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Internet protocol aspects – Operation, administration and  
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## **Framework for ASON management**

ITU-T Recommendation G.7718/Y.1709

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**Framework for ASON management**

**Summary**

This Recommendation contains the framework for ASON management. It places ASON management within the TMN context and specifies how the TMN principles may be applied. A management view of the ASON control plane is developed. This view provides the bases for the ASON management requirements specified in this Recommendation. Identifier spaces needed in ASON management are specified. Examples of management system structures and ASON related management applications are contained in the appendices.

**Source**

ITU-T Recommendation G.7718/Y.1709 was approved on 13 February 2005 by ITU-T Study Group 15 (2005-2008) under the ITU-T Recommendation A.8 procedure.

## FOREWORD

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# ITU-T Recommendation G.7718/Y.1709

## Framework for ASON management

### 1 Scope

This Recommendation addresses the management aspects of the ASON control plane and the interactions between the management plane and the ASON control plane. This Recommendation follows the TMN principles specified in ITU-T Rec. M.3010 and the ASON architecture principles specified in ITU-T Rec. G.8080/Y.1304. Included are:

- 1) identification of reference points and interfaces between the management plane and the control plane;
- 2) a description of the larger context of ASON network and service management;
- 3) requirements for the:
  - use of ASON constructs, e.g. routing areas, SNPP links, etc.;
  - management of calls and connections;
  - configuration, fault, performance, accounting and security management for ASON.

### 2 References

The following ITU-T Recommendations and other references contain provisions which, through reference in this text, constitute provisions of this Recommendation. At the time of publication, the editions indicated were valid. All Recommendations and other references are subject to revision; users of this Recommendation are therefore encouraged to investigate the possibility of applying the most recent edition of the Recommendations and other references listed below. A list of the currently valid ITU-T Recommendations is regularly published. The reference to a document within this Recommendation does not give it, as a stand-alone document, the status of a Recommendation.

- ITU-T Recommendation G.784 (1999), *Synchronous digital hierarchy (SDH) management*.
- ITU-T Recommendation G.803 (2000), *Architecture of transport networks based on the synchronous digital hierarchy (SDH)*.
- ITU-T Recommendation G.805 (2000), *Generic functional architecture of transport networks*.
- ITU-T Recommendation G.806 (2004), *Characteristics of transport equipment – Description methodology and generic functionality*.
- ITU-T Recommendation G.807/Y.1302 (2001), *Requirements for automatic switched transport networks (ASTN)*.
- ITU-T Recommendation G.872 (2001), *Architecture of optical transport networks*.
- ITU-T Recommendation G.874 (2001), *Management aspects of the optical transport network element*.
- ITU-T Recommendation G.7710/Y.1701 (2001), *Common equipment management function requirements*.
- ITU-T Recommendation G.7712/Y.1703 (2003), *Architecture and specification of data communication network*.
- ITU-T Recommendation G.7713/Y.1704 (2001), *Distributed call and connection management (DCM), plus Amd.1 (2004)*.

- ITU-T Recommendation G.7713.1/Y.1704.1 (2003), *Distributed call and connection management (DCM) based on PNNI*.
- ITU-T Recommendation G.7713.2/Y.1704.2 (2003), *Distributed call and connection management: Signalling mechanism using GMPLS RSVP-TE*.
- ITU-T Recommendation G.7713.3/Y.1704.3 (2003), *Distributed call and connection management: Signalling mechanism using GMPLS CR-LDP*.
- ITU-T Recommendation G.7715/Y.1706 (2002), *Architecture and requirements for routing in the automatically switched optical networks*.
- ITU-T Recommendation G.7715.1/Y.1706.1 (2004), *ASON routing architecture and requirements for link state protocols*.
- ITU-T Recommendation G.8080/Y.1304 (2001), *Architecture for the automatically switched optical network (ASON), plus Amd.1 (2003), Amd.2 (2005)*.
- ITU-T Recommendation M.3010 (2000), *Principles for a telecommunications management network*.
- ITU-T Recommendation M.3020 (2000), *TMN interface specification methodology*.
- ITU-T Recommendation M.3100 (2005), *Generic network information model*.
- ITU-T Recommendation M.3120 (2001), *CORBA generic network and network element level information model*.
- ITU-T Recommendation X.700 (1992), *Management framework for Open Systems Interconnection (OSI) for CCITT applications*.
- ITU-T Recommendation X.731 (1992), *Information technology – Open Systems Interconnection – Systems management: State management function*.

### **3 Terms and definitions**

No new terms and definitions are defined in this Recommendation.

### **4 Abbreviations**

This Recommendation uses the following abbreviations:

ASON	Automatically Switched Optical Network
CC	Connection Controller
CF	Control Plane Function
CP	Control Plane
CTP	Connection Termination Point
DA	Discovery Agent
DCC	Data Communications Channel
DCM	Distributed Call and Connection Management
DCN	Data Communications Network
EMF	Equipment Management Function
EMS	Element Management System
E-NNI	External Network Node Interface



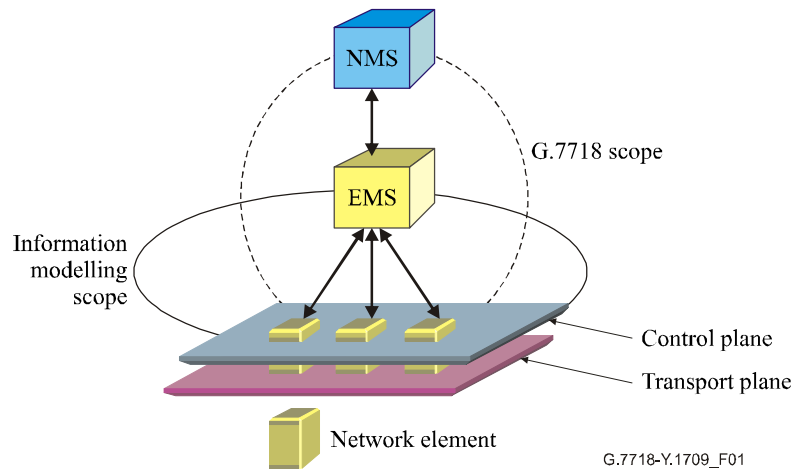
I-NNI	Internal Network Node Interface
ITU-T	International Telecommunication Union – Telecommunication Standardization Sector
LAN	Local Area Network
LRM	Link Resource Manager
MP	Management Plane
NCC	Network Call Controller
NE	Network Element
NEF	Network Element Function
NMS	Network Management System
NNI	Network Node Interface
OAM	Operation Administration and Maintenance
OMG	Object Management Group
OS	Operations System
OSF	Operations System Function
OTN	Optical Transport Network
PC	Protocol Controller
RA	Routing Area
RC	Routing Controller
SC	Switched Connection
SCN	Signalling Communications Network
SDH	Synchronous Digital Hierarchy
SNCP	SubNetwork Connection Protection
SNP	SubNetwork Point
SNPP	SubNetwork Point Pool
SPC	Soft Permanent Connection
SRG	Shared Risk Group
TAP	Termination and Adaptation Performer
TMN	Telecommunications Management Network
TP	Termination Point
UML	Unified Modelling Language
UNI	User Network Interface
UTRAD	Unified TMN Requirements, Analysis and Design
VCn	Virtual Container of Level n

## **5 Context and background**

This clause briefly relates the contents of this Recommendation to the fundamental Recommendations on the ASON architecture, transport network functional models, management principles, and interface specification methodology.

## 5.1 Relationship to management information modelling

Figure 1 describes the relationship between the scope of this Recommendation and the definition of management information models.



**Figure 1/G.7718/Y.1709 – Scope of G.7718/Y.1709**

## 5.2 Relationship to the ASON architecture

This Recommendation contains a management framework for ASON control planes as specified in ITU-T Rec. G.8080/Y.1304.

The G.8080/Y.1304 reference architecture describes:

- 1) functional components of the control plane, including abstract interfaces and primitives;
- 2) interactions between call controller components;
- 3) interactions among components during connection setup;
- 4) functional components that transform the abstract component interfaces into protocols on external interfaces.

The G.8080/Y.1304 control plane functional components manipulate transport network resources in order to set up, maintain, and release calls and connections.

Generically, every G.8080/Y.1304 control plane component has a set of special interfaces to allow for monitoring of the component operation, for dynamically setting policies, and for affecting internal behaviour. These interfaces are not mandatory and are provided on specific components only where necessary. Components are not assumed to be statically distributed.

## 5.3 Relationship to technology specific Recommendations

The architectural specifications and functional requirements contained in ITU-T Rec. G.8080/Y.1304 are applicable to any layer network, including SDH transport networks, as defined in ITU-T Rec. G.803, and Optical Transport Networks (OTN), as defined in ITU-T Rec. G.872.

## 5.4 Relationship to the TMN architecture

This Recommendation adheres to the TMN principles specified in ITU-T Rec. M.3010.

ITU-T Rec. M.3010 defines the Logical Layered Architecture concept for organizing management functionality. The logical layers of interest in G.7718/Y.1709 include the Element Management Layer, the Network Management Layer and the Service Management Layer. As noted in ITU-T

Rec. M.3010, management objects defined for one layer may be used in others. Any management object may be used by any interface that requires it.

