.1.2.3.2.10 BACK PHY-frame type specific fields

Table 10-48 lists the fields which are specific to the core part of the PHY-frame header of the BACK frame type.

Table 10-48 – BACK PHY-frame type specific fields – core part

| Field | Octet | Bits | Description | Reference |
|----------|---------|--------|--|------------------------|
| BACK_DUR | 0 and 1 | [15:0] | Duration for BACK frame | Clause 10.1.2.3.2.10.1 |
| BLKSZ | 2 | [1:0] | Block size of FEC codeword for BACK frame payload | Clause 10.1.2.3.2.10.2 |
| FEC_RATE | | [4:2] | FEC coding rate for BACK frame payload | Clause 10.1.2.3.2.10.3 |
| REP | | [7:5] | Number of repetitions used for encoding the BACK frame payload | Clause 10.1.2.3.2.10.4 |
| FCF | 3 | [2:0] | FEC concatenation factor | Clause 10.1.2.3.2.10.5 |
| SI | | [6:3] | Scrambler initialization | Clause 10.1.2.3.2.10.6 |
| MDET | | [7] | Master is detected | Clause 10.1.2.3.2.10.7 |
| BAT_ID | 4 | [4:0] | Bit allocation table identifier | Clause 10.1.2.3.2.10.8 |

Table 10-48 – BACK PHY-frame type specific fields – core part

| Field | Octet | Bits | Description | Reference |
|---|-----------|---------|--|----------------------------------|
| OFB_ID/GRP_ID | | [7:5] | Operational frequency band identifier/subcarrier grouping identifier | Clause 10.1.2.3.2.10.9 |
| GI_ID | 5 | [2:0] | Guard interval identifier | Clause 10.1.2.3.2.10.10 |
| APSD_MAX-M | | [7:3] | APSD_MAX-M of a BACK frame | Clause 10.1.2.3.2.10.11 |
| CONNECTION_ID | 6 | [7:0] | Connection identifier | Clause 10.1.2.3.2.10.12 |
| RPRQ | 7 | [1:0] | Reply required | Clause 10.1.2.3.2.10.13 |
| BRSTCnt | | [3:2] | Burst frame count | Clause 10.1.2.3.2.10.14 |
| BEF | | [4] | Burst end flag | Clause 10.1.2.3.2.10.15 |
| AIFG_IND | | [5] | AIFG indication | Clause 10.1.2.3.2.10.16 |
| Reserved | | [6] | Reserved | Reserved for use by ITU-T G.9963 |
| APSD_MAX-M_EXT | | [7] | APSD_MAX-M extension | Clause 10.1.2.3.2.10.21 |
| ACE_SYM | 8 | [2:0] | Number of ACE symbols | Clause 10.1.2.3.2.10.17 |
| CNN_MNGMT | | [6:3] | Connection management | Clause 10.1.2.3.2.10.18 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| BTXRL | 9 | [7:0] | Bidirectional transmission request length | Clause 10.1.2.3.2.10.19 |
| ACK_CE_CTRL | 10 | [6:0] | ACK channel estimation control | Clause 10.1.2.3.2.10.20 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| RXRST_DATA | 11 and 12 | [0] | Data RX reset flag | Clause 10.1.2.3.2.10.21 |
| RXRST_MNGMT | | [1] | Management RX reset flag | Clause 10.1.2.3.2.10.22 |
| FLCTRLT | | [2] | Flow control type | Clause 10.1.2.3.2.10.23 |
| FLCTRL | | [7:3] | Flow control | Clause 10.1.2.3.2.10.24 |
| Reserved | | [8] | Reserved | Reserved by ITU-T (Note) |
| FLCTRL_CONN | | [9] | Flow control connection flag | Clause 10.1.2.3.2.10.25 |
| Reserved | | [15:10] | Reserved | Reserved by ITU-T (Note) |
| ACKDATA_BA | 13 to 24 | [90:0] | Acknowledgement data of the BACK frame | Clause 10.1.2.3.2.10.26 |
| Reserved | | [95:91] | Reserved | Reserved by ITU-T (Note) |
| AIFGD_TYPE | 25 | [0] | AIFGD Type | Clause 10.1.2.3.2.10.27 |
| Reserved | | [7:1] | Reserved | Reserved by ITU-T (Note 1) |
| KMIN | 26 | [7:0] | k_{min} for payload repetition encoding | Clause 10.1.2.3.2.10.28 |
| Reserved | 27 to 41 | [119:0] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

10.1.2.3.2.10.1 Duration for BACK frame (BACK_DUR)

See clause 7.1.2.3.2.10.1.

10.1.2.3.2.10.2 Block size (BLKSZ)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.2, the BLKSZ field of the MSG frame.

10.1.2.3.2.10.3 FEC coding rate (FEC_RATE)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.3, the FEC_RATE field of the MSG frame.

10.1.2.3.2.10.4 Repetitions (REP)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.4, the REP field of the MSG frame.

10.1.2.3.2.10.5 FEC concatenation factor (FCF)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.5, the FCF field of the MSG frame.

10.1.2.3.2.10.6 Scrambler initialization (SI)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.6, the SI field of the MSG frame.

10.1.2.3.2.10.7 Master is detected indication (MDET)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.7, the MDET field of the MSG frame.

10.1.2.3.2.10.8 Bit allocation table identifier (BAT_ID)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.8, the BAT_ID field of the MSG frame.

10.1.2.3.2.10.9 Operational frequency band identifier/subcarrier grouping identifier (OFB_ID/GRP_ID)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.9, the OFB_ID/GRP_ID field of the MSG frame.

10.1.2.3.2.10.10 Guard interval identifier (GI_ID)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.10, the GI_ID field of the MSG frame.

10.1.2.3.2.10.11 Maximum actual PSD of BACK frame (APSD_MAX-M)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.11, the APSD_MAX-M field of the MSG frame.

10.1.2.3.2.10.12 Connection identifier (CONNECTION_ID)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.12, the CONNECTION_ID field of the MSG frame.

10.1.2.3.2.10.13 Reply required (RPRQ)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.13, the RPRQ field of the MSG frame.

10.1.2.3.2.10.14 Burst frame count (BRSTCnt)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.14, the BRSTCnt field of the MSG frame.

10.1.2.3.2.10.15 Burst end flag (BEF)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.15, the BEF field of the MSG frame.

10.1.2.3.2.10.16 AIFG indication (AIFG_IND)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.16, the AIFG_IND field of the MSG frame.

10.1.2.3.2.10.17 ACE symbols (ACE_SYM)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.17, the ACE_SYM field of the MSG frame.

10.1.2.3.2.10.18 Connection management (CNN_MNGMT)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.18, the CNN_MNGMT field of the MSG frame.

10.1.2.3.2.10.19 Bidirectional transmission request length (BTXRL)

See clause 7.1.2.3.2.10.19.

10.1.2.3.2.10.20 ACK channel estimation control (ACK_CE_CTRL)

See clause 7.1.2.3.2.10.20.

10.1.2.3.2.10.21 APSD_MAX-M extension

See clause 7.1.2.3.2.10.21.

10.1.2.3.2.10.22 Data RX reset flag (RXRST_DATA)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.5, the RXRST_DATA field of the ACK frame.

10.1.2.3.2.10.23 Management RX reset flag (RXRST_MNGMT)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.6, the RXRST_MNGMT field of the ACK frame.

10.1.2.3.2.10.24 Flow control type (FLCTRLT)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.2, the FLCTRLT field of the ACK frame.

10.1.2.3.2.10.25 Flow control (FLCTRL)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.3, the FLCTRL field of the ACK frame.

10.1.2.3.2.10.26 Flow control connection flag (FLCTRL_CONN)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.1, the FLCTRL_CONN field of the ACK frame.

10.1.2.3.2.10.27 Acknowledgement data of the BACK frame (ACKDATA_BA)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.3.9, the ACKDATA/MACK_D field of the ACK frame for unicast acknowledgment (MI = 0).

10.1.2.3.2.10.28 AIFG Type (AIFGD_TYPE)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.26.

10.1.2.3.2.10.29 k_{\min} for payload repetition encoding

See clause 10.1.2.3.2.1.13.

10.1.2.3.2.11 ACTMG PHY-frame type specific fields

Table 10-49 lists the PHY-frame header fields which are specific to the ACTMG frame type.

Table 10-49 – ACTMG PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|---------|---------------------|--------------------------|
| CTMGACK | 0 to 41 | [0] | CTMG acknowledgment | Clause 10.1.2.3.2.11.1 |
| Reserved | | [335:1] | Reserved | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

An ACTMG frame shall be sent following a CTMG frame that has its IACKRQ field set to one (see clause 10.1.2.3.2.6.1) indicating that immediate acknowledgment is required.

10.1.2.3.2.11.1 CTMG acknowledgment (CTMGACK)

See clause 7.1.2.3.2.11.1.

10.1.2.3.2.12 Reserved

Reserved by ITU-T.

10.1.2.3.2.13 Reserved

Reserved by ITU-T.

10.1.2.3.2.14 Reserved

Reserved by ITU-T.

10.1.2.3.2.15 Reserved

Reserved by ITU-T.

10.1.2.3.2.16 FTE PHY-frame type specific fields

This frame type is used for adding additional frame types (i.e., frame type extension).

Table 10-50 lists the PHY-frame header fields which are specific to the FTE frame type.

Table 10-50 – FTE PHY-frame type specific fields

| Field | Octet | Bits | Description | Reference |
|---|---------|---------|------------------------|--------------------------|
| FTE_DUR | 0 and 1 | [15:0] | Duration for FTE frame | Clause 10.1.2.3.2.16.1 |
| CURRTS | 2 | [6:0] | Current TS | Clause 10.1.2.3.2.16.3 |
| Reserved | | [7] | Reserved | Reserved by ITU-T (Note) |
| EFT_FLD | 3 to 41 | [311:0] | EFT specific fields | Clause 10.1.2.3.2.16.2 |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | | |

10.1.2.3.2.16.1 Duration for FTE frame (FTE_DUR)

See clause 7.1.2.3.2.16.1.

10.1.2.3.2.16.2 EFT specific fields (EFT_FLD)

See clause 7.1.2.3.2.16.2.

10.1.2.3.2.16.3 Current TS (CURRTS)

The interpretation of this field shall be as specified in clause 10.1.2.3.2.2.21, the CURRTS field of the MSG frame.

10.1.2.3.3 Reserved

Reserved by ITU-T.

Table 10-51 – Placeholder for table
(This table has been intentionally left blank)

10.1.2.3.3.1 Reserved

10.1.2.3.3.1.1 Reserved

Reserved by ITU-T.

Table 10-52 – Placeholder for table
(This table has been intentionally left blank)

10.1.2.3.3.1.1.1 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.2 Reserved

Reserved by ITU-T.

Table 10-53 – Placeholder for table
(This table has been intentionally left blank)

10.1.2.3.3.1.2.1 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.2.2 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.2.3 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.2.4 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.2.5 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.2.6 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.3 Reserved

Table 10-54 – Placeholder for table

(This table has been intentionally left blank)

10.1.2.3.3.1.3.1 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.3.2 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.3.3 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.3.4 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.3.5 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.3.6 Reserved

Reserved by ITU-T.

10.1.2.3.3.1.4 Reserved

Table 10-54.1 lists the E_FTSF for ACK PHY frame.

Table 10-54.1 – Placeholder for a table

(This table has been intentionally left blank)

10.1.2.3.3.1.4.1 Reserved

Reserved by ITU-T.

10.1.2.3.3.2 Reserved

Reserved by ITU-T.

10.1.3 Physical medium attachment (PMA) sublayer

See clause 7.1.3.

Figure 10-5 – See Figure 7-5

(This figure is intentionally left blank)

10.1.3.1 Scrambling

See clause 7.1.3.1.

Figure 10-6 – See Figure 7-6

(This figure is intentionally left blank)

10.1.3.2 FEC encoding

See clause 7.1.3.2.

Figure 10-7 – See Figure 7-7

(This Figure is intentionally left blank)

10.1.3.2.1 LDPC-BC encoder

The code rate of the mother code, $R_M = K/N_M$, is determined by a $(N_M - K) \times N_M$ size parity-check matrix \mathbf{H} composed by an array of $c \times t$ circulant $b \times b$ sub-matrices $\mathbf{A}_{i,j}$:

$$\mathbf{H} = \begin{bmatrix} \mathbf{A}_{1,1} & \mathbf{A}_{1,2} & \cdots & \mathbf{A}_{1,t} \\ \mathbf{A}_{2,1} & \mathbf{A}_{2,2} & \cdots & \mathbf{A}_{2,t} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{A}_{c,1} & \mathbf{A}_{c,2} & \cdots & \mathbf{A}_{c,t} \end{bmatrix}$$

The parameters c, t ($0 < c \leq t$) imply a rate $R_M = (t - c)/t$. By selecting different sets of c, t , different rates can be obtained.

The sub-matrices $\mathbf{A}_{i,j}$ are either a rotated identity or a zero matrix and have a size of $b \times b$, where parameter $b = N_M/t$ is called the expansion factor of \mathbf{H} and controls the code block size, N_M .

The parity-check matrix, \mathbf{H} , is described in its compact form:

$$\mathbf{H}_c = \begin{bmatrix} a_{1,1} & a_{1,2} & \cdots & a_{1,t} \\ a_{2,1} & a_{2,2} & \cdots & a_{2,t} \\ \vdots & \vdots & \ddots & \vdots \\ a_{c,1} & a_{c,2} & \cdots & a_{c,t} \end{bmatrix}$$

A zero sub-matrix in position (i, j) is labelled with $a_{i,j} = -1$, and a rotated identity sub-matrix is labelled with a positive integer number $a_{i,j}$ defining the number of right column shifts of the identity matrix.

This Recommendation defines one matrix for each mother code rate and block size.

The compact form \mathbf{H}_c of parity-check matrix $(1/2)_{\text{HCH}}$ corresponding to mother code with rate $R_M = 1/2$ ($t = 24, c = 12$) and number of coded bits $N_M = 768$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 19 | 18 | -1 | -1 | -1 | 19 | 12 | -1 | -1 | -1 | 6 | 20 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| 10 | -1 | 7 | 29 | 22 | -1 | -1 | -1 | 28 | 13 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | 14 | 20 | 19 | 23 | -1 | -1 | 0 | 28 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| 13 | -1 | 18 | 28 | 7 | -1 | -1 | -1 | 1 | 4 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| 9 | 27 | -1 | -1 | -1 | 0 | 31 | -1 | -1 | -1 | 2 | 6 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 |
| 22 | 19 | -1 | -1 | -1 | 23 | 3 | 5 | -1 | -1 | 30 | -1 | 15 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 |
| 7 | -1 | -1 | -1 | 2 | 24 | 2 | 15 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 |
| 15 | -1 | -1 | 3 | 3 | -1 | -1 | -1 | 31 | 29 | 29 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | 16 | -1 | 19 | 6 | -1 | -1 | 17 | 18 | 6 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 |
| 12 | -1 | 2 | 20 | 16 | -1 | -1 | 2 | -1 | 5 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| -1 | -1 | -1 | 5 | 3 | 3 | 21 | -1 | -1 | 4 | 3 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 |
| -1 | -1 | -1 | 1 | 11 | 27 | 19 | -1 | -1 | 11 | 21 | -1 | 15 | 25 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 |

Whenever sub-clauses of clause 10 reference clause 7, the term $(1/2)_{\text{H}}$ is replaced by $(1/2)_{\text{HCH}}$.

The compact form \mathbf{H}_c of parity-check matrix $(1/2)_{\text{s}}$ corresponding to mother code with rate $R_M = 1/2$ ($t = 24, c = 12$) and number of coded bits $N_M = 1920$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 27 | -1 | -1 | -1 | 55 | 19 | -1 | 30 | -1 | -1 | -1 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | 0 | -1 | 1 | -1 | 70 | -1 | 47 | -1 | 62 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | 41 | -1 | -1 | -1 | 44 | -1 | -1 | 59 | 60 | 25 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| 16 | 77 | -1 | -1 | -1 | 5 | -1 | 48 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | 45 | -1 | 27 | -1 | 46 | 19 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | 63 | -1 | -1 | -1 | 55 | -1 | -1 | 48 | 26 | 10 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | 42 | -1 | 21 | -1 | 58 | -1 | 41 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | -1 | -1 | -1 | 78 | 0 | -1 | 7 | 52 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | 29 | 9 | -1 | -1 | -1 | 37 | -1 | -1 | -1 | 35 | 21 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| -1 | -1 | 22 | 72 | -1 | -1 | 47 | -1 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| 35 | -1 | -1 | -1 | -1 | 13 | -1 | 35 | -1 | 70 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | 0 |
| -1 | 46 | 28 | -1 | -1 | -1 | 38 | -1 | -1 | -1 | 8 | -1 | 10 | 58 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 |

The compact form H_c of parity-check matrix $(1/2)_L$ corresponding to mother code with rate $R_M = 1/2$ ($t = 24$, $c = 12$) and number of coded bits $N_M = 8640$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|-----|----|----|----|----|----|----|----|----|----|
| -1 | 34 | -1 | 95 | -1 | 279 | -1 | -1 | -1 | -1 | 248 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | -1 | 0 | -1 | 0 | -1 | -1 | -1 | -1 | 134 | 356 | 275 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| 51 | -1 | 27 | -1 | -1 | -1 | -1 | -1 | 22 | 152 | -1 | 57 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | 124 | -1 | 290 | -1 | 281 | 15 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 |
| -1 | 340 | -1 | 99 | 336 | -1 | -1 | 1 | -1 | -1 | -1 | -1 | 33 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 | -1 |
| 163 | -1 | 46 | -1 | -1 | -1 | -1 | -1 | -1 | 306 | -1 | 86 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | 185 | -1 | 24 | -1 | -1 | -1 | 94 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | 223 | -1 | 225 | 325 | -1 | -1 | -1 | -1 | -1 | 297 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 |
| 46 | -1 | 314 | -1 | -1 | -1 | 59 | -1 | -1 | 67 | -1 | 120 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| -1 | -1 | 121 | -1 | -1 | -1 | -1 | 161 | -1 | 303 | -1 | 264 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 | -1 |
| -1 | 303 | -1 | 8 | -1 | 185 | -1 | -1 | 138 | -1 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 | 0 |
| -1 | -1 | 312 | -1 | -1 | -1 | 100 | -1 | -1 | 144 | -1 | 307 | 33 | 166 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | 0 |

The compact form H_c of parity-check matrix $(2/3)_s$ corresponding to mother code with rate $R_M = 2/3$ ($t = 24$, $c = 8$) and number of coded bits $N_M = 1440$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 49 | -1 | -1 | 21 | 31 | -1 | 57 | -1 | -1 | 19 | -1 | 29 | 2 | -1 | 19 | -1 | -1 | 0 | -1 | -1 | -1 | -1 | -1 |
| -1 | 7 | 22 | -1 | -1 | 37 | -1 | 32 | 10 | -1 | 26 | -1 | -1 | 59 | -1 | 48 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| 53 | -1 | -1 | 20 | 50 | -1 | -1 | 3 | 16 | -1 | 49 | -1 | -1 | 28 | 14 | -1 | -1 | -1 | 0 | 0 | -1 | -1 | -1 |
| -1 | 58 | 23 | -1 | -1 | 15 | 54 | -1 | -1 | 5 | -1 | 18 | 49 | -1 | -1 | 13 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| 55 | -1 | -1 | 58 | -1 | 9 | -1 | 26 | 57 | -1 | 41 | -1 | 31 | -1 | 21 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| -1 | 10 | 49 | -1 | 59 | -1 | 7 | -1 | -1 | 30 | -1 | 18 | -1 | 48 | -1 | 7 | 59 | -1 | -1 | -1 | -1 | 0 | -1 |
| 48 | -1 | -1 | 50 | 18 | -1 | -1 | 11 | 52 | -1 | 59 | -1 | -1 | 37 | -1 | 10 | 0 | -1 | -1 | -1 | -1 | -1 | 0 |
| -1 | 24 | 16 | -1 | -1 | 0 | 53 | -1 | -1 | 41 | -1 | 38 | 51 | -1 | 58 | -1 | 59 | 8 | -1 | -1 | -1 | -1 | 0 |

The compact form H_c of parity-check matrix $(2/3)_L$ corresponding to mother code with rate $R_M = 2/3$ ($t = 24$, $c = 8$) and number of coded bits $N_M = 6480$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|-----|----|----|----|----|----|
| 78 | -1 | -1 | 167 | 237 | -1 | 3 | -1 | 266 | -1 | -1 | 102 | 153 | -1 | -1 | 212 | -1 | 0 | -1 | -1 | -1 | -1 | -1 |
| -1 | 83 | 189 | -1 | -1 | 68 | -1 | 178 | -1 | 90 | 205 | -1 | -1 | 13 | 4 | -1 | -1 | 0 | 0 | -1 | -1 | -1 | -1 |
| -1 | 226 | 147 | -1 | 46 | -1 | -1 | 76 | -1 | 116 | -1 | 211 | -1 | 112 | -1 | 118 | -1 | -1 | 0 | 0 | -1 | -1 | -1 |
| 92 | -1 | -1 | 214 | -1 | 236 | 241 | -1 | 157 | -1 | 143 | -1 | 214 | -1 | 207 | -1 | -1 | -1 | -1 | 0 | 0 | -1 | -1 |
| 144 | -1 | -1 | 258 | 264 | -1 | 53 | -1 | 114 | -1 | 172 | -1 | -1 | 82 | 262 | -1 | 62 | -1 | -1 | -1 | 0 | -1 | -1 |
| -1 | 153 | 120 | -1 | -1 | 199 | -1 | 126 | -1 | 61 | -1 | 183 | 15 | -1 | -1 | 134 | -1 | -1 | -1 | -1 | -1 | 0 | -1 |
| -1 | 100 | -1 | 141 | -1 | 36 | -1 | 17 | -1 | 156 | -1 | 124 | 162 | -1 | -1 | 57 | 0 | -1 | -1 | -1 | -1 | -1 | 0 |
| 196 | -1 | 187 | -1 | 73 | -1 | 80 | -1 | 139 | -1 | 57 | -1 | -1 | 236 | 267 | -1 | 62 | 256 | -1 | -1 | -1 | -1 | 0 |

The compact form H_c of parity-check matrix $(5/6)_s$ corresponding to mother code with rate $R_M = 5/6$ ($t = 24$, $c = 4$) and number of coded bits $N_M = 1152$ shall be:

| | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| -1 | 13 | 32 | 47 | 41 | 24 | -1 | 25 | 22 | 40 | 1 | 31 | 8 | 15 | 20 | 15 | 42 | 30 | 13 | 3 | -1 | 0 | -1 |
| 25 | 46 | 15 | 43 | 45 | 29 | 39 | 47 | 23 | 38 | 39 | 12 | -1 | 21 | -1 | 38 | 33 | 0 | 0 | -1 | 39 | 0 | -1 |
| 35 | 45 | 45 | 38 | 14 | 16 | 6 | 11 | -1 | 18 | 7 | 41 | 35 | 17 | 32 | 45 | 41 | -1 | 18 | 17 | 0 | -1 | 0 |
| 9 | 32 | 6 | 22 | 26 | 31 | 9 | 8 | 22 | 32 | 40 | 4 | 18 | 40 | 36 | -1 | -1 | 23 | 31 | 41 | 39 | 20 | 0 |

The compact form H_c of parity-check matrix $(5/6)_L$ corresponding to mother code with rate $R_M = 5/6$ ($t = 24$, $c = 4$) and number of coded bits $N_M = 5184$ shall be:

```

-1  47 146 203 184 112  -1 116 103 181   3 140  38  68  91  70 191 138  62  14  -1   0  -1  -1
117 203   67 194 206 133 174 212 104 171 176  56  -1  96  -1 167 149   4   1  -1 177   0   0  -1
153 206 198 173   55  72   28  53  -1  82  34 186 161  80 144 204 187  -1  84  77   0  -1   0   0
 44 147   27  83 118 130  41  38 100 146 183  19  85 180 163  -1  -1 106 140 185 177  94  -1   0

```

10.1.3.2.1.1 Encoding operation

See clause 7.1.3.2.1.1.