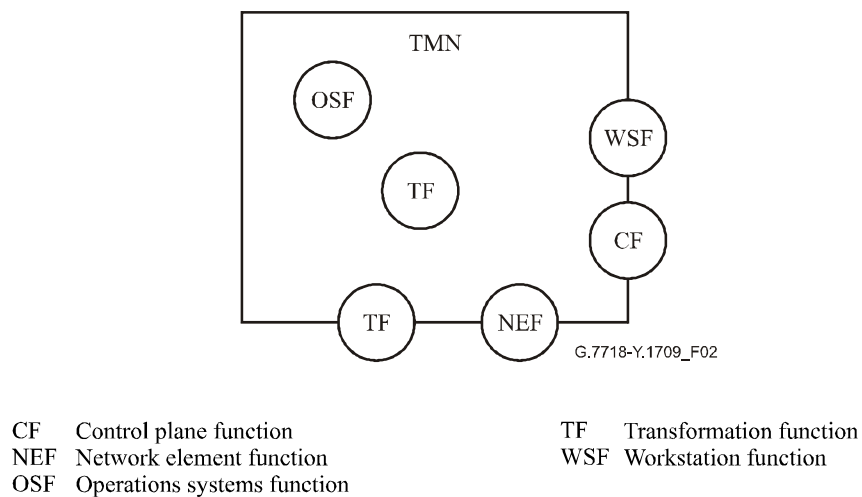
The Element Management Layer is concerned with the information that is required to manage a Network Element (NE). This refers to the information required to manage the Network Element Function (NEF), the Control Plane Function (CF), and the physical aspects of an NE.

The Network Management Layer is concerned with the information representing the network, both physically and logically. It is concerned with relationships among network elements, topographical connections, and configurations that provide and maintain end-to-end connectivity.

The Service Management Layer is concerned with, and responsible for, the contractual aspects of services that are being provided to customers or available to potential new customers.

The layers of the Logical Layered Architecture are used in G.7718/Y.1709 to organize and to identify management requirements and management entities.

Figure 2 is based on Figure 2/M.3010. It illustrates the control plane function, CF, together with the traditional TMN function blocks. The CF represents the functions provided by the control plane components. It represents the functions within the control plane that permit the OSF to interact with and configure the control plane and permits the control plane to interact with the NEFs. It also supports the interaction between elements of the control plane itself. Additional information on interfaces is provided in 6.3.1 and in Figure 4.



**Figure 2/G.7718/Y.1709 – Control plane function in TMN function blocks form**

The CF block has been added to Figure 2 to emphasize the control plane functionality of interest in this Recommendation. In a more general setting, the CF function block can be considered to be part of the NEF function block.

## 5.5 Management perspective

The Management Plane (MP) interacts with control plane (CP) components by operating on a suitable information model, which presents a management view of the underlying component resource. The objects of the information model are physically located with the represented CP component, and interact with that component via the monitor and configuration interfaces of that component. These interfaces should be collocated with the managed object and the control component. These interfaces are completely contained within equipment.

The intention of this Recommendation is to define general interactions between the MP and the CP independently of the distribution of the CP components. The distribution of the CP components,

i.e., Protocol Controller (PC), Network Call Controller (NCC), Connection Controller (CC), Link Resource Manager (LRM), Discovery Agent (DA), Routing Controller (RC), Policy Manager and Directory Manager can range from centralized to fully distributed over Network Elements (NE), Element Management Systems (EMS) and Network Management Systems (NMS). This Recommendation places no constraints on the placement of CP components

Table 1 shows the relationship between the TMN Logical Layer functions and the ASON components. This relationship is defined in terms of the view of the resource being managed. It should be noted that this Recommendation does not require that ASON control plane data be replicated in the management plane.

Management activities are divided into five broad management functional areas as described in ITU-T Rec. X.700. These functional areas provide a framework through which the appropriate Management Services support a service provider's business processes. The five management functional areas are:

- performance management;
- fault management;
- configuration management;
- accounting management;
- security management.

**Table 1/G.7718/Y.1709 – ASON components and the TMN logical layers**

<b>ASON component</b>	<b>TMN logical layer function</b>
Call Controller	Service Management Layer – OS Function, Network Management Layer – OS Function
Connection Controller	Network Management Layer – OS Function
Discovery Agent	Element Management Layer – OS Function, Network Management Layer – OS Function
Link Resource Manager	Network Management Layer – OS Function
Protocol Controller	
Routing Controller	Network Management Layer – OS Function
Termination And Adaptation Performer	Element Management Layer – OS Function

## **5.6 Methodology**

ITU-T Rec. M.3020 describes the TMN interface specification methodology, *Unified TMN Requirements, Analysis and Design (UTRAD)*. This Recommendation contains the key artifacts for the requirements phase of UTRAD.

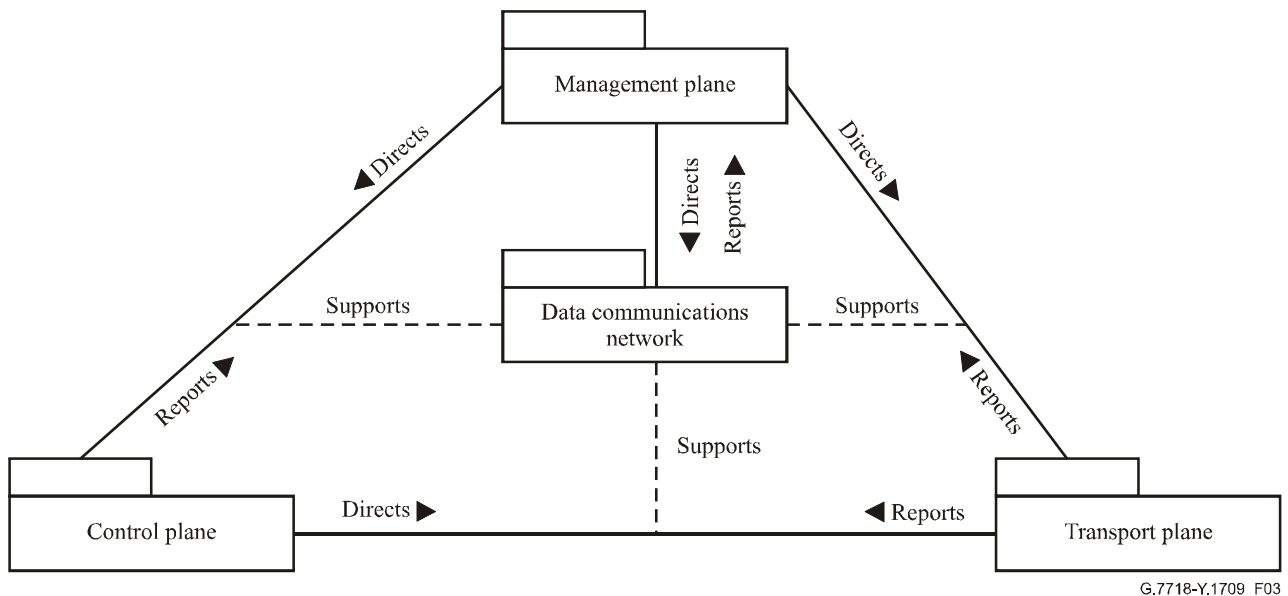
In this Recommendation, the ASON management requirements are documented in textual form.

The UTRAD analysis phase uses an object-oriented paradigm. The analysis phase identifies interacting entities, their properties, and the relationships among them. The artifacts from this phase consist of various UML static and dynamic diagrams and supporting text.

## 6 Architecture perspective

### 6.1 Fundamental elements

Figure 3 illustrates the relationships of interest to management among the fundamental elements of a network. The objective of this Recommendation is to provide the framework for the management of the ASON control plane within the total management context illustrated in Figure 3. Where appropriate, this Recommendation provides references to ITU-T Recommendations that address other aspects of the total management context.



**Figure 3/G.7718/Y.1709 – Relationships among the fundamental elements**

### 6.2 Reference points and interfaces

This clause summarizes the reference points and interfaces relevant to ASON management. These are listed in Table 2.

**Table 2/G.7718/Y.1709 – Summary of reference points and interfaces**

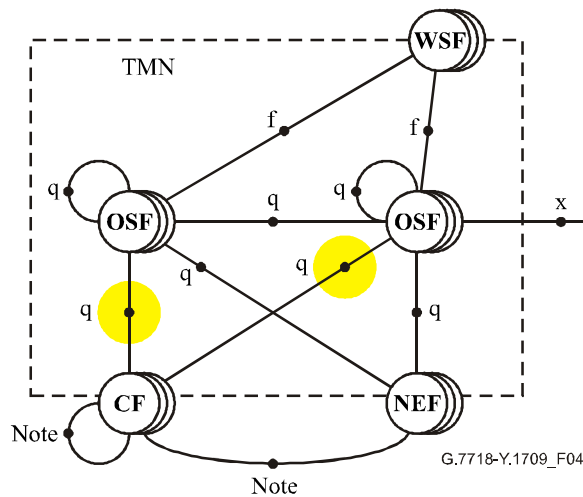
	<b>M.3010</b>	<b>G.805</b>	<b>G.806</b>	<b>G.8080/Y.1304 – G.807/Y.1302</b>
Reference Points	f, g, m, q, x	Connection Point, Access Point, Termination Connection Point	Management Point	UNI, E-NNI, I-NNI
Interfaces	F, G, M, Q, X			UNI, E-NNI, I-NNI

### 6.3 Management reference points and interfaces

#### 6.3.1 High level view of the q reference point

Figure 4 provides a high level view of the TMN reference points for ASON management.

The internal structure of the MP and of the CP does influence the use of the q reference point. Note that interfaces between ASON CFs are not within the scope of this Recommendation. Similarly, interfaces between the ASON CFs and the NEFs are not within the scope of this Recommendation.