10.1.3.2.2 Puncturing

See clause 7.1.3.2.2.

Table 10-55 – See Table 7-55

(This table has been intentionally left blank)

10.1.3.2.3 FEC encoding parameters

The FEC encoding scheme shall support the encoding parameters specified in Table 10-56.

Table 10-56 – FEC encoding parameters

| | Code rate, R | Information block size, K | Puncturing pattern, pp | Mother code matrix | FEC codeword size, N_{FEC} |
|-------------|----------------|-----------------------------|------------------------|--------------------|------------------------------|
| For header | 1/2 | PHY _{HCH} = 384 | $pp_{16}^{(0)}$ | $(1/2)_{HCH}$ | 768 |
| For payload | 1/2 | 960 | $pp_{16}^{(0)}$ | $(1/2)_S$ | 1920 |
| | 1/2 | 4320 | $pp_{16}^{(0)}$ | $(1/2)_L$ | 8640 |
| | 2/3 | 960 | $pp_{16}^{(0)}$ | $(2/3)_S$ | 1440 |
| | 2/3 | 4320 | $pp_{16}^{(0)}$ | $(2/3)_L$ | 6480 |
| | 5/6 | 960 | $pp_{16}^{(0)}$ | $(5/6)_S$ | 1152 |
| | 5/6 | 4320 | $pp_{16}^{(0)}$ | $(5/6)_L$ | 5184 |
| | 16/18 | 960 | $pp_{1152}^{(72)}$ | $(5/6)_S$ | 1080 |
| | 16/18 | 4320 | $pp_{5184}^{(324)}$ | $(5/6)_L$ | 4860 |
| | 20/21 | 960 | $pp_{1152}^{(144)}$ | $(5/6)_S$ | 1008 |
| | 20/21 | 4320 | $pp_{5184}^{(648)}$ | $(5/6)_L$ | 4536 |

10.1.3.3 Payload encoding

There are two supported modes of Payload encoding: the full mode and the multi-level coding (MLC) mode.

A transmitter may only use the MLC mode for transmission when the receiver of the transmission has indicated it supports such mode in its node capabilities.

In full mode, the functional model of the payload encoder is presented in Figure 10-8. It contains an FEC encoder and a payload repetition encoder (PRE) to support robust communication mode (RCM).

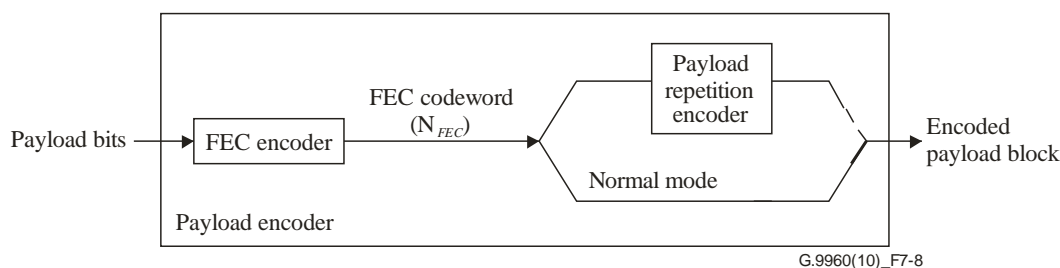


Figure 10-8 – Functional diagram of the payload encoder (set to normal mode)

The incoming PHY-frame payload shall be divided into sequential blocks of information bits, K bits per block. Each block of information bits shall be encoded by the FEC, as described in clause 10.1.3.2. The valid values of K , the coded block size N_{FEC} , and the coding rate R , are presented in Table 10-56. The bits of each information block shall be in the same order as they are in the payload; the payload bit to be transmitted first shall be the first in the corresponding information block.

In normal mode of operation, indicated by $REP = 001$ in the PHY-frame header, PRE is disabled. The FEC codewords shall be passed directly to the output of the payload encoder and concatenated into the encoded payload block; their order shall be the same as the order of corresponding information blocks at the input of the payload encoder.

In MLC mode, the payload encoder is presented in Figure 10-8.1. It contains an MLC Pre-Processor to support MLC and an FEC encoder.

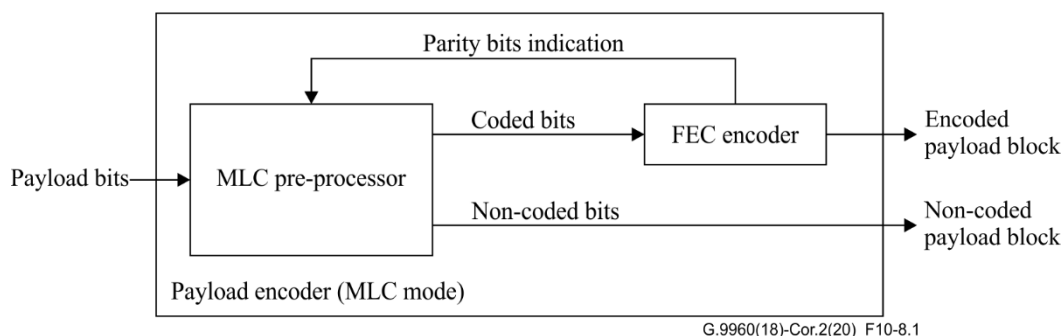


Figure 10-8.1 – Functional diagram of the MLC Payload Encoder

The MLC pre-processor divides the incoming payload bits into two groups (non-coded bits and coded bits) according to the runtime BAT and subcarrier grouping being used in the following way:

- As described in clause 10.1.4.2.2, the bit allocation table (BAT) associates subcarrier indices with the number of bits to be loaded on the subcarrier (b).
- The maximum number of coded bits per subcarrier (max_coded_bits) is 6 in this mode.
- The MLC pre-processor groups the incoming payload bits in groups of b bits (or $b-6$ in case the FEC encoder is issuing parity bits) and divides them between coded bits and non-coded bits.
- The FEC encoder shall signal to the ML pre-processor if the bits correspond to the parity check bits of an FEC block (parity bits indicator).
- The group of incoming bits is divided in coded and non-coded bits in the following way:

- In the general case (i.e., no parity bits indicator active):
 - If $b \leq \text{max_coded_bits}$, all b bits are classified as coded bits
 - If $b > \text{max_coded_bits}$, the 6 LSB bits of the group are classified as coded bits and the remaining $b-6$ bits are classified as non-coded bits.
- When parity bits being issued by the FEC encoding (i.e., parity bits indicator active):
 - No code bits are classified as coded bits
 - $b-6$ (or zero if $b \leq 6$) bits are classified as non-coded bits

Once the MLC pre-processor has divided the incoming payload bits into two groups, they are processed in the following way:

- **Non-coded bits:** These bits are not encoded by the FEC encoder and are passed directly to output of the MLC payload encoder and concatenated into the non-coded payload block. The bits at the output of the MLC payload encoder shall be in the same order as they are in the payload.
- **Coded bits:** These bits are processed by the FEC encoder. The incoming coded bits sequence shall be divided into sequential blocks of information bits, K bits per block. Each block of information bits shall be encoded by the FEC, as described in clause 10.1.3.2. The valid values of K , the coded block size N_{FEC} , and the coding rate R , are presented in Table 10-56. The bits of each information block shall be in the same order as they are in the coded bits sequence; the coded bit to be transmitted first shall be the first in the corresponding information block.

The FEC codewords shall be passed directly to the output of the payload encoder and concatenated into the encoded payload block; their order shall be the same as the order of corresponding information blocks at the input of the payload encoder.

In the case of RCM, each FEC codeword is further encoded by the PRE, as described in clause 10.1.3.3.1. The PRE-encoded FEC codewords are concatenated into the encoded payload block as defined in clause 10.1.3.3.1.

10.1.3.3.1 Payload repetition encoding

Payload repetition encoder (PRE) shall support the number of repetitions N_{REP} specified in Table 10-8. The used number of repetitions shall be advertised in the REP field in the PHY-frame header.

The FEC codeword enters the PRE. The PRE shall operate as follows:

- The FEC codeword shall be first copied M times, where $M = \text{ceiling}(k_P/N_{FEC})$, k_P is the number of bits to be loaded onto the payload OFDM symbol according to the current BAT, which is fixed to BAT Type 1. If the FEC codeword is made of bits (b_i) , this produces a sequence of bits C as follows:

$$C = \{b_0, b_1, \dots, b_{N_{FEC}-2}, b_{N_{FEC}-1}\}_0, \{b_0, b_1, \dots, b_{N_{FEC}-2}, b_{N_{FEC}-1}\}_1, \dots, \{b_0, b_1, \dots, b_{N_{FEC}-2}, b_{N_{FEC}-1}\}_{M-1} = \{b'_0, b'_1, \dots, b'_{M \times N_{FEC}-2}, b'_{M \times N_{FEC}-1}\}.$$

NOTE – As an example, if a node uses subcarriers 1200 to 1700 for transmission (0 Hz is subcarrier 0) in a network with first 11 subcarriers defined as PMSC, the modem k_P will be $(1701 - 11) \times 2 = 3380$ bits.

- The PRE encoder shall output N_{sym} copies of the bit sequence C . N_{sym} is the number of OFDM symbols needed to transmit the RCM encoded FEC codeword, and it is computed as follows

$$N_{sym} = \text{ceiling}\left(\frac{N_{FEC} \cdot N_{REP}}{k_{min}}\right)$$

Where k_{min} is a value in bits that can be related to the minimum bandwidth BW_{MIN} needed to have N_{REP} complete repetitions of the FEC codeword. The used k_{min} value shall be advertised in the KMIN field in the PHY-frame header (see clause 10.1.2.3.2.1.13), and shall be chosen from among the possible values specified in Table 10-10.1.

The relation between k_{min} and BW_{min} is as follows: $BW_{min} = \frac{k_{min} \cdot F_{sc}}{2}$, where F_{sc} is the subcarrier spacing.

– A right circular shift shall be applied to each copy of the bit sequence C as follows:

- The 1st copy of the bit sequence C shall be output with no shift:

$$C_1 = \{b'_0, b'_1, \dots, b'_{M \times NFEC-2}, b'_{M \times NFEC-1}\}.$$

- The 2nd copy of the bit sequence C shall be output with a right circular shift of N_{offset} bits:

$$C_2 = \{b'_{M \times NFEC - NOFFSET}, b'_{M \times NFEC - NOFFSET+1}, \dots, b'_{M \times NFEC-2}, b'_{M \times NFEC-1}, b'_0, b'_1, \dots, b'_{M \times NFEC - NOFFSET-2}, b'_{M \times NFEC - NOFFSET-1}\}.$$

- The 3rd copy of the bit sequence C shall be output with a right circular shift of $2 \times N_{offset}$ bits:

$$C_3 = \{b'_{M \times NFEC - 2 \times NOFFSET}, b'_{M \times NFEC - 2 \times NOFFSET+1}, \dots, b'_{M \times NFEC-2}, b'_{M \times NFEC-1}, b'_0, b'_1, \dots, b'_{M \times NFEC - 2 \times NOFFSET-2}, b'_{M \times NFEC - 2 \times NOFFSET-1}\}.$$

- And so on, until the N_{sym}^{th} copy of the bit sequence C , which shall be output with a right circular shift of $(N_{sym}-1) \times N_{offset}$ bits:

$$C_{N_{SYM}} = \{b'_{M \times NFEC - (N_{SYM}-1) \times NOFFSET}, b'_{M \times NFEC - (N_{SYM}-1) \times NOFFSET+1}, \dots, b'_{M \times NFEC-2}, b'_{M \times NFEC-1}, b'_0, b'_1, \dots, b'_{M \times NFEC - (N_{SYM}-1) \times NOFFSET-2}, b'_{M \times NFEC - (N_{SYM}-1) \times NOFFSET-1}\}.$$

The PRE encoding process is illustrated in Figure 10-9.

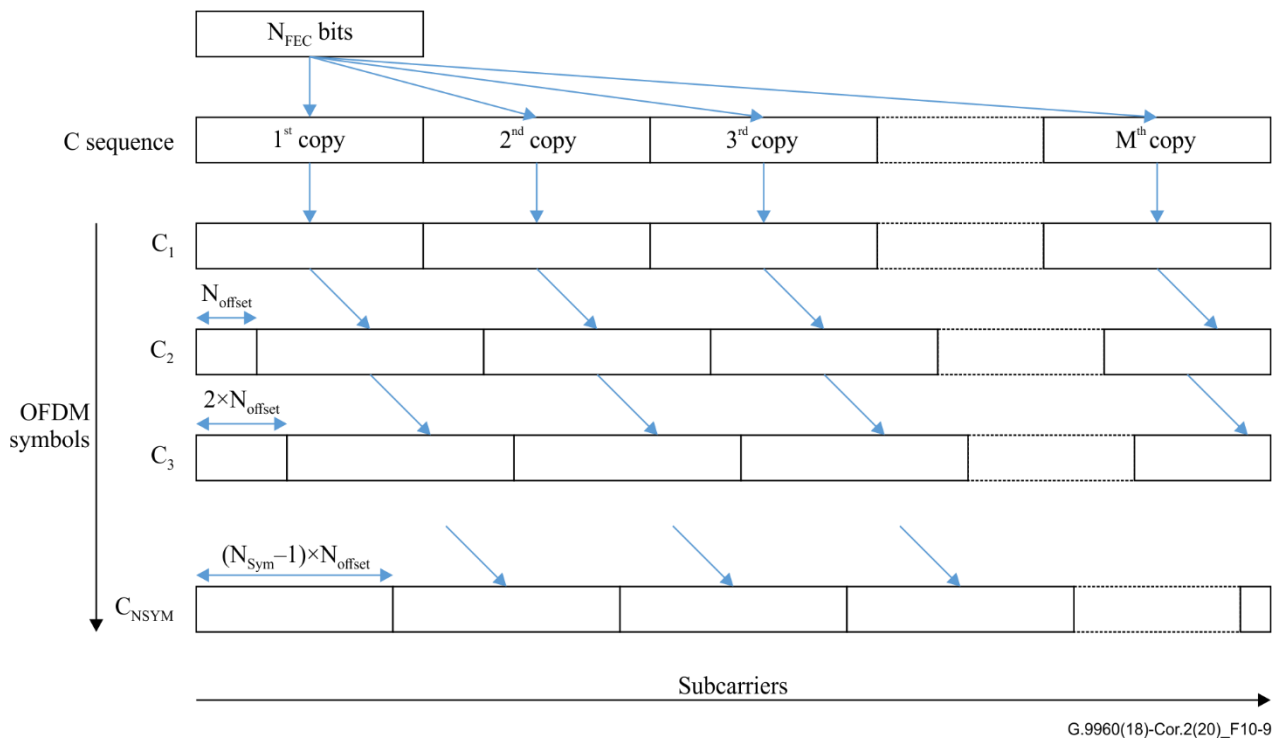


Figure 10-9 – Mapping of FEC codeword in subcarriers and OFDM symbols

The value N_{offset} is calculated as follows:

$$N_{offset} = 2 \cdot \text{floor}\left(\frac{N_{FEC}}{2N_{sym}}\right)$$

unless $2 \cdot \text{floor}\left(\frac{N_{FEC}}{2N_{sym}}\right)$ is divisible by 4, in which case

$$N_{offset} = 2 \cdot \text{floor}\left(\frac{N_{FEC}}{2N_{sym}}\right) - 2$$

It can be checked that $(N_{sym}-1) \times N_{offset} < N_{FEC}$. The above expressions also ensures N_{offset} is an even number.

If the computed value of N_{sym} is 1, H consecutive FEC codewords may be concatenated. The number of M copies in this case shall be $M = \text{ceiling}(k_P/(H \times N_{FEC}))$

$$\text{and } N_{sym} = \text{ceiling}\left(\frac{H \cdot N_{FEC} \cdot N_{REP}}{k_{min}}\right)$$

$$N_{offset} = 2 \cdot \text{floor}\left(\frac{H \cdot N_{FEC}}{2N_{sym}}\right)$$

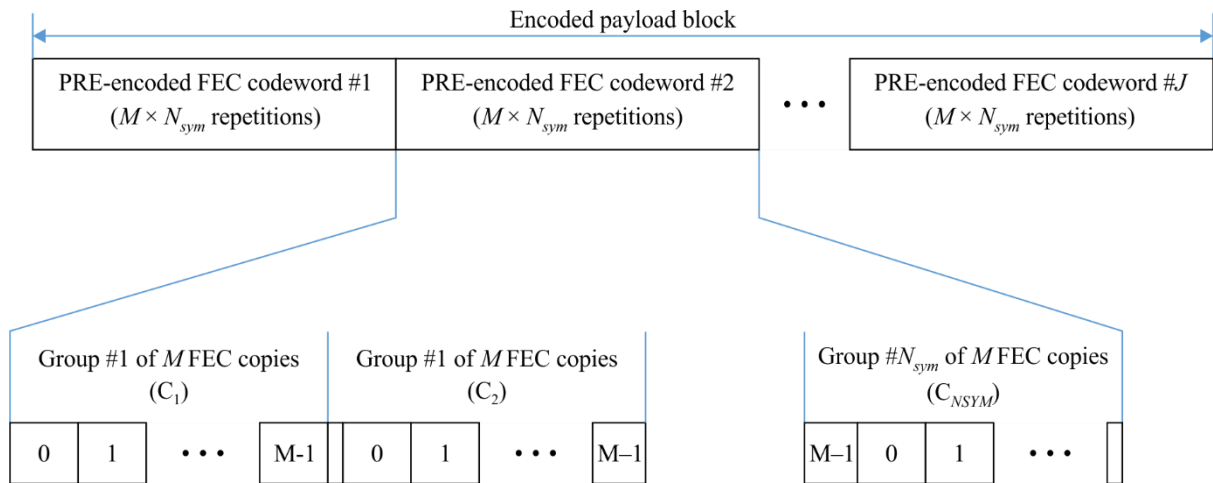
unless $2 \cdot \text{floor}\left(\frac{H \cdot N_{FEC}}{2N_{sym}}\right)$ is divisible by 4, in which case

$$N_{offset} = 2 \cdot \text{floor}\left(\frac{H \cdot N_{FEC}}{2N_{sym}}\right) - 2$$

The total size of the concatenated codewords shall not exceed the maximum FEC codeword size.

If the number of FEC codewords in the payload is not a multiple of H , the necessary $z < H$ dummy FEC codewords shall be added. These dummy codewords shall be copies of the last FEC codeword of the same payload. The values of H (1, 2 and 4) and z (0 to $H-1$) are indicated in the FCF field of the PHY-frame header (see Table 10-9).

The format of the encoded payload block with PRE enabled is presented in Figure 10-10.



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**Figure 10-10 – The format of the encoded payload block
(payload consists of J FEC codewords)**

10.1.3.4 Header encoder

See clause 7.1.3.4.

Figure 10-11 – See Figure 7-11

(This figure is intentionally left blank)

10.1.3.5 Segmentation into symbol frames

The encoded payload block from the output of the full payload encoder or the Encoded and Non-code payload blocks from the output of the MLC payload encoder and the encoded header block from the output of the header encoder shall be segmented into symbol frames. The maximum number of bits in the symbol frame shall not exceed the values of k_P for payload symbol frames and k_H for header symbol frames. Payload and header symbol frames shall be passed to the PMD, as described in Figure 10-5.

10.1.3.5.1 Payload segmentation

The payload segmentation is described in clause 10.1.3.5.1.1 in the case of full encoding and 10.1.3.5.1.2 in the case of MLC encoding.

10.1.3.5.1.1 Full mode payload segmentation

The encoded payload block shall be segmented into one or more symbol frames.

In normal mode, the first symbol frame shall contain the first k_P bits of the encoded payload block, the second frame shall contain the second k_P bits of the encoded payload block and so on, until the last symbol frame. If the number of bits in the last symbol frame is less than k_P , the unloaded supported subcarriers of the OFDM symbol for the last symbol frame shall be modulated by a pseudorandom sequence of bits, as described in clause 10.1.4.2.6. Payload segmentation is illustrated in Figure 10-12.

In RCM, the first symbol frame shall contain the first k_P bits of the first group of $M \times N_{FEC}$ bits (C_1 bit sequence) of the encoded payload block. The remaining $M \times N_{FEC} - k_P$ bits of the first group of $M \times N_{FEC}$ bits will be discarded. The second symbol frame shall contain the first k_P bits of the second group of $M \times N_{FEC}$ bits (C_2 bit sequence) of the encoded payload block. The remaining $M \times N_{FEC} - k_P$ bits of the second $M \times N_{FEC}$ bits will be discarded. And so on until the last symbol frame.

NOTE – Depending on the actual subcarriers actually used for transmission by a given node, the first Q bits out of the set of k_P bits in each symbol frame might not be transmitted. The value Q is determined by the number of subcarriers between the first non-PMSC subcarrier in the network, and the first subcarrier used for transmission by a given node. For example, if a node uses subcarriers 1200 to 1700 for transmission (0 Hz is subcarrier 0) in a network with first 11 subcarriers defined as PMSC, the first $Q = (1200 - 11) \times 2 = 2378$ bits out of the set of k_P bits in each symbol frame will not be transmitted in the line by that node.

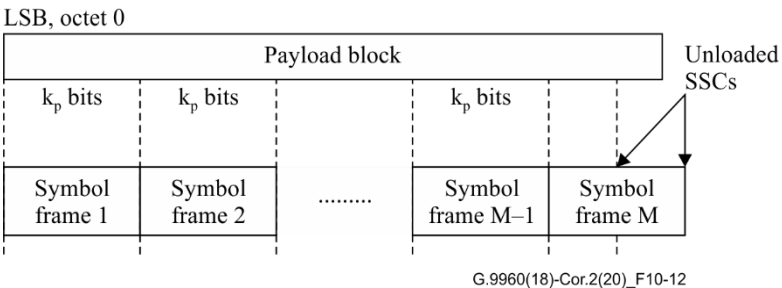


Figure 10-12 – Payload segmentation

10.1.3.5.1.2 MLC mode payload segmentation

The Encoded and Non-Coded payload blocks shall be segmented into one or more symbol frames.

In this mode, the number of bits in the symbol frame k_P shall be divided into two numbers:

- k_{encP} : Number of Encoded bits in a symbol according to the current Runtime BAT
- k_{nonP} : Number of Non-Coded bits in a symbol according to the current Runtime BAT

Regarding the Encoded payload block, the first symbol frame shall contain the first k_{encP} bits of the encoded payload block, the second frame shall contain the second k_{encP} bits of the encoded payload block and so on, until the last symbol frame. If the number of encoded bits in the last symbol frame is less than k_{encP} , the encoded bits of the unloaded supported subcarriers of the OFDM symbol for the last symbol frame shall be modulated by a pseudorandom sequence of bits, as described in clause 10.1.4.2.6.

In a similar way, regarding the Non-coded payload block, the first symbol frame shall contain the first k_{nonP} bits of the encoded payload block, the second frame shall contain the second k_{nonP} bits of the encoded payload block and so on, until the last symbol frame. If the number of non-coded bits in the last symbol frame is less than k_{nonP} , the non-coded bits of the unloaded supported subcarriers of the OFDM symbol for the last symbol frame shall be modulated by a pseudorandom sequence of bits, as described in clause 10.1.4.2.6.

MLC Payload segmentation is illustrated in Figure 10-12.1.

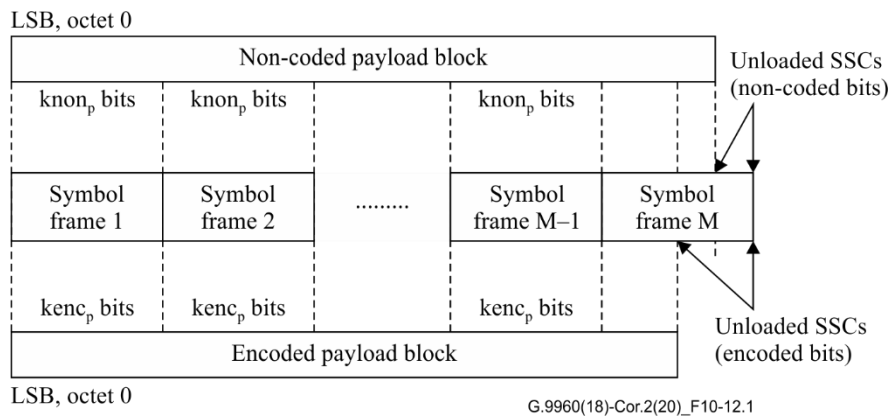


Figure 10-12.1 – MLC Payload segmentation

10.1.3.5.2 Header segmentation

See clause 7.1.3.5.2.

Figure 10-13 – See Figure 7-13

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10.1.3.6 PROBE frame

See clause 7.1.3.6.

10.1.4 Physical medium dependent (PMD) sublayer

See clause 7.1.4.

Figure 10-14 – See Figure 7-14

(This figure is intentionally left blank)

10.1.4.1 Subcarrier spacing and indexing

See clause 7.1.4.1 with the exception that only indexing rule #1 is applicable to clause 10.

10.1.4.2 Tone mapper

See clause 7.1.4.2.

10.1.4.2.1 Summary of subcarrier types

See clause 7.1.4.2.1.

10.1.4.2.2 Bit allocation tables (BATs)

See clause 7.1.4.2.2.

Table 10-57 – See Table 7-57

(This table has been intentionally left blank)

10.1.4.2.2.1 Predefined BATs

See clause 7.1.4.2.2.1.

10.1.4.2.2.2 Runtime BATs

A runtime BAT associates indices of SSCs with the number of bits to be loaded on each subcarrier. The subset of indices in the BAT with the number of loaded bits $b > 0$ identifies the ASC. Runtime BAT can be defined by the receiving node (receiver-defined BAT) or selected by the transmitting node (transmitter-determined BAT) for a specific unicast or multicast channel. Runtime BATs shall be communicated from the node that generates the BAT to the peer (e.g., a node sourcing multicast transmission to several other nodes will communicate the BAT to all receiving nodes prior to sending data) (see clauses 8.11 and 8.16 of [ITU-T G.9961](#)).

The number of bits loaded on any subcarrier shall not exceed the maximum number of bits allowed (see clause 10.1.4.3). The number of bits shall also meet the bit loading capabilities of the communicating nodes, as advertised by them prior to communication.

10.1.4.2.3 Transmitter-determined and receiver-determined mapping

See clause 7.1.4.2.3.

Figure 10-15 – See Figure 7-15

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10.1.4.2.4 BAT with subcarrier grouping

See clause 7.1.4.2.4.

10.1.4.2.5 Special mappings

10.1.4.2.5.1 Tone mapping for PHY-frame header

See clause 7.1.4.2.5.1.

10.1.4.2.5.2 Tone mapping for RCM

Payload transmission in robust communication mode (RCM) shall always use predefined BAT type 1. That is, uniform two bits loading on all subcarriers except those in the PMSC set.

10.1.4.2.5.3 Tone mapping for the probe symbols

See clause 7.1.4.2.5.3

10.1.4.2.5.4 Tone mapping for ACE symbols

See clause 7.1.4.2.5.4.

10.1.4.2.6 Modulation of unloaded supported subcarriers

See clause 7.1.4.2.6.

Table 10-57.1 – See Table 7-57.1

(This table has been intentionally left blank)

Figure 10-16 – See Figure 7-16

(This figure is intentionally left blank)

Table 10-58 – See Table 7-58

(This table is intentionally left blank)

10.1.4.3 Constellation encoder

10.1.4.3.1 Constellation mapping

When using full encoding, the constellation mapping shall follow clauses 10.1.4.3.1.1 and 10.1.4.3.1.2 for even and odd number of bits respectively.

When using MLC encoding, the constellation mapping shall follow clauses 10.1.4.3.1.3 and 10.1.4.3.1.4 for even and odd number of bits respectively.

10.1.4.3.1.1 Constellations for even number of bits (full encoding)

If the number of bits, b , loaded on to the subcarrier is even (2, 4, 6, 8, 10, 12 and 14), square-shaped constellations with mappings described in this clause shall be used. Support of all the specified even order constellations (2, 4, 6, 8, 10 and 12) shall be mandatory at both the transmitter and the receiver. Support of the following even order constellation (14) shall be mandatory only at the transmitter. With square-shaped constellations, 2^b constellation points are set as a square, and 2^{b-2} points reside in each quadrant with odd values (positive or negative) of I and Q .

Constellation and mapping for $b = 2$ shall be as presented in Figure 10-17 and described in Table 10-59.

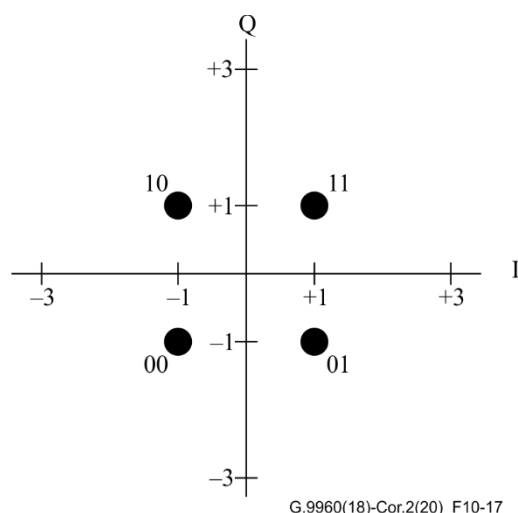


Figure 10-17 – Constellation and mapping for $b = 2$

Table 10-59 – Mapping for $b = 2$ (QPSK)

| Bit d_0 | I | | Bit d_1 | Q |
|-----------|-----|--|-----------|-----|
| 0 | -1 | | 0 | -1 |
| 1 | 1 | | 1 | 1 |

Constellation mapping for $b = 4$ shall be as described in Table 10-60. The first quadrant of the mapping is presented in Figure 10-18.

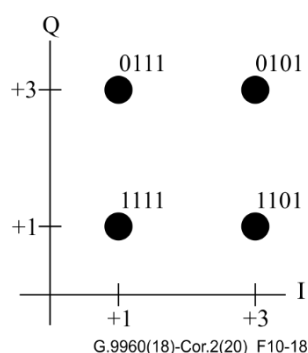


Figure 10-18 – Constellation and mapping for $b = 4$ (first quadrant)

Table 10-60 – Mapping for $b = 4$

| Bits $[d_1d_0]$ | I | | Bits $[d_3d_2]$ | Q |
|-----------------|-----|--|-----------------|-----|
| 00 | -3 | | 00 | -3 |
| 10 | -1 | | 10 | -1 |
| 11 | 1 | | 11 | 1 |
| 01 | 3 | | 01 | 3 |

Constellation mappings for even values of $b \geq 4$ shall be derived by the following steps:

- 1) Divide the incoming group of b bits into two equal subgroups, so that $b/2$ LSBs form the first subgroup (I -group) and $b/2$ MSBs form the second subgroup (Q -group); both subgroups are incoming LSBs (which are d_0 and $d_{b/2}$, respectively) first.

2) Compute values of I and Q for the incoming group $\{d_{b-1}, d_{b-2}, \dots, d_0\}$ as:

$$I = \text{sgn}_I \times \text{val}_I$$

$$Q = \text{sgn}_Q \times \text{val}_Q$$

The values of sgn and val shall be computed as presented in Table 10-61 using bits of I -group to compute I and bits of Q -group to compute Q .

Table 10-61 – Computation rule for sgn and val

| I – component | Q – component |
|--|---|
| <ul style="list-style-type: none"> – compute $\text{sgn}_I = 2 \times d_0 - 1$ – compute $\text{val}_I = I_{b-2} - 2^{b/2-1}$ | <ul style="list-style-type: none"> – compute $\text{sgn}_Q = 2 \times d_{b/2} - 1$ – compute $\text{val}_Q = Q_{b-2} - 2^{b/2-1}$ |
| <p>NOTE 1 – I_{b-2} and Q_{b-2} are the values of I and Q computed for the incoming $(b-2)$-bit group $\{d_{b-1}, d_{b-2}, \dots, d_{b/2+1}, d_{b/2-1}, \dots, d_1\}$, i.e., with d_0 and $d_{b/2}$ removed.</p> <p>NOTE 2 – The values of I and Q for 2-bit groups shall be as presented in Table 7-59.</p> <p>NOTE 3 – X is the absolute value of X.</p> | |

10.1.4.3.1.2 Constellations for odd number of bits (full encoding)

If the number of bits, b , loaded on to the subcarrier is odd (1, 3, 5, 7, 9, 11 and 13), constellations with mappings described in this clause shall be used. The support of all the specified odd order constellations (1, 3, 5, 7, 9, 11 and 13) shall be mandatory at the transmitter. The support of all the specified odd order constellations with $b \geq 5$, shall be optional at the receiver.

For multicast transmission, odd constellations with $b \geq 5$ shall not be used.

Constellation and mapping for $b = 1$ shall be as presented in Figure 10-19.

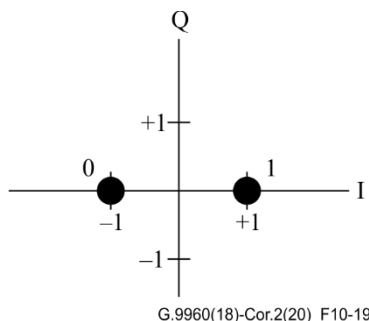


Figure 10-19 – Constellation shape and mapping for $b = 1$

Constellation and mapping for $b = 3$ shall be as presented in Figure 10-20.

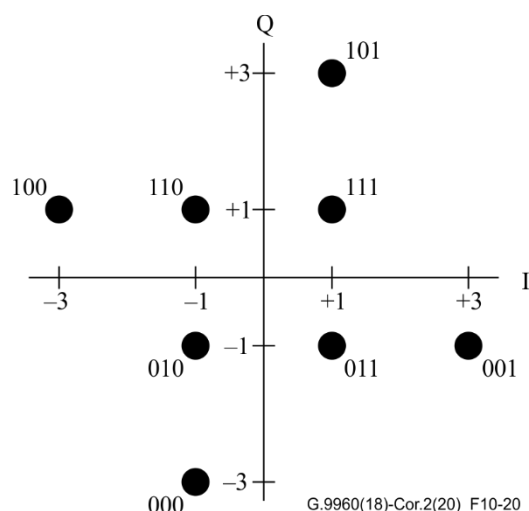


Figure 10-20 – Constellation and mapping for $b = 3$

For $b > 3$ cross-shaped constellations shall be used. First, 2^b constellation points shall be set as a rectangle, with 2^{B1} columns (2^{B1} points on the I -axis) and 2^{B2} rows (2^{B2} points on the Q -axis), where $B1 = \text{ceiling}(b/2)$ and $B2 = \text{floor}(b/2)$. The mapping of these points shall be computed using the following steps:

- 1) Divide the incoming group of bits into two subgroups, so that $B1$ LSBs form the first subgroup (I -group) and $B2$ MSBs form the second subgroup (Q -group); both subgroups are incoming LSBs (which are d_0 and d_{B2+1} , respectively) first.
- 2) Compute values of I and Q of a rectangular constellation for the incoming group $\{d_{b-1}, d_{b-2}, \dots, d_0\}$ as:

$$I = \text{sgn}_I \times \text{val}_I$$

$$Q = \text{sgn}_Q \times \text{val}_Q$$

The values of sgn and val shall be computed as presented in Table 10-62 using bits of I -group to compute I and bits of Q -group to compute Q .

Table 10-62 – Computation rule for sgn and val

| I – component | Q – component |
|--|--|
| <ul style="list-style-type: none"> – compute $\text{sgn}_I = 2 \times d_0 - 1$ – compute $\text{val}_I = I_{2 \times B1}$ | <ul style="list-style-type: none"> – compute $\text{sgn}_Q = 2 \times d_{B1} - 1$ – compute $\text{val}_Q = Q_{2 \times B2}$ |
| <p>NOTE 1 – $I_{2 \times B1}$ is the value of I for $(2 \times B1)$-bit group $\{0, d_{b-1}, d_{b-2}, \dots, d_0\}$ computed as defined in Table 7-61.</p> <p>NOTE 2 – $Q_{2 \times B2}$ is the value of Q for $(2 \times B2)$-bit group $\{d_{b-1}, d_{b-2}, \dots, d_1\}$ computed as defined in Table 7-61.</p> <p>NOTE 3 – X is the absolute value of X.</p> | |

- 3) Transform $s = (2^{B1} - 2^{B2})/4$ columns of constellation points in each quadrant having highest absolute values of I (positive or negative) into rows of Q by changing their $\{I, Q\}$ coordinates to $\{I', Q'\}$ in the following way:
 - $|Q'| = |I| - 2s$, and $\text{sign}(Q') = \text{sign}(I)$;
 - $|I'| = M_Q - |Q|$, and $\text{sign}(I') = \text{sign}(Q)$.

The described transformation of $\{I, Q\}$ coordinates for $b = 7$ is presented in Figure 7-21 with $B1 = 4$ and $B2 = 3$ (the MSB and LSB in Figure 10-21 are separated by "/").

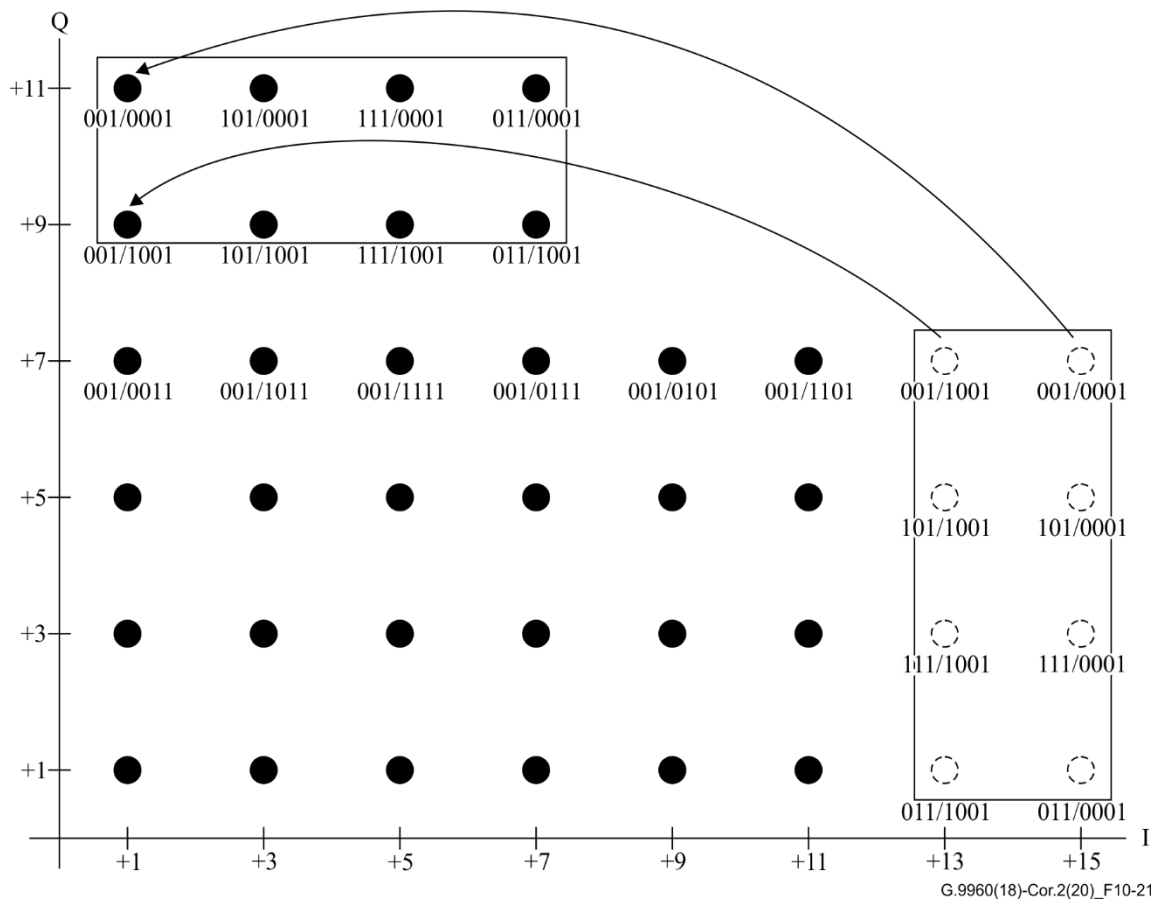


Figure 10-21 – Transformation of rectangular constellation into cross-shaped constellation for $b = 7$ (first quadrant)

10.1.4.3.1.3 Constellations for even number of bits (MLC encoding)

When working in MLC mode, the steps described in clause 10.1.4.3.1.1 shall be followed if $b \leq \text{max_coded_bits}$.