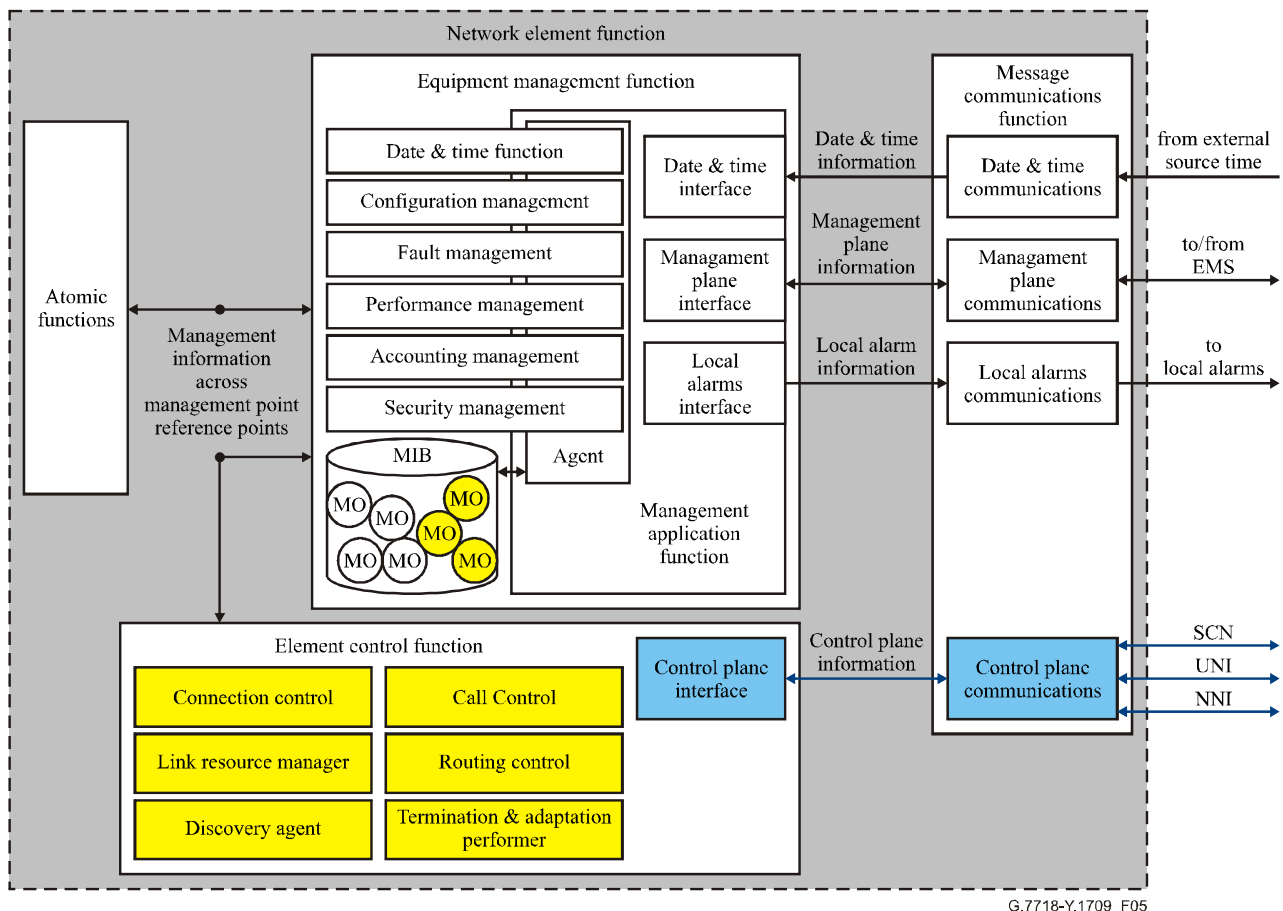


NOTE – This reference point is not within the scope of G.7718/Y.1709.  
 Highlighted reference points are within the scope of G.7718.Y.1709.

**Figure 4/G.7718/Y.1709 – The TMN reference points for ASON management**

### 6.3.2 Network element function with control plane functions

The equipment management function (EMF) provides the means through which a management system and other external entities interact with the network element function (NEF). Figure 5 illustrates the EMF elements within an NE. It must be noted that this illustration does not provide an exhaustive description of the functions that may be contained in an NEF. Figure 5 is based on Figure 4/G.7710/Y.1701.



**Figure 5/G.7718/Y.1709 – The management view of the reference points and interface**

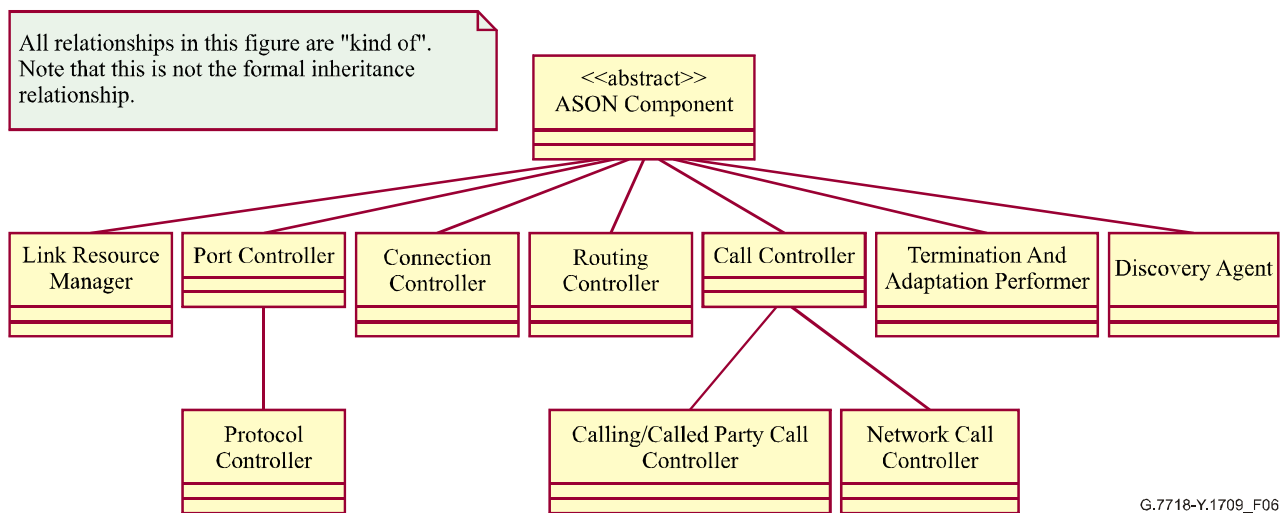
See ITU-T Rec. G.7710/Y.1701 for additional information on the external time reference, the management plane, and local alarms interfaces.

## 7 Requirements context

This clause introduces the ASON components and constructs that are used in clause 8 where the ASON management requirements are specified. Clause 7 is explanatory and non normative. It is intended to provide a management perspective on components and constructs. ITU-T Rec. G.8080/Y.1304 should be consulted for definitions of the control plane components.

### 7.1 Control plane component relationships

Figure 6 shows the ASON components as defined in ITU-T Rec. G.8080/Y.1304.



**Figure 6/G.7718/Y.1709 – ASON component relationships**

The following management functions apply to the list of control plane components shown in Figure 6. Note that accounting management and security management requirements are for further study.

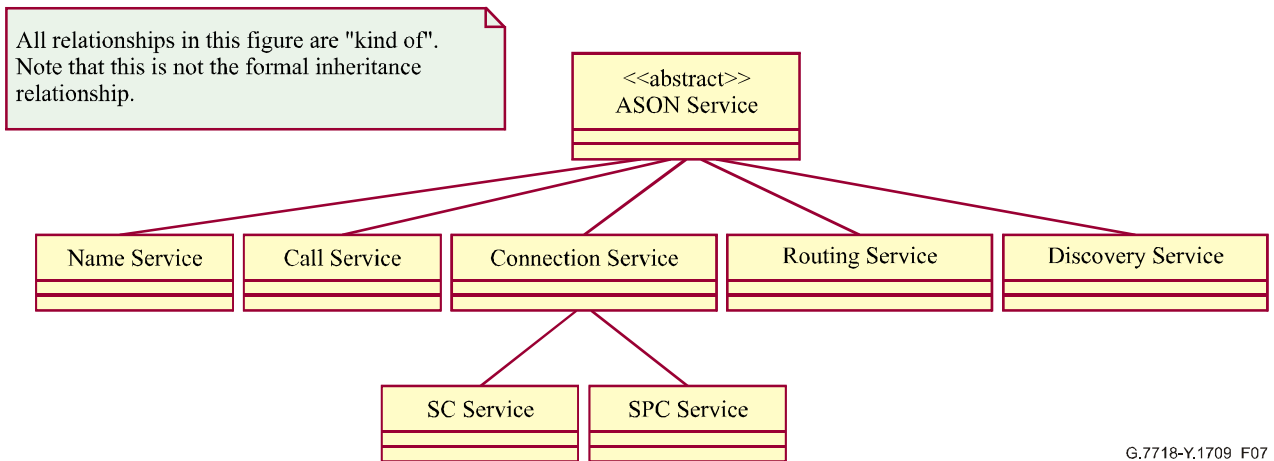
- 1) TAPs require fault management, configuration management, and performance management.
- 2) DAs require fault management, configuration management, and performance management.
- 3) LRMs require fault management, configuration management, and performance management.
- 4) NCCs require performance management including call statistics, e.g., number of call completed, number rejected, etc. NCCs also require fault management and configuration management.
- 5) RCs require fault management, configuration management, and performance management.
- 6) CCs require fault management, configuration management, and performance management.

### 7.2 ASON control-related services

ASON control-related services are provided and consumed across service specific interfaces. ASON reference points collectively refer to a set of services. There is no requirement for co-located interfaces.

In this context, ASON control-related services do not refer to the services that a user can obtain from an ASON network. ASON control-related services refer to the services provided by individual ASON components via their external interfaces. (These are referred to as input interfaces in ITU-T Rec. G.8080/Y.1304.) Defining these services is useful as many requirements discuss signalling, routing, etc. processes and the specification of ASON control-related services makes determining which components are affected by such requirements more obvious.