If $b > \text{max_coded_bits}$, the constellation mapping process is the following:

- The following variables are defined:
 - b : Total number of bits of current constellation
 - M : Total number of points of current constellation
 - $M = 2^b$
 - c : Number of encoded bits (6)
 - M_{coded} : Total number of points of encoded constellation
 - $M_{\text{coded}} = 2^c = 64$
 - u : Number of non-coded bits.
 - $M_{\text{non_coded}}$: Total number of points of non-coded constellation
 - $M_{\text{non_coded}} = 2^u$
 - $b = c + u$
- c encoded bits from the Encoded payload block shall be mapped into a M_{coded} -QAM constellation point ($\{I_{\text{cod}}, Q_{\text{cod}}\}$) following the steps defined in clause 7.1.4.3.1.1

- u non-coded bits from the Non-coded payload block shall be mapped into a $M_{\text{non_coded}}$ -QAM constellation point ($\{I_{\text{non}}, Q_{\text{non}}\}$) following the steps defined in clause 10.1.4.3.1.1
 - The resulting two constellation points shall be combined to obtain a unique M-QAM point
- The combination consists of two steps: the non-coded constellation point is scaled and then the coded constellation point is added to obtain the final M-QAM point:

$$I = I_{\text{non}} * \sqrt{M_{\text{coded}}} + I_{\text{cod}}$$

$$Q = Q_{\text{non}} * \sqrt{M_{\text{coded}}} + Q_{\text{cod}}$$

10.1.4.3.1.4 Constellations for odd number of bits (MLC encoding)

When working in MLC mode, the steps described in clause 10.1.4.3.1.2 shall be followed if $b \leq \text{max_coded_bits}$.

If $b > \text{max_coded_bits}$, the constellation mapping process is the following:

- The following variables are defined:
 - b : Total number of bits of current constellation
 - M : Total number of points of current constellation
 - $M = 2^b$
 - c : Number of encoded bits (6)
 - M_{coded} : Total number of points of encoded constellation
 - $M_{\text{coded}} = 2^c = 64$
 - u : Number of non-coded bits.
 - $M_{\text{non_coded}}$: Total number of points of non-coded constellation
 - $M_{\text{non_coded}} = 2^u$
 - $b = c + u$
- c encoded bits from the Encoded payload block shall be mapped into a M_{coded} -QAM constellation point ($\{I_{\text{cod}}, Q_{\text{cod}}\}$) following the steps defined in clause 7.1.4.3.1.1
- u non-coded bits from the Non-coded payload block shall be mapped into a $M_{\text{non_coded}}$ -QAM constellation ($\{I_{\text{non}}, Q_{\text{non}}\}$) following next steps:
 - 2^u constellation points shall be set as a rectangle, with 2^{U1} columns (or points on the I -axis) and 2^{U2} rows (or points on the Q -axis), where $U1 = \text{ceiling}(u/2)$ and $U2 = \text{floor}(u/2)$. The mapping of these points shall be computed using the following steps:
 - Divide the incoming group of bits into two subgroups, so that $U1$ LSBs form the first subgroup (I -group) and $U2$ MSBs form the second subgroup (Q -group); both subgroups are incoming LSBs (which are d_0 and d_{U2+1} , respectively) first.
 - Compute values of I and Q of a rectangular constellation for the incoming group $\{d_{u-1}, d_{u-2}, \dots, d_0\}$ as:

$$I = \text{sgn}_I \times \text{val}_I$$

$$Q = \text{sgn}_Q \times \text{val}_Q$$

- The values of sgn and val shall be computed as presented in Table 10-62.1 using bits of I -group to compute I and bits of Q -group to compute Q .

Table 10-62.1 – Computation rule for *sgn* and *val*

| <i>I</i> – component | <i>Q</i> – component |
|---|---|
| – compute $sgn_I = 2 \times d_0 - 1$ | – compute $sgn_Q = 2 \times d_{U1} - 1$ |
| – compute $val_I = I_{2 \times U1} $ | – compute $val_Q = Q_{2 \times U2} $ |
| NOTE 1 – $I_{2 \times U1}$ is the value of <i>I</i> for $(2 \times U1)$ -bit group $\{0, d_{u-1}, d_{u-2}, \dots, d_0\}$ computed as defined in Table 7-61. | |
| NOTE 2 – $Q_{2 \times U2}$ is the value of <i>Q</i> for $(2 \times U2)$ -bit group $\{d_{u-1}, d_{u-2}, \dots, d_1\}$ computed as defined in Table 7-61. | |
| NOTE 3 – $ X $ is the absolute value of <i>X</i> . | |

- The resulting two constellation points shall be combined to obtain a unique M-QAM point
- The combination consists of two steps: the non-coded constellation point is scaled and then the coded constellation point is added to obtain the final M-QAM point:

$$I = I_{\text{non}} * \text{sqrt}(M_{\text{coded}}) + I_{\text{cod}}$$

$$Q = Q_{\text{non}} * \text{sqrt}(M_{\text{coded}}) + Q_{\text{cod}}$$

- This process results into a rectangular constellation. In order to optimize the constellation in terms of power, the rectangular constellation shall be transformed by changing their {*I*,*Q*} coordinates to {*I**,*Q**} in the following way:
 - For $u > 1$, transform $\{s = (2^{\text{ceiling}(b/2)} - 2^{\text{floor}(b/2)})/4\}$ columns of constellation points in the constellation sets of each quadrant to build a cross-shaped constellation following next steps:

$$(I^*, Q^*) = \begin{cases} (I - 4s, Q + 8s), & \text{if } I > 6s, Q < -2s \\ (I - 4s, Q - 4s), & \text{if } I > 6s, -2s < Q < 0 \\ (I - 8s, Q + 4s), & \text{if } I > 6s, 0 < Q < 2s \\ (I - 8s, Q - 8s), & \text{if } I > 6s, Q > 2s \\ (I + 8s, Q + 8s), & \text{if } I < -6s, Q < -2s \\ (I + 8s, Q - 4s), & \text{if } I < -6s, -2s < Q < 0 \\ (I + 4s, Q + 4s), & \text{if } I < -6s, 0 < Q < 2s \\ (I + 4s, Q - 8s), & \text{if } I < -6s, Q > 2s \\ (I, Q), & \text{otherwise} \end{cases}$$

- For $u = 1$, the following transformation shall be carried out to build a more power efficient constellation (diamond-shaped constellation in this case):

$$b_I = \text{ceil}\left(\frac{b}{2}\right);$$

$$b_Q = \text{floor}\left(\frac{b}{2}\right);$$

$$imax = 2^{b_I};$$

$$qmax = 2^{b_Q};$$

$$(I', Q') = \begin{cases} (-\text{sign}(I) * (\text{imax} - \text{abs}(I)), Q + \text{imax}), & \text{if } Q < (\text{abs}(I) - q_{\text{max}}) \\ (I, Q), & \text{otherwise} \end{cases}$$

$$(I^*, Q^*) = (I', Q' - q_{\text{max}} + 1)$$

10.1.4.3.2 Constellation point scaling

See clause 7.1.4.3.2.

10.1.4.3.2.1 Power normalization factor

The values (I, Q) for each constellation point of each subcarrier shall be scaled such that all constellations, regardless of their size, have the same average power. The required scaling, $\chi(b)$, for a subcarrier with b -bit loading depends only on the value of b and shall be set as presented in Table 10-63.

Table 10-63 – Power normalization factor

| Number of bits loaded (b) | Scaling factor (χ) (linear scale) |
|-------------------------------|---|
| 1 | 1 |
| 2 | $1/\sqrt{2}$ |
| 3 | $1/\sqrt{6}$ |
| 4 | $1/\sqrt{10}$ |
| 5 | $1/\sqrt{20}$ |
| 6 | $1/\sqrt{42}$ |
| 7 | $1/\sqrt{82}$ |
| 8 | $1/\sqrt{170}$ |
| 9 | $1/\sqrt{330}$ |
| 10 | $1/\sqrt{682}$ |
| 11 | $1/\sqrt{1322}$ |
| 12 | $1/\sqrt{2730}$ |
| 13 | $1/\sqrt{5290}$ |
| 14 | $1/\sqrt{10922}$ |

10.1.4.3.2.2 Transmit spectrum shaping

See clause 7.1.4.3.2.2.

10.1.4.3.2.3 Gain adjustment

See clause 7.1.4.3.2.3.

10.1.4.3.3 Constellation scrambler

See clause 7.1.4.3.3.

Figure 10-22 – See Figure 7-22

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Table 10-64 – See Table 7-64

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10.1.4.4 OFDM modulator

See clause 7.1.4.4.

10.1.4.4.1 IDFT

See clause 7.1.4.4.1.

Figure 10-23 – See Figure 7-23

(This figure is intentionally left blank)

10.1.4.4.2 Cyclic extension

See clause 7.1.4.4.2.

10.1.4.4.3 Symbol timing

See clause 7.1.4.4.3.

10.1.4.4.4 Windowing, overlap and add

See clause 7.1.4.4.4

Figure 10-24 – See Figure 7-24

(This figure is intentionally left blank)

10.1.4.4.5 Frequency up-shift

See clause 7.1.4.4.5.

10.1.4.4.6 Output signal

See clause 7.1.4.4.6.

10.1.4.5 Preamble, INUSE, PR, NACK and IDPS signals

10.1.4.5.1 General preamble structure

The preamble is prepended to every PHY frame defined in clause 10.1.2.1. It is intended to assist the receiver in detecting, synchronizing to the frame boundaries, and acquiring the physical layer parameters such as initial channel estimation and OFDM symbol alignment. In full-duplex communications, it also indicates the identity of the secondary node in a frame exchange. The preamble shall meet the same transmit PSD mask (i.e., notches, shapes) as the header and the payload symbols.

Table 10-65 presents the general structure of the preamble. Each section I comprises N_I repetitions of an OFDM symbol (S_I) employing subcarrier spacing $k_I \times F_{SC}$, where F_{SC} denotes the subcarrier spacing of the payload. A zero value for N_I means that section I is not included in the preamble. The values of k_I shall be selected from the set 1, 2, 4 or 8. The preamble subcarriers of section I shall be one in every k_I subcarriers with respect to the subcarriers used for the payload OFDM symbol starting from subcarrier zero. Each preamble section shall be windowed as necessary in order to comply with the PSD mask. This is illustrated in Figure 10-25.

Table 10-65 – General structure of the preamble

| | 1st section | 2nd section | |
|--|-------------|--------------------------|--|
| Number of symbols (N_l) (Note 1) | N_1 | N_2 | |
| Subcarrier spacing ($k_l \times F_{SC}$) | k_1 | $k_2 = k_1$ (Note 2) | |
| OFDM symbol (S_l) | S_1 | $S_2 = -S_1$ (Note 3) | |

NOTE 1 – N_l does not include windowing.
NOTE 2 – The subcarrier spacing of the 2nd section shall be equal to the subcarrier spacing of the 1st section.
NOTE 3 – The OFDM symbol of the 2nd section shall be an inverted time-domain waveform of the 1st section.

Figure 10-25 shows the preamble waveform. The specific preamble types and construction methods are defined in clause 10.2.

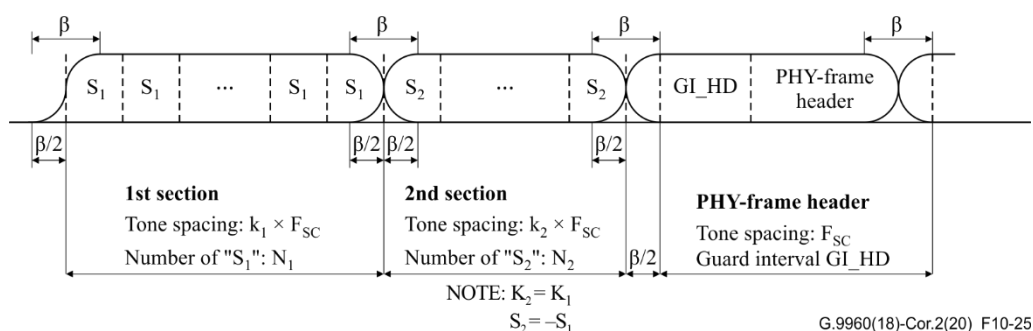


Figure 10-25 – Preamble waveform

10.1.4.5.2 INUSE, PR, NACK and IDPS signals general structure

See clause 7.1.4.5.2.

Table 10-66 – See table 7-66

(This table has been intentionally left blank)

10.1.4.5.3 Preamble, INUSE, PR NACK and IDPS signal generation

See clause 7.1.4.5.3.

10.1.4.5.3.1 Frequency-domain symbol generation

10.1.4.5.3.1.1 Preamble

See clause 7.1.4.5.3.1.1.

10.1.4.5.3.1.2 INUSE, PR, NACK and IDPS signals

See clause 7.1.4.5.3.1.2.

10.1.4.5.3.2 Modulation

10.1.4.5.3.2.1 Modulation of the preamble

For the non-masked subcarriers of the preamble, a bit sequence of all ones shall be mapped using the 1-bit constellation as specified in clause 10.1.4.3.1.2. Other bit sequences are for further study.

Two constellation scrambler LFSR generators shall work in parallel for the modulation of the preamble: one for odd indexed subcarriers (first constellation scrambler LFSR generator, from now on) and the other one for even indexed subcarriers (second constellation scrambler LFSR generator, from now on). This way, the seed used for initializing the second constellation scrambler LFSR generator shall indicate the DEVICE_ID of the secondary node in a full-duplex frame exchange.

The first constellation scrambler LFSR generator shall be initialized at the beginning of each one of the used preamble sections to a seed that is section and medium dependent. The default value of the seed is defined in clause 10.2. Additional, domain-specific seeds are also defined in clause 10.2. The seed used shall either be the default seed or a domain-specific seed, as indicated in the "TXOP Attributes Extension Data" of the MAP (see clause 8.8.4.1.1 of [ITU-T G.9961]). Whenever usage of a domain-specific seed is indicated, the appropriate seed shall be selected from the pool of seeds in the tables in clause 10.2 based on the DOD (Domain ID).

NOTE – This mechanism may be used by a DM whenever it detects the presence of a neighbouring network (regardless of the transmission technology being used by the neighbouring network) in order to reduce the level of interference to the neighbouring network.

The second constellation scrambler LFSR generator shall be initialized at the beginning of each one of the used preamble sections to a seed with value DEFAULT_SEC_SCRAMBLER_SEED. Additional 'device-id-specific' seeds may also be defined by the DM and conveyed to the nodes of the network through the additional domain information domain info sub-field of the MAP. The seed used shall either be the default seed or a device-id-specific seed. If the preamble belongs to a half-duplex frame exchange, the second constellation scrambler LFSR generator shall be initialized to the default seed. On the other hand, if the preamble belongs to a full-duplex frame exchange, the second constellation scrambler LFSR generator shall be initialized to a 'device-id-specific' seed indicating the DEVICE_ID of the secondary node. A node shall not initiate a full duplex exchange if the 'device-id-specific' seed of the second constellation scrambler LFSR generator seed has not been communicated by the DM.

For preamble generation, the output of the mapper shall be subsequently rotated using the two bits that are the LSBs of the LFSR, s_1 and s_2 , as defined in Table 10-64 (constellation scrambler) resulting in constellation point Z_i . The odd indexed subcarriers shall be rotated using the output of the first LFSR and the even indexed subcarriers shall be rotated using the output of the second LFSR.

Both, the first and the second LFSR generators shall be advanced by two bits for each preamble's subcarrier (for both SSC and MSC) in the order specified in clause 10.1.4.3.3.

The first constellation scrambler LFSR generator shall advance after processing an odd indexed subcarrier, while the second constellation scrambler LFSR generator shall advance after processing an even indexed subcarrier.

10.1.4.5.3.2.2 Modulation of the INUSE, PR, NACK and IDPS symbols

See clause 7.1.4.5.3.2.2.

10.1.4.5.3.3 Time-domain symbol generation

10.1.4.5.3.3.1 Preamble

The Z_i values shall be modulated on to OFDM symbols as described in clause 10.1.4.4.1.

The output time-domain symbol shall be repeated N_I times where N_I denotes the number of replicas within section I .

The first and second sections of the preamble shall be windowed, overlapped and added as described below:

- 1) First section:
 - a) The first short symbol of the first section is cyclically extended by prepending $\beta/2$ samples.
 - b) The last short symbol of the first section is cyclically extended by appending $\beta/2$ samples.
 - c) The first and last β samples of the extended first section are windowed with a window function $w_\beta(n)$ and $w_\beta(\beta-n-1)$ respectively.
- 2) Second section:
 - a) The first short symbol of the second section is cyclically extended by prepending $\beta/2$ samples.
 - b) The last short symbol of the second section is cyclically extended by appending $\beta/2$ samples.
 - c) The first and last β samples of the extended second section are windowed with a window function $w_\beta(n)$ and $w_\beta(\beta-n-1)$ respectively.
- 3) Overlap and add:
 - a) The β windowed samples at the end of the first section and at the beginning of the second section are overlapped and added.
 - b) The β windowed samples at the end of the second section are overlapped and added with the β windowed samples at the beginning of the PHY-frame header as described in clause 10.1.4.4.4.

$w_\beta(n)$ shall comply with the rules specified in clause 10.1.4.4.4.

This is illustrated in Figure 10-26.

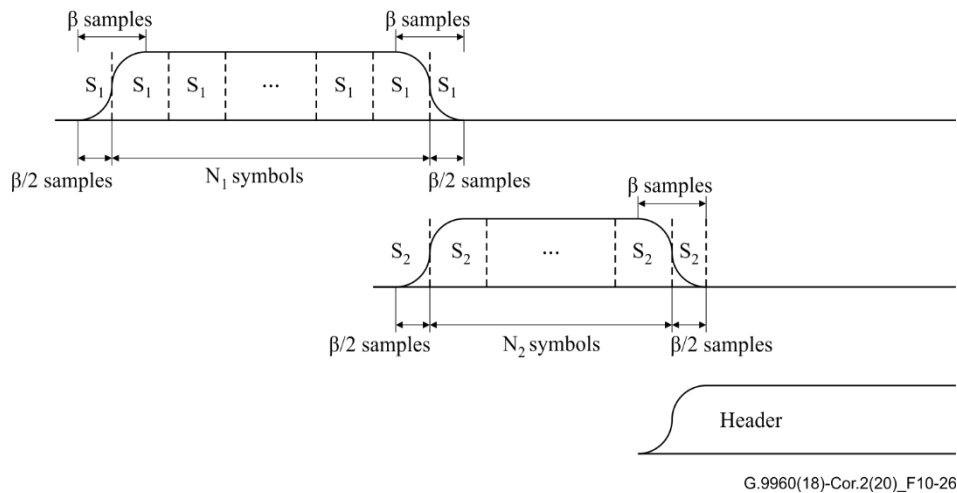


Figure 10-26 – Preamble time-domain generation

The number of samples at the Nyquist rate in the preamble shall be:

$$N_{pr} = \beta + N_1 \frac{N}{k_1} + N_2 \frac{N}{k_2}$$

10.1.4.5.3.3.2 INUSE, PR, NACK and IDPS signals

See clause 7.1.4.5.3.3.2.

10.1.4.6 PMD control parameters

See clause 7.1.4.6.

Table 10-67 – See Table 7-67

(This table has been intentionally left blank)

Table 10-68 – See Table 7-68

(This table has been intentionally left blank)

10.1.4.7 Symbol boost

See clause 7.1.4.7.

10.1.5 Transmit PSD mask

See clause 7.1.5.

10.1.6 Electrical specifications

10.1.6.1 Transmit clock tolerance

See clause 7.1.6.1.

10.1.6.2 Relative transmit clock accuracy

See clause 7.1.6.2.

10.1.6.3 Up-convert frequency tolerance

See clause 7.1.6.3.

10.2 Medium dependent specification

10.2.1 Physical layer specification over telephone lines

10.2.1.1 Control parameters

See clause 7.2.1.1.

10.2.1.2 Preamble, INUSE, PR and NACK signals

10.2.1.2.1 Preamble structure

Table 10-69 illustrates the preamble structure for a telephone line.

Table 10-69 – Preamble structure for transmission over telephone lines

| | 1st section | 2nd section | |
|--|--------------------|--------------------|--|
| Number of symbols (N_i) | 8 | 2 | |
| Subcarrier spacing ($k_i \times F_{SC}$) | $k_i = 8$ | $k_i = 8$ | |

10.2.1.2.2 INUSE, PR and NACK signal generation parameters for telephone lines

See clause 7.2.1.2.2.

Table 10-70 – See Table 7-70

(This table has been intentionally left blank)

10.2.1.2.3 Modulation of the preamble for telephone lines

When using a default seed, the first constellation scrambler LFSR generator (see clause 10.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed that is section dependent as defined in Table 10-71.

When using a "domain-specific" seed, the first constellation scrambler LFSR generator (see clause 10.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed defined by the DM in the DM_Defined_Seed field of the additional domain information subfield (see clause 8.8.5.15 of [ITU-T G.9961]). The DM shall choose the seed from the set of seeds corresponding to the DOD of the domain, as specified in Table 10-71.1).

When the preamble belongs to a half-duplex frame exchange, the second constellation scrambler LFSR generator shall be initialized at the beginning of each one of the used preamble sections to a default seed that is section dependent as defined in clause 10.1.4.5.3.2.1.

When the preamble belongs to a full-duplex frame exchange, the second constellation scrambler LFSR generator shall be initialized at the beginning of each one of the used preamble sections to a seed defined by the DM depending on the DEVICE_ID of the secondary node of the frame exchange (see clause 10.1.4.5.3.2.1).

**Table 10-71 – Default first constellation scrambler initialization
seed values for the preamble, for telephone lines**

| Medium | 1st section | |
|----------------|--------------------|--|
| Telephone line | 0127 ₁₆ | |

**Table 10-71.1 – Set of first domain-specific constellation scrambler initialization
seed values for the preamble, for telephone lines**

| DOD (Domain ID) | 1st Section | |
|-----------------|--|--|
| 0 | 024E ₁₆ ; 17A9 ₁₆ ; 0F53 ₁₆ ; 10F3 ₁₆ ; 1545 ₁₆ ; 01E6 ₁₆ ; 0A8A ₁₆ ; 098E ₁₆ | |
| 1 | 06B2 ₁₆ ; 17C9 ₁₆ ; 00A7 ₁₆ ; 131C ₁₆ ; 0D64 ₁₆ ; 0F93 ₁₆ ; 014F ₁₆ ; 14FC ₁₆ | |
| 2 | 044C ₁₆ ; 0B96 ₁₆ ; 040D ₁₆ ; 0119 ₁₆ ; 037E ₁₆ ; 0074 ₁₆ ; 1A7E ₁₆ ; 0226 ₁₆ | |
| 3 | 1E0E ₁₆ ; 172C ₁₆ ; 081B ₁₆ ; 0232 ₁₆ ; 06FC ₁₆ ; 00E8 ₁₆ ; 1C1D ₁₆ ; 1ADE ₁₆ | |
| 4 | 1B5B ₁₆ ; 05B3 ₁₆ ; 084F ₁₆ ; 0029 ₁₆ ; 0637 ₁₆ ; 121E ₁₆ ; 1EFB ₁₆ ; 102F ₁₆ | |
| 5 | 154F ₁₆ ; 14C7 ₁₆ ; 0CDE ₁₆ ; 0880 ₁₆ ; 09AC ₁₆ ; 096B ₁₆ ; 0D6F ₁₆ ; 0DAD ₁₆ | |

Table 10-71.1 – Set of first domain-specific constellation scrambler initialization seed values for the preamble, for telephone lines

| DOD (Domain ID) | 1st Section | |
|------------------------|--|--|
| 6 | 02D9 ₁₆ ; 0427 ₁₆ ; 1014 ₁₆ ; 131B ₁₆ ; 180B ₁₆ ; 043D ₁₆ ; 1DF6 ₁₆ ; 005F ₁₆ | |
| 7 | 0A9E ₁₆ ; 098F ₁₆ ; 19BD ₁₆ ; 1100 ₁₆ ; 1358 ₁₆ ; 12D7 ₁₆ ; 1016 ₁₆ ; 02DE ₁₆ | |
| 8 | 0B14 ₁₆ ; 1437 ₁₆ ; 0AF6 ₁₆ ; 15CF ₁₆ ; 1E04 ₁₆ ; 0CDF ₁₆ ; 14AF ₁₆ ; 1530 ₁₆ | |
| 9 | 1B55 ₁₆ ; 085F ₁₆ ; 0592 ₁₆ ; 1B2A ₁₆ ; 1E42 ₁₆ ; 07E8 ₁₆ ; 1503 ₁₆ ; 0F10 ₁₆ | |
| 10 | 038A ₁₆ ; 0A34 ₁₆ ; 1878 ₁₆ ; 1170 ₁₆ ; 03E4 ₁₆ ; 1251 ₁₆ ; 0253 ₁₆ ; 0F66 ₁₆ | |
| 11 | 0ADE ₁₆ ; 069D ₁₆ ; 0583 ₁₆ ; 08E9 ₁₆ ; 054A ₁₆ ; 0397 ₁₆ ; 016F ₁₆ ; 058A ₁₆ | |
| 12 | 0A1B ₁₆ ; 157B ₁₆ ; 1AE7 ₁₆ ; 0F02 ₁₆ ; 066F ₁₆ ; 0A57 ₁₆ ; 0A98 ₁₆ ; 0DAA ₁₆ | |
| 13 | 042F ₁₆ ; 12C9 ₁₆ ; 1D95 ₁₆ ; 01FC ₁₆ ; 1C85 ₁₆ ; 0FD0 ₁₆ ; 0A06 ₁₆ ; 1E20 ₁₆ | |
| 14 | 0715 ₁₆ ; 1469 ₁₆ ; 10F0 ₁₆ ; 02E1 ₁₆ ; 07C9 ₁₆ ; 04A3 ₁₆ ; 04A6 ₁₆ ; 1ECC ₁₆ | |
| 15 | 15BC ₁₆ ; 0D3A ₁₆ ; 0B06 ₁₆ ; 11D2 ₁₆ ; 0A95 ₁₆ ; 072F ₁₆ ; 03F9 ₁₆ ; 1C49 ₁₆ | |

10.2.1.2.4 Modulation of the INUSE, PR and NACK signals for telephone lines

See clause 7.2.1.2.4.

10.2.1.3 PSD mask specifications

See clause 7.2.1.3.

10.2.1.4 Permanently masked subcarriers

See clause 7.2.1.4.

10.2.2 Physical layer specification over power lines

This clause is for further study.

10.2.3 Physical layer specification over coax

10.2.3.1 Control parameters

See clause 7.2.3.1.

10.2.3.2 Preamble, PR signal, and INUSE signal

10.2.3.2.1 Preamble structure

Table 10-76 illustrates the preamble structure for coax.

Table 10-76 – Preamble structure for transmission over coax

| | 1st section | 2nd section | |
|--|-------------|-------------|--|
| Number of symbols (N_i) | 10 | 4 | |
| Subcarrier spacing ($k_i \times F_{SC}$) | $k_i = 4$ | $k_i = 4$ | |

Table 10-77 – Placeholder for table

(This table has been intentionally left blank)

10.2.3.2.2 INUSE, PR and NACK signal generation parameters for coax

See clause 7.2.3.2.2.

Table 10-78 – See table 7-78

(This table has been intentionally left blank)

10.2.3.2.3 Modulation of the preamble for coax

When using a default seed, the constellation scrambler LFSR generator (see clause 10.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed that is section dependent as defined in Table 10-80.

When using a "domain-specific" seed, the first constellation scrambler LFSR generator (see clause 10.1.4.3.3) shall be initialized at the beginning of each one of the used preamble sections to a seed defined by the DM in the DM_Defined_Seed field of the additional domain information subfield (see clause 8.8.5.15 of [\[ITU-T G.9961\]](#)).

When the preamble belongs to a half-duplex frame exchange, the second constellation scrambler LFSR generator shall be initialized at the beginning of each one of the used preamble sections to a default seed that is section dependent as defined in clause 10.1.4.5.3.2.1.

When the preamble belongs to a full-duplex frame exchange, the second constellation scrambler LFSR generator shall be initialized at the beginning of each one of the used preamble sections to a seed defined by the DM depending on the DEVICE_ID of the secondary node of the frame exchange (see clause 10.1.4.5.3.2.1).

**Table 10-80 – Default first constellation scrambler initialization
seed values for the preamble, for coax**

| Medium | 1st section |
|--------|--------------------|
| Coax | 16E6 ₁₆ |

Domain-specific constellation scrambler initialization seed values for the preamble for coax is for further study.

10.2.3.2.4 Modulation of the INUSE, PR and NACK signals for coax

See clause 7.2.3.2.4.

10.2.3.3 PSD mask specifications

See clause 7.2.3.3.

10.2.3.4 Permanently masked subcarriers

See clause 7.2.3.4.

10.2.3.5 Coexistence on coax

See clause 7.2.3.5.

10.2.4 Transmitter EVM requirements

The deviation of the actual transmit signal from the corresponding constellation point shall be estimated by the value of error vector magnitude (EVM) calculated as:

$$EVM = 20 \log \frac{\text{error_vector_RMS}}{\text{reference_signal}}$$

The interpretation of EVM components for a constellation point is illustrated in Figure 10-28.

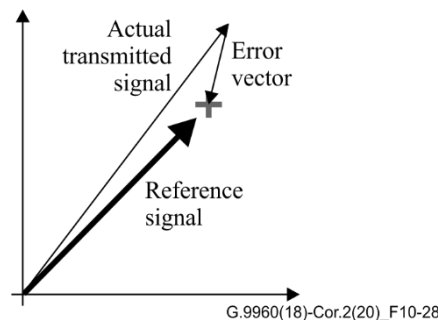


Figure 10-28 – Interpretation of EVM

The EVM for a subcarrier at the output of a transceiver (i.e., at the MDI reference point), for at least 90% of active subcarriers, shall not exceed values presented in Table 10-81 at the maximum transmit power that the transceiver is capable of transmitting within a transmit PSD mask.

Table 10-81 – Maximum EVM values for different media and operational frequency band

| Medium | EVM (Note 1) |
|---|--|
| Power line | –33 dB for $f \leq 30$ MHz –3 dB for $f > 30$ MHz |
| Telephone line | –40 dB |
| Baseband coax | –40 dB |
| NOTE 1 – Values of EVM shall be verified using standard termination impedance for each type of medium (see clause 7.2.5). | |

10.2.5 Termination impedance

See clause 7.2.5.

10.2.6 Total transmit power

See clause 7.2.6.

10.2.7 Receiver input impedance

See clause 7.2.7.

10.2.8 Longitudinal conversion loss

See clause 7.2.8.

10.2.8.1 LCL for phoneline

See clause 7.2.8.1

Annex A

Regional requirements for North America

(This annex forms an integral part of this Recommendation.)

(This annex is only valid when using Profile 1 OFBs described in clause 7)

For further study.

Annex B

(This annex has been intentionally left blank.)

Annex C

Regional requirements for Japan

(This annex forms an integral part of this Recommendation.)

(This annex is only valid when using Profile 1 OFBs described in clause 7.)

C.1 Scope

This annex describes domestic practices, standards for each medium (coax cable, telephone line and power line) and the way to apply the ITU-T G.9960 system under those conditions in Japan.

C.2 Medium dependent specification

C.2.1 Physical layer specification over telephone lines

For further study.

C.2.2 Physical layer specification over power lines

All nodes over power lines shall comply with national regulations in Japan [b-Regulations], which states that the frequency band that can be used without any licence is restricted to between 2 MHz and 30 MHz, and the interference level due to power-line communication is also restricted. Through experiments and evaluations, Japan set these regulations that are not the same as the description given in clause 7.2.2.

Furthermore, the regulations give limitations of where they can be used; that is, the usage of power-line communications is only allowed inside buildings and is not allowed outside buildings.

C.2.3 Physical layer specification over coax

C.2.3.1 Operational frequency band

In addition to the OFDM control parameters in Table 6-6 of [ITU-T G.9964](#), the OFDM control parameters shown in Table C.1 may be used. It should be noted that the ITU-T G.9960 signals over coax cables should not interfere with services offered in the coax cables to customers by the cable television operators, or with signals transmitted from receiving antennas of terrestrial and satellite broadcasting in the coax cables.

Table C.1 – Optional OFDM control parameters for coax cables in Japan

| Domain type | Coax RF |
|-------------|--|
| OFB name | 200 MHz-CRF (Notes 2, 3) |
| N | 1024 |
| F_{SC} | 195.3125 kHz |
| N_{GI} | $K \times N/32$, $k = 1, 2, 3, \dots, 8$ samples @ 200 Msamples/s |
| N_{GI-HD} | $N/4 = 256$ samples @ 200 Msamples/s |
| N_{GI-DF} | $N/4 = 256$ samples @ 200 Msamples/s |
| β | 32 |
| F_{US} | 100 MHz |
| F_{UC} | Z (Note 4) |

Table C.1 – Optional OFDM control parameters for coax cables in Japan

| Domain type | Coax RF |
|--|---|
| OFB name | 200 MHz-CRF (Notes 2, 3) |
| Subcarrier indexing rule (Note 1) | Rule #1 if $X = Y = Z$, or rule #2 if $X + 25 \text{ MHz} = Y + 50 \text{ MHz} = Z + 100 \text{ MHz}$ (Note 5) |
| <p>NOTE 1 – See clause 7.1.4.1 for more details on subcarrier indexing rules.</p> <p>NOTE 2 – The 200 MHz OFB on this table and the 50 MHz and 100 MHz OFBs shown in Table 6-6 of [ITU-T G.9964] may be used by nodes operating in the same coax RF domain.</p> <p>NOTE 3 – The range of subcarrier frequencies is between Z MHz and $(Z + 200)$ MHz.</p> <p>NOTE 4 – The values of F_{UC} shall be selected from the valid set defined in Table 7-67 and may be subject to regional spectrum management rules.</p> <p>NOTE 5 – X and Y are F_{UC} of OFB 50 MHz-CRF and 100 MHz-CRF respectively (see Table 6-6 of [ITU-T G.9964]).</p> | |

C.2.3.2 Preamble

This is the same as clause 7.2.3.2.

C.2.3.3 PSD mask specifications

Limit PSD masks for operation over RF coax are specified for the frequency range between 770 MHz and 1032 MHz and above 2070 MHz. Specifications of the limit PSD mask for other frequency bands are for further study.

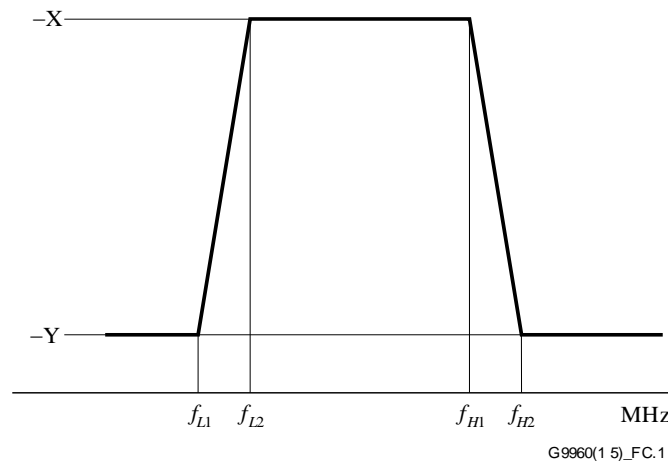


Figure C.1 – Limit PSD mask over RF coax for transceivers used between 770 MHz and 1032 MHz

The values of frequency spectrum parameters for coax are presented in Table C.2. It is assumed that interim points between those defined in Figure C.1 are obtained by linear interpolation (dB over linear frequency scale).

Table C.2 – Parameters of limit PSD mask over RF coax for transceivers used between 770 MHz and 1032 MHz

| Parameters | Frequency (MHz) | PSD (dBm/Hz) (Note) | Note/Description |
|---|-----------------|---------------------|------------------|
| $F_C - f_{L1}$ | 130 | –Y | |
| $F_C - f_{L2}$ | 100 | –X | |
| F_C | $M * 25$ MHz | –X | |
| $f_{H1} - F_C$ | 100 | –X | |
| $f_{H2} - F_C$ | 132 | –Y | |
| NOTE – $M = 36$ for 200 MHz, see Note 5 for other OFBs. | | | |

NOTE 1 – In cases where additional spectrum shaping is used, as described in clause 5.2 of [ITU-T G.9964], the transmit PSD mask can be reduced in the relevant parts of this spectrum by switching subcarriers off or reducing their transmit power.

NOTE 2 – More than one channel may be allocated within the limit PSD mask in Table C.2. In this case, out-of-band PSD of each channel should not interfere with other channels. The detailed requirements are for further study.

NOTE 3 – Out-of-band spurious signals at the output of an ITU-T G.9960 node operating over coax in RF mode are supposed to meet the limit PSD mask defined in Table C.2. The limit for total power of out-of-band spurious signals is for further study. The requirements for in-band spurious signals are for further study.

NOTE 4 – Specification of guard bands are for further study.

NOTE 5 – Parameters of limit PSD mask over RF coax for OFBs 50 MHz and 100 MHz for Annex C should be the same as those in clause 6.3 of [ITU-T G.9964] PSD mask specifications with an arbitrary integer number of M , but not to exceed the PSD mask for Table C.2.

NOTE 6 – Values for X and Y are for further study.

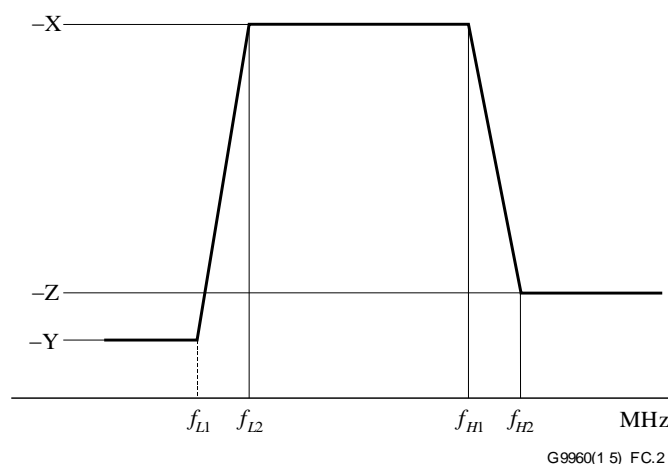


Figure C.2 – Limit PSD mask over RF coax for transceivers used above 2070 MHz

**Table C.3 – Parameters of limit PSD mask over RF coax
for transceivers used above 2070 MHz**

| Parameters | Frequency (MHz) | PSD (dBm/Hz) (Note) | Note/Description |
|--|--------------------|------------------------|------------------|
| f_{L1} | 2070 | –Y | |
| f_{L2} | 2200 | –X | |
| F_C | $M \cdot 25$ MHz | –X | |
| f_{H1} | 2850 | –X | |
| f_{H2} | 2950 | –Z | |
| NOTE – $M = 92$ or 110 for 200 MHz-RF. See Note 11 for other OFBs. | | | |

NOTE 7 – In cases where additional spectrum shaping is used, as described in clause 5.2 of [\[ITU-T G.9964\]](#), the transmit PSD mask can be reduced in the relevant parts of this spectrum by switching subcarriers off or reducing their transmit power.

NOTE 8 – More than one channel may be allocated within the limit PSD mask in Table C.3. In this case, the out-of-band PSD of each channel should not interfere with other channels. The detailed requirements are for further study.

NOTE 9 – Out-of-band spurious signals at the output of ITU-T G.9960 node operating over coax in RF mode are supposed to meet the limit PSD mask defined in Table C.3. The limit for the total power of out-of-band spurious signals is for further study. The requirements for in-band spurious signals are for further study.

NOTE 10 – Specification of guard bands are for further study.

NOTE 11 – Parameters of limit PSD mask over RF coax for OFBs 50 MHz and 100 MHz for Annex C should be the same as those in clause 6.3 of [\[ITU-T G.9964\]](#) PSD mask specifications with arbitrary integer number of M , but not to exceed the PSD mask for Table C.3.

NOTE 12 – Values X, Y and Z are for further study.

C.2.3.4 Transmitter EVM requirements for coax RF

The EVM requirements are for further study.

Annex D

(This annex has been intentionally left blank.)

Annex E

(This annex has been intentionally left blank.)

Annex F

Usage of ITU-T G.9960 for optical transmission

(This annex forms an integral part of this Recommendation.)

(This annex is only valid when using the band limited profiles described in clause 7)

F.1 Scope

This annex describes the way to apply the ITU-T G.9960 system for optical transmission.

F.2 Media dependent specification

F.2.1 Physical layer specification over SI-POF (Step-index polymer/plastic optical fibres)

The core parameters for an LED-based optical transmitter should be as described in Table F.1.

Table F.1– Parameters for a LED-based optical transmitter

| Parameter | Value/Unit |
|---|---|
| Centre wavelength | 640-660 nm |
| Maximum spectral width | 30 nm |
| Maximum optical transmit power | 0 dBm |
| Minimum optical bandwidth (–3 dB point) | 100 MHz for OFB 100 MHz-SB and 200 MHz for OFB 200 MHz-SB |

The core parameters for the optical receiver should be as shown in Table F.2.