A candidate set of ASON control-related services is illustrated in Figure 7.



G.7718-Y.1709\_F07

**Figure 7/G.7718/Y.1709 – ASON control-related services**

ASON service objects have the following characteristics:

- 1) All ASON service objects must support operations to enable and disable the service.
- 2) The Discovery Service may be used to provide automatic topology configuration whether or not other ASON services are provided. The Discovery Service and Protocol Objects should therefore not rely on other ASON services.
- 3) ASON Call Service is mainly concerned with Call Admission Control policies.
- 4) ASON Connection Service is mainly concerned with Connection Admission Control.
- 5) All ASON Protocol objects must support operations to enable and disable the protocol.

### 7.3 Domains

As described in ITU-T Rec. G.8080/Y.1304, a domain represents, and is characterized by, a collection of entities that are grouped for a particular purpose. Consequently, there are different types of domains. Domains are established by operator policies and have a range of membership criteria. Domains are intrinsically linked to policies, as decisions about the services at the domain boundary are policy decisions. The policy is "what is required", and the policy results in an action on a specific component. After the action, the policy has been applied, and the domain boundary now exists at that point.

For the purposes of this Recommendation, control domains are analogous to management domains, in that they involve a collection of control plane components and are useful in delimiting ownership or responsibility. Control plane behaviour is managed entirely via the ASON control related services and protocols.

For example, a rerouting domain is formed around a Routing Area by installing ASON components responsible for restoration at that boundary. Enabling UNI signalling and disabling routing services causes a UNI signalling control domain boundary to be created.



## 7.4 Transport resources

Figure 8 illustrates the ASON view of transport resources.

Management systems view the network as a set of nodes (subnetworks) and links. While the control plane view of the network is very similar, its nodes are Routing Areas and its links are SNPP links. This difference is fundamental, and has to do with the fact that the control plane operates in a name space than is different from that used by the management plane. A management system therefore needs to have a view of the resources as they are described in the control plane. This is shown in Figure 8. There should be no duplication of information already available to the management plane via CTPs. Consequently, a critical part of this fragment is the SNP-CTP association, which allows names in the management space to be navigated to names in the Control space. Also note that Shared Risk Groups are accounted for by Group attributes attached to the entities that a Shared Risk Group may affect. Shared Risk Group attributes may propagate up from the Trail to the SNP link connection to the SNPP link.

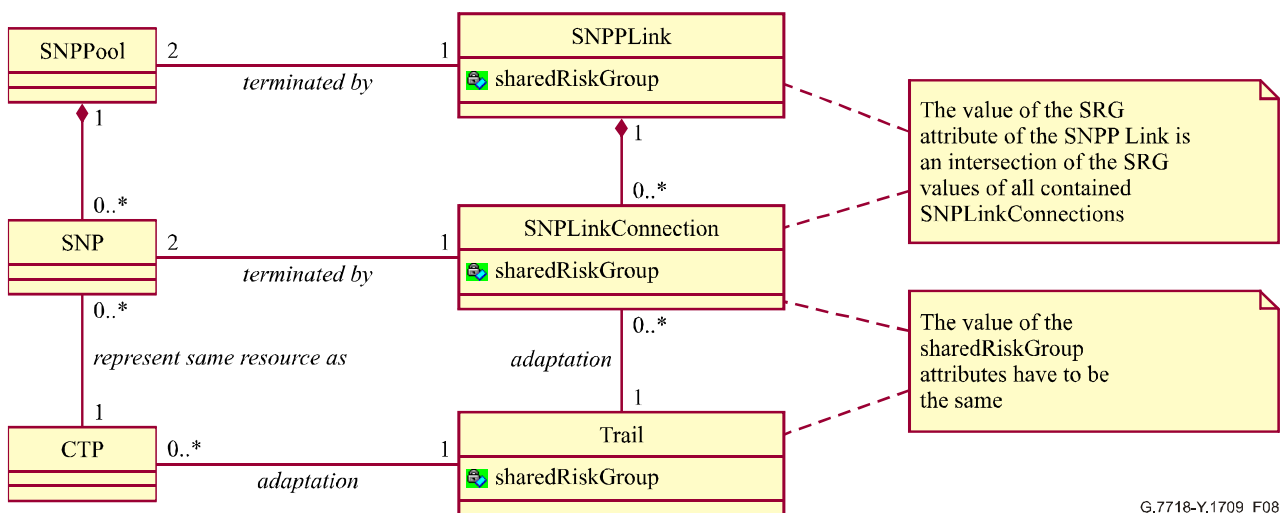


Figure 8/G.7718/Y.1709 – ASON view of transport resources

## 7.5 Policies

Policies express the requirement to have a particular behaviour at the edge of a specified domain. Policies result in actions that are visible at the edge of the domain, thus creating a domain boundary. The policy is thus *why* an action is applied. Conversely management systems need to know what the action is in order to apply the policy.

## 7.6 Management of protection and restoration

Connections in an ASON control domain can be protected or unprotected. Individual connections through an ASON domain could belong to a protected network connection where the protection end-points are outside of a particular ASON domain. In this case, the ASON connections must fulfil certain routing constraints within a particular domain, i.e., the two connections must be mutually diverse within the ASON domain and are thus not fully independent.

In general, when SPCs are set up, it is the management system that provides the class-of-service parameters which determine whether the SPCs are protected or not. Once a protected SPC has been established, the management system is informed that the requisite class-of-service parameters are met and can query the CP for protection state information. If an SPC is for example 1+1 SNCP protected, the management system can determine which of the two protection legs is currently selected by querying the CP. Additionally, an operator may manually select one of the two legs or even force the selection in case some maintenance actions need to be carried out in the network. It

is possible to change the class of service parameters of an already established SPC which may in turn lead to a modification of the SPC's protection type.

The ASON network may have the capability to automatically restore connections within a re-routing domain when a failure has occurred. Different restoration mechanisms may be provided by the ASON network including, for example, connections with or without pre-calculated backup paths. In the latter case, a backup path is only calculated and activated after the occurrence of a failure and an affected connection is restored on a best effort basis. When the management plane establishes SPCs, the class-of-service parameters also determine the restoration mechanism that is applied.

In the context of restoration, it is important to know whether, and how, reversion is done when the failure in the network has cleared. The selection of restoration and reversion mechanisms depends on individual operator policy. For example, such policies may include that the ASON network does not revert restored connections, carries out reversion automatically without requiring any operator intervention or only performs reversion once the operator has confirmed the execution of the reversion process ('manual reversion'). A deeper involvement of the management plane is required in the case of manual reversion where the management plane needs to keep track of the restoration state (e.g., connection is on the nominal route, connection is currently restored and thus on a backup, connection is ready for reversion).

## **7.7 Security management**

Security Management is for further study.

## **7.8 Management of the data communication network**

ITU-T Rec. G.7712/Y.1703 contains the specifications for the Data Communications Network (DCN) used to support management plane communications and ASON control plane communications. The management aspects of the DCN itself are not impacted by the presence of a control plane.

## **7.9 Accounting management**

This Recommendation is limited to the representation, storage and communication of data associated with ASON call details.

# **8 ASON management requirements**

The following three requirements are the fundamental requirements for ASON management.

- R 1** A failure in the MP shall not affect the normal operation of a configured and operational CP or transport plane.
- R 2** A failure in the CP-MP interface shall not affect configured services in the transport plane.  
NOTE – Requirement R 2 is derived from the ITU-T Rec. G.8080/Y.1304 principle that states that existing connections in the transport plane are not altered if the control plane fails and/or recovers.
- R 3** The MP shall not be affected (impacted) by a failure in the CP.

## **8.1 Configuration management**

As previously noted, there is no assumption that any ASON component is anchored to a network element. This is especially important in the case of Call Controllers.

The initial configuration of a network element includes specification of the appropriate CP functions and parameters. This includes configuration of the requisite ASON component parameters including their identifiers and addresses, signalling and routing protocol parameters, and CP

communications network information. The configuration must be performed prior to invoking CP functions in the network.

### **8.1.1 Identifier management**

It is assumed that all network elements have been assigned an identifier in the management plane.

- R 4** The CP-MP interface shall support the assignment of identifiers for all identifier spaces, e.g., RA identifiers, SNPP identifiers, UNI/E-NNI transport resource identifiers, etc.
- R 5** The CP-MP interface shall support the administration of identifiers including insuring their uniqueness within their respective spaces. In the case of protocol controller identifiers, this includes the relationship between the identifier and the point of attachment to the SCN.
- R 6** It shall be possible to locate resources in one plane, i.e., the CP or the MP, and to navigate to the same resource from the other plane.
- R 7** The MP-CP interface shall support the ability to assign UNI/E-NNI transport resource identifiers per individual carrier's specifications.
- R 8** The CP-MP interface shall support the ability to configure the binding, and retrieve the relationship, of a UNI/E-NNI transport resource identifier and the corresponding UNI/E-NNI SNPP identifier.