Table F.2 – Parameters for the optical receiver

| Parameter | Value/Unit |
|---|------------|
| Wavelength | 640-660 nm |
| Minimum receiver sensitivity | –20 dBm |
| NOTE 1 – Typically a cable will include one or two SI-POF. It is recommended that the cable will be compliant with categories A4a.1 and/or A4a.2 according to [b-IEC 60793-2-40]. | |
| NOTE 2 – For the cable connection to the optical receiver and the optical transmitter, a connector-less connection should be preferred. If connectors are used it is recommended that they will comply to standards such as SMI [b-IEC 61754-21] or SC/RJ [b-IEC 61754-24]. | |

F.2.2 Operational frequency band

Table F.3 shows the valid OFDM control parameters for various bandplans defined for SI-POF:

Table F.3 – OFDM parameters for SI-POF

| Domain type | SI-POF | SI-POF |
|--|--|--|
| OFB name | 100 MHz – SB | 200 MHz – SB |
| N | 512 | 1024 |
| F_{SC} | 195.3125 kHz | 195.3125 kHz |
| N_{GI} | $N/32 \times k$ for $k=1, \dots, 8$ samples @ 100 Msamples/s | $N/32 \times k$ for $k=1, \dots, 8$ samples @ 200 Msamples/s |
| N_{GI-HD} | $N/4=128$ samples @ 100 Msamples/s | $N/4=256$ samples @ 200 Msamples/s |
| N_{GI-DF} | $N/4=128$ samples @ 100 Msamples/s | $N/4=256$ samples @ 200 Msamples/s |
| β | $N/32=16$ samples @ 100 Msamples/s | 32 |
| F_{US} | 50 MHz | 100 MHz |
| F_{UC} | 0 MHz | 0 MHz |
| Subcarrier indexing rule | Rule #1 | Rule #1 |
| NOTE – See clause 7.1.4.1 for more details on subcarrier indexing rules. | | |

Annex G

Test vectors (PHY layer)

(This annex forms an integral part of this Recommendation.)

(This annex is only valid when using the PHY layer described in clause 7)

This annex includes test vectors for core operations described in this Recommendation.

G.1 PFH test vectors

G.1.1 PFH test vector 1

The following test vector shows the construction of the PFH of a PROBE frame assuming a 25 MHz-PB OFB:

Parameters for the core part of the PFH are given as FT = 6 (PROBE), DOD = 3, SID = 1, DID = 2, MI = 0, DRI = 1, EHI = 0, HSI = 0, and HCS[15:0] = 9807₁₆;

Parameters for the variable part of the PFH are given as PRB_DUR = 3400 (see NOTE below), PRBTYPE = 1, PRBSYM = 3 (16 symbols), APSD_MAX-P = 31, APSD_MAX-P-EXT = 0, PRBGI = 3 ($N/8$), CURRTS = 0 (outside STXOP), and PFTSF = 0 (reserved).

$$\text{NOTE} - \text{PRB_DUR} = \{N_{\text{pr}} + (N_{\text{GI-HD}} + N) + 2(N_{\text{GI-DF}} + N) + 14(N_{\text{GI}} + N)\} \times 4\text{e6} \div 25\text{e6} = \{10 \times 1024/8 + (1024/4 + 1024) + 2(1024/4 + 1024) + 14(1024/8 + 1024)\} \times 0.16 = 3399.68 \text{ (i.e., } 849.92 \text{ } \mu\text{s)}.$$

The resulting bit stream for the PFH of the PROBE frame defined above shall be:

```
pfh_pcs_output[1:168] = {0, 1, 1, 0, 1, 1, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0,
0, 0, 0, 0, 0, 1, 0, 0, 1, 0, 1, 0, 1, 1, 0, 0, 0, 0, 1, 0, 0, 0, 1, 1, 0, 0, 1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
0, 0, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 0, 0, 1}
```

G.2 Scrambler test vectors

G.2.1 Scrambler test vector 1

The entire PFH data is scrambled as defined in clause 7.1.3.1. The initialization vector is set to 2AAAAA₁₆. The bit sequence generated by the LFSR for PFH scrambling shall be:

pfh_scrambler_sequence [1:168] = {1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 0, 1, 0, 1, 0, 0, 0,
0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 1, 0, 1, 1, 1, 1, 1, 0,
1, 0, 1, 0, 0, 0, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 0, 0, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,
1, 1, 1, 0, 1, 1, 1, 1, 1, 1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 1, 0, 1, 0, 1, 1, 1, 0, 1, 0, 0, 0, 0, 1,
0, 0, 0, 0, 0, 0, 1, 0, 1, 0, 1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0}.

The first bit of the PFH is XOR'ed by `pfh_scrambler_sequence [1]`, the second bit by `pfh_scrambler_sequence [2]`, etc.

The $\text{const_scrambler_sequence}[i] = (s_1, s_2)$ denotes the LFSR output for the subcarrier index $8(i-1)$ (clause 7.1.4.3.3). That is, $\text{const_scrambler_sequence}[1] = (0, 1)$ corresponds to the subcarrier index 0, $\text{const_scrambler_sequence}[2] = (0, 0)$ to the subcarrier index 8, etc.

G.6.2 Preamble generation test vector 2

Using the test vector from clause G.6.1, the normalized constellation scrambler output corresponding to the first section of the preamble assuming 25 MHz-PB OFB shall be:

$\text{pre_cscram_output}[1:128] = \{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, j, -1, j, j, -1, -j, j, 1, j, -j, j, 1, 1, j, -j, -1, j, -j, -j, 1, -1, j, -j, 1, j, -j, 1, -1, -j, j, -1, 1, 1, -j, -1, 1, j, -j, -j, j, 1, -j, j, -1, -1, -1, -1, -1, -1, 1, 1, 1, 1, -1, -j, 1, 1, -1, -1, -1, 1, 1, -j, 1, -1, -j, -j, j, -1, 1, j, 1, 1, 1, 1, 1, -j, -1, 1, 1, -j, -j, j, j, -j, -1, 1, -j, -1, -1, -j, -1, -j, -j, -j, 1, -1, -j, -1, -j, 1, 1, 1, -1, 1, 1, -1, -1, j, -j, -1, -j, -j, 1, 1, 1\}$

The first non-zero output $\text{pre_cscram_output}[11] = j$ corresponds to the subcarrier index 80, $\text{pre_cscram_output}[12] = -1$ corresponds to the subcarrier index 88, etc. The PMSC set is given in clause 7.2.2.4. No RMSC set is assumed.

Annex H

Test vectors (HCH-PHY layer)

(This annex forms an integral part of this Recommendation.)

(This annex is only valid when using the HCH-PHY layer described in clause 10.)

This clause is for further study.

Annex I

(This annex has been intentionally left blank.)

Annex J

Interoperability between Profile 1 and Profile 2 OFB transmissions

(This annex forms an integral part of this Recommendation.)

G.hn nodes implementing the HCH-PHY layer implemented in clause 10 may coexist in the same domain than nodes implementing the PHY layer described in clause 7 provided that the operating frequencies defined for the domain are consistent.

The domain master of a G.hn domain shall ensure that each node in the network:

- Transmits to its peers using the appropriate physical layer.
- Has enough information to determine which physical layer to use in every transmission

The mechanisms for distributing this information are described in clause 8.18.3 of [ITU-T G.9961]

J.1 Rules for selection of transmission mode

A G.hn node shall determine before a transmission which physical layer (PHY, clause 7 or HCH-PHY, clause 10) it shall use. Once determined, the transmitting node shall always use this mode of transmission for the communications with the receiving nodes. The rules for determining the transmission mode are defined in [ITU-T G.9961] as shown in Table J-1:

Table J-1 – Transmission rules for interoperability

| Transmission type | [ITU-T G.9961] reference |
|-----------------------|-------------------------------------|
| MAP-D Transmission | See clause 8.18.4 of [ITU-T G.9961] |
| MAP-A Transmission | See clause 8.18.4 of [ITU-T G.9961] |
| Transmission in RCM | See clause 8.18.5 of [ITU-T G.9961] |
| Run-time transmission | See clause 8.18.5 of [ITU-T G.9961] |

J.2 Rules for scheduling generation

The DM of a domain where G.hn nodes with clauses 7 and 10 physical layer capabilities (or that accepts such a combination for registering nodes) shall comply with additional restrictions when building the scheduling of the domain, as presented in the following clauses.

J.2.1 TXOP restrictions

Profile 1 OFBs (clause 7) and Profile 2 OFBs (clause 10) shall not coexist within the same TXOP. The DM shall indicate in the field ALLOWED_OFB of the TXOP descriptor (see clause 8.8.4) the OFB profile that the transmitter node may use during this transmission opportunity.

A TXOP descriptor that does not indicate the OFBs that are allowed in this TXOP is considered to allow only Profile 1 OFBs.

NOTE – The DM of a domain should schedule enough TXOPs for both types of OFBs to guarantee a fair access to the channel for all the nodes of the domain.

Annex K to Annex Q

(Annex K to Annex Q have been intentionally left blank.)

Annex R

Use of reverse power feeding techniques for G.hn over coaxial media

(This annex forms an integral part of this Recommendation.)

R.1 Scope

This annex addresses reverse power feeding (RPF) over point-to-point coaxial links.

NOTE – Extension to point-to-multipoint is for further study.

One G.hn use case is the separation of the network termination and gateway through a high speed coaxial backhaul to allow the operator to position these elements in their optimal locations:

- The network termination, near the entry point of the premises may be a good place to locate the WAN termination.
- The gateway should be located to optimize the Wi-Fi coverage. Normally this is done by placing the gateway in the living room.

Whilst the gateway equipment is normally located in a position where it is easily powered, the network termination equipment may be in a location with difficult access to the electrical network due to the lack of sockets. (e.g., the equipment may be located outside the house to facilitate installation). In these cases, the use of RPF techniques may facilitate the installation and maintenance of this equipment by the service provider.

The use of RPF allows the network termination to get the electrical power from the gateway equipment in the premises over the coaxial network.

A reference model of such deployment is shown in Figure R-1.

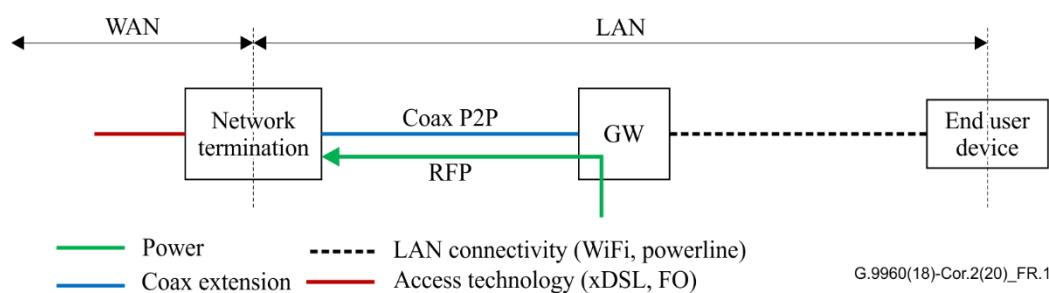


Figure R.1 – Reference model for a RPF installation

R.2 References

- [ITU-T G.997.2] —ITU-T G.997.2(2019), "*Physical layer management for G.fast transceivers*".
- [IEEE 802.3bt] IEEE 802.3bt-2018, "*IEEE Standard for Ethernet Amendment 2: Physical Layer and Management Parameters for Power over Ethernet over 4 pairs*".
- [TS-101 548-1] ETSI TS-101 548-1, "*Access, Terminals, Transmission and Multiplexing (ATTM); European Requirements for Reverse Powering of Remote Access Equipment*".

R.3 Definitions

R.3.1 PD node: In this context, a G.hn node connected to a Powered Device for management purposes.

R.3.2. PSE node: In this context, a G.hn node connected to a power sourcing equipment for management purposes.

R.4 Abbreviations and acronyms

| | |
|------|-----------------------------|
| ACM | Alternating Current Mains |
| BAT | Battery |
| BBA | Battery Back-up Available |
| CC | Core Conductor |
| DGL | Dying Gasp Loss |
| ELC | Error Line Condition |
| OAM | Operation And Maintenance |
| OSC | Outer Shield Conductor |
| PD | Powered Device |
| PoE | Power over Ethernet |
| POTS | Plain Old Telephone Service |
| PS | Power Splitter |
| PSE | Power Sourcing Equipment |
| PSU | Power Supply Unit |
| RPF | Reverse Power Feeding |
| WAN | Wide Area Network |

R.5 Reference architecture

In an RPF installation, the coaxial cable can convey at the same time several types of signals:

- Electrical power, generated by an equipment including at least a G.hn interface and consumed by a network termination that also includes a G.hn interface.
- G.hn Data signal, transmitted over the coaxial cable between the G.hn interfaces in both sides of the communication path (e.g., network termination and gateway).
- Overlay data signal, generated by a non-G.hn network over a different spectrum but transmitted on the same physical coaxial media.

This leads to several types of deployment architecture may be deployed as seen in Figure R.2 and Figure R.3

Figure R.2 shows a reference architecture where the coaxial cable is solely used for G.hn signal distribution in a point to point scenario.

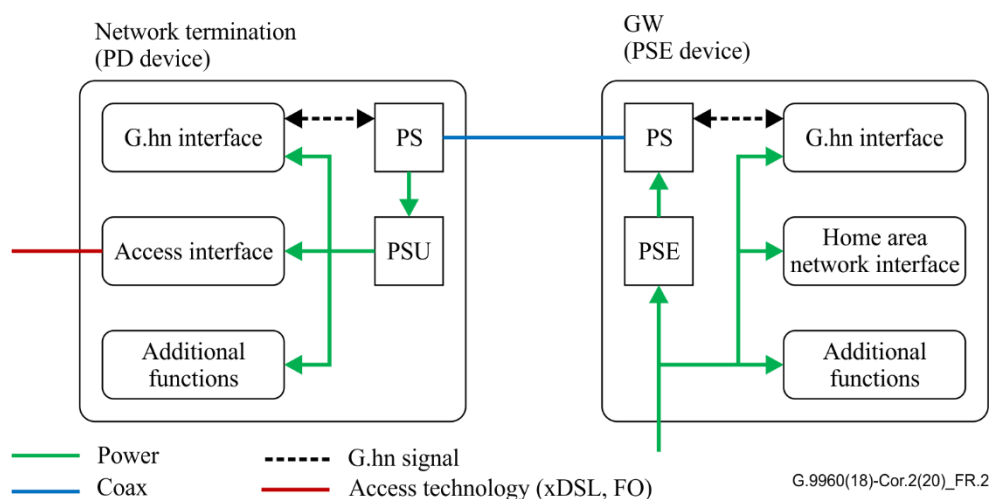


Figure R.2 – Reference architecture – Only G.hn service

A power source equipment (PSE) included in an end user equipment is powered locally. This PSE feeds with electrical power the coaxial cable through a Power Splitter (PS) that combines G.hn signal and the electrical supply.

On the network termination side, another PS divides the incoming signal between the G.hn signal that is directed to the G.hn interface, and the electrical power that arrives to the local power supply unit (PSU) that powers the different elements composing the network termination.

Figure R.3 shows a reference architecture where the coaxial cable is shared with non-G.hn networks.

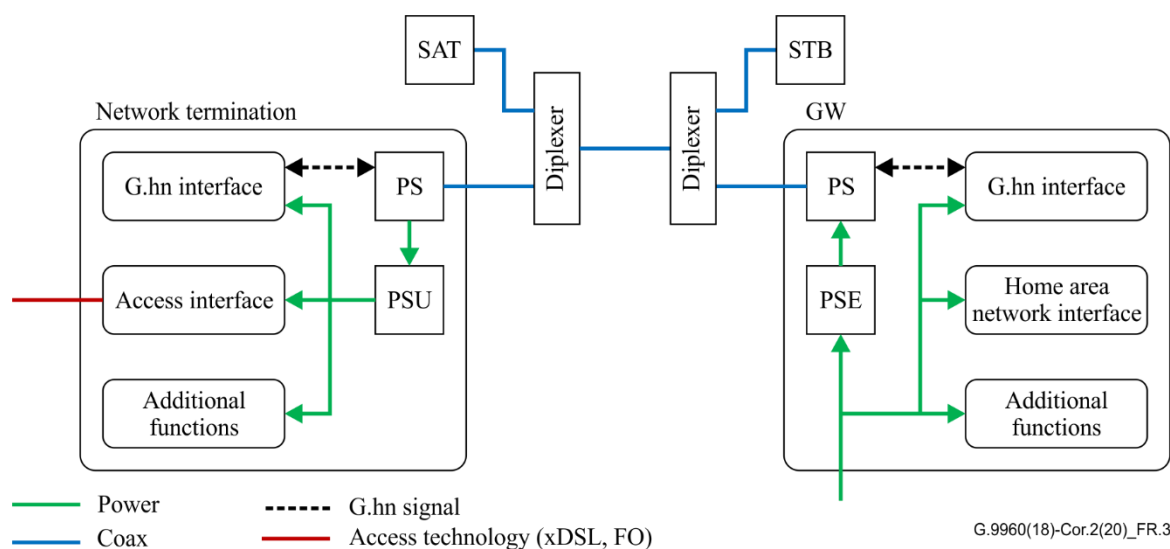


Figure R.3 – Reference architecture – G.hn service coexistence with satellite

In this case, the functionality is similar to the previous case. The main difference is the presence of diplexers between the two entities that allow to inject/extract non-G.hn signals operating in a different spectrum (e.g., satellite) into/from the coaxial line.

R.6 Implementation of RPF

R.6.1 Introduction

A G.hn-compliant RPF framework is composed of two main processes:

- **Power feed:** The process through which a power supply equipment (PSE) device feeds with power a powered device (PD).
- **RPF management protocol:** Implementation of a management channel between the PSE device and the PD device through a G.hn management channel.

R.6.2 Power feed

RPF over G.hn is aimed to support several processes for conveying the power from the equipment including the PSE and the equipment including the PSU.

This process shall use:

- **PoE-based electrical feed:** The electrical feed of the PD may be done using power over Ethernet (PoE) (see clause R.6.2.1)

The use of other electrical feed protocols is for further study.

The PSE device shall be able to power a single PD device in both mains-powered and battery-powered (when available) operation.

R.6.2.1 Power feed through PoE

The power feed through PoE shall follow the standard [IEEE 802.3bt]. Both PSE device and PD device shall implement type 3 implementation.

R.6.3 RPF management protocol

R.6.3.1 Protocol description

The RPF management protocol allows to the PD device to manage the PSE device through the exchange between NMEs of G.hn management messages through the L3 interface (see Figure 6-2 of [ITU-T G.9962]). The information conveyed may be exposed to the application layers through the L1 management interface. Figure R.4 shows the RPF management over G.hn management channel.

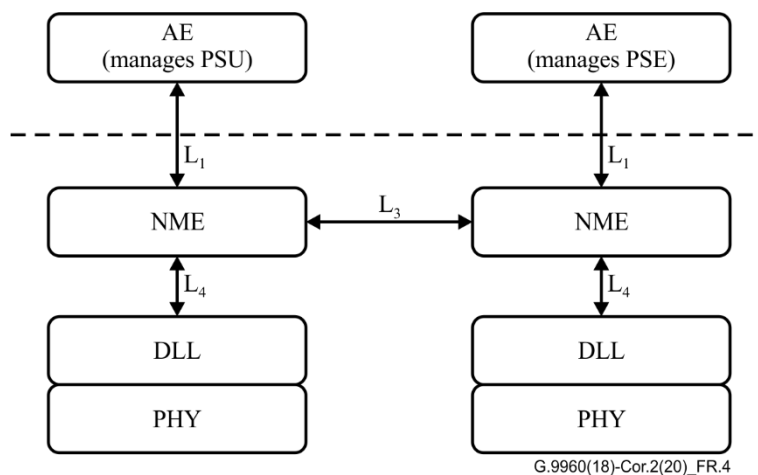


Figure R.4 – RPF management over G.hn management channel

The RPF management protocol is mapped into the following G.hn management messages:

- **RPF_OAMEventNotification.ind:** Management message used by the PSE node to alert a PD node of RPF events.

- RPF_OAMParameter.req: Management message used to request one or more RPF parameters
- RPF_OAMParameter.cnf: Management message used to return one or more RPF parameters.

Figure R.5 shows RPF management messages.

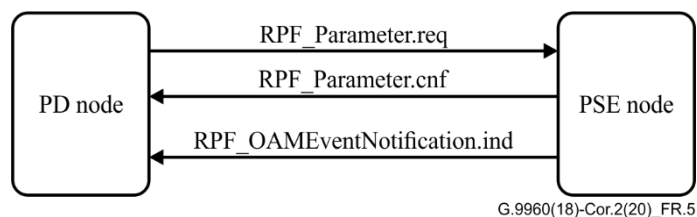


Figure R.5 – RPF management messages

R.6.3.1.1 Dying gasp

The PSE node shall send a dying gasp indication to the PD after it has lost both mains and battery power (if available) and before it removes power from the line.

The PSE shall provide the RPF dying gasp and indication primitives DGL, BAT, ACM and BBA defined in Clause 6.3 of [TS-101 548-1].

The RFP dying gasp and indication primitives shall be sent to the PD node by using the RPF management protocol (see clause R.6.3).