### **8.1.2 Resource management**

- R 9** The CP-MP interface shall support the allocation of transport resources, e.g., CTPs, to the CP. Only one SNP in each SNPP can be associated with a CTP. Multiple SNPs (in different SNPPs) can be associated with a single CTP.
- R 10** The CP-MP interface shall support the allocation of flexible adaptation resources to the CP.
- R 11** The CP-MP interface shall support the configuration of a specific SNP. The information to be configured for the SNPP members is:
  - a) SNP/CTP relationship  
NOTE 1 – The lower order part of the SNP identifier may either be provided or auto generated from the lower order part of the CTP name (i.e., time slot)
  - b) SNP parameters (SNP states as not validated, shared, etc.).
- R 12** The CP-MP interface shall support the capability of assigning all CTP link connections in one trail to one SNPP link in one operation.
- R 13** The CP-MP interface shall support the capability of binding SNPs to CTPs without having to manually provision each binding.
- R 14** The CP-MP interface shall support the configuration of parameters required for diverse routing.
- R 15** The CP-MP interface shall support for each SNPP, the configuration of the CP functions required to create/delete/modify the following interfaces: UNI, I-NNI and E-NNI.
- R 16** The CP-MP interface shall support the transfer of routing database information between the MP and the CP.
- R 17** The CP-MP interface shall support the ability to either assign or remove resources to/from the control plane. (When the transport resources are not being used to support any existing connections/connection segments, they can be moved from MP control to CP control or vice versa. Other scenarios, including the migration from MP to CP or vice versa, require further study.)
- R 18** The CP-MP interface shall permit the MP to shut down specified transport resources.  
See also ITU-T Rec. X.731 for the definition of "shutting down" state.

- R 19** The CP-MP interface shall support the ability to define one or more Shared Risk Groups (SRG).
- R 20** The CP-MP interface shall support the provisioning of a link to belong to multiple SRGs.
- R 21** The CP-MP interface shall support the configuration of SNPP links which will include at least the provisioning of Routing Area information.
- R 22** The CP-MP interface shall allow the configuration of the SNPP Link parameters needed for routing, signalling and management (name, directionality, cost, etc).
- R 23** The CP-MP interface shall allow single-ended SNPP link provisioning. Note that for this case, initial provisioning of the subnetwork names and SNPP name must be done at both ends.
- R 24** The CP-MP interface shall permit the identity of CTP link connections to be provided to the CP by the MP.
- R 25** The CP-MP interface shall support the configuration of the parameters necessary for UNI signalling, I-NNI signalling, and E-NNI signalling. A mechanism for detecting inconsistent settings for these parameters shall be provided.  
NOTE 2 – The specific parameters are defined in the relevant standards, including ITU-T Recs G.7713.1/Y.1704.1, G.7713.2/Y.1704.2 and G.7713.3/Y.1704.3.
- R 26** The CP-MP interface shall support the configuration of the parameters necessary for I-NNI routing and E-NNI routing. A mechanism for detecting inconsistent settings for these parameters, e.g., timers, shall be provided.
- R 27** The CP-MP interface shall support the configuration of the parameters for individual ASON components. A mechanism for detecting inconsistent settings for the parameters shall be provided.  
More detailed requirements for ASON protocol controllers are given in 8.1.5.
- R 28** The CP-MP interface shall support the determination of a resource's assignment, i.e., assigned to the CP or the MP.
- R 29** The CP-MP interface shall support the identification of inconsistencies between databases in the MP and CP.
- R 30** The CP-MP interface shall support notifications of inconsistencies between the transport plane and the CP databases.

### **8.1.3 Domain configuration**

Domains are configured via the manipulation of UNI and E-NNI interfaces as specified in R25 and R26. Other aspects are for further study.

### **8.1.4 Routing area configuration**

- R 31** The CP-MP interface shall support the assignment of CP components to routing areas.
- R 32** The CP-MP interface shall support the assignment of routing areas hierarchies.
- R 33** The CP-MP interface shall support the assignment of CP components to hierarchical routing levels.
- R 34** The CP-MP interface shall support routing area aggregation and disaggregation.
- R 35** The CP-MP interface shall support reconfiguration of routing area hierarchies.

### **8.1.5 Protocol controller configuration**

**R 36** The CP-MP interface shall support the configuration of all the CP protocol controllers on a per interface or per group of interfaces basis. The specific protocol(s) selected for individual protocol controller shall be specified as follows:

- a) UNI signalling protocol;
- b) E-NNI signalling protocol;
- c) E-NNI routing protocol (if multiple protocols are supported);
- d) E-NNI discovery protocol;
- e) Optionally I-NNI signalling protocol;
- f) Optionally I-NNI routing protocol;
- g) Optionally I-NNI discovery protocol.

**R 37** The CP-MP interface shall support the assignment of the point of attachment to the SCN for each protocol controller. The MP must support the configuration of the binding of the Control Plane components (e.g., CC) to the Protocol Controller. Multiple protocol controllers may share the same point of attachment to the DCN. A network element may have multiple points of attachment to the DCN.

**R 38** The CP-MP interface shall support the configuration of each protocol controller. At a minimum, configuration of the following shall be supported:

- a) specific Protocol for each controller among the protocols supported by a given system (specific protocol aspects are taken from the relevant protocol specifications);
- b) version number (if defined);
- c) protocol controller address.

### **8.1.6 ASON inventory**

The MP needs to support the CP's resources/neighbour discovery functions. The addition of new network resources, e.g., NE, plug-in module, etc., shall be made known to the MP. In addition, any additional capacity made possible by the new network resource must be known to the MP. It is expected that the automatic discovery mechanisms provided by the control plane will aid in the capacity activation process.

**R 39** Network elements supporting automatic discovery shall support a management information base for all discovered resources.

**R 40** The CP-MP interface shall support notifications of the addition/removal/upgrade of CP objects.

### **8.1.7 ASON topology**

**R 41** The MP view of topology shall be independent of the CP protocol choice.

It should be noted that the format of the topology objects will be defined in Recommendations that address ASON information object specifications.

**R 42** For intra-domain topology discovery, the CP-MP interface shall support notifications of the discovery of any changes to the intra-domain topology.

**R 43** The CP-MP interface shall support notifications of the discovery of any changes to the inter-domain topology.

**R 44** The CP-MP interface shall support the maintenance of hierarchical inter-domain topology information.

**R 45** The CP-MP interface shall support the capability to query the CP for topological information.

### **8.1.8 ASON link capability exchange**

Link capability exchange is the procedure whereby Link Resource Managers (LRM) exchange information on services they support.

- R 46** The CP-MP interface shall support notification of failures in the link capability exchange procedure. The notification shall indicate the reason for the failure.
- R 47** The CP-MP interface shall support notifications of a successful link capability exchange procedure. The notifications shall include service attributes for the UNI-C and UNI-N ports.

### **8.1.9 ASON calls**

- R 48** The CP-MP interface shall support the ability to manage calls with zero or more connections. For each call, the CP-MP interface shall support the ability to add, remove, or modify a connection.
- R 49** The CP-MP interface shall support the retrieval of call attributes including call name, calling/called UNI/E-NNI transport resource name, COS and GOS. The CP-MP interface shall also support the retrieval of call start and end times, and associated connections.
- R 50** The CP-MP interface must support the capability to distinguish an SPC from an SC. This is done via a call attribute that distinguishes the party responsible for end point handling of the call (i.e., whether the calling/called party call controller is at the UNI or the management plane).
- R 51** The CP-MP interface shall support notifications from the CP of any defects associated with a call release request.

### **8.1.10 ASON connections**

Service activation includes the setup, release, and query of connections across the network, in conformance with ITU-T Recs G.807/Y.1302 and G.8080/Y.1304. ITU-T Rec. G.8080/Y.1304 assumes that during connection setup, a pair of TAPs cooperates to coordinate any adaptation setup required by the link connection, to provide link connection transmission status information and to accept link connection state information to ensure that the management plane indications are consistent. Management plane consistency includes ensuring that the alarm state of the link connection is consistent, so that spurious alarms are neither generated nor reported.

It is expected that the MP can determine if a given connection is a Permanent Connection, an SPC, or an SC.

- R 52** The CP-MP interface shall support the capability to specify the explicit resource list for the management plane initiated connection setup request. The explicit resource list is defined in 7.2.3.3 of G.7713/Y.1704 (06/2004) Amd.1.
- R 53** The CP-MP interface shall support the ability to initiate CP directed maintenance roll-overs.
- R 54** The CP-MP interface shall support indications of a successful connection creation. The notification shall contain sufficient information to permit correlation with other connection segments.
- R 55** The CP-MP interface shall support connection request failure indications with a code identifying the reason for the failure.
- R 56** The CP-MP interface shall support indications of a successful connection re-route action.
- R 57** The CP-MP interface shall support indication of the failure of a connection re-route action with a code identifying the reason for the failure.
- R 58** The CP-MP interface shall support the retrieval of the status of all connections and the values of connection attributes.

- R 59** The CP-MP interface shall support queries of all relevant attributes of CP controlled protected connections.
- R 60** The CP-MP interface shall support the configuration of all relevant functions of CP controlled protected connections.
- R 61** The CP-MP interface shall support the selection of the reversion process to be used with re-routed connections, e.g., manual or automatic reversion.

#### **8.1.11 ASON SPC and SC**

- R 62** The CP-MP interface shall support the ability to manage soft permanent connections, including those that make use of VCAT and LCAS functions. Specifically the following shall be supported:
- a) The ability to invoke the setup of a soft permanent connection.
  - b) The ability to invoke the release of a soft permanent connection.
  - c) The ability to invoke the modify operation of a soft permanent connection.
  - d) The ability to invoke the re-routing of a soft permanent connection.
  - e) The ability to query the CP for the status of a soft permanent connection.
  - f) The ability to query the CP for the connection attributes of a soft permanent connection including route information.
  - g) The ability to allow the MP to request a VCAT SPC with different service levels (making use of diverse routing of bundles).
  - h) The ability to allow the MP to modify SPCs which make use of the VCAT and LCAS functions, i.e., to increase or decrease the bandwidth without service interruption.
  - i) The ability to support the provisioning of class of service parameters, which may be mapped to protection/restoration mechanisms and configurations within the networks.
- R 63** The CP-MP interface shall support the ability to specify an SPC using class of service parameters which may be mapped to constraint-based routing. This may include, but are not limited to link, node and SRG diversity.
- R 64** The CP-MP interface shall support requests for switched connections (SC). This support shall include:
- a) Notifications of the setup, release, and modification of SCs.
  - b) The ability to invoke the release of an SC.
  - c) The ability to invoke the re-routing of an SC.
  - d) The ability to query the CP for the status of an SC.
  - e) The ability to query the CP for the connection attributes of an SC including route information.
  - f) The ability to support the provisioning of class of service parameters, which may be mapped to protection/restoration mechanisms and configurations within the networks.
- R 65** The CP-MP interface shall support the exchange of information pertaining to switched-connections created in the network.

NOTE – ITU-T Recs G.7713/Y.1704 and G.7713.x contain specific information on connection attributes.

#### **8.1.12 ASON policies**

This Recommendation is limited to configuring policies used in the CP. Access to policy servers and other aspects of policy architecture is out of scope of this Recommendation.

- R 66** The CP-MP interface shall support the configuration of policy parameters.
- R 67** The CP-MP interface shall support querying of policy parameters.

## **8.2 Fault management**

The following fault management requirements are needed specifically for the control plane.

- R 68** The CP-MP interface shall support the configuration of CP alarm characteristics.
- R 69** The CP-MP interface shall support Autonomous Alarm notification from the CP for each CP fault. Information in the notification shall include the resource in alarm, the time the alarm occurred, the probable cause, and the perceived severity of the alarm.
- R 70** The CP-MP interface shall support the ability to query all or a subset of the currently active CP alarms.
- R 71** The MP shall administer the CP alarm severity in accordance with the TMN requirements specified in ITU-T Recs M.3100 and M.3120.
- R 72** The CP-MP interface shall support querying of the operational state of CP components.

## **8.3 Performance management**

The performance management of the SDH and OTN transport planes is specified in ITU-T Recs G.784 and G.874 and is outside the scope of this Recommendation. In this clause, performance management means the performance of ASON components, and performance information provided by ASON objects.

- R 73** The CP-MP interface shall support the collection of the necessary current and historic usage data, such as call attempts, call setup failures including reasons, successes. The data should be available upon the query from the management plane.
- R 74** The CP-MP interface shall support queries of the connection attempts, connection setup failures and successes.
- R 75** The CP-MP interface shall support the ability to query current and historic CP performance data.  
Specific performance parameters for the CP are for further study. A possible parameter is the number of connection re-routing events per call.
- R 76** The CP-MP interface shall support the ability to retrieve SNPP link usage information from the CP.
- R 77** The CP-MP interface shall support per UNI and E-NNI an appropriate notification of failed connection set-ups, failed connection re-routes, etc that exceed a configured threshold.

## **8.4 Accounting management**

- R 78** The CP-MP interface shall support the capability of querying CP for a batch of call detail records.

## **8.5 Management/configuration of protection and restoration**

- R 79** The CP-MP interface shall support notifications of a CP restoration failure.  
See also R62 and R64 for other requirements.
- R 80** The CP-MP interface shall support the provisioning of timers (e.g., revert and restore) per re-routing domain.



## **9 Identifiers and relationships**

The introduction of a control plane to transport networks has created additional identifier spaces. Interactions between these identifier spaces and other transport identifier spaces must be considered for OAM functions and protocol controller design.

The four broad categories of identifiers are the transport plane identifiers used by control plane, control plane component identifiers, DCN identifiers, and MP identifiers. Each of these categories is described in the following clauses.

### **9.1 Identifiers**

#### **9.1.1 Transport plane identifiers used by control plane**

Two subcategories are identified for this identifier space. These are:

- SNPP and SNP identifiers. These identifiers are used by the control plane to identify transport plane resources. SNPP identifiers give a routing context as well as a (G.805) recursive subnetwork context for SNPs. The SNP address is derived from the SNPP address concatenated with a locally significant SNP index. The G.8080/Y.1304 architecture allows multiple SNPP names spaces to exist for the same resources.
- UNI/E-NNI Transport Resource identifiers. These identifiers are used to identify transport resources at a UNI/E-NNI reference point (SNPP links do not have to be present at reference points). They represent the resources between the client and network (or between networks), not the transport network endpoints. These identifiers are names that the respective Call Controllers use to specify destinations in making a call.

#### **9.1.2 Control plane component identifiers**

As per ITU-T Rec. G.8080/Y.1304, the control plane consists of a number of functional components associated with connection management and routing. Components may be instantiated differently from each other for a given ASON network. For example, one can have centralized routing with distributed signalling. Separate identifiers are thus needed for:

- Routing Controllers (RCs);
- Network Call Controllers (NCCs);
- Connection Controllers (CCs).

Additionally, components have Protocol Controllers (PCs) that are used for protocol specific communication. These also have identifiers that are separate from the (abstract) components, e.g., RCs.

#### **9.1.3 DCN identifiers**

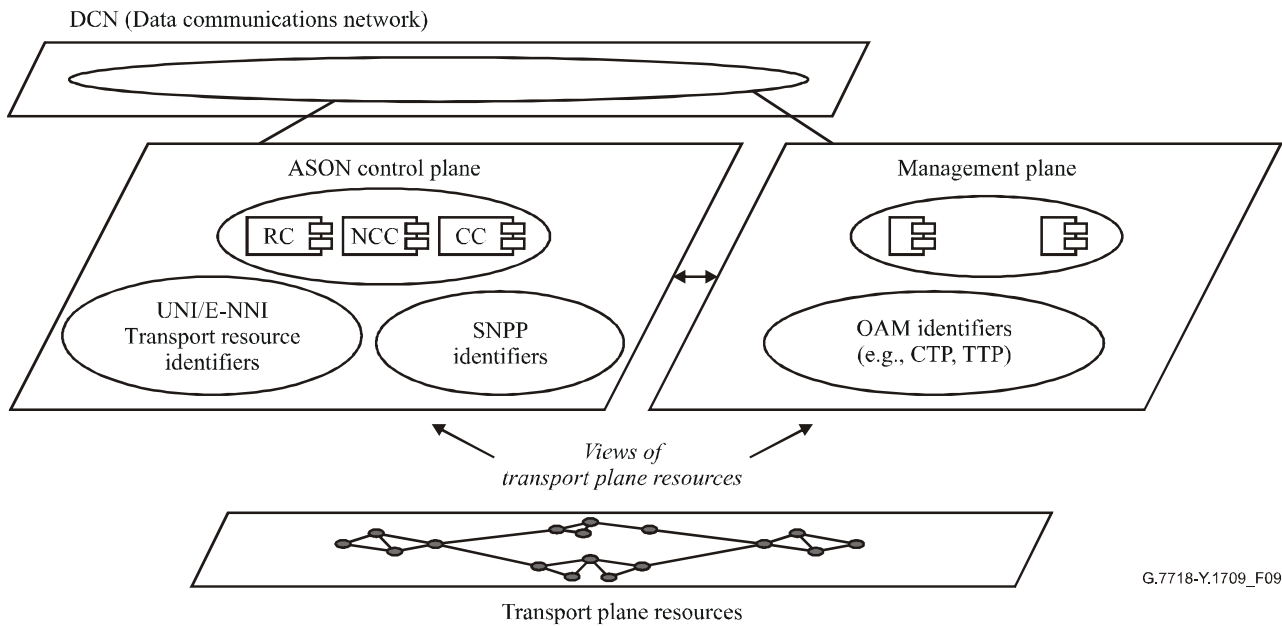
To enable control plane components to communicate with each other, the DCN is used. DCN identifiers are the point of attachment of the DCN to the Protocol Controller. Several PCs may share a DCN point of attachment and any given NE may have multiple points of attachment.

#### **9.1.4 MP identifiers**

These identifiers are used to identify management entities that are located in EMS and NMS. Some of these identifiers are the existing identifier spaces used in EMS and NMS for OAM purposes, such as the identifiers for the (M.3100) TTP and CTP. Generally they describe a physical locality that supports maintenance and fault correlation activities. CTP identifiers give a physical context to a (G.805) Connection Point (timeslot). TTP identifiers provide a physical context for transport equipment (e.g., circuit pack).

## 9.2 Relationships

Various relationships exist among various identifier spaces described above, and are illustrated in Figure 9.



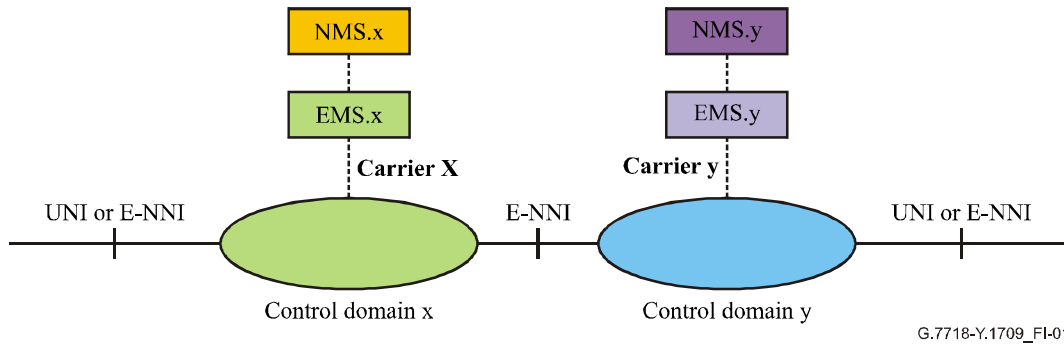
G.7718-Y.1709\_F09

**Figure 9/G.7718/Y.1709 – Identifier space relationships**

# Appendix I

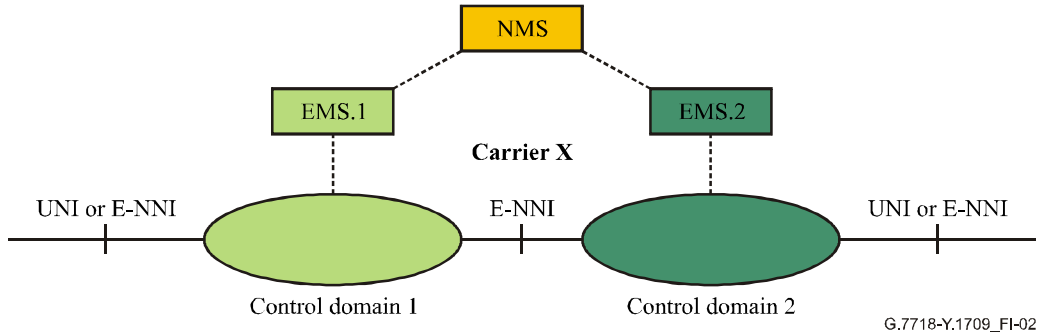
## Example realizations

Figure I.1 shows two control domains separately owned by two carriers. In this case, each control domain is separately managed by the respective carriers' network management systems.