R.6.3.1.2 Error conditions

The PSE shall comply with the requirements for start-up procedure described in [ETSI 101 548-1] with the following amendments:

- Excluding the error conditions related to POTS exchange and phone error conditions.
- Redefining ELC0 to ELC2 as shown in Figure R-6 and Table R.1
- ELC3 is not considered

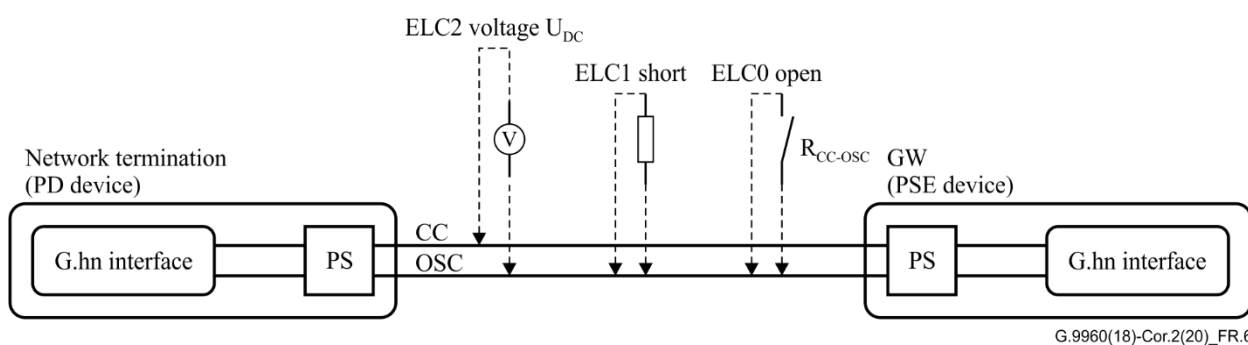


Figure R.6 – Error conditions

Table R.1: Error line condition parameters and detection criteria

| Error line condition | Description | Parameter | Detection criteria |
|----------------------|--------------------|---|---|
| ELC 0 | Open CC to OSC | $R_{Emin} = 1 \text{ M}\Omega$ $C_{Emax} = 100 \text{ nF}$ | $R_{CC-OSC} \geq R_{Emin}$ for a duration exceeding 300ms (see note) $C_{CC-OSC} \leq C_{Emax}$ |
| ELC 1 | Short CC to OSC | $R_{Emax} = 140 \text{ }\Omega$ | $R_{CC-OSC} \leq R_{Emax}$ |
| ELC 2 | Foreign DC voltage | $U_{CC-OSCDCEmax} = 3 \text{ V}$ | $ U_{CC-OSCD} \geq U_{CC-OSCDCEmax}$ |

R.6.3.2 Format of management messages for RPF

R.6.3.2.1 Format of RPF_OAMEventNotification.ind

The message RPF_OAMEventNotification.ind shall include the following TLVs:

- One RPF_PSE_PD_FlagsTLV TLV

R.6.3.2.2 Format of RPF_OAMParameter.req

The message RPF_OAMParameter.req shall include the following TLVs:

- One RPF_PD_PSE_FlagsTLV TLV
- One RPF_ParameterRequestTLV

R.6.3.2.3 Format of RPF_OAMParameter.cnf

The message RPF_OAMParameter.cnf shall include the following TLVs:

- One RPF_PSE_PD_FlagsTLV TLV

R.6.3.3 TLV pool for RPF

This annex defines the TLVs listed in Table R.2:

Table R.2 – List of TLVs for RPF

| TLV type | TLV name | Value field for the TLV |
|------------------|-------------------------|-------------------------|
| 08 ₁₆ | RPF_PD_PSE_FlagsTLV | See Table R-3 |
| 09 ₁₆ | RPF_PSE_PD_FlagsTLV | See Table R-4 |
| 0A ₁₆ | RPF_ParameterRequestTLV | See Table R-6 |

R.6.3.3.1 TLV value fields

R.6.3.3.1.1 RPF_PD_PSE_FlagsTLV TLV

Table R.3 shows the format of the RPF_PD_PSE_FlagsTLV field.

Table R.3 – Format of the RPF_PD_PSE_FlagsTLV field

| Field | Octet | Bits | Description |
|---|-------|--------|--------------------------|
| Flags | 0-1 | [15:0] | Reserved by ITU-T (Note) |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | | |

R.6.3.3.1.2 RPF_PSE_PD_FlagsTLV TLV

Table R.4 shows the format of the RPF_PSE_PD_FlagsTLV field, and Table R.5 shows the RPF OAM flags field as sent by the PSE node.

Table R.4 – Format of the RPF_PSE_PD_FlagsTLV field

| Field | Octet | Bits | Description |
|--|-------|--------|---------------------------------------|
| Flags | 0-1 | [15:0] | RPF OAM flags (Note 1). See Table R-5 |
| Note 1 - The RPF OAM flag fields in Table R-3 are consistent with the indications defined in clause 6.3 of [ETSI TS 101 548] | | | |

Table R-5 – RPF OAM flags field as sent by the PSE node

| Bit(s) | Name | Description |
|---|---------------------------------|--|
| 15-5 | Reserved | Reserved for use by ITU-T (Note) |
| 4 | PSE-DGL (dying gasp loss) | Set to ONE for indication of a PSE lost power dying gasp. Set to ZERO otherwise. |
| 3 | Reserved | Reserved for use by ITU-T (Note) |
| 2 | PSE-BAT | Set to ONE for indication of a PSE operation on a battery. Set to ZERO otherwise. |
| 1 | PSE-ACM (AC mains) | Set to ONE for indication of a PSE operation on mains power with no battery backup (BBA) available. Set to ZERO otherwise. |
| 0 | PSE-BBA | Set to ONE for indication of a PSE operating on mains power with BBA. Set to ZERO otherwise. |
| NOTE – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver. | | |

R.6.3.3.1.3 RPF_ParameterRequestTLV

Table R.6 shows the format of the RPF_ParameterRequestTLV field.

Table R-6 – Format of the RPF_ParameterRequestTLV field

| Field | Octet | Bits | Description |
|---------------------------|-----------------|--------|---|
| Number of ParameterIds | 0 | [7:0] | Number of parameters (P) to be queried in this request |
| ParameterID[0] | 1 to 3 | [23:0] | First parameter to be queried (See Table R-7) |
| ... | ... | | |
| ParameterID[P-1] | (3P-2) to 3P | [23:0] | P th parameter to be queried (See Table R-7) |

Table R.7 lists RPF OAM parameters

Table R-7 – List of RPF OAM parameters

| Field | Octet | Bits | Description |
|-------------|---------|--------|-------------------------------------|
| LeafParam | 0 and 1 | [15:0] | Leaf Parameter ID (see Table R-8) |
| BranchParam | 2 | [7:0] | Branch Parameter ID (see Table R-8) |

Table R.8 lists RPF OAM parameters.

Table R-8 – List of RPF OAM parameters

| Name | Branch param | Leaf param | Width | Description |
|--|--------------------------------------|--------------------|------------------|--|
| Reserved | 00 ₁₆ to 03 ₁₆ | Reserved | N/A | Reserved by ITU-T |
| Status | | | | |
| RPF-IFN (intensity of current feed now) | 04 ₁₆ | 0001 ₁₆ | 02 ₁₆ | Average current feed now at the interface between PS and PSE, expressed in mA, and represented as a 16 bit unsigned integer with valid values 0 to 400 mA. The averaging time window and accuracy are defined in [ETSI TS 101 548]. |
| Diagnostics | | | | |
| PSE-SUF | 05 ₁₆ | 0001 ₁₆ | 01 ₁₆ | <p>Latest PSE Start-Up Failure indication, detected during "Start-Up". The octet is formatted as a bitmap, with a bit set to ONE if and only if the corresponding failure is detected. The bits are defined as follows (bit 0 lsb to bit 7 msb):</p> <ul style="list-style-type: none"> bit 0: ELC-0 (Open tip-to-ring); bit 1: ELC-1 (Short tip-to-ring); bit 2: ELC-2 (POTS Exchange (foreign) DC voltage) bit 3: Reserved by ITU-T (Note 3) bit 4: Reserved by ITU-T (Note 3); bit 5: Unknown; bit 6: Reserved by ITU-T (Note 3); bit 7: Reserved by ITU-T (Note 3). <p>No more than 1 bit shall be set to ONE in the field.</p> |
| PSE-SDC | 05 ₁₆ | 0002 ₁₆ | 01 ₁₆ | <p>Latest PSE Shutdown Cause indication, detected during "Normal Operation". The octet is formatted as a bitmap, with a bit set to ONE if and only if the corresponding cause applies. The bits are defined as follows (bit 0 lsb to bit 7 msb):</p> <ul style="list-style-type: none"> bit 0: ELC-0 (Open tip-to-ring); bit 1: ELC-1 (Short tip-to-ring); bit 2: ELC-3 (Off-hook phone); bit 3: Overload time violation (Note 1); bit 4: Maintain power signature violation (Note 2); bit 5: Unknown; bit 6: Reserved by ITU-T (Note 3); bit 7: Reserved by ITU-T (Note 3). <p>No more than 1 bit shall be set to ONE in the field.</p> |
| PSE-IE | 05 ₁₆ | 0003 ₁₆ | 02 ₁₆ | Injected energy by the PSE at the interface between PS and PSE, while PSE is in the "Normal Operations" state, expressed in units of mWh and represented as a 16-bit unsigned integer. Valid values range from 0mWh to 65535 mWh. The value shall wrap on overflow. The accuracy is defined in [ETSI TS 101 548]. |
| Inventory | | | | |
| NTE-CPF (network termination) | 06 ₁₆ | 0001 ₁₆ | 01 ₁₆ | 00 ₁₆ : PSE in physical entity separate from NT (not integrated). |

Table R-8 – List of RPF OAM parameters

| Name | Branch param | Leaf param | Width | Description |
|---|--------------------------------------|--------------------|------------------|---|
| equipment - common power feed) | | | | 01 ₁₆ : PSE in same physical entity with NT (integrated). Other values are reserved for use by ITU-T. |
| Reserved | 07 ₁₆ to 9F ₁₆ | Reserved | N/A | Reserved by ITU-T |
| <i>Feeding</i> | | | | |
| RPF type | A0 ₁₆ | 0000 ₁₆ | 01 ₁₆ | Type of RPF being used 01 ₁₆ : PoE-based RPF Other values are reserved for use by ITU-T. |
| Reserved | A1 ₁₆ to FF ₁₆ | Reserved | N/A | Reserved by ITU-T |
| <p>NOTE 1 – Overload time is defined in Table 35 of [ETSI TS 101 548].</p> <p>NOTE 2 – Maintain power signature is defined in Table 37 of [ETSI TS 101 548].</p> <p>NOTE 3 – Bits that are reserved by ITU-T shall be set to zero by the transmitter and ignored by the receiver.</p> | | | | |

Appendix I

Examples of home network topologies

(This appendix does not form an integral part of this Recommendation.)

An example of a home network containing a single domain is shown in Figure I.1 where a single domain master coordinates nodes of the domain (i.e., assigns bandwidth resources and priority). In this example, the domain is bridged to the access network via node D (it is also a domain master) that is assumed to be part of the residential gateway. In PM, nodes A, B, C and D communicate directly with each other. In CM, one of the nodes is assigned as DAP (node D in this example), and all nodes can communicate with each other only via this node. In UM, each of the nodes A-D can communicate directly with each other or indirectly, via other nodes operating as relay nodes. In this example, node D (domain master) serves as a relay node, while other nodes use either P2P or node D as a relay if required.

While in either PM, CM or UM, nodes A-D can transmit under limitation of bandwidth resources and priorities assigned by the domain master.

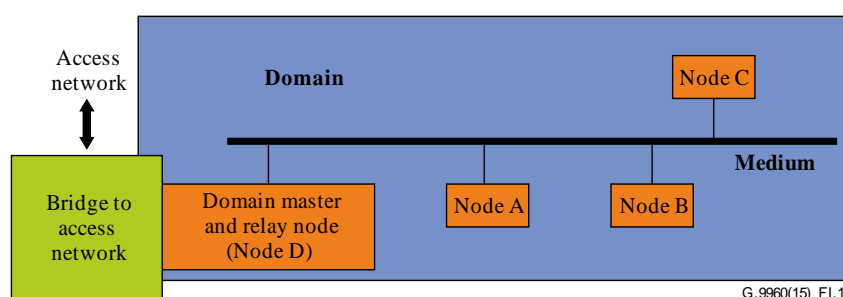
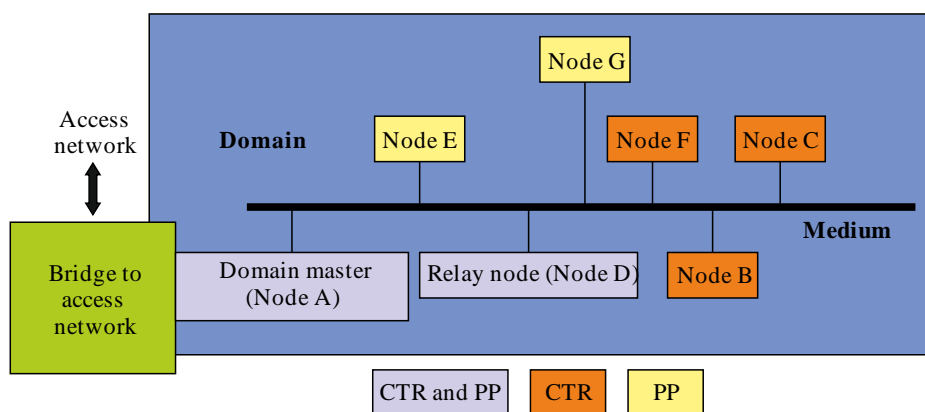


Figure I.1 – Example of a home network containing a single domain

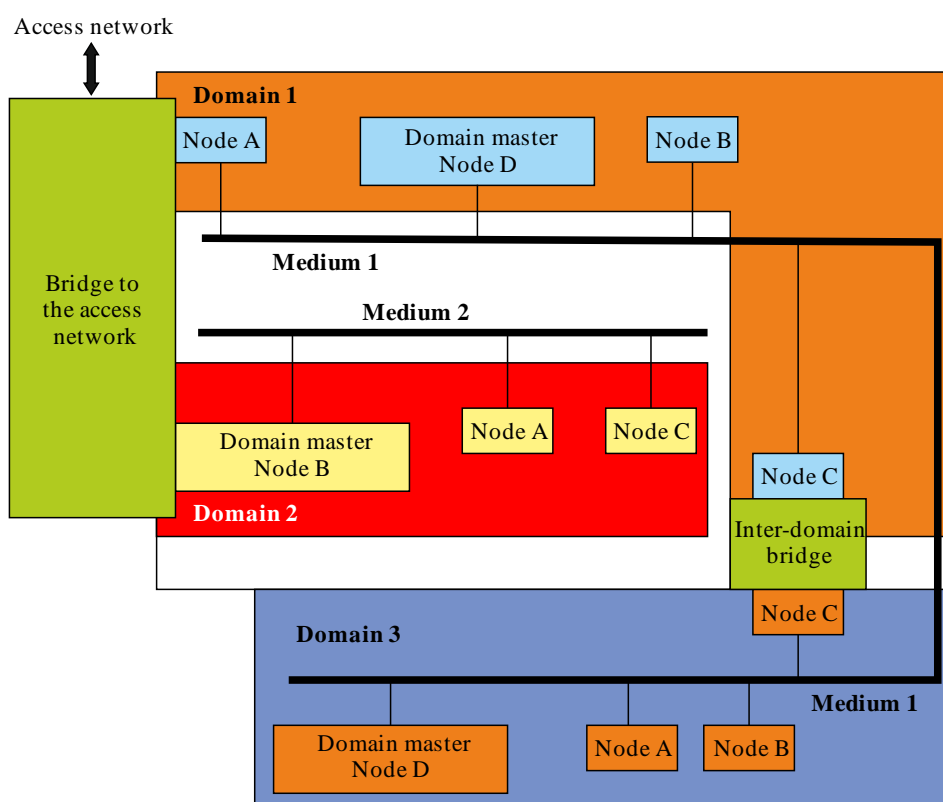
An example of a home network containing a single domain that operates in UM using both P2P and REL is depicted in Figure I.2. The subset of nodes that use REL includes nodes B, C, D and F, where node D operates as a relay node for this group of nodes. All other nodes can communicate directly (i.e., using P2P) or via a relay node (i.e., using REL). A single domain master (in this case node A) coordinates the nodes of the domain. The domain is bridged to the access network via node A, which is assumed to be part of the residential gateway. While using either P2P or REL, nodes A to G can transmit under the limitation of bandwidth resources and priorities assigned by the domain master. Frames from nodes B, C and F addressed to nodes outside the domain are sent to node A via relay node D; node A is connected to the inter-domain bridge. Frames from nodes D, E and G addressed to nodes outside the domain are sent to node A directly.



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Figure I.2 – Example of a home network containing a single domain operating in UM (using a combination of P2P and REL)

An example of a home network containing three domains with corresponding domain masters established on two different media is depicted in Figure I.3. Nodes of domain 1 and of domain 3 operate over the same medium (medium 1). In this example, it is assumed that domain 1 and domain 3 operate in different spectral bands. Those two domains are bridged via an inter-domain bridge (on layer 2 or layer 3). Domain 2 operates on a different medium (denoted as medium 2). Domains 1 and 2 are bridged to the access network. In Figure I.3 it is assumed that the domain master of domain 2 and node A of domain 1 are parts of the residential gateway. Domains 1 and 3 are connected by an inter-domain bridge. Each of the three domains can operate in either PM, UM or CM, independently of the operational mode used by other domains.



G.9960(15)_F1.3

Figure I.3 – Example of a home network comprising three domains

An example of a home network connected to an alien domain is shown in Figure I.4. The example shows a home network containing two domains (domains 1 and 2) with corresponding domain masters established on two different media, bridged via an inter-domain bridge (layer 2 or layer 3) to the alien domain. The alien domain is established on the same medium as domain 1. Nodes of domain 1 and of domain 2 in Figure I.4 operate over different media, while nodes A1-A3, which are alien nodes, share the same medium with domain 1. The domain master of domain 1 considers the alien domain as another AE connected to the corresponding node of domain 1. Operation of this alien domain and its interconnection with an ITU-T G.9960 home network is outside of the scope of this Recommendation.

In this example, node A of domain 1 is bridged to the access network. This bridge is usually a part of the residential gateway.

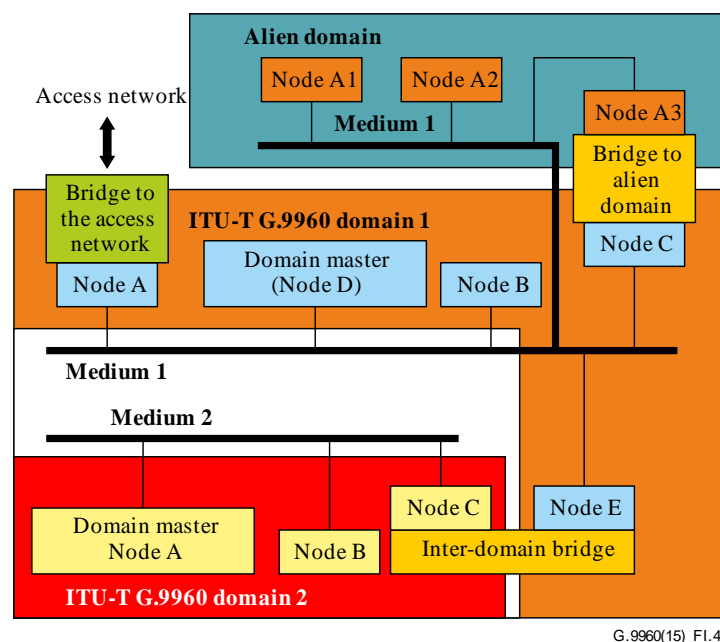
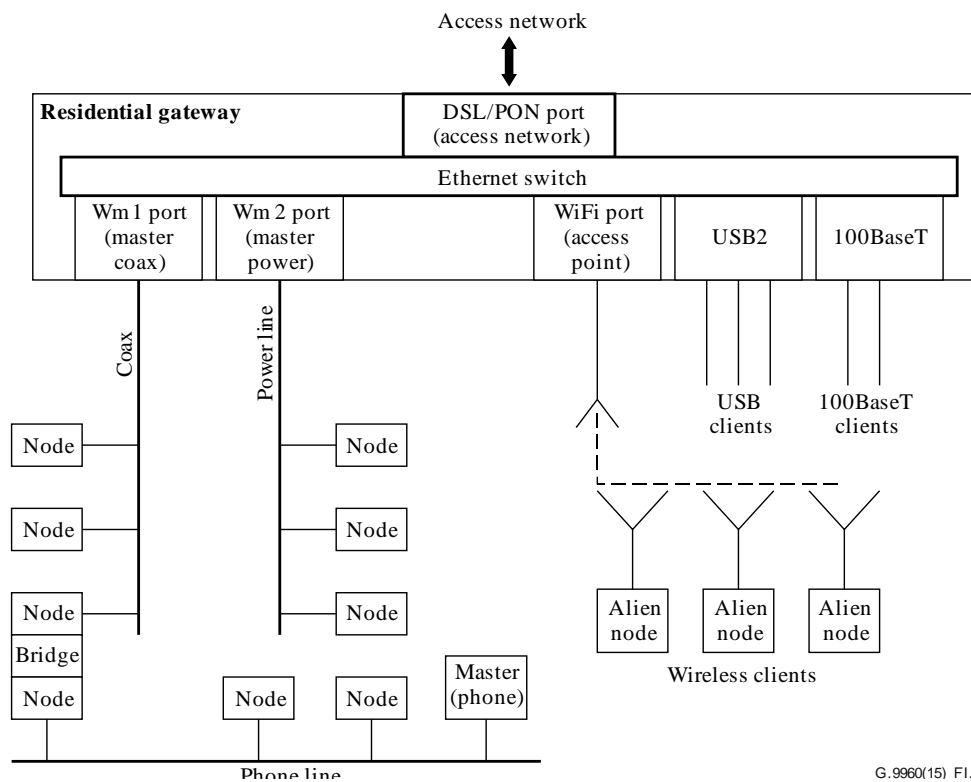


Figure I.4 – Example of an ITU-T G.9960 domain sharing a medium with an alien domain

An example of a home network associated with residential broadband access is presented in Figure I.5. In the example, the home network includes three domains established over coax, telephone and power-line wiring. Alien domains are established by the residential gateway and may include WLAN IEEE 802.11, USB2, and IEEE 802.3 (Ethernet). The RG serves as a bridge allowing communication with alien domains (e.g., 802.11) and with an access network (e.g., PON or DSL).