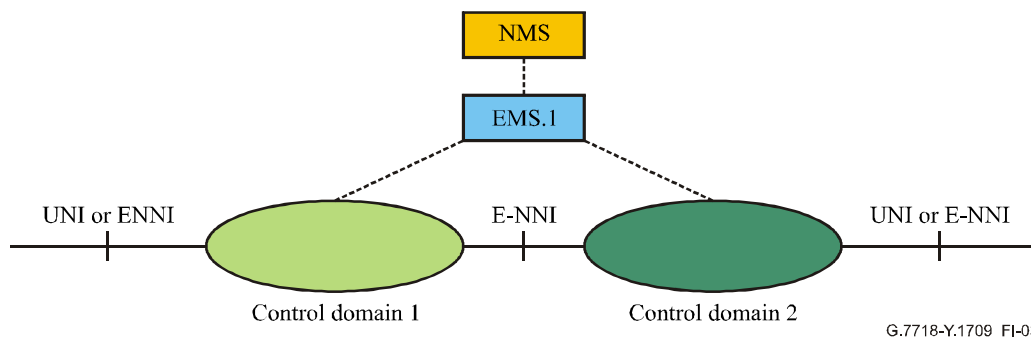
**Figure I.1/G.7718/Y.1709 – Inter-carrier example**

Figure I.2 shows an intra-carrier scenario where the carrier's control domains align with the scope of their respective EMSs.



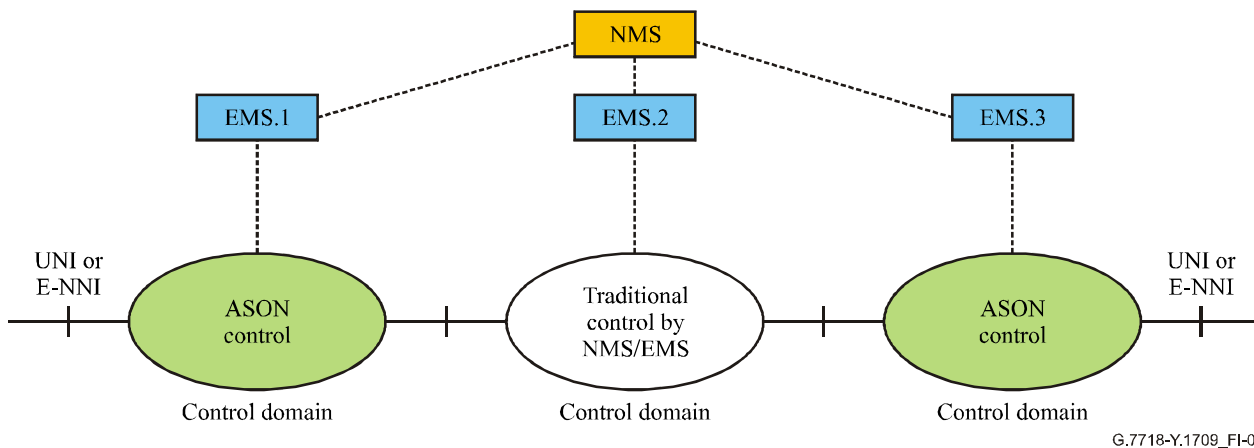
**Figure I.2/G.7718/Y.1709 – Intra-carrier – control domain and EMS scope aligned**

Figure I.3 shows an intra-carrier scenario where the EMS manages multiple control domains.



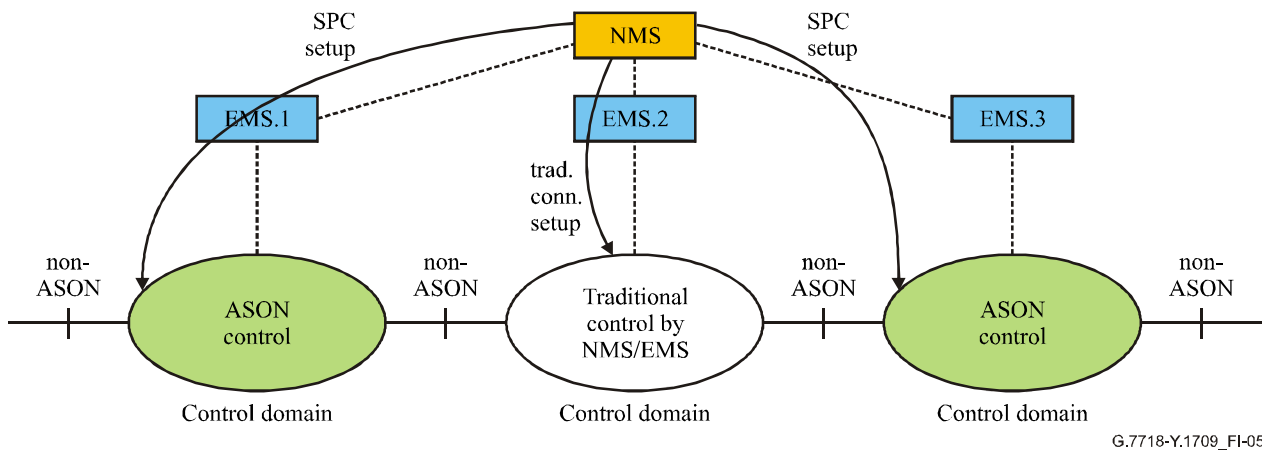
**Figure I.3/G.7718/Y.1709 – Intra-carrier – EMS managing multiple control domains**

Figure I.4 shows an intra-carrier scenario where some portion is controlled by traditional management and some via control plane. Depending on the applications (SPCs or SCs) and depending on role of the traditionally controlled domain, the following configurations are conceivable:



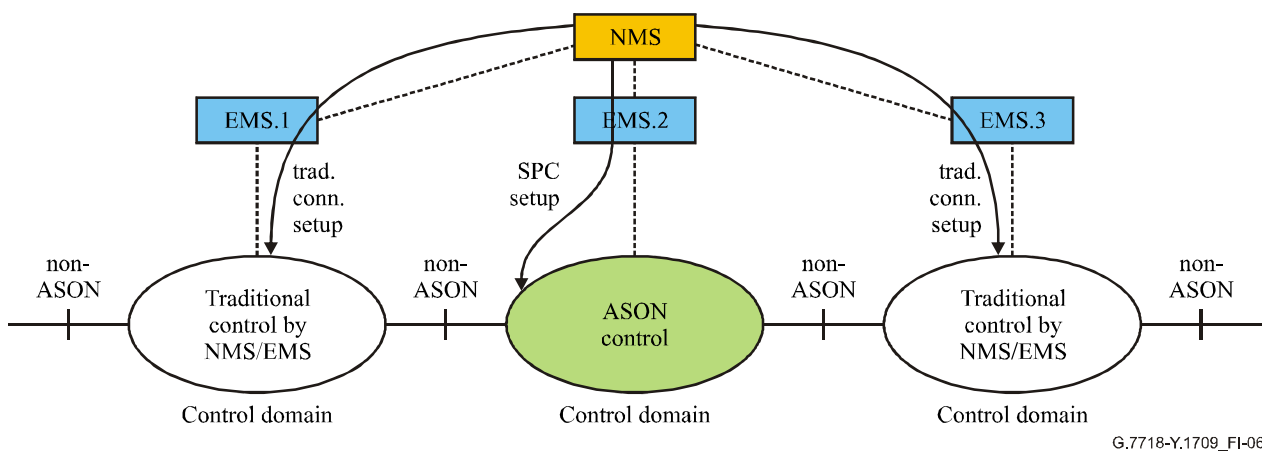
**Figure I.4/G.7718/Y.1709 – Hybrid intra-carrier network**

Figure I.5 shows an intra-carrier scenario that only supports SPCs. SPCs begin and end at the boundary of the carrier domain and there is no control plane communication across the links crossing the carrier domain boundary (non-ASON links). Moreover, SPCs are initiated by the NMS and the NMS can establish multiple connection segments independently that form an end-to-end connection across the entire carrier domain. Therefore, the links interconnecting the ASON domains with the traditionally managed domains do not have to participate in the ASON control plane, i.e., support control plane communication. In the ASON control domains, the connection segments are established via the control plane (Distributed Connection Management function) whereas in the traditionally managed domain the NMS has to establish the subnetwork connection (connection segment) in the traditional way via NMS and/or EMS.



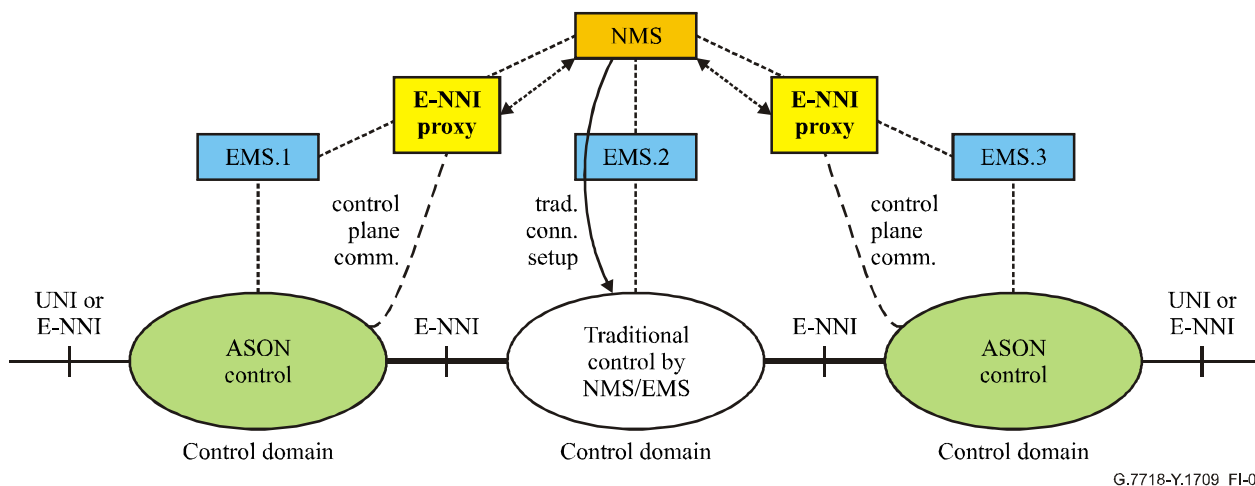
**Figure I.5/G.7718/Y.1709 – Hybrid intra-carrier network for SPCs (simple case)**

Figure I.6 shows a hybrid intra-carrier network management scenario for two traditionally managed domains interworking across the ASON domain.



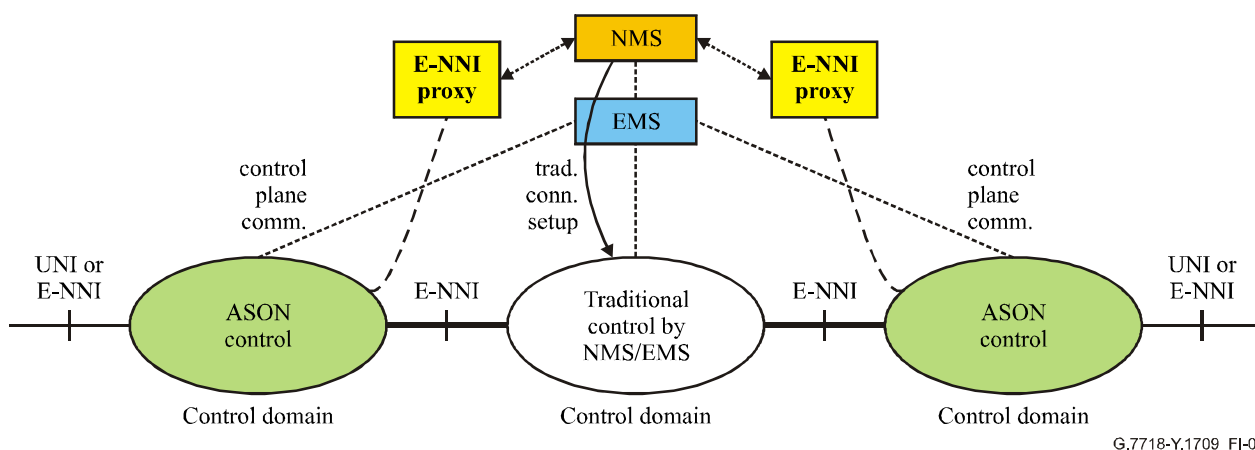
**Figure I.6/G.7718/Y.1709 – Traditional domains interworking across an ASON domain**

Figure I.7 shows an intra-carrier scenario that supports both SPCs and SCs across the carrier domain. In this scenario, the links that interconnect an ASON domain with a traditionally managed domain appear as separate E-NNI links. Due to the fact that the traditionally managed domain has no control plane, the signalling and routing information has to be exchanged between the control plane components in the ASON domain and a proxy E-NNI counterpart that is necessary on the non-ASON capable side of the network. The proxies for the different E-NNIs have to interact with the NMS that controls the traditionally managed portion of the network. Here, the traditionally managed domain plays the same role as an ASON domain.



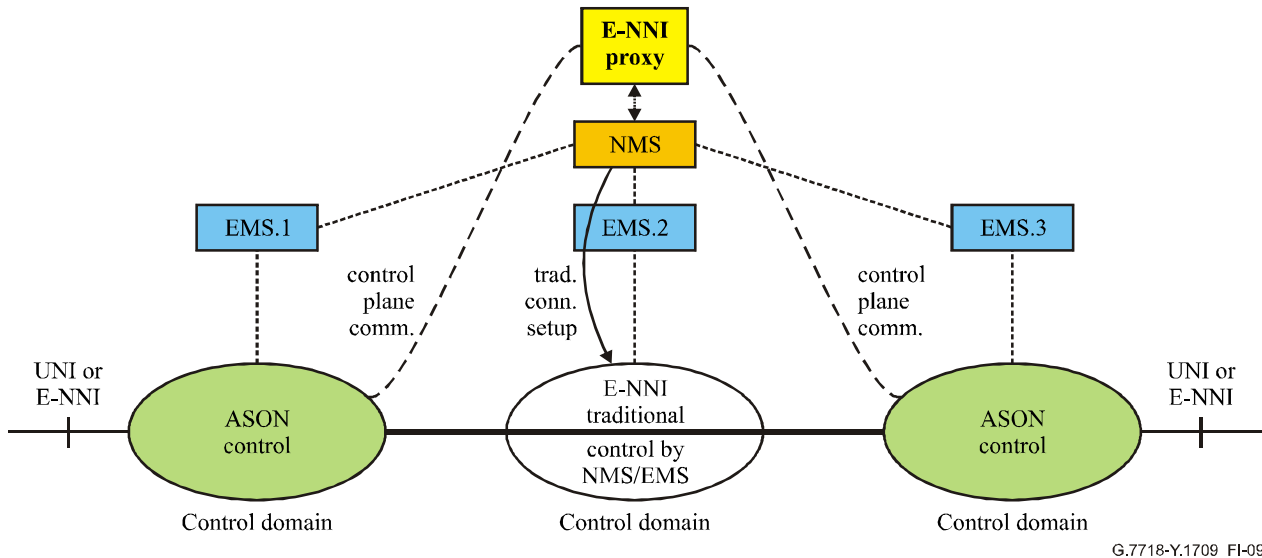
**Figure I.7/ G.7718/Y.1709 – Links to traditional a domain as multiple E-NNI links**

Figure I.8 shows an intra-carrier scenario that supports both SPCs and SCs across the carrier domain. In this scenario, the links that interconnect an ASON domain with a traditionally managed domain appear as separate E-NNI links. This figure gives an option that one EMS is able to manage both traditional domain and ASON control domain.



**Figure I.8/ G.7718/Y.1709 – Multiple E-NNI links with EMS managed multiple domains**

Figure I.9 shows an intra-carrier scenario that is quite similar to the previous one. In this scenario, however, the traditionally managed portion of the networks appears as if the two ASON networks were interconnected directly via an E-NNI. Here, an E-NNI proxy is also required that interacts with the NMS of the traditionally managed domain. But, in contrast to the previous case, the E-NNI proxy could be realized in a much simpler way or can even be omitted in case the subnetwork connections in the traditionally managed portion of the network are statically provisioned. In this case, the internals of the traditionally managed domain become invisible for the ASON domains.



**Figure I.9/G.7718/Y.1709 – Traditional domain with a direct E-NNI link (single proxy)**

## Appendix II

### Management applications

A number of management applications associated with the ASON control plane have been identified. Although these applications are not within the scope of this Recommendation, the following list of applications is provided for guidance for future Management Recommendations.

- 1) Display a view of the combination of a UNI transport resource address and a logical port identifier in a single screen (so as to uniquely identify a data link).
- 2) Display, upon request, the soft permanent connection and its attributes.
- 3) Display the end-to-end path traversed by the soft permanent connection.
- 4) Determine if a given connection is a Permanent Connection, an SPC, or an SC. Display Permanent Connections, SPCs, and SCs in a clear fashion.
- 5) Correlate cause code information and identify:
  - transport plane network failures;
  - control plane failures;
  - congestion situation;
  - capacity exhaust (in a node, over a link or a link bundle).
- 6) Correlate two or more subnetwork connections (SNCs) that were created in two or more subnetwork domains (i.e., EMS domain) as a part of a soft permanent connection.
- 7) Correlate two or more subnetwork connections (SNCs) that were created in two or more subnetwork domains (i.e., EMS domain) as a part of a switched connection.
- 8) If a control plane component failure occurs, determine what calls and connections are impacted by this failure.
- 9) Provide report error conditions associated with the control plane.
- 10) Identify inconsistencies between databases in the CP and TP and databases in the MP and CP, and to restore consistency without affecting active connections.
- 11) Generate notifications/reports on detection of inconsistencies between MP and CP databases.
- 12) Support the ability to differentiate between configured links and discovered links.
- 13) Maintain an awareness of calls created in the network and the connections associated with the calls.
- 14) Analyze CP configurations for network wide consistency. Special emphasis should be on the consistence of the time out setting of CP timers.



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Network management	Y.2400–Y.2499
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*For further details, please refer to the list of ITU-T Recommendations.*

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