Each node in Figure I.5 is configured for the medium it is connected to. It can communicate with any other node of the same domain using either P2P or REL. Communication between nodes of different domains (e.g., between coax and telephone line in Figure I.5) is via inter-domain bridges or via the RG. Communication with alien nodes and with the access network is via bridges, which are a part of the RG.



G.9960(15) FI.5

Figure I.5 – Example of a home network supporting residential broadband access

Appendix II

Spectral usage

(This appendix does not form an integral part of this Recommendation.)

II.1 Scope

This appendix describes information on spectral usage on each medium (coax cable, telephone line and power line).

II.2 Spectral usage in Japan

II.2.1 Frequency allocation for coax

There are mainly three types of services which are mapped to in-home coax medium:

- terrestrial broadcasting
- satellite broadcasting
- CATV services.

The frequency allocations for all cases are shown in this clause.

It should be noted that a coaxial home network connected to a cable access network should not interfere with services offered by the cable television operator to customers. The general use of the frequency by the cable television operator is 5 to 770 MHz.

II.2.1.1 Terrestrial broadcast signal mapped to coax cable

Table II.1 shows the frequency allocation for terrestrial TV broadcasting mapped to coax cable. Currently, both analogue TV broadcasting (VHF/UHF) and digital TV broadcasting (UHF) are in service [b-Spectrum]. But analogue TV broadcasting services were planned to be discontinued on 24 July 2011 [b-Schedule] [b-Announcement] and non-TV broadcasting and other telecommunications were planned to use these bands after that. ITU-T G.9960 is one of the candidate services for empty bands if available, but this is for further study.

Table II.1 – Frequency allocation for terrestrial broadcast signals mapped to a coax cable

| Frequency (MHz) (Note) | Remarks |
|---------------------------|---|
| 90 to 108 | Used for analogue TV broadcasting until 24 July 2011 The use after 25 July 2011 is not determined at the time of publication Retransmission of terrestrial digital TV broadcasting (OFDM) |
| 108 to 170 | Retransmission of terrestrial digital TV broadcasting (OFDM) |
| 170 to 222 | Used for analogue TV broadcasting until 24 July 2011 The use after 25 July 2011 is not determined at the time of publication Retransmission of terrestrial digital TV broadcasting (OFDM) |
| 222 to 470 | Retransmission of terrestrial digital TV broadcasting (OFDM) |
| 470 to 710 | Used for analogue TV broadcasting until 24 July 2011 Used for digital TV broadcasting Retransmission of terrestrial digital TV broadcasting (OFDM) |

Table II.1 – Frequency allocation for terrestrial broadcast signals mapped to a coax cable

| Frequency (MHz) (Note) | Remarks |
|---|--|
| 710 to 770 | Used for TV broadcasting until 24 July 2012 The use after 25 July 2012 is not determined at the time of publication Retransmission of terrestrial digital TV broadcasting (OFDM) |
| NOTE – Frequency band usage for ITU-T G.9960 including guard band is for further study. | |

II.2.1.2 Broadcast satellite (BS) and communication satellite (CS) signal mapped to coax cable

Satellite broadcasting (BS and CS) using around 12 GHz of frequency [b-Spectrum] are down-converted to intermediate frequency (BS-IF/CS-IF) at an antenna before transmission to a coax cable. The BS and CS need dedicated receiver antennas and there are various cases to use in-home coax cables depending on locations of antennas and connection points to an in-home coax system. Basically, BS-IF/CS-IF signals come from an antenna or CATV. Table II.2 shows satellite broadcast signals mapped to a coax cable.

Table II.2 – Frequency allocation for satellite broadcast signals mapped to a coax cable

| Satellite broadcasting services (Note) | BS-IF/CS-IF (MHz) | Remarks |
|---|----------------------|--------------------|
| BS | 1035.95-1331.50 | BS-IF transmission |
| 110° CS | 1596-2070 | CS-IF transmission |
| JC SAT-3,4 | 968-2055 | CS-IF transmission |
| Superbird C | 1020-2040 | CS-IF transmission |
| NOTE – ITU-T G.9960 frequency band usage including guard band is for further study. | | |

II.2.1.3 CATV services on coax cable

Table II.3 shows the frequency allocation of other services [b-CTBL][b-STD-013]. Since frequencies below 770 MHz are currently used for the various services listed in Table II.3, their usage for other services such as ITU-T G.9960 is for further study.

Table II.3 – Frequency allocation of other services on coax cable

| Frequency (MHz) | Usage | Remarks |
|----------------------|---|--|
| 5 to 60 (Note 1) | <ul style="list-style-type: none"> Upstream CATV signal (cable Internet signal, VoIP, VOD, relay broadcast, pilot signal, etc.) | <ul style="list-style-type: none"> Being used for cable modem up-stream signals [b-ITU-T J.112] [b-ITU-T J.122] [b-ITU-T J.222.1] Being used for control signals between cable modem and cable modem termination |
| 70 to 76 (Note 1) | <ul style="list-style-type: none"> Downstream pilot signal, analogue HT (home terminal) control signal, monitoring signal of amplifier | <ul style="list-style-type: none"> Being used for cable modem up-stream signals [b-ITU-T J.112] [b-ITU-T J.122] [b-ITU-T J.222.1] |

Table II.3 – Frequency allocation of other services on coax cable

| Frequency (MHz) | Usage | Remarks |
|---|--|--|
| 76 to 90 (Note 1) | <ul style="list-style-type: none"> Retransmission of radio broadcasting on cable (FM radio signal) | <ul style="list-style-type: none"> Being used for cable modem up-stream signals [b-ITU-T J.222.1] |
| 90 to 770 (Note 1) | <ul style="list-style-type: none"> Analogue cable broadcasting (NTSC-VSB) Digital cable broadcasting (64/256 QAM) Retransmission of terrestrial analogue TV broadcasting (NTSC-VSB) Retransmission of terrestrial digital TV broadcasting (OFDM) Downstream cable Internet signal, VoIP, VOD control signal, etc. | <ul style="list-style-type: none"> Covered by regulation [b-CTBL] |
| 770 to 1035 (Note 2) | <ul style="list-style-type: none"> Alien home network services | |
| 1035 to 2070 (Note 1) | <ul style="list-style-type: none"> BS-IF/CS-IF retransmission | |
| >2070 (Note 2) | <ul style="list-style-type: none"> Currently not in use at the time of publication | |
| NOTE 1 – ITU-T G.9960 frequency band usage including guard band is for further study. NOTE 2 – Candidate frequency band for ITU-T G.9960 including guard band. | | |

II.2.2 Frequency allocation for telephone line

For further study.

II.2.3 Frequency allocation for power line

For further study.

Appendix III

Priority mapping

(This appendix does not form an integral part of this Recommendation)

The priority mapping recommended by [IEEE 802.1D] (see clauses 7.7.3 and 10.7.3) is presented in Table III.1.

Table III.1 – Recommended flow priority to priority queue mappings according to [IEEE 802.1D]

| | | Number of available traffic classes | | | | | | | |
|---------------|--------------------|-------------------------------------|---|---|---|---|---|---|---|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| User priority | 0 (default) | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 2 |
| | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | 3 | 0 | 0 | 0 | 1 | 1 | 2 | 2 | 3 |
| | 4 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 |
| | 5 | 0 | 1 | 1 | 2 | 3 | 4 | 4 | 5 |
| | 6 | 0 | 1 | 2 | 3 | 4 | 5 | 5 | 6 |
| | 7 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |

Appendix IV

(This appendix has been intentionally left blank.)

Appendix V

Electric vehicle applications based on ITU-T G.9960

(This appendix does not form an integral part of this Recommendation.)

V.1 Introduction

This appendix describes how ITU-T G.9960 nodes can be used for applications related to plug-in electric vehicles (PEVs), including networking nodes installed into the electric vehicle supply equipment (EVSE) and nodes installed into the PEV, designated here as "EV nodes". These nodes, both in the EVSE and attached EV(s), form an electric vehicle charging facility (EVCF).

To reduce the complexity and energy consumption of ITU-T G.9960 nodes in an EVCF, these nodes may be implemented using ITU-T G.9960 low-complexity profiles. Low-complexity profile nodes are fully interoperable with other ITU-T G.9960 nodes operating in the same domain.

A simplified diagram of an EVCF including EVSE and an attached EV, showing the primary external connections, is presented in Figure V.1.

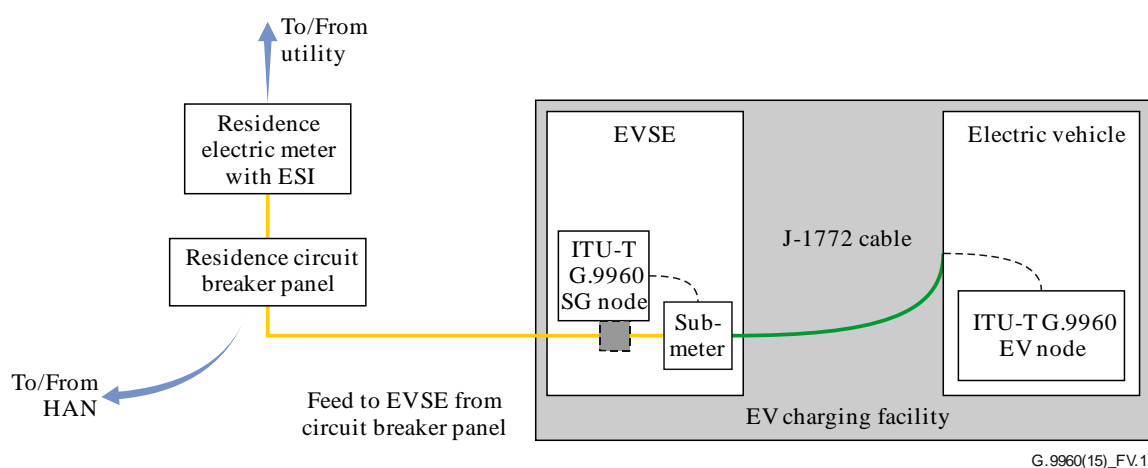


Figure V.1 – EVCF with EVSE and one attached EV showing external links

V.2 EVSE and EV devices

ITU-T G.9960 nodes embedded into EVs and EVSEs perform the following common functions:

- establish a link between EVSE and EV nodes in a period that does not exceed five seconds;
NOTE 1 – Establishing a link includes at least registration and authentication of the EVSE node, registration and authentication of the EV node by using the EVSE node as a proxy, and getting authorization from the utility for the charging activity.
- operate in a mode where the EVSE node operates as a proxy for the EV node of the connected PEV, i.e., all the communications between the EV node and the outside world are exclusively through the EVSE node.
- The data exchanged between EVSE and EV nodes can be of three types: recharging/discharging management, EV maintenance, and multimedia data. The first type may only require low throughput, while the second and third types require relatively high data throughput (with a predominant amount downstream to the EV). Some EVs may only require the first two types of data.

The EVSE device provides the following communications:

- with the HAN domain master (or ESI, if ESI is the domain master in the residence) and the associated security controller;

- with HAN nodes, directly or via an IDB if the HAN uses non-ITU-T G.9960 technology. The EVSE node should coexist with the HAN if it is unable to communicate with it directly;
- with the ESI of the residence, directly or via an IDB if the ESI uses non-ITU-T G.9960 technology. The EVSE node should coexist with the ESI if it is unable to communicate with it directly;

NOTE 2 – In the event that an ESI is not present, the EVSE could communicate with the utility's servers through the broadband link that the HAN is connected to.

- with the utility, via the ESI or over the HAN's broadband link (if present and utility allowed). These communications provide the EVSE with information such as billing rates, the maximum amount of current flow allowed, the allowed time for charging, the authorization of the specific vehicle to charge at this specific EVSE, and the meter readings related to the change;
- with an EV node of any PEV attached to the EVSE's J-1772 cable(s). The EVSE node acts as a proxy for the EV node into the HAN and the ESI.

An example of a connection between an EVSE and an EV node is presented in Figure V.2. The EVSE controller detects a J-1772 connection to an EV (via sense lead) and triggers EVSE node connection to the EV, as well as triggering electricity to flow over the cable once all authorization is completed. The EV controller manages the charging of the batteries in the EV and turns the EV node on and off depending on sense lead status or the option that the EV controller determines the EV is going to charge through a regular power outlet.

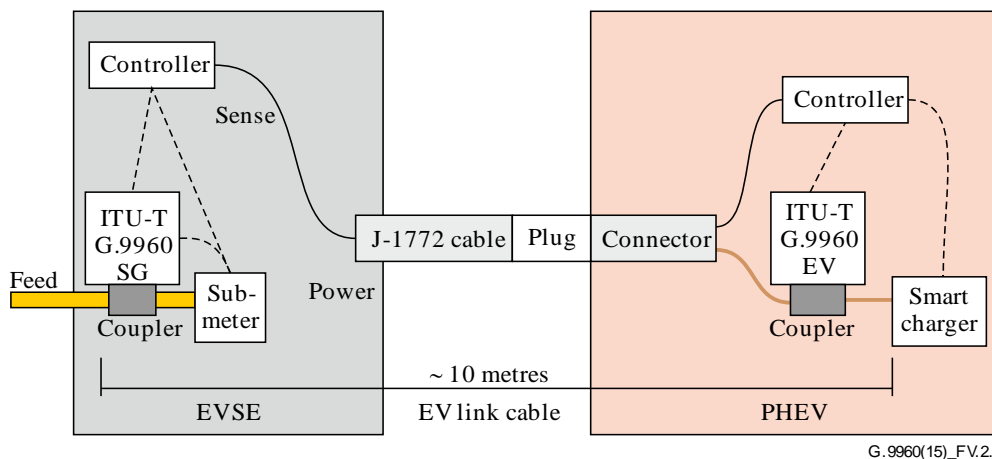


Figure V.2 – Simple EVSE to EV link using a J-1772 cable

For residential garages, it is anticipated that the EVSE will communicate with an estimated two vehicles simultaneously, over individual J-1772 cables from the EVSE, respectively. For deployment in public parking areas, it is envisioned that an EVSE will have the ability to handle up to four EVs concurrently, see Figure V.3. In both cases, the EVSE controller, with assistance of the EVSE node, will identify which EV is associated with which metre and J-1772 cable.

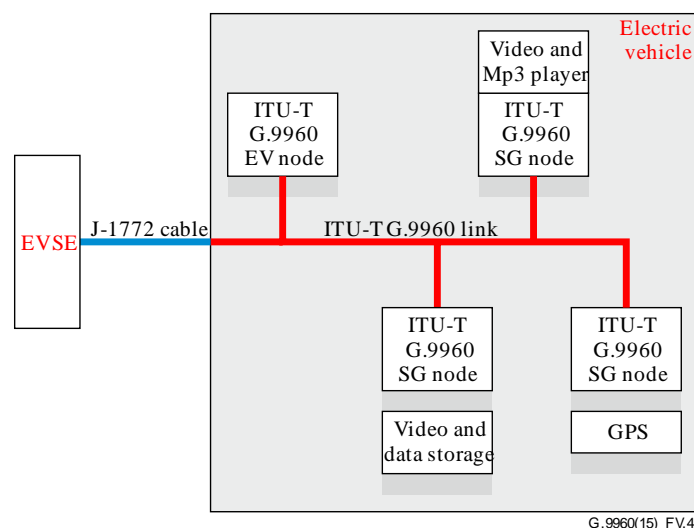


Figure V.4 – Example of multiple nodes within an EV

A typical distance between the EV node and the EVSE node is 10 m, although this distance could be longer in a public parking area or in a multi-car garage.

V.3 Overall network architecture

The EVSE nodes are connected to the HAN and the ESI based on the standard ITU-T G.9960 architecture (see Figure 5-1). The usual application uses a power line as a communication medium, see Figure V.5, although any other in-home wired medium is possible with ITU-T G.9960.

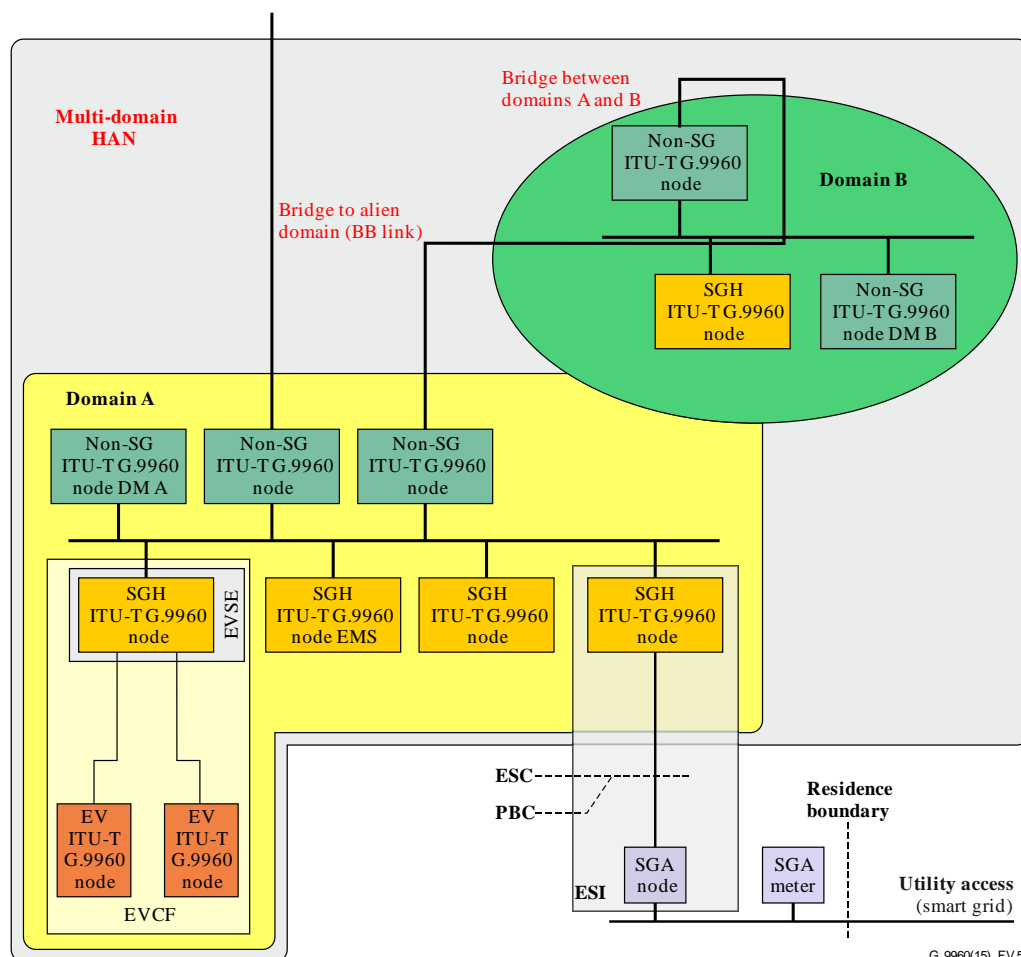


Figure V.5 – Illustration of smart grid HAN with EVCF implementation based on ITU-T G.9960

NOTE – The SGH nodes and non-SG nodes relate to smart grid and non-smart grid applications, respectively, both complying with this Recommendation.

The EVSE contains an SG node that may interface with the HAN (directly, or via an IDB, if the HAN is a non-ITU-T G.9960 network) and with the utility network via the ESI or via a broadband services provider through a HAN broadband gateway.

V.4 Authorization of an EV

The EV node, upon being notified of the active J-1772 link, seeks to establish connection to the nearest proxy node, in this case the EVSE. Once establishing communication with the EVSE node, the EV node uses the normal ITU-T G.9960 registration and authentication procedures through the EVSE node, using it as a proxy. The authorization is supported by the utility remotely through a trusted channel to the utility established from the EVSE via the ESI and access network. Through this trusted channel the utility validates the EV's identity credentials and authorizes its access in the EVCF as well as the EVSE to charge the EV at a certain maximum charge rate, for a certain time, and at a certain moment. The protocol of utility authorization is outside the scope of this Recommendation.

V.5 Charging an EV without an EVSE

In the event the EV is to be charged and no EVSE is available, the EV may have an option for plugging it in to a standard mains outlet using a cable other than a J-1772 cable. This option is for further study.

